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## Apixaban Concentrations with Lower than Recommended Dosing in Older Adults with Atrial Fibrillation

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4

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29

30

31

## 32 **ABSTRACT**

33

### 34 **Background/ Objectives**

35 Lower-than-recommended doses of direct-acting oral anticoagulants are often  
36 prescribed to older adults with non-valvular atrial fibrillation (NVAF). Our goal was  
37 to determine the consequences of lower-than- recommended dosing on plasma  
38 apixaban concentrations during clinical care of older adults with NVAF.

### 39 **Design**

40 Convenience sample of patients receiving anticoagulation during 2017

### 41 **Setting**

42 Academic medical center

### 43 **Participants**

44 Stable adults over age 65 years with non-valvular atrial fibrillation receiving  
45 apixaban on a chronic basis

### 46 **Measurements**

47 Patient age, weight, creatinine, co-medications, apixaban concentrations

### 48 **Results**

49 One hundred and ten older adults with NVAF (mean age of 80.4 years, range 66-  
50 100 with 45% women) were studied. Forty-eight patients received recommended  
51 dosing of 5 mg twice daily and 42 received lower-than-recommended dosing. One  
52 patient in each category had concentrations below expected 5-95% range at time  
53 of peak concentrations. Differences in proportion of apixaban concentrations within  
54 or outside expected ranges were not significant between patients receiving lower-  
55 than-recommended doses and those dosed-as-recommended at 5 mg twice daily

56(p=0.35). However, in patients dosed-as-recommended with 5 mg twice daily, four  
57had concentrations above 5-95% range for peak levels expected at 3-4 hours after  
58dosing; in two, this occurred around the midpoint of the dosing interval. Twenty  
59patients received 2.5 mg twice daily as recommended. One third had apixaban  
60concentrations higher than expected peak concentrations compared to the clinical  
61trials and, over 2/3 had levels above the reported median for peak concentrations.

## 62**Conclusions**

63Apixaban concentrations in older adults with NVAF seen clinically were higher than  
64expected based on clinical trial data. The findings raise questions about the  
65optimal dosing of apixaban in older adults with NVAF encountered outside of  
66clinical trials and suggest a role for monitoring of apixaban concentrations during  
67care of patients that differ from those in randomized trials, or when considering  
68dosing outside of published guidelines.

69

70Keywords: apixaban, direct-acting oral anticoagulant, non-valvular atrial fibrillation,  
71dosing accuracy,

72

## 73INTRODUCTION

74Direct-acting oral anticoagulants (DOACs) are replacing vitamin K antagonists for  
75anticoagulation due to fewer food and medication interactions and simplified dosing and  
76monitoring regimens.<sup>1</sup> While DOACs have been shown to have equivalent or superior  
77efficacy to prevent stroke or systemic emboli in patients with non-valvular atrial fibrillation  
78(NVAF) with fewer intracranial hemorrhages in randomized trials,<sup>2-4</sup> there are limited data  
79on older adults with NVAF during routine clinical care. These patients are often older,  
80more likely to be women, have more co-morbidities, falls, and higher bleeding risks than  
81those enrolled in clinical trials. Possibly due to these factors, post-marketing analyses  
82of DOAC use in patients with NVAF report prescribed doses often inconsistent with  
83product labelling.<sup>1</sup> Lower-than-recommended dosing is more common than higher-  
84than-recommended dosing, especially for apixaban in older patients.<sup>5,6 7,8</sup>

85The consequences of under-dosing are currently uncertain. If under-dosing resulted in  
86lower apixaban concentrations, increased stroke rates would be expected, as would lower  
87bleeding rates. Analyses from administrative claims data lacking full assessment of  
88dosing accuracy reported increased stroke rates without increased bleeding in patients  
89with NVAF receiving apixaban classified as “under-dosed”.<sup>7</sup> Under-dosing was also  
90initially reported to result in worse outcomes in patients enrolled in The Outcomes  
91Registry for Better Informed Treatment of Atrial Fibrillation II (ORBIT-AF) registry.<sup>9</sup>  
92However, when the outcomes were adjusted for patient risk characteristics, no significant  
93difference in outcomes between “under-dosed” patients compared to patients dosed as  
94recommended was detected in the ORBIT-AF registry.<sup>10</sup> These studies did not determine  
95drug concentrations in relation to dosing or outcomes to investigate potential mechanisms  
96for alterations in responses or outcomes.

97Our primary goal was to measure plasma apixaban concentrations during routine  
98clinical care of older adults with NVAF and compare apixaban concentrations  
99between patients receiving recommended vs. lower-than-recommended dosing  
100relative to concentrations reported from the pivotal trial on which marketing  
101approval was granted (ARISTOTLE trial).<sup>11</sup> We found that older adults with NVAF  
102receiving lower than recommended dosing of apixaban had the same proportion of  
103concentrations within the ranges reported from patients receiving recommended doses,  
104and, only patients receiving recommended doses had concentrations in excess of those  
105observed in clinical trials.

## 106**METHODS**

107 **Patients and Data Collection.** Clinically stable older adults with NVAF taking  
108apixaban and seen at least once at an anticoagulation clinic during 2017 were  
109invited to participate in the study. Written informed consent was obtained per  
110protocol approved by the Health Sciences Research Ethics Board of the University  
111of Western Ontario (London, Ontario, Canada). Patient age, sex, weight, height,  
112apixaban dose regimen, concomitant use of moderate (amiodarone, diltiazem,  
113fluconazole, verapamil) to strong (clarithromycin, ketoconazole, ritonavir) P-  
114gp/CYP3A4 inhibitors and P-gp/CYP3A4 inducers (carbamazepine, phenytoin,  
115phenobarbital, rifampin) , most recent serum creatinine, and date/time of last  
116apixaban dose were collected when single steady-state blood samples were  
117obtained. Blood samples were immediately stored at -4°C before centrifugation at  
1182000g for 10 minutes for plasma isolation. Plasma samples were stored at -80°C  
119until further analysis. Apixaban concentrations were determined by liquid

120chromatography tandem mass spectrometry as previously reported.<sup>12</sup> Lower limit  
121of quantitation is 5 ng/mL. Assay performance across the 25, 250, and 1000  
122ng/mL quality controls were 1.5% and 8.5%, intraday bias and precision was 1.3%  
123and 5.1%.

124**Data analysis.** Dosing was categorized as recommended, higher-than, or lower-  
125than-recommended. Recommended apixaban dosing in 5 mg twice daily reduced  
126to 2.5 mg twice daily with two of the following present: age  $\geq$  80 y, weight  $\leq$  60  
127kg, serum creatinine  $\geq$  1.5 mg/dL, or a strong CYP3A4/P-gp inhibitor is co-  
128administered without 2 of the 3 dose reduction criteria.

129([https://packageinserts.bms.com/pi/pi\\_eliquis.pdf](https://packageinserts.bms.com/pi/pi_eliquis.pdf)). For patients meeting  
130recommendations for 5 mg twice daily, apixaban concentrations were categorized  
131as being within, higher, or lower than the expected 5-95% percentile at peak (91-  
132321 ng/mL, median =171 ng/mL) or trough (41-230 ng/mL, median =103 ng/mL )  
133compared to patients receiving 5 mg twice daily in the pivotal ARISTOTLE trial.<sup>11</sup>  
134For patients receiving 2.5 mg twice daily as recommended, concentrations were  
135similarly categorized in reference to patients receiving 2.5 mg twice daily in  
136ARISTOTLE ( peak: 69-221 ng/mL, 123 median; trough: 34-162 ng/mL, median 79  
137ng/mL). Concentrations were analyzed in relation to dosing accuracy by Chi Square

## 138RESULTS

139One hundred ten patients were studied (see Table 1 for characteristics). No  
140patients received higher- than-recommended dosing. Sixty-eight patients received  
141recommended dosing: 5 mg twice daily in 48 (26 had one dose reduction criteria:  
142age in 16, weight in 3, creatinine in 7), and 2.5 mg twice daily in 20 (age criteria in

143all; creatinine criteria in 13, weight criteria in 9 (two patients met all 3 dose  
144reduction criteria). Forty-two received lower-than-recommended dosing of 2.5 mg  
145twice daily (29 had one dose reduction criteria: age in 21, weight in 2, creatinine in  
1466). No patients received strong CYP3A4/5 P-gP inhibitors.

147

148Apixaban concentrations after dosing in patients receiving the recommended dose  
149of 5 mg twice daily and those receiving lower than recommended dosing at 2.5 mg  
150twice daily are shown in Figure 1. One dosed as recommended and one dosed  
151lower than recommended patient had concentrations below the expected 5-95%  
152range at expected time of peak concentrations (91-321 ng/ml). In patients dosed-  
153as-recommended with 5 mg twice daily, four (two older than age 90) had  
154concentrations above expected 5-95% range at peak that occurred later than the  
155reported 3-4 hours after dosing time of peak concentrations in two. Few patients  
156were sampled at trough (12 hours after dosing) but none had concentrations below  
157expected 5-95% range at trough in either group. No significant differences in  
158proportion of apixaban concentrations within or outside expected ranges were  
159detected between patients receiving lower-than-recommended doses and those  
160dosed as recommended ( $p=0.35$ ).

161Concentrations from patients receiving appropriately reduced doses were  
162compared to data from ARISTOTLE participants receiving appropriately reduced  
163doses. Concentration vs. time data for these twenty patients receiving 2.5 mg  
164twice daily as recommended are shown in Figure 2. Concentrations above the 5-  
16595% range for expected peak from ARISTOTLE data (69-221 ng/mL) were seen in 7

166of the 20 as late as 7 hours after dosing. Seventeen of the twenty had  
167concentrations from 3 to 8.5 hours after dosing that were above the expected  
168median peak level at 3-4 hours (123 ng/mL).

169

## 170**DISCUSSION**

171

172Our goal was to determine apixaban concentrations in older and very old adults in  
173the community being treated with apixaban for the prevention of stroke in the  
174presence of non-valvular atrial fibrillation. The mean age of patients studied in this  
175report is 80.4 years (range 66-100), women represented 45% of the group, and  
176one third received lower than recommended dosing. There are several key  
177observations from our study. One is that patients receiving reduced dosages  
178without meeting criteria for dosage reduction had apixaban concentrations within  
179the ranges reported for the recommended doses. A second point is that a low and  
180similar proportion of concentrations below expected peak concentrations was seen  
181in patients receiving 2.5 mg twice daily without meeting criteria for dose  
182reductions compared to patients receiving the recommended 5 mg twice daily.  
183Third, only patients receiving recommended 5 mg twice daily dosing had  
184concentrations far in excess of the expected 5-95% range for peak concentrations  
185Numbers were small and the trend was not significant but raises concern as  
186increasing apixaban concentrations produce greater anticoagulation and older  
187adults are at higher basal risk for bleeding. Fourth, patients receiving

188appropriately reduced doses of 2.5 mg twice daily had concentrations greater than  
189patients receiving the 2.5 mg twice daily in the clinical trials. Finally, the data also  
190suggest that clinicians recognize characteristics of patients that may warrant  
191dosage reductions from recommendations based on randomized clinical trials.

192

193Current clinical dosing recommendations for DOACs reflect the regimens that were used  
194in the efficacy trials on which marketing approval was granted. For apixaban,  
195recommended standard dosing is 5 mg twice daily reduced to 2.5 mg twice daily if  
196the patient has two of the following characteristics: 80 years or older, weight of 60  
197kg or below, creatinine of 1.5 mg/dL or above; or, is co-administered a strong  
198CYP3A4/5 P-gP inhibitor. ([https://packageinserts.bms.com/pi/pi\\_eliquis.pdf](https://packageinserts.bms.com/pi/pi_eliquis.pdf)). Dosing  
199with 2.5 mg twice daily in the absence of 2 of the 3 criteria represents a 50%  
200reduction from recommendations. The algorithm appears to have been selected to  
201account qualitatively for possible changes in drug distribution or clearance  
202(without more precise estimates of creatinine clearance) or the increased risk of  
203bleeding in the very elderly. Most pivotal pre-marketing trials of DOACs did not  
204report measurement of concentrations or anticoagulant effects and thus  
205prescribing guidelines do not advocate laboratory monitoring of either DOAC  
206concentrations or effects. However, DOAC concentrations are directly related to  
207factor Xa inhibition and anticoagulation. Data recently published from the earlier  
208trials show dabigatran and edoxaban have a direct relationship between drug  
209concentrations, factor Xa inhibition and efficacy as well as bleeding outcomes for  
210the treatment of patients with NVAf. <sup>13-15</sup> Apixaban concentration data from NVAf

211clinical trials have recently been analyzed and published but have not been related  
212to clinical outcomes.<sup>16,17</sup> Clinical laboratories are establishing DOAC assays and  
213report the concentration

214data from the clinical trials as reference ranges.

215Importantly, pivotal non-valvular atrial fibrillation trials enrolled patients with mean  
216age of 70 years, fewer women than men, almost no racial minorities, no patients  
217on dialysis, resulting in only about 5% receiving the reduced dose.<sup>18</sup> This leaves a  
218critical deficiency of data on use of apixaban in the complex and heterogeneous  
219population of older adults with NVAF. Post-marketing registry studies or analyses  
220of claims data have attempted to address this gap, and in general report equal  
221efficacy in clinical populations to that seen during clinical trials.<sup>7,9,10,19-22</sup> However,  
222major extracranial and GI bleeding rates were similar for warfarin and DOACs in  
223NVAF trials. Rates for major bleeding of 2.6-3.3% were reported for patients over  
224age 75y in ARISTOTLE and were 4.5% per year for major and clinically relevant  
225nonmajor bleeding in patients unsuitable for warfarin in AVERROES.<sup>2,23,24</sup> Major  
226bleeding rates reported from administrative claims data are on the order of 4% -5%  
227per year for major bleeding<sup>7,10 7 10,25</sup> but range from 2.6 -10%.<sup>7,10,26,27 28</sup> No post-  
228marketing studies have analyzed either drug concentrations or factor Xa inhibition  
229in relation to dosing or outcomes. When doses are higher than recommended,  
230major bleeding rates rise<sup>7</sup> and have been reported as 6.9%<sup>9</sup>. We recognize our  
231data are preliminary. Our sample was not random and patients receiving 2.5 mg  
232twice daily not meeting dose reduction criteria were likely oversampled. Patients  
233were seen or followed in a specialized anticoagulation clinic at a tertiary care

234medical center capable of monitoring apixaban concentrations as part of a  
235research program. We did not have outcomes data to relate the concentrations to  
236either efficacy or adverse bleeding events but used the surrogate marker of drug  
237concentration ranges reported from the clinical trials as the reference range by  
238clinical laboratories that perform DOAC assaysWe also did not have information on  
239reasons physicians prescribed lower than recommended dosing. Nonetheless, the  
240data suggest that concentration responses to doses of apixaban in older patients  
241encountered during routine clinical care may differ from the somewhat limited data  
242reported from clinical trials.

243There is a need for more information about prescribing, outcomes, as well as risks  
244and benefits of DOAC use in the older patients with NVAF.<sup>29</sup> Until such data are  
245available, the clinician is faced with balancing the high risk of embolic stroke from  
246NVAF with the high risk of bleeding. Clinical laboratories are establishing DOAC  
247assays and laboratory monitoring may provide helpful information.

248

## 249**CONCLUSION**

250Our findings raise questions about optimal dosing of apixaban in older adults with  
251NVAF outside of clinical trials. Drug concentrations were higher than expected  
252based on clinical trial data and should caution those who advocate that lower than  
253recommended dosing be a target for correction.<sup>30</sup> Clinical laboratories are  
254establishing DOAC assays and report the concentration data from the clinical trials  
255as reference ranges. Our data support a role for monitoring factor Xa inhibition or



<b>Patents</b>		x		x		x		x
<b>Personal Relationship</b>		x		x		x		x

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<b>Expert Testimony</b>		x		x		x		x
<b>Board Member</b>		x		x		x		x
<b>Patents</b>		x		x		x		x

<b>Personal Relationship</b>		x		x		x		x

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**409 LEGENDS**

410 **Figure 1.** Apixaban concentrations after dosing in patients with recommended  
411 dosing of 5 mg twice daily.

412 Apixaban concentrations after dosing are shown from patients receiving lower than  
413 recommended dosing of 2.5 mg twice daily in red and those receiving  
414 recommended dosing of 5 mg twice daily in green. Dashed vertical lines indicate  
415 expected 5-95% range (and median) at peak (91-321 ng/mL at 3-4hrs after dosing,  
416 median 171 ng/ml ) and trough (41-230 ng/mL, median 103 ng/mL at 12hrs after  
417 dosing).

418

419 **Figure 2.** Apixaban concentrations after dosing in patients receiving  
420 recommended 2.4 mg twice daily.

421 Apixaban concentrations after dosing are shown from patients receiving  
422 recommended dosing of 2.5 mg twice daily Dashed vertical lines indicate expected  
423 5-95% range (and median) at peak (69-221 ng/mL, median;123 ng/mL at 3-4hrs  
424 after dosing) and trough (34-162 ng/mL, median 79 ng/mL at 12hrs after dosing).

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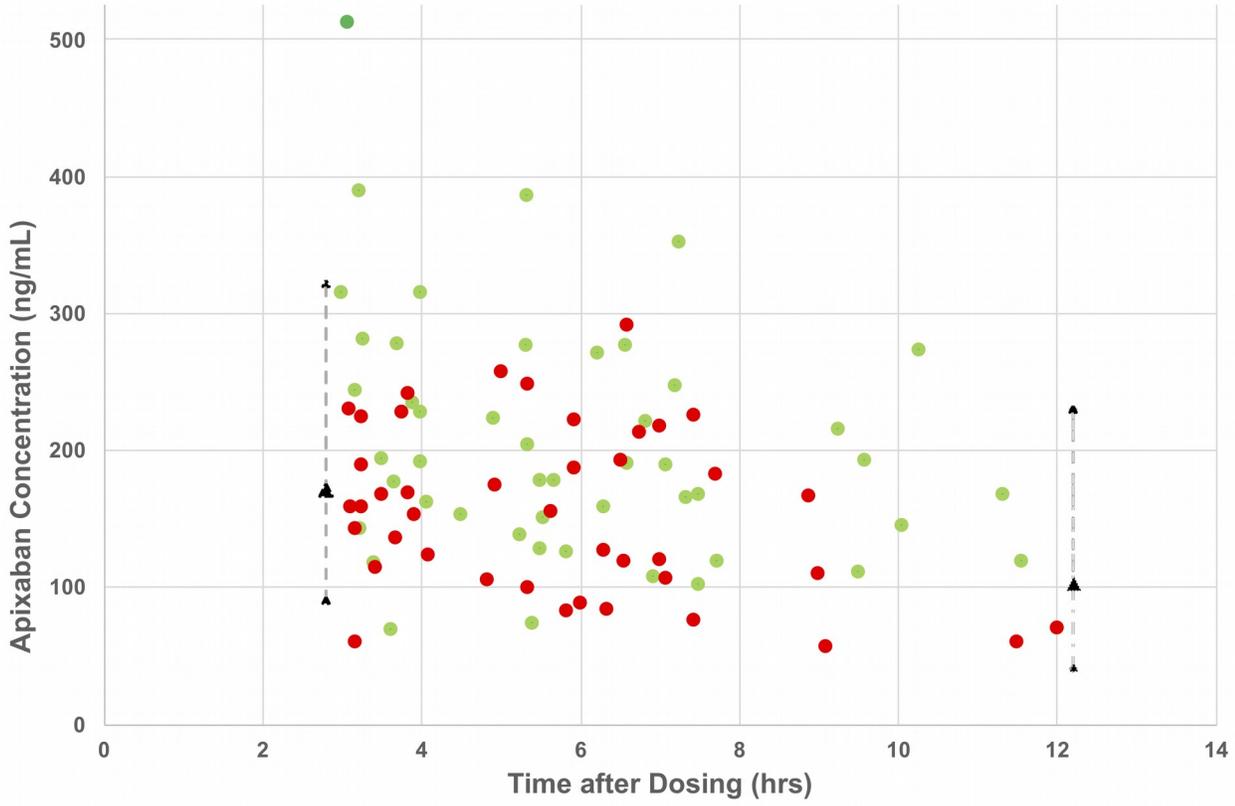
426Table 1. Patient Characteristics and Apixaban Dosing

		Dosed as Recommended		Dosed lower than Recommended
		2.5 mg twice daily	5 mg twice daily	2.5 mg twice daily
N	110	20	48	42
Age (y) (range)	80.4± 7.8* (66-100)	88.6 ±5.3 (80-100)	77.8 ±7.2 (66-96)	79.4 ±6.9 (67-96)
Sex (men, women)	60, 50	5, 15	33, 15	22, 20
Race	White	White	White	White
Weight (kg)	86.4 ±21.4 (44-140.3)	71.1±22.2 (45.8-123.1)	94.6 ±19.7 (58-140.3)	83.9±18.6 (44-118.4)
Creatinine (mg/dL)	1.2±0.5 (0.6-2.7)	1.6 ±0.4 (0.8-2.7)	1.1 ±0.3 (0.6-2.0)	1.2±0.5 (0.6-2.7)
Creatinine Clearance (ml/min)	59 ± 27 (14-143)	31 ± 15 (14-77)	68 ± 26 (35-143)	62 ± 24 (18-121)
Strong CYP3A4/5 P-gP Inhibitors	0	0	0	0
Moderate CYP3A4/5 P-gP Inhibitors <sup>^</sup>	20	6	6	8
Amiodarone	7	3	1	3
Diltiazem	13	3	5	5
Strong Inducer (carbamazepine)	1	0	0	1

427

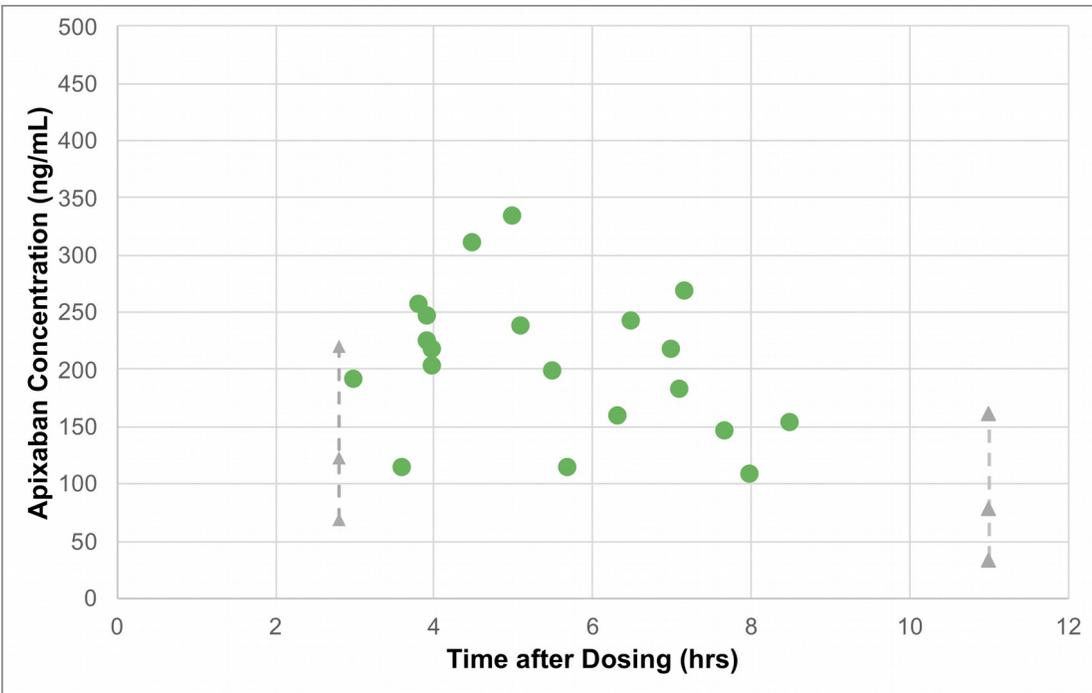
428\*Data are mean ± SD, (range). <sup>^</sup> No dose adjustment recommended for moderate  
429inhibitors.

430



431

432Figure 1.



433

434Figure 2.