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Structural alterations in cortical and thalamocortical white matter tracts after recovery from prefrontal cortex lesions in macaques

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ABSTRACT

Unilateral damage to the frontoparietal network typically impairs saccade target selection within the contralateral visual hemifield. Severity of deficits and the degree of recovery have been associated with widespread network dysfunction, yet it is not clear how these behavioural and functional brain changes relate with the underlying structural white matter tracts. Here, we investigated whether recovery after unilateral prefrontal cortex (PFC) lesions was associated with changes in white matter microstructure across large-scale frontoparietal cortical and thalamocortical networks. Diffusion-weighted imaging was acquired in four male rhesus macaques at pre-lesion, week 1, and week 8–16 post-lesion when target selection deficits largely recovered. Probabilistic tractography was used to reconstruct cortical frontoparietal fiber tracts, including the superior longitudinal fasciculus (SLF) and transcallosal fibers connecting the PFC or posterior parietal cortex (PPC), as well as thalamocortical fiber tracts connecting the PFC and PPC to thalamic nuclei. We found that the two animals with small PFC lesions showed increased fractional anisotropy in both cortical and thalamocortical fiber tracts when behaviour had recovered. However, we found that fractional anisotropy decreased in cortical frontoparietal tracts after larger PFC lesions yet increased in some thalamocortical tracts at the time of behavioural recovery. These findings indicate that behavioural recovery after small PFC lesions may be supported by both cortical and subcortical areas, whereas larger PFC lesions may have induced widespread structural damage and hindered compensatory remodeling in the cortical frontoparietal network.

1. Introduction

Impaired spatial attention and reduced gaze shifts toward the contralateral visual hemifield are commonly seen following unilateral damage to a primate frontoparietal network, which includes the caudal prefrontal cortex (PFC), posterior parietal cortex (PPC), and white matter pathways connecting these areas within a large-scale network (Mesulam, 1999; Corbetta and Shulman, 2011; Bartolomeo et al., 2012). In stroke patients, these deficits manifest as a decreased ability to respond or attend to a single visual target within the contralateral hemifield, a phenomenon known as visual neglect (Bartolomeo, 2007; Li and Malhotra, 2015). In many cases, deficits within the contralateral hemifield appear only in the presence of a competing ipsilesional stimulus, referred to as visual extinction (Di Pellegrino et al., 1997; de Haan et al.,

2012a). Similar impairments have been demonstrated in macaque monkeys after lesions or reversible inactivation of PFC or PPC areas (Latto and Cowey, 1971; Lynch and McLaren, 1989; Schiller and Chou, 1998; Wardak et al., 2002, 2004, 2006; Wilke et al., 2012; Johnston et al., 2016; Adam et al., 2019). Functional imaging studies in stroke patients and animal lesion models have shown that functional changes across a distributed network correlated with the severity of lateralized deficits in the acute stage (Umarova et al., 2011; Wilke et al., 2012; Baldassarre et al., 2014) and with the degree of recovery in the chronic stage (Deuel and Collins, 1983; He et al., 2007; Ramsey et al., 2016; Umarova et al., 2016).

Recently, we reported the longitudinal changes in resting-state functional connectivity (rsFC) within a frontoparietal network after unilateral caudal lateral PFC lesions in macaque monkeys (Adam et al., 2020).

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We found that recovery of contralesional target selection correlated with increasing rsFC between contralesional PFC and ipsilesional PPC. Since network-wide rsFC has been shown to reflect properties of underlying structural connections (Hagmann et al., 2008; Greicius et al., 2009; Dijkhuizen et al., 2012; Shen et al., 2015), here we expand on our previous study to examine the structural changes in white matter pathways connecting the frontoparietal network, including bilateral superior longitudinal fasciculus (SLF) and transcallosal fibers connecting bilateral PFC and bilateral PPC. The SLF is a long-range association pathway connecting frontoparietal areas within hemisphere (Petrides and Pandya, 1984; Schmahmann et al., 2007; Thiebaut de Schotten et al., 2012). Between hemispheres, the PFC and PPC are connected to their respective contralateral homologs via transcallosal fibers crossing at the genu or isthmus of the corpus callosum, respectively (Barbas and Pandya, 1984; Hofer et al., 2008). However, it is possible that behavioural compensation after larger lesions may not be solely mediated by cortical connections, but may instead depend on a functionally-related third region or network (Damoiseaux and Greicius, 2009). Thus, we further explored the effects of PFC lesions on thalamocortical fiber tracts connecting frontoparietal cortical areas to thalamic nuclei. The superior colliculus sends indirect projections to the FEF in the caudal PFC via the mediodorsal nucleus of the thalamus (Barbas and Mesulam, 1981; Goldman-Rakic and Porrino, 1985; Klevit and Kuypers, 1977; Sommer and Wurtz, 2004) and to area LIP in the PPC via the lateral pulvinar (Asanuma et al., 1985; Baizer et al., 1993; Selemon and Goldman-Rakic, 1988). Moreover, these thalamic nuclei have been shown to play a role in visuospatial attention (Petersen et al., 1987; Sommer and Wurtz, 2004), which supports a potential role in the recovery of saccade target selection.

It has not yet been explored whether recovery of contralesional target selection after focal lesions is associated with changes in white matter fibers. Moreover, the behavioural relevance of structural alterations in remote fiber tracts before and after focal damage are not well understood. Here, we examined microstructural changes of white matter tracts in four macaque monkeys using high resolution diffusion-weighted imaging (DWI) acquired *in vivo* at 7T. DWI data were obtained before the PFC lesions were induced, at week 1 post-lesion, and at week 8–16 post-lesion, when contralesional target selection had largely recovered. Probabilistic tractography was used to reconstruct contralesional/ipsilesional SLF and transcallosal PFC and PPC tracts which were then used to obtain tract-specific diffusion parameters, including fractional anisotropy (FA). We speculated that remote fiber tracts (i.e., contralesional SLF and transcallosal PPC) may have mediated the increased rsFC between contralesional PFC and ipsilesional PPC found in our previous study (Adam et al., 2020), since those tracts provide an undamaged pathway indirectly linking the cortical regions together. We hypothesized that the distant contralesional SLF and transcallosal PPC tracts play a compensatory role to support behavioural recovery by mediating the increased rsFC within the affected frontoparietal network. We predicted that if behaviour or rsFC relied on contralesional SLF and transcallosal PPC tracts, then FA within one or both of those remote tracts should increase throughout behavioural recovery.

2. Methods

2.1. Subjects

Data were collected from four adult male macaque monkeys (*Macaca mulatta*) aged 5–7 years old and weighing 7–10 kg. All surgical and experimental procedures were carried out in accordance with the Canadian Council of Animal Care policy on the use of laboratory monkeys and approved by the Animal Care Committee of the University of Western Ontario Council. Experimental methods for these subjects has been previously published in our companion paper (Adam et al., 2020). Herein, animals are individually described as Monkey L, Monkey S, Monkey B, and Monkey F. We show behavioural data from these subjects at the fol-

lowing time points: pre-lesion, week 1–2 post-lesion (early post-lesion), and week 8 or 16 post-lesion (late post-lesion). The early post-lesion time point shows the acute behavioural deficits following the lesion and the late post-lesion time point shows the recovered behaviour months later. DWI data was acquired at pre-lesion and post-lesion (described below) to examine how the white matter microstructure changed at the time of behavioural recovery.

2.2. Lesions

Details of the experimental lesioning surgeries have been previously published in these subjects (Adam et al., 2020). Briefly, lesions were induced using the vasoconstrictor endothelin-1, which induces focal occlusion with subsequent reperfusion and has been validated in marmosets and macaque monkeys (Teo and Bourne, 2014; Herbert et al., 2015; Murata and Higo, 2016; Dai et al., 2017). Intracortical injections were made in the right caudal PFC (along the anterior bank of the arcuate sulcus and the caudal portion of the principal sulcus). We varied the total amount of endothelin-1 administered to each animal to produce small lesions in Monkey L and Monkey S (6–12 µg) and large lesions in Monkey B and Monkey F (16–32 µg). Fig. 1 shows the lesion extent in each animal. All monkeys sustained damage to the right caudal PFC with consistent lesions in area 8AD (Fig. 1B). The lesion in Monkey L was localized to area 8AD and 8B; in Monkey S, the lesion extended further into the dorsolateral and ventrolateral PFC. In Monkey B, the lesion extended into dorsal premotor areas and in Monkey F it extended into the dorsolateral and ventrolateral PFC and premotor areas. Lesion volume analysis showed that Monkey B and Monkey F sustained larger lesions than Monkey L and Monkey S with a lesion volume that was more than doubled (Monkey L = 0.43 cm³, Monkey S = 0.51 cm³, Monkey B = 1.28 cm³, Monkey F = 1.41 cm³).

2.3. Behaviour

We have previously reported the saccade target selection at pre-lesion and late post-lesion (Adam et al., 2019) but here we compare behavioural performance with DWI data. For a detailed report of the behavioural task design, see (Adam et al., 2019). Before the lesion was induced, monkeys were trained on a saccade task that included two randomly interleaved trial types: (1) visually-guided single target trials and (2) free-choice paired stimulus trials in which a single visual stimulus appeared in each hemifield either simultaneously or at varying stimulus onset asynchronies (SOAs) and monkeys were able to freely select either stimulus as a saccade target to receive a liquid reward (Fig. 2). SOA is the variable temporal delay between presentation of the contralesional and ipsilesional stimulus on the free-choice trials. Each trial began with the presentation of a fixation point, followed by either a single visual target in the contralesional (left) or ipsilesional (right) hemifield or two peripheral stimuli, with one in the contralesional and one in the ipsilesional hemifield presented at a variable SOA. Free-choice trials were used to measure the degree of visual extinction, since contralesional extinction deficits only appear in the presence of a competing ipsilesional stimulus. This task is able to show whether a monkey exhibits a spatially lateralised saccade selection deficit by measuring saccade choice for contralesional and ipsilesional visual stimuli.

In brief, we found that the right caudal PFC lesion induced deficits in contralesional target selection, such that there were decreased correct saccades made towards a single contralesional target (Fig. 3A) and decreased saccade choice of the contralesional stimulus on the free-choice trials (Fig. 3B,C). Deficits gradually recovered over 2–4 months post-lesion. We considered post-lesion behaviour as ‘compensated’ when task performance stabilized without further improvement. The time point for compensated behaviour was week 8 post-lesion for the small lesion monkeys (Monkeys L and S) and week 16 post-lesion for the large lesion monkeys (Monkeys B and F); we refer to these time points collectively

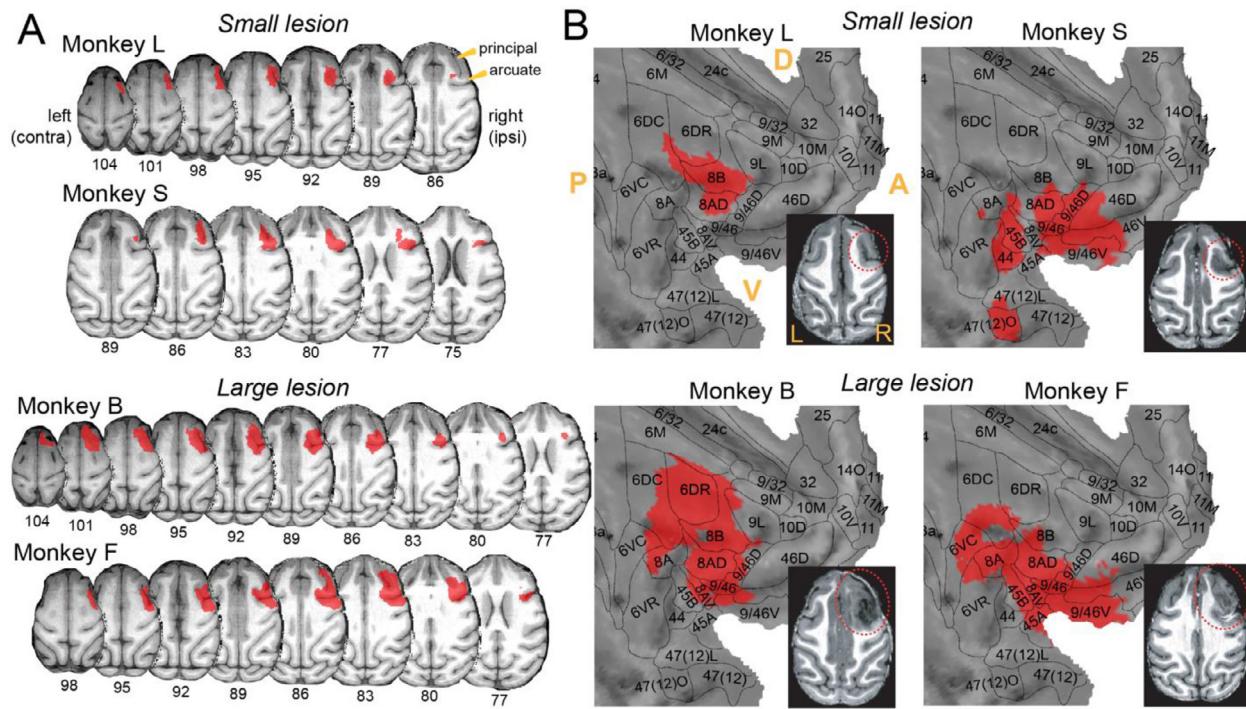
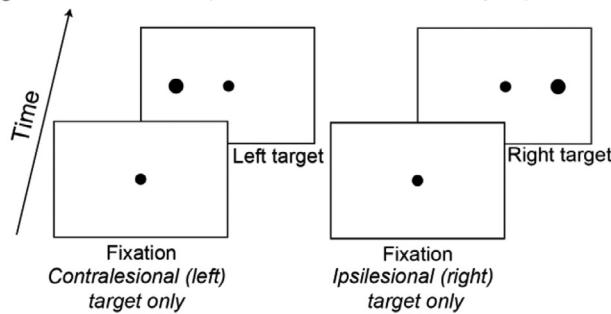


Fig. 1. Lesion masks projected onto the macaque F99 template brain. Each monkey's T1-weighted MP2RAGE anatomical image obtained one week post-lesion was segmented based on tissue type. Masks representing lesioned tissue were registered to the standard macaque F99 space and projected onto (A) axial slices of the macaque F99 brain and (B) cortical flat map representations of the macaque F99 right hemisphere with surface outlines that we created based on the Paxinos et al. (2000) macaque cortical parcellation scheme (Paxinos et al., 2000). Bottom right: one axial T1 image at one week post-lesion showing the lesioned tissue within the dotted red line boundary for each monkey. Abbreviations: principal = principal sulcus; arcuate = arcuate sulcus; contra = contralateral; ipsi = ipsilesional; D = dorsal; V = ventral; A = anterior; P = posterior; L = left; R = right.

Single stimulus trials (Catch trials / Measure of neglect)



Free-choice trials (Measure of extinction)

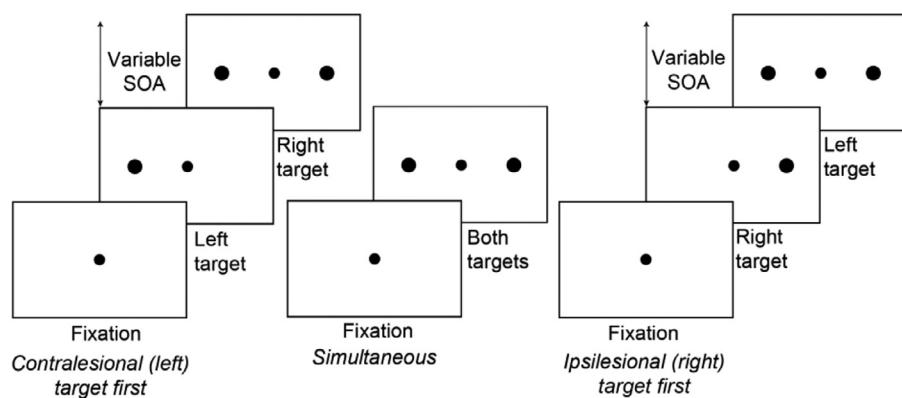


Fig. 2. Behavioural task. Single target and free-choice paired stimulus trials were randomly interleaved within a session. Each trial began with the presentation of a fixation point, followed by either a single target in the contralateral (left) or ipsilateral (right) hemifield or two visual stimuli in either hemifield presented at a variable stimulus onset asynchrony. Stimulus onset asynchrony was the variable temporal delay (0–256 ms) between presentation of the left and right stimulus on the free-choice paired stimulus trials. Abbreviation: SOA = stimulus onset asynchrony.

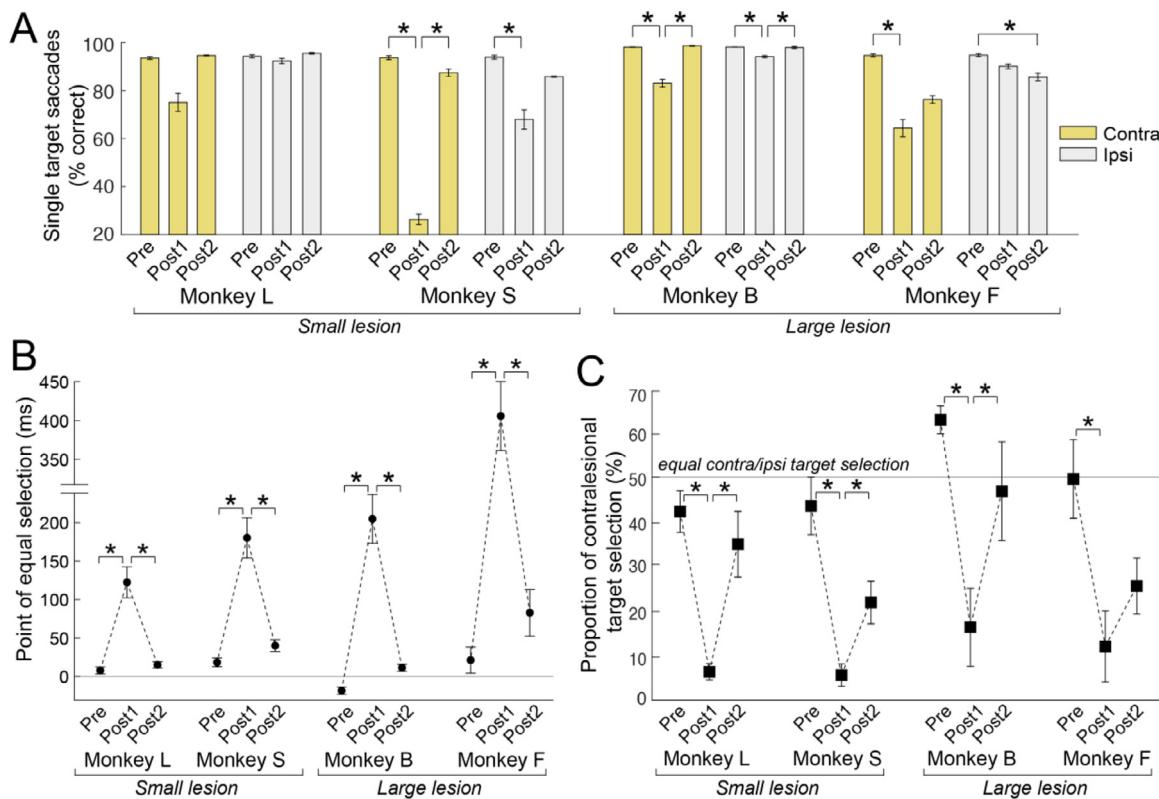


Fig. 3. Saccade target selection deficits and compensation from pre-lesion to early and late post-lesion. (A) The proportion of correct saccades made to a single contralateral (yellow) or ipsilateral (light grey) target. (B) Point of equal selection on the free-choice paired stimulus trials. The point of equal selection is the stimulus onset asynchrony value at which the probability of choosing the contralateral (left) or ipsilateral (right) stimulus was equal. Positive y-axis values indicate that the point of equal selection was reached at a stimulus onset asynchrony in which the contralateral stimulus was presented before the ipsilateral stimulus, which would indicate a contralateral deficit. Negative y-axis values indicate a stimulus onset asynchrony in which the ipsilateral stimulus was presented first. Larger absolute values for the point of equal selection indicates more severe extinction-like deficits. (C) The proportion of saccades made to contralateral stimuli on trials with simultaneous presentation of both stimuli (stimulus onset asynchrony = 0 ms) on the free-choice trials. Statistical comparisons between pre-lesion and post-lesion time points were made using one-way ANOVAs with post-hoc Tukey's tests ($p < 0.05$). Error bars represent standard error of the mean across sessions within each time point. Abbreviations: pre = pre-lesion; post1 = early post-lesion (week 1–2 post-lesion); post2 = late post-lesion (small lesion: week 8 post-lesion; large lesion: week 16 post-lesion); contra = contralateral; ipsi = ipsilateral.

as 'late post-lesion'. Detailed results on this behavioural paradigm have been previously published (Adam et al., 2019, 2020).

2.4. Image acquisition at 7T

One hour prior to scanning, monkeys were sedated with intramuscular injections of 0.05–0.2 mg/kg acepromazine (Acevet 25 mg/ml) and 5.0–7.5 mg/kg ketamine (Vetalar 100 mg/ml), followed by 2.5 mg/kg propofol (10 mg/ml). Monkeys were then intubated with an endotracheal tube and anaesthesia was maintained with 1.0–1.5% isoflurane mixed with 100% oxygen. Each monkey was positioned in a custom-built MRI primate bed with its head restrained to reduce motion and then inserted into the magnet bore for image acquisition, at which point the isoflurane level was maintained at 1.0% for the duration of the image acquisition. Body temperature, respiration, heart rate, and blood oxygen saturation levels were continuously monitored and were within a normal range throughout the scans. Body temperature was maintained using thermal insulation and a heating disk.

Imaging data were collected at pre-lesion (after behavioural training), week 1 post-lesion (early post-lesion), and at week 8 or 16 post-lesion when behaviour had compensated near pre-lesion baseline (late post-lesion). Although behaviour had compensated by week 8 for Monkey S, we were only able to obtain DWI data at week 16 post-lesion. Data were acquired on a 7T Siemens MAGNETOM Step 2.3 68-cm horizontal bore scanner (Erlangen, Germany) operat-

ing at a slew rate of 300 mT/m/s. We used an in-house designed and manufactured 8-channel transmit, 24-channel receive primate head radiofrequency coil for all image acquisitions (Gilbert et al., 2016). A high-resolution T2-weighted anatomical MR image was acquired using a turbo spin echo sequence with the following parameters: TR = 7500 ms, TE = 80 ms, slices = 42, matrix size = 320 × 320, field of view = 128 × 128 mm, acquisition voxel size = 0.4 mm × 0.4 mm × 1 mm. A T1-weighted MP2RAGE anatomical image was also acquired with these parameters: TR = 6500 ms, TE = 3.15 ms, TI1 = 800 ms, TI2 = 2700 ms, field of view = 128 × 128 mm, 0.5 mm isotropic resolution.

DWI data were obtained using an interleaved echo planar imaging sequence with the following parameters: repetition time (TR) = 5100–7500 ms, echo time (TE) = 46.8–54.8 ms, number of averages = 1, number of slices = 46–54, slice thickness = 1 mm, in-plane resolution = 1 mm × 1 mm. We acquired 64 diffusion-encoding directions (b-value = 1000–1500 s/mm²) and one non-diffusion weighted volume (b-value = 0 s/mm²). Although there are slight within-subject variations in our TR (maximal difference of 1500 ms) and TE (maximal difference of 3 ms), previous work has shown no significant differences in the overall magnitude of diffusion between scans with larger differences in TR and TE (Celik, 2016). It has also been demonstrated that the mean FA in high angular resolution scans (e.g., 64 diffusion directions) was not significantly different between scans with a TR of 4000 ms or 13200 ms (Provenzale et al., 2018).

2.5. Image processing

Raw DWI data were converted from DICOM to NIFTI using MRI-convert (Lewis Center for Neuroimaging, University of Oregon) and reoriented to standard space using the FMRIB Software Library (FSL; <http://www.fmrib.ox.ac.uk>) tools ‘fslswapdim’ and ‘fslorient’. ASCII text files containing a list of gradient directions and b-values for each volume were also flipped and transposed to correspond with the reoriented DWI data. Data processing was then carried out using FMRIB’s Diffusion Toolbox (FDT) implemented with FSL. First, eddy current-induced distortions and subject motion were corrected using ‘EDDY’ (Andersson and Sotiroopoulos, 2016). We then performed a diffusion tensor imaging (DTI) analysis to obtain the scalar maps representing FA and mean diffusivity. DTI analysis involved fitting a tensor model at each voxel using ‘DTIFIT’ on the eddy corrected DWI data. The output DTI scalar maps are directly related to the three major eigenvalues (λ_1 , λ_2 , λ_3) of the fitted tensor (i.e., the magnitude of diffusion for each eigenvector of the tensor). Mean diffusivity represents the total magnitude of diffusion and is the average of all three eigenvalues (Basser et al., 1994; Basser and Pierpaoli, 1996; Beaulieu, 2002; Alexander et al., 2007).

Next, a multiple tensor model was fit at each voxel using ‘BEDPOSTX’ which estimates two fiber orientations per voxel to account for crossing fibers and more accurately generate probability distributions of local fiber orientations (Behrens et al., 2003b, 2007). This Bayesian estimation of multiple fiber directions vastly improves sensitivity when fiber tracking non-dominant pathways through regions of crossing fibers, such as the SLF (anterior-posterior) that has been traditionally difficult to track due to crossing dorsal-ventral projections in the more dominant corona radiata white matter bundle (Behrens et al., 2007). Transformation matrices were derived within subjects for each session from diffusion space to pre-lesion structural T2 space using a rigid-body transformation with 6 degrees of freedom using FSL’s ‘FLIRT’ (Jenkinson et al., 2002). The inverse transformation matrix from this registration was then used to register the seed masks from structural to diffusion space for the probabilistic tractography analysis.

2.6. DWI tractography analysis

2.6.1. Regions of interest for tractography

We reconstructed cortical and thalamocortical fiber tracts using seed regions (radius = 2 mm) created in pre-lesion structural T2 space for each subject. Seed locations were determined using the (Saleem and Logothetis, 2006) rhesus monkey anatomical atlas. Cortical seeds were placed in the frontal eye field (FEF) of the caudal PFC and in the lateral intraparietal area (LIP) of the PPC (Fig. 4). FEF seeds were placed in the anterior bank of the arcuate sulcus (Tehovnik et al., 2000) and LIP seeds were in the lateral bank of the intraparietal sulcus (Lewis and Van Essen, 2000). FEF and LIP constitute the main cortical nodes of the frontoparietal network (Wardak et al., 2011) and have been previously used to track these fibers in rhesus macaques (Hofer et al., 2008). The following seed region pairs were used in a probabilistic tractography analysis (described below) to reconstruct four cortical tracts of interest: bilateral FEF seeds were used to track the transcallosal PFC fiber tracts (Barbas and Pandya, 1984; Hofer et al., 2008); bilateral LIP seeds were used to track the transcallosal PPC tracts (Hofer et al., 2008); contralateral FEF and LIP seeds were used to track the contraleisional SLF; and the ipsilesional FEF and LIP seeds were used to track the ipsilesional SLF (Petrides and Pandya, 1984; Schmahmann et al., 2007; Thiebaut de Schotten et al., 2012). A midline sagittal exclusion mask was used to restrict tracking to the opposite hemisphere for the SLF association tracts and an axial exclusion mask at the anterior-posterior midpoint of the corpus callosum was used to restrict tracking to the anterior half of the

brain for the transcallosal PFC tract or to the posterior half of the brain for the transcallosal PPC tract.

Thalamic seeds were placed in the mediodorsal nucleus of the thalamus and in the lateral pulvinar (Fig. 4). The superior colliculus sends indirect projections to the FEF via the mediodorsal nucleus of the thalamus (Barbas and Mesulam, 1981; Goldman-Rakic and Porriño, 1985; Kievit and Kuypers, 1977; Sommer and Wurtz, 2004) and to area LIP via the lateral pulvinar (Asanuma et al., 1985; Baizer et al., 1993; Selemon and Goldman-Rakic, 1988). Thus, the following seed region pairs were used to reconstruct thalamocortical fiber tracts: (1) mediodorsal thalamus and FEF and (2) lateral pulvinar and LIP.

2.6.2. Probabilistic tractography

Probabilistic tractography was computed with FDT’s ‘ProbtrackX’ which uses the output from BEDPOSTX to estimate the number of streamlines that traveled between two seed regions for each voxel (Behrens et al., 2003a, 2007). We used the following ProbtrackX standard parameters: number of sample streamlines sent out per seed voxel = 5000, curvature threshold = 0.2, step length = 0.5, maximum number of steps = 2000, subsidiary fibre volume threshold = 0.01. Distance correction was additionally implemented to correct for the decrease in streamlines with distance from the seed region. For each of the 5000 streamlines per seed voxel sampled from the BEDPOSTX probability distribution, a ‘successful’ streamline was one that originated from one seed and reached the other. This algorithm outputs a streamline density map where individual voxel intensities represent the number of successful streamlines that passed through the voxel. The streamline density map was normalized by dividing it by the waytotal sum, which yielded voxel intensities that now represent the probability of that voxel being part of that tract. In contrast to methods that normalize streamline density maps using a constant proportion of the total number of streamlines sent out per voxel, proportional normalization based on the waytotal sum is the preferred approach when comparing reconstructed fiber tracts across sessions since it accounts for any differences in seeded voxels across sessions that may have affected tractability (Bennett et al., 2011). Streamline probability maps were then thresholded to maintain only voxels with intensities of at least 50% (i.e., a minimum of 50% probability that the voxel belongs to that streamline) and then visually inspected to confirm anatomical plausibility. Note that these suprathreshold white matter voxels are not necessarily exclusively part of the fiber tract of interest, but this probabilistic tractography approach gives a better approximation of the tract-related voxels compared to traditional approaches that use pre-defined region of interest FA mask without tractography. These normalization and thresholding procedures have been used for probabilistic tractography analysis (Galantucci et al., 2011; Latzman et al., 2015; Cunningham et al., 2017; Gray et al., 2018). Thresholded streamline probability maps representing the tracts of interest were generated for each subject and each session individually.

A representative sample of the reconstructed frontoparietal cortical white matter tracts are shown in Fig. 5. These frontoparietal cortical fiber tracts have been previously identified in nonhuman primates using DWI tractography (Schmahmann et al., 2007; Hofer and Frahm, 2008; Hofer et al., 2008; Thiebaut de Schotten et al., 2012; Schaeffer et al., 2017; Sani et al., 2019) and tracer methods (Barbas and Pandya, 1984; Petrides and Pandya, 1984).

Thalamocortical fibers from the mediodorsal nucleus of the thalamus to the PFC travel via the anterior thalamic peduncle and are part of the anterior limb of the internal capsule (Zakszewski et al., 2014). Fibers from lateral pulvinar to the PPC travel via the posterior thalamic peduncle and are part of the posterior limb of the internal capsule. These fiber tracts have been previously identified in humans (Behrens et al., 2003) and nonhuman primates (Zakszewski et al., 2014; Tobias, 1975). A representative sample of the four reconstructed thalamocortical tracts are shown in Fig. 6.

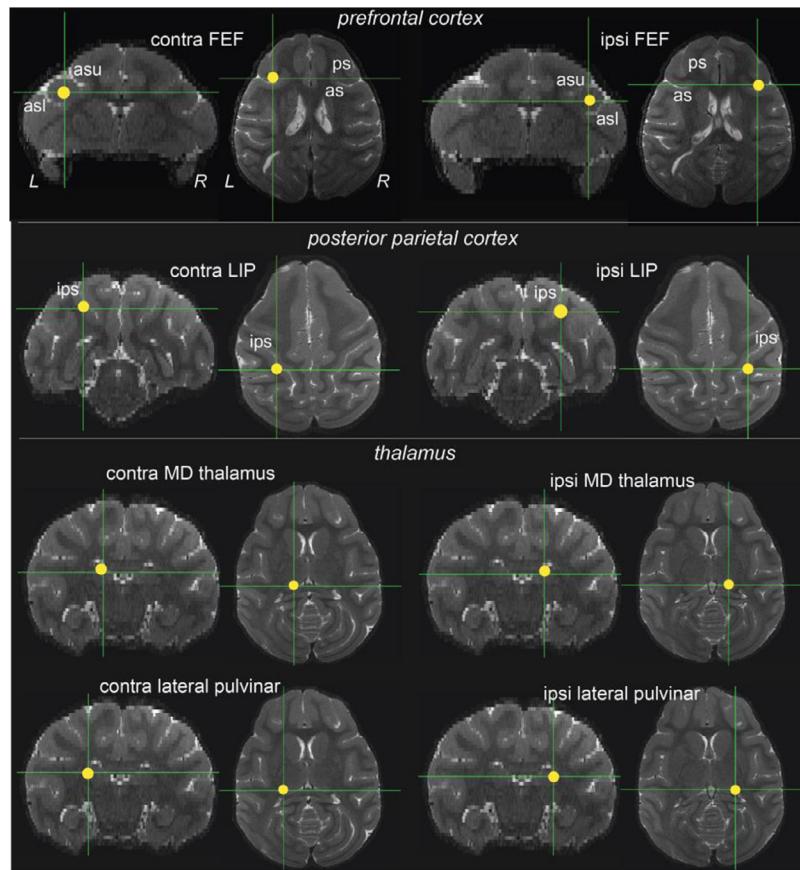


Fig. 4. Seed masks used to reconstruct fiber tracts with probabilistic tractography. Representative bilateral seed regions (shown in yellow) in the FEF, LIP, mediodorsal thalamus, and lateral pulvinar overlaid on coronal and axial T2 sections. Similar seeds were created for each monkey in native pre-lesion T2 space. Abbreviations: L = left, R = right, contra = contralateral, ipsi = ipsilateral, as = arcuate sulcus, asu = upper limb of the arcuate sulcus, asl = lower limb of the arcuate sulcus, ips = intraparietal sulcus, ps = principal sulcus, FEF = frontal eye field, LIP = lateral intraparietal area, PFC = prefrontal cortex, PPC = posterior parietal cortex, MD = mediodorsal.

2.7. Tract-specific DTI parameters

Here, we masked the DTI scalar maps with the reconstructed tracts to obtain tract-specific measures of FA and mean diffusivity at pre-lesion, post-lesion1, and post-lesion2. Previous studies have also obtained tract-specific measures of diffusivity and anisotropy since it takes fiber orientation into account, rather than only measuring diffusion parameters within pre-defined regions of interest without using masks generated from fiber tractography (Bennett et al., 2011; Galantucci et al., 2011; Lindenberg et al., 2012; Ge et al., 2013; Gray et al., 2018). First, the reconstructed thresholded tracts were binarized and only those voxels that overlapped in the binarized tracts from all time points were retained. This conservative approach accounts for any misalignment among individual tracts between time points. For the transcallosal tracts whose diffusion is largely oriented along the left-right x-direction (transcallosal PFC and PPC tracts), voxels within an x-coordinate range that were shared between pre-lesion and late pre-lesion tracts were retained. For the intrahemispheric tracts whose diffusion is largely oriented along the anterior-posterior y-direction, voxels within a shared y-coordinate range in both pre-lesion and late post-lesion tracts were retained. Next, we masked DTI scalar maps with the binarized tracts to obtain tract-wise measures of FA and mean diffusivity. Tract-specific DTI metrics were statistically compared between pre-lesion and post-lesion time points using one-way ANOVAs with post-hoc Tukey's tests ($p < 0.05$) within each subject. Since the SLF has regions of crossing fibers from the corona radiata, we also obtained tract-specific measures from the BEDPOSTX model (*mean_f1samples* and *mean_f2samples*) which estimate the proportion of the diffusion signal that is accounted for by the primary and secondary diffusion directions for the SLF.

3. Results

3.1. Longitudinal changes in the cortical white matter tract DTI parameters

Cortical frontoparietal white matter tracts were reconstructed using probabilistic tractography and used to extract tract-specific diffusion parameters from DTI scalar maps. Average values were calculated across the voxels of each tract and statistically compared between pre-lesion and post-lesion and between early and late post-lesion during behavioural recovery. While we show results from all four cortical tracts at all three time points, our main focus is on the remote fiber tracts that were not directly affected by the lesion, namely the contralateral SLF and transcallosal PPC tracts, and on the changes in FA that occurred throughout behavioural recovery from early to late post-lesion.

In the two small lesion monkeys, Monkeys L and S, one-way ANOVAs revealed that all four cortical frontoparietal tracts showed significantly increased FA from early to late post-lesion throughout behavioural compensation (Fig. 7A,B). Changes in the estimated proportions of the diffusion signal accounted for by the primary and secondary diffusion directions between these time points do not explain the increased FA in the contralateral SLF (see Supplementary Table S1). In other words, the voxels that were isolated as part of the SLF did not become disproportionately more dominated by the primary diffusion direction (i.e., the corona radiata). In Monkey L, mean diffusivity significantly decreased in contralateral SLF from early to late post-lesion (see Supplementary Fig. S1). In Monkey S, mean diffusivity significantly increased in the two lesion-affected transcallosal PFC and ipsilateral SLF tracts from early to late post-lesion (see Supplementary Fig. S1). On the other hand, both

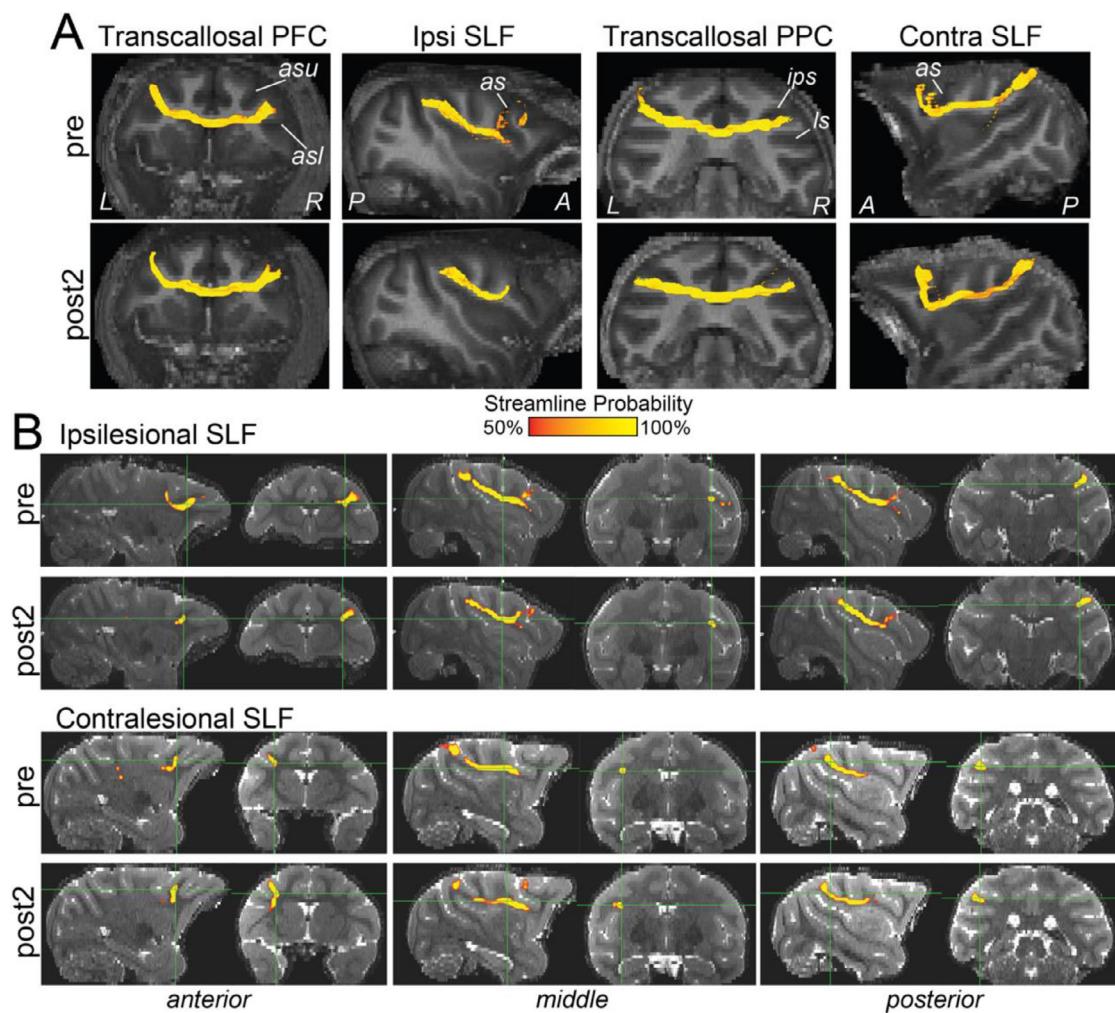


Fig. 5. Representative frontoparietal cortical white matter tracts reconstructed with probabilistic tractography. (A) 3-D tract volumes projected onto a T2 MRI slice. First column: bilateral FEF seeds revealed transcallosal streamlines between the bilateral PFC that traveled across hemispheres through the rostral portion, or genu, of the corpus callosum. Second column: ipsilesional FEF and LIP seeds revealed the ipsilesional SLF association fibers connecting frontal and parietal areas. Third column: bilateral LIP seeds revealed transcallosal streamlines between bilateral PPC that traveled across hemispheres through a posterior region (isthmus) of the corpus callosum. Fourth column: contralesional FEF and LIP seeds revealed the contralesional SLF association fibers connecting frontal and parietal areas. The colour bar represents streamline probabilities for each voxel in the thresholded tracts. (B) Visualization of the bilateral SLF tracts. Anterior, middle, and posterior sections of the SLF tracts are shown overlaid onto sagittal and coronal T2 slices. Abbreviations: pre = pre-lesion, post2 = late post-lesion, A = anterior, P = posterior, L = left, R = right, PFC = prefrontal cortex, PPC = posterior parietal cortex, SLF = superior longitudinal fasciculus, contra = contralesional, ipsi = ipsilesional, as = arcuate sulcus, asu = upper limb of the arcuate sulcus, asl = lower limb of the arcuate sulcus, ips = intraparietal sulcus, ls = lateral sulcus.

monkeys with larger lesions show significantly decreased FA and increased mean diffusivity in cortical frontoparietal tracts (Fig. 7A,B; Supplementary Fig. S1). In Monkey B, decreased FA and increased MD was found in both SLF tracts and in the transcallosal PPC tract, whereas in Monkey F all four tracts showed increased mean diffusivity but only the transcallosal PPC and contralesional SLF showed decreased FA. In sum, FA increased in cortical frontoparietal tracts following small PFC lesions but decreased following larger PFC lesions throughout behavioural compensation.

3.3. Fractional anisotropy of the thalamocortical white matter tracts

Since FA decreased in the major frontoparietal cortical tracts in the large lesion monkeys at the time of behavioural recovery, we wanted to test whether thalamocortical pathways were instead involved in mediating the improved behaviour and increased frontoparietal resting-state functional connectivity (see Adam et al., 2020) following larger PFC lesions. Thalamocortical fiber tracts were reconstructed using probabilis-

tic tractography and used to extract tract-specific FA values. Average values were calculated across the voxels of each tract and statistically compared between pre-lesion and post-lesion and between early and late post-lesion.

One-way ANOVAs revealed that FA significantly increased in at least one of four thalamocortical fiber tracts in both large lesion monkeys. In Monkey B, FA increased in the contralesional pathway between the mediodorsal thalamus and the PFC and decreased in both pathways between the lateral pulvinar and PPC (Fig. 8A,B). In Monkey F, we found increased FA in both ipsilesional pathways between the mediodorsal thalamus and the PFC and between the lateral pulvinar and PPC (Fig. 8A,B). Thalamocortical tract FA also increased in both small lesion monkeys from early to late post-lesion. In sum, although monkeys with larger PFC lesions had decreased FA in the cortical frontoparietal tracts throughout behavioural recovery, they showed increased FA in thalamocortical fiber tracts which may be providing a compensatory response to return behavioural performance to baseline.

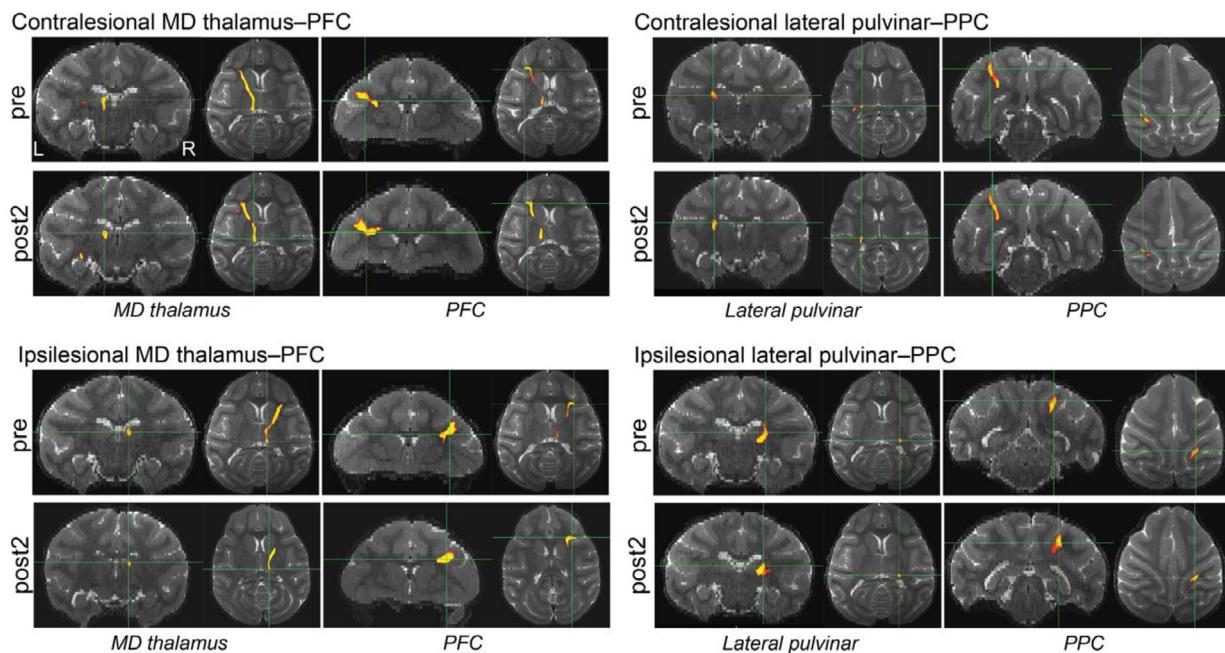


Fig. 6. Representative thalamocortical white matter tracts reconstructed with probabilistic tractography. Thalamocortical tracts are shown in yellow and red and are overlaid onto coronal and axial T2 slices. First column: contralesional and ipsilesional seeds in the mediodorsal thalamus and FEF revealed streamlines connecting the thalamus and PFC that traveled within hemisphere. Second column: contralesional and ipsilesional seeds in the lateral pulvinar and LIP revealed streamlines connecting the thalamus and PPC that traveled within hemisphere. Abbreviations: pre = pre-lesion, post2 = late post-lesion, L = left, R = right, PFC = prefrontal cortex, PPC = posterior parietal cortex, MD = mediodorsal.

4. Discussion

In this longitudinal DWI study, we used probabilistic tractography to investigate tract-specific microstructural changes within a distributed frontoparietal cortical and thalamocortical network after unilateral PFC lesions. We found that tract-specific FA in remote cortical fiber tracts (i.e., contralesional SLF and transcallosal PPC) increased after small lesions (in Monkeys L and S) and decreased after larger lesions (in Monkeys B and F) throughout recovery of contralesional saccade target selection. We also found both increased and decreased FA between thalamic nuclei and frontoparietal cortical areas in both small and large lesion monkeys throughout behavioural recovery. Our findings suggest that cortical and thalamocortical fiber tracts connecting remote areas of the frontoparietal network (i.e., distant to the lesion) may contribute an important compensatory response to support recovery of function after small lesions. In monkeys with larger lesions, decreased FA in cortical tracts and increased FA in thalamocortical tracts suggests that larger PFC lesions may involve recruitment of alternate pathways beyond cortical tracts to support behavioural recovery.

4.1. Microstructural changes in lesion-affected cortical fiber tracts throughout behavioural recovery

First, we discuss white matter degeneration in lesion-affected fiber tracts, including ipsilesional SLF and transcallosal PFC tracts. In the lesion-affected tracts of the two large lesion monkeys, Monkeys B and F, we found *decreased* FA and *increased* mean diffusivity, a pattern of changes also reported in stroke patients (Schaechter et al., 2009; Dacosta-Aguayo et al., 2014; Umarova et al., 2017), and thought to reflect myelin breakdown in axons directly connected to the lesion (Werring et al., 2000; Pierpaoli et al., 2001; Beaulieu, 2002). In contrast, the two small lesions monkeys, Monkeys L and S, showed *increased* FA in these fiber tracts, although these tracts in Monkey S additionally showed *increased* mean diffusivity which is in line with white matter degeneration. Since Monkey L sustained the smallest lesion, spared fibers from

the lesioned PFC traveling via ipsilesional SLF or transcallosal fibers may have allowed for optimal neural compensation due to local plasticity (Murphy and Corbett, 2009). While increased FA in ipsilesional SLF and transcallosal PFC tracts in Monkey L may reflect adaptive plasticity supporting behavioural recovery, these findings should be interpreted with caution since DWI changes in lesion-affected tracts may be confounded by lesion pathology (Pierpaoli et al., 2001).

4.2. Transneuronal microstructural changes in remote cortical fiber tracts throughout recovery

Over several days to weeks following the initial degeneration of lesion-affected tracts, remote fibers indirectly connected to the lesion across multiple synapses may undergo transneuronal degeneration (Zhang et al., 2012; Baron et al., 2014; Fornito et al., 2015), the extent of which likely depends on lesion size and location (Wasserman and Schlichter, 2008; Thiel et al., 2010). Transneuronal degeneration may appear on DWI as decreased FA and/or increased mean diffusivity in remote fiber tracts (Beaulieu, 2002), which may reflect decreased fiber density, demyelination, and/or axonal loss (Sotak, 2002).

We found evidence of transneuronal degeneration in the remote fiber tracts examined in this study, contralesional SLF and transcallosal PPC, following large PFC lesions (Monkeys B and F), but not after smaller lesions (Monkeys L and S). Specifically, Monkeys B and F showed decreased FA and increased mean diffusivity in contralesional SLF and transcallosal PPC tracts, evidence of transneuronal degeneration. Several lines of evidence support our finding of decreased FA in remote tracts only after larger lesions with more severe and lasting deficits. DWI studies have reported that, compared to patients with mild or recovered neglect, patients with severe and persistent deficits showed decreased FA in the posterior corpus callosum (isthmus/splenium), which provides communication between bilateral parietal areas (Bozzali et al., 2012; Lunven et al., 2015), or decreased FA between contralesional frontoparietal areas (Umarova et al., 2014). Similarly, in a longitudinal study of neglect, Umarova et al. (2017) reported that the degree

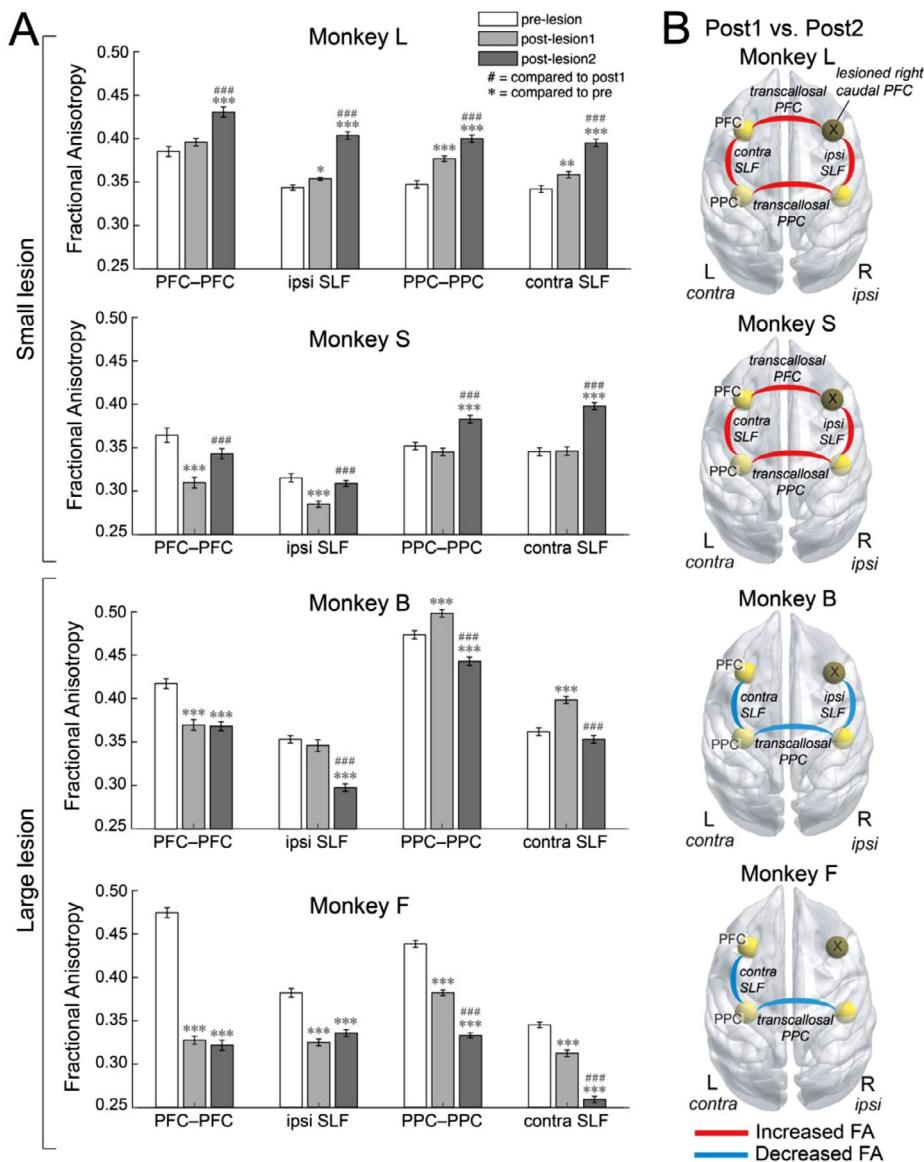


Fig. 7. Changes in fractional anisotropy of cortical fiber tracts over time. (A) White matter tracts of interest were reconstructed with probabilistic tractography and then used to extract tract-specific measures of fractional anisotropy. Statistical comparisons between pre-lesion and post-lesion time points were made using one-way ANOVAs with post-hoc Tukey's tests (* = $p < 0.05$, ** = $p < 0.01$, *** = $p < 0.001$). Statistical comparisons between post-lesion1 and post-lesion2 were made using one-way ANOVAs with post-hoc Tukey's tests (# = $p < 0.05$, ## = $p < 0.01$, ### = $p < 0.001$). Error bars represent standard error of the mean across voxels. (B) Schematic summary of the significant FA changes from post-lesion1 to post-lesion2. Red lines indicate significantly increased FA and solid blue lines indicate significantly decreased FA. Abbreviations: post-lesion1 = week 1 post-lesion, post-lesion2 = week 8 or week 16 post-lesion (behavioural compensation time point), PFC-PFC = transcallosal PFC tract, PPC-PPC = transcallosal PPC tract, SLF = superior longitudinal fasciculus, ipsi = ipsilesional, contra = contralateral.

of unrecovered neglect correlated with degeneration in contralateral frontoparietal white matter (Umarova et al., 2017). In a study of chronic stroke patients recovering from motor-related deficits, poorly-recovered patients showed reduced FA in both ipsilesional and contralateral corticospinal tracts, whereas well-recovered patients showed increased FA in those tracts compared to healthy controls (Schaechter et al., 2009). Alternatively, decreased FA in remote tracts after larger lesions may not necessarily underlie severity or persistence of behavioural deficits, but may instead reflect an epiphenomenon of larger lesions (Umarova et al., 2017).

In contrast, the two small lesion monkeys (Monkeys L and S) showed increased FA in remote contralateral SLF and transcallosal PPC tracts, and Monkey L also showed decreased mean diffusivity in contralateral SLF, which may reflect increased myelination over time (Beaulieu, 2002). Previous studies have highlighted an adaptive role for post-lesion changes in distant white matter in stroke patients (Bütfisch et al., 2003; Crofts et al., 2011) and animal models (Stroemer et al., 1995; Napieralski et al., 1996; Carmichael and Chesselet, 2002; Liu et al., 2008). In patients, improved motor function correlated with increased FA in contralateral white matter (Schaechter et al., 2009; Lin et al., 2015; Liu et al., 2015). Thus, our finding of increased FA in remote fibers after smaller lesions may reflect an adaptive and

compensatory change in white matter microstructure possibly related to their faster recovery of function (8 weeks) compared to those with larger lesions (16 weeks).

4.3. Lesion size-dependent microstructural plasticity in white matter fiber tracts

One possible explanation for the differences between small and large lesions in the FA of remote fibers may come from variability in the extent of disinhibition and excitotoxicity. Lesions typically induce large-scale depolarization of connected areas resulting in disinhibition and hyperexcitability of widespread networks (Buchkremer-Ratzmann and Witte, 1997; Liepert et al., 2000), which may induce adaptive structural plasticity in the case of small lesions (Jones and Schallert, 1992; Carmichael and Chesselet, 2002; Gonzalez and Kolb, 2003; Reitmeir et al., 2011; Lin et al., 2015). However, larger lesions induce more widespread disinhibition which may lead to greater degeneration across indirectly connected areas due to excitotoxicity and excessive metabolic stress from persistent hyperactivation (Ross and Ebner, 1990; Buchkremer-Ratzmann and Witte, 1997; Saxena and Caroni, 2011; de Haan et al., 2012b).

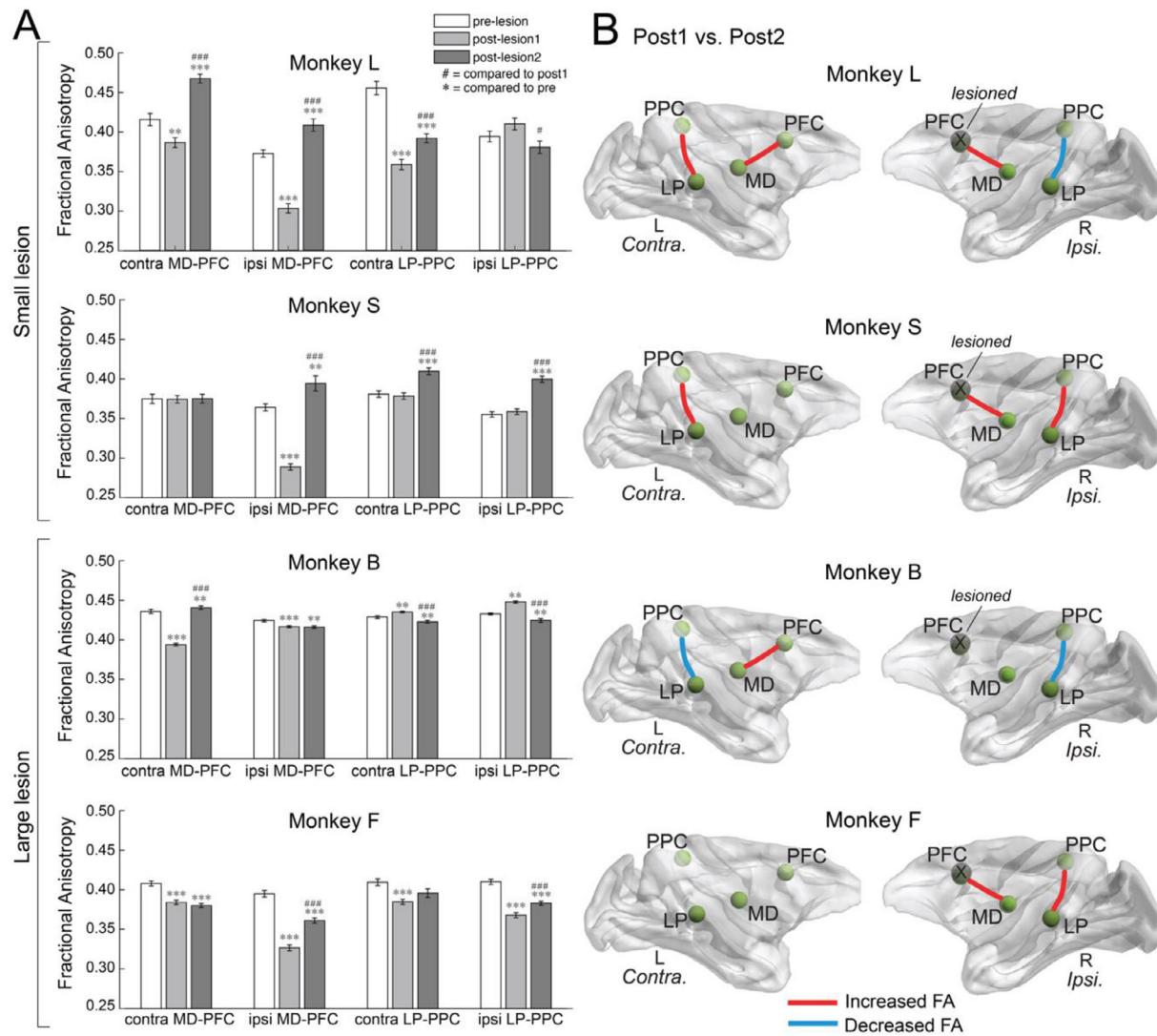


Fig. 8. (A) Changes in the fractional anisotropy of thalamocortical white matter tracts over time. White matter tracts of interest were reconstructed with probabilistic tractography and then used to extract tract-specific measures of fractional anisotropy (FA). (B) Summary schematic showing tracts with significant changes in FA from panel A. Red lines represent tracts with significantly increased FA and blue lines represent tracts with significantly decreased FA at post-lesion compared to pre-lesion. Statistical comparisons between pre-lesion and post-lesion time points were made using one-way ANOVAs with post-hoc Tukey's tests (* = $p < 0.05$, ** = $p < 0.01$, *** = $p < 0.001$). Statistical comparisons between post-lesion1 and post-lesion2 were made using one-way ANOVAs with post-hoc Tukey's tests (# = $p < 0.05$, ## = $p < 0.01$, ### = $p < 0.001$). Error bars represent standard error of the mean across voxels. Abbreviations: post-1 = week 1 post-lesion, post2 = week 8 or week 16 post-lesion (behavioural compensation time point), MD = mediiodorsal thalamus, LP = lateral pulvinar, PFC = prefrontal cortex, PPC = posterior parietal cortex, ipsi = ipsilesional, contra = contralateral.

In our companion rsFC paper (Adam et al., 2020), we showed that behavioural performance correlated with increasing rsFC between contralateral PFC and ipsilesional PPC, a functional link that is likely mediated by the contralateral SLF and transcallosal PPC tracts following a unilateral PFC lesion. However, in this study we found that microstructural changes in those white matter tracts differed based on lesion size. Although we found inconsistencies between changes in microstructure and the corresponding rsFC reported in our companion paper (Adam et al., 2020), the magnitude of changes in FA were highly robust. Thus, these discrepancies are unlikely due to methodology, but instead may reflect biological variability in the compensatory response after lesions of different size or that affect different areas. We found that FA increased after small lesions but decreased after larger lesions in the fiber tracts that connect regions with increased rsFC in all four monkeys (i.e., contralateral SLF and transcallosal PPC). We interpret this finding as support for a compensatory role of the contralateral (intact)

hemisphere in recovery of function after small lesions. This interpretation is supported by studies showing positive correlations between rsFC and FA in fiber tracts indirectly linking functionally-connected areas (Greicius et al., 2009; Honey et al., 2009; Adachi et al., 2012; Messé et al., 2014; Hori et al., 2020).

However, we did not observe this compensatory increase in FA of remote cortical tracts in large lesion monkeys; we instead found decreased FA in the remote contralateral SLF and transcallosal PPC cortical tracts. One possible explanation comes from accumulating evidence that network reorganization can maintain functional connectivity after loss of major structural pathways. Studies have shown that after major structural disconnection of the corpus callosum, sparing of even a few fibers was sufficient to maintain normal functional connectivity between hemispheres months later (Uddin et al., 2008; Tyszka et al., 2011; O'Reilly et al., 2013; Uddin, 2013). Alternatively, although functional and structural connectivity are often correlated, two regions with

a ‘weakened’ structural connection (e.g., decreased FA) may show increased rsFC if their structural connectivity with a related third region is strengthened (Koch et al., 2002; Honey et al., 2009). Decreased FA in cortical white matter tracts in Monkeys B and F suggested that behavioural recovery after larger PFC lesions may not be solely mediated by cortical frontoparietal-related tracts. Thus, we examined changes in FA of thalamocortical fiber tracts since thalamic input to the frontoparietal network is one candidate source of compensatory signals relayed from subcortical areas.

4.4. Role of thalamocortical fiber tracts in recovery of function after large PFC lesions

Indeed, we found increased FA in the fiber tract connecting the mediodorsal thalamus with the PFC in the contralateral (intact) hemisphere in Monkey B throughout behavioural recovery. In Monkey F, increased FA was found in the ipsilesional fiber tracts connecting mediodorsal thalamus to the PFC and connecting lateral pulvinar with the PPC. The mediodorsal nucleus of the thalamus and lateral pulvinar have been shown to play a role in visuospatial attention (Petersen et al., 1987; Sommer and Wurtz, 2004), which supports their role in the recovery of saccade target selection. In line with our findings, studies have shown that thalamic input to distinct cortical areas can regulate the neural synchrony and functional connectivity between those cortical regions (Nakajima and Halassa, 2017; Saalmann et al., 2012). Additionally, Zaaimi et al. (2012) showed that subcortical fiber tracts, but not the contralateral corticospinal tract, contributed to the recovery of motor function following extensive unilateral lesions in the macaque corticospinal tract (Zaaimi et al., 2012).

It is worth discussing the finding in Monkey B of increased FA between the mediodorsal thalamus and PFC in the contralateral (intact) hemisphere. Although neurons in the mediodorsal thalamus have been considered to predominantly represent the contralateral visual field, anatomical and electrophysiology studies in macaques provide evidence that mediodorsal thalamus has ipsilateral hemifield representations (Cavanaugh et al., 2020; Watanabe and Funahashi, 2004) and shares connections with contralateral PPC (Dermon and Barbas, 1994; Preuss and Goldman-Rakic, 1987). Watanabe and Funahashi (2004) recorded neural activity in the mediodorsal thalamus of macaques performing an oculomotor delayed response task and found a significant contralateral hemifield bias during cue-period and presaccadic activity. However, about one-third of neurons with cue-period, delay-period, or presaccadic activity preferred the ipsilateral hemifield (Watanabe and Funahashi, 2004). More recently, Cavanaugh et al. (2020) found neurons in the mediodorsal thalamus with presaccadic activity to both contralateral and ipsilateral visual fields. Although there are fewer ipsilaterally-tuned than contralaterally-tuned neurons, post-lesion brain plasticity may serve to stimulate activity in ipsilaterally-tuned neurons as a compensatory mechanism for recovering function. Moreover, anatomical studies in macaques have also shown that the mediodorsal thalamus shares reciprocal connections with bilateral PFC (Dermon and Barbas, 1994; Preuss and Goldman-Rakic, 1987). Altogether, although it may be surprising to see increased FA in the intact hemisphere during recovery of a contralateral deficit (ipsilateral hemifield for the intact hemisphere), this result may be explained by considering the role of the intact hemisphere in ipsilateral (contralateral) saccade target selection.

Future studies may consider directly testing the role of thalamocortical connections in the recovery of function after large PFC lesions using neuroimaging techniques or inactivation methods. Lastly, since DWI is limited in its ability to detect true white matter fiber structure, future research may opt to use a contrast agent (e.g., manganese) during MR imaging for a better approximation of the structural connectivity *in vivo* (Schaeffer et al., 2018).

Data and code availability statement

The data and code that support the findings of this study are available from the Authors upon reasonable request.

Author contributions

Ramina Adam: Conceptualization, Methodology, Software, Formal analysis, Investigation, Data curation, Writing – Original Draft, Writing – Review & Editing, Visualization, Project administration; **David J. Schaeffer:** Conceptualization, Methodology, Writing – Review & Editing; **Kevin Johnston:** Methodology, Software, Writing – Review & Editing; **Ravi S. Menon:** Conceptualization, Methodology, Writing – Review & Editing, Funding acquisition; **Stefan Everling:** Conceptualization, Methodology, Resources, Writing – Review & Editing, Supervision, Funding acquisition

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Declarations of Competing Interest

The authors declare no competing financial or non-financial interests.

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Supplementary materials

Supplementary material associated with this article can be found, in the online version, at doi:[10.1016/j.neuroimage.2021.117919](https://doi.org/10.1016/j.neuroimage.2021.117919).

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