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Transformative Geomorphic Research Using Laboratory Experimentation

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Abstract: Laboratory experiments in geomorphology is the theme of the 46th annual Binghamton Geomorphology Symposium (BGS). While geomorphic research historically has been dominated by field-based endeavors, laboratory experimentation has emerged as an important methodological approach to study these phenomena, employed primarily to address issues related to scale and the analytical treatment of the geomorphic processes. It is contended here that geomorphic laboratory experiments have resulted in transformative research. Several examples drawn from the fluvial and aeolian research communities are offered as testament to this belief, and these select transformative endeavors often share very similar attributes. The 46th BGS will focus on eight broad themes within laboratory experimentation, and a strong and diverse group of scientists have been assembled to speak authoritatively on these topics, featuring several high-profile projects worldwide. This special issue of the journal Geomorphology represents a collection of the papers written in support of this symposium.

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1 **Transformative Geomorphic Research Using Laboratory Experimentation**

2

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7

8 **Abstract**

9 Laboratory experiments in geomorphology is the theme of the 46th annual Binghamton Geomorphology
10 Symposium (BGS). While geomorphic research historically has been dominated by field-based
11 endeavors, laboratory experimentation has emerged as an important methodological approach to study
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18 topics, featuring several high-profile projects worldwide. This special issue of the journal
19 *Geomorphology* represents a collection of the papers written in support of this symposium.

20

21 **Introduction**

22 The study of geomorphic systems—the analysis of the processes that shape the Earth’s surface and their
23 associated landforms—has been dominated by field research endeavors. This field tradition of
24 geomorphic research can be traced back to the world’s early explorers, which provided the impetus for
25 physiographic mapping and the necessary context to consider landscape origin and evolution (Church,
26 2013). The focus on field geomorphic research also is logical because geomorphologists can conduct
27 research activities at the exact locations where processes operate and landforms are created (McKenna
28 Neuman et al., 2013). Both Butler (2013) and Harden (2013) recognize the invaluable insight and

29 broader context gained by field experiences, which potentially can lead to epiphanies in the
30 understanding of geomorphic systems as well as serendipitous and salutary observations and
31 discoveries simply by being in the right place at the right time.

32
33 Yet field research is not the only methodological approach available to the geomorphic research
34 community. A second approach is numerical modeling. Here, modeling is broadly defined to include
35 empirical and statistical approaches to quantify geomorphic phenomena, analytical approaches to
36 define or extend governing equations, and numerical models of varying complexity to simulate
37 geomorphic systems. At present, there is a wide array of geomorphic models available in the literature,
38 some of which are summarized in Wilcock and Iverson (2003) and Pelletier (2008). A third
39 methodological approach available to the geomorphic research community is physical modeling and the
40 use of laboratory experimental facilities. Here, physical modeling is broadly defined to include scaled
41 models based on similarity principles, analogue models based on similarity in form and/or composition,
42 and single-purpose facilities designed to explore a specific geomorphic phenomenon. Experimental
43 investigation has been part of geomorphology for many decades although there are few treatises or
44 seminal papers reporting on the design and use of laboratory experiments and facilities in
45 geomorphology. Some representative examples include Hjulström and Sundborg (1962), Mosely and
46 Zimpfer (1978), Schumm et al. (1987, and references therein), Peakall et al. (1996), Paola et al. (2009),
47 and McKenna Neuman et al. (2013).

48
49 The annual Binghamton Geomorphology Symposium (BGS) is one of the most recognizable geoscience
50 meetings worldwide. For nearly 50 years, the symposium series has addressed a wide range of scientific
51 and socially-relevant topics in geomorphology, engaging a multitude of geoscientists (Sawyer et al.,
52 2014). The continued success of the symposium is due, in part, to the dedication and commitment of

53 the BGS Steering Committee comprised of both long-term and rotating members. These individuals
54 work closely with the geomorphology community to identify emerging topics of scientific importance,
55 they facilitate greatly in the organization and success of each symposium, and they ensure that the
56 products for the symposium are disseminated to the global community in a timely fashion. The titles of
57 previous symposia illustrate the timeliness and relevance of the selected topic (Sawyer et al., 2014). But
58 the BGS has not yet organized a formal discussion of laboratory experiments in geomorphology, one of
59 the methodological approaches embraced by the research community. The 46th Binghamton
60 Geomorphology Symposium, entitled “Laboratory Experiments in Geomorphology,” seeks to bring
61 together leading experts and emerging scientists actively engaged in experimental geomorphic research.
62 This special issue introduces those invited papers to be presented at the symposium. The objectives of
63 this paper are as follows: (1) to define the motivations of the geomorphic laboratory experimentalist, (2)
64 to illustrate through select case studies the transformative nature of geomorphic experimental research,
65 and (3) to provide the rationale for the 46th BGS on laboratory experiments in geomorphology. It is
66 contended here that geomorphic research has been greatly enhanced and transformed by laboratory
67 experiments, and the future of geomorphic research depends on the continued successful melding of
68 the three approaches to geomorphic research: field work, numerical modeling, and laboratory
69 experimentation.

70

71 **Motivations of the Geomorphic Laboratory Experimentalist**

72 There may be several ways to define the term experimental geomorphology. Mosley and Zimpfer
73 (1978) stated that it is the study of a physical representation or model of a selected geomorphic feature
74 under laboratory conditions. Schumm et al. (1987) provided a brief historical context for experimental
75 geomorphology, including some very early case studies.

76

77 There are several advantages afforded the geomorphic laboratory experimentalist, but the motivations
78 to employ such facilities, and to invest so heavily into methods, procedures, and infrastructure, can be
79 reduced to two issues: scale and prediction. The temporal and spatial scales over which geomorphic
80 processes operate often are very large. In general, spatial scales for geomorphic systems can span from
81 10^{-8} to 10^7 km², and the time scales of persistence can span from 10^2 to 10^9 yr (Bloom, 1998). Although
82 technological advances and numerical models have facilitated the study of such systems in the field
83 (Church, 2013), these large time and space scales potentially could pose insurmountable challenges to
84 the geomorphologist. Consequently, geomorphologists have employed experimental facilities and
85 physical analogues to compress time and shrink scale, while exerting experimental control, to examine
86 the dynamics of these systems. In general, laboratory experiments have spatial scales that range from
87 10^{-2} to 10^2 m² (or 10^{-8} to 10^{-4} km²), and time scales of persistence for such processes that range from 10^0
88 to 10^6 s (or 10^{-7} to 10^{-2} yr), or potentially even shorter in length (ms).

89
90 This large discrepancy in scale between natural geomorphic systems and many laboratory facilities
91 remains the primary challenge to the experimentalist. Dimensional analysis and the use of similarity
92 principles have long been employed successfully in the design and execution of laboratory experiments
93 and their application to natural settings (Yalin, 1971; Peakall et al., 1996; Julien, 2002; Gallisdorfer et al.,
94 2014). Unfortunately, application of similarity principles to experimental apparatuses typically
95 employed for geomorphic research invariably requires some relaxation of these scaling requirements, as
96 well as some distortion of select ratios and dimensions. In general, distortions often are accepted for
97 the depth of the geophysical flow and the size and density of the sediment on the boundary or in
98 transport. Paola et al. (2009) further loosened these rigorous requirements by arguing that even poorly-
99 scaled experiments seem to capture the primary characteristics of the geomorphic system under
100 investigation, presenting several examples in support of this belief. They employed the phrase

101 “unreasonable effectiveness” to refer to the consistency of observations made between these poorly-
102 scaled experimental systems and their field prototypes. Even with much analytical evidence presented
103 and the “unreasonable effectiveness” of experimental systems, skepticism remains within the broader
104 geomorphic community when laboratory experiments of geomorphic systems are compared to their
105 natural analogues (Paola et al., 2009).

106
107 The second motivation for the geomorphic experimentalist is the focus on prediction. As noted by Paola
108 et al. (2009), geomorphologists are moving away from reasoning by analogy toward reasoning by
109 analysis. It is often difficult to describe in analytic terms the equations governing geomorphic processes
110 due to the large number of degrees of freedom that can occur in natural settings. This is particularly
111 challenging in field-based research where temporal and spatial scales are large or where the processes
112 themselves may not be observed or measured directly. It is this quest to define these fundamental
113 relationships and their governing equations that drives the geomorphologist into the laboratory.
114 Through controlled experimentation, functional relationships and robust theory for geomorphic
115 phenomena emerge, so that these analytic arguments then can be tested against both experimental and
116 field data and further refined (see also Schumm et al., 1987; Paola et al., 2009). It is this iterative
117 process between reasoning (see Kleinhans et al., 2010), experimentation, and field application that leads
118 to generalized theory, geomorphic transport laws, and predictive explanations of landforms (Dietrich et
119 al., 2003).

120
121 There are additional benefits afforded to the geomorphic experimentalist. Experimental
122 geomorphologists seek control, precision, and reproducibility in their work (Mosley and Zimpfer, 1978;
123 Paola et al., 2009; McKenna Neuman et al., 2013). Control is derived from knowing exactly when and
124 where a geomorphic event or process will occur so that all data collection activities can be planned in

125 advance. Precision is derived from the use of technology and appurtenant devices that measure with
126 great resolution and accuracy all parameters deemed important. Experimental uncertainties in
127 measured parameters rarely exceed a few percent, even though the phenomenon under investigation
128 can be highly dynamic. Reproducibility is derived from knowing that the experiments can be executed
129 again and again, either by the initial scientist or by others, and that the results will (or should) be
130 statistically invariant. Such opportunities for comprehensive study of geomorphic phenomena often are
131 rarely possible in field research (Schumm et al., 1987; Paola et al., 2009). For these reasons,
132 experimental geomorphologists also are expected to be meticulous scientists.

133

134 Major disadvantages to geomorphic experimental research, however, also have been identified. These
135 disadvantages include (1) problems with the boundary conditions of the physical model, (2) materials
136 used and processes observed in laboratory experiments may be dissimilar when compared to those in
137 nature, and (3) the study of a restricted number of processes or phenomena may mask more complex
138 interactions observed in nature (Mosley and Zimpfer, 1978). Experimental geomorphologists likely are
139 well aware of such potential problems.

140

141 **Select Examples of Transformative Experimental Geomorphic Research**

142 A common phrase used in academia today is transformative research. A definition for transformative
143 research can be found in a report prepared by National Science Foundation (NSF, 2007):

144

145 *Transformative research is defined as research driven by ideas that have the potential to*
146 *radically change our understanding of an important existing scientific or engineering concept*
147 *or leading to the creation of a new paradigm or field of science or engineering. Such*

148 *research also is characterized by its challenge to current understanding or its pathway to*
149 *new frontiers (p. 10).*

150

151 While this definition appears to be self-explanatory, identifying examples of transformative
152 experimental geomorphic research remains highly subjective. Below a few examples are provided of
153 studies that are considered to be transformative, with the knowledge that these examples represent the
154 obvious bias of the authors and that many more examples could have been presented.

155

156 *Rill networks and landscape evolution*

157 In the late 1960s, faculty in the Civil Engineering Department at Colorado State University created a
158 research initiative to investigate the hydrology of small watersheds (Dickinson et al., 1967). A specific
159 research focus was the creation of an experimental research facility to examine watershed response to
160 rainfall. The primary objective for this facility was quite modest: it should be large enough to respond as
161 a prototype watershed, but small enough to permit controlled variation of watershed and rainfall
162 characteristics. The outdoor facility built was a rectangular box 9.1 m wide, 15.2 m long, and 1.8 m
163 deep, and it was fitted with upward-directed vertical sprinklers that could simulate rainfall at up to four
164 intensities.

165

166 Shortly after its construction, Parker (1977) used this facility to examine the evolution of drainage basins
167 and the growth and development of rill networks. To do this, he filled the basin with a sandy loam
168 sediment mixture, fashioned the topography into an initially flat, gently sloping surface, and then
169 subjected the system to continuous rainfall and episodic baselevel lowering. Although Parker (1977)
170 reported on only two experiments, these results were very enlightening. Parker documented the time-
171 and space-evolution of rill networks forced by rainfall and baselevel lowering, and he could link network

172 extension and sediment efflux to each wave of degradation imposed on the system. Parker then used
173 these data to assess current models of network initiation, extension, and abstraction, to document the
174 role of knickpoints in communicating exogenically-forced perturbations through the network, and to
175 address sediment budgets, sediment delivery ratios, and sequestration of sediments along evolving
176 channel networks. The results from this experimental campaign can be found in Parker (1977), Parker
177 and Schumm (1982), and most prominently in Schumm et al. (1987).

178

179 This experimental work is considered transformative for two reasons. First, Parker (1977) and his
180 advisor, Stanley Schumm, noted that due to the short time available to the geomorphologist, theories
181 and models of landscape evolution depended quite heavily on inferences based on limited field data.
182 Moreover, they also noted that simulation models for hillslope and landscape evolution available during
183 this time period made a number of simplifying assumptions, and they could not necessarily be tested
184 against empirical data. As such, Parker and Schumm recognized that experimentation could be used to
185 fill this obvious gap between field observations and numerical and simulation models, and it could
186 provide the necessary empirical data to test hypotheses and to explore parameter space.

187 Geomorphologists now routinely conduct experimental campaigns in direct support of analytic and
188 numerical models (e.g., Hancock and Willgoose, 2001; Paola et al., 2009). Second, while Parker and
189 Schumm (1982; Schumm et al., 1987) focused their attention on landscape evolution, the experimental
190 facility they employed also could be used to address hillslope processes directly responsible for soil
191 degradation, which was especially important to soil scientists, agricultural engineers, and the farming
192 community. Thus, the same facility and experimental methods could be used by a wide range of
193 researchers straddling different disciplines and having different perspectives and complementary
194 objectives, yet servicing completely different clientele. Many examples now exist of using similar

195 apparatuses with different disciplinary foci (Brunton and Bryan, 2000; Pelletier, 2003; Rieke-Zapp and
196 Nearing, 2005; Douglass and Schmeeckle, 2007; Gordon et al., 2012).

197

198 *Flow and sediment transport in sand-bedded channels*

199 The most notable flume experiments ever conducted on sediment transport were those summarized by
200 Gilbert (1914). Gilbert, a charter member of the U.S. Geological Survey (USGS) began this work a few
201 years earlier while stationed in California. He observed that some rivers, including the Sacramento
202 River, were experiencing overloading of sediment (aggradation) due to the waste from hydraulic mines.
203 Gilbert sought to study bedload transport, and how the quantity of load was related to river channel
204 slope and flow.

205

206 To this end, Gilbert (1914) and his colleagues constructed a flume at the University of California-
207 Berkeley. The flume was 9.6 m long, 0.60 m wide, and 0.30 to 0.55 m deep, it could recirculate water,
208 and sediment could be fed into the flow at the upstream end (see Parker and Wilcock, 1993). For a
209 given flow rate, Gilbert and his colleagues would feed different sediment mixtures (unisize and mixed-
210 size sand and fine gravel) into the flume at various rates using a wide range of flow discharges. A
211 number of important observations and results were reported in this work, which included the following:
212 (1) empirical formulae for the prediction of bedload transport, (2) the various modes of bedload
213 transport, (3) the formation and movement of dunes, the transition of dunes to upper-stage plane beds,
214 and the transition of upper-stage plane beds to upstream migrating antidunes, and (4) the enhanced
215 mobility of coarser-grained sediment in the presence of finer-grained sediment.

216

217 The work of Gilbert (1914) is transformative for a number of reasons. First, it is one of the first empirical
218 studies of flow and sediment transport using an experimental channel. Second, the data collected are

219 still being used today, primarily to test and verify bedload transport equations (e.g., Wiberg and Smith,
220 1989; Bridge and Bennett, 1992). Third, Gilbert and his USGS and university colleagues used
221 experimental facilities to address a societal problem. Gilbert's work is considered to be highly influential
222 for these reasons, being cited more than 750 times using *Harzing's Publish or Perish* citation search tool.
223

224 In September of 1956, several decades after Gilbert's (1914) work, the Water Resources Division of the
225 U.S. Geological Survey initiated a project focused on water and sediment movement in alluvial rivers, in
226 general, and flow resistance and sediment transport rates, in particular (Guy et al., 1966). Luna Leopold
227 was the Chief Hydrologist at that time, and his own research on river dynamics, water and land
228 conservation, and floods embodied this new initiative. Given the large quantity of data required to
229 address this problem, it was decided by Leopold and his colleagues that recirculating flumes would be
230 employed since these were comparable to flow and sediment processes observed in most streams of
231 interest (Guy et al., 1966).

232
233 The primary outcome of this project was the publication of a U.S. Geological Survey Professional Paper
234 by Guy et al. (1966), which was a compilation of 339 experiments conducted over a period of five years
235 and employing a number of graduate students and agency personnel. In this report, two tilting
236 recirculating flumes (one was 2.44 m wide, 0.61 m deep, and 45.72 m long, the other was 0.61 m wide,
237 0.76 m deep, and 18.29 m long; both located at Colorado State University) were filled with 10 different
238 sands (median sizes ranging from very fine to coarse sand) and systematically subjected to a wide range
239 of flow conditions (Froude numbers Fr ranging from 0.14 to 1.70, where $Fr = u/\sqrt{gd}$, u is mean
240 downstream flow velocity, g is gravitational acceleration, and d is mean flow depth). The data collected
241 in these experiments was exhaustive, and included water surface slope, mean flow depth and rate,
242 vertical profiles of downstream flow velocity and suspended sediment concentration, bedload transport

243 rate, and bed configuration. Interestingly, the report provides little to no analysis, discussion, or
244 interpretation of the data.

245
246 The experimental techniques employed and the empirical data presented would appear pedestrian by
247 modern standards, yet the publication by Guy et al. (1966) is considered transformative for the following
248 reasons. First, its premise was based on a recognized scientific and societal need—improving the
249 current understanding of mass transport and floods in rivers and streams, and that a federal agency
250 would assume the responsibility to do this. Second, it represented the first systematic data collection
251 program of flow and sediment transport processes in sand-bedded channels. As such, the data collected
252 and the observations made would become the foundations for nearly all theories related to bedform
253 stability and transition, sediment transport, analysis of fine-scale sedimentary deposits, and hydraulic
254 resistance in rivers (e.g., Rubin and Hunter, 1982; van Rijn, 1984; Southard, 1991; Bridge and Bennett,
255 1992; Leclair and Bridge, 2001) as well as other geophysical flows of interest (e.g., Miller and Komar,
256 1980; Mulder and Alexander, 2001). Third, this work forged a new paradigm in experimental research,
257 one that was focused on instrumentation and infrastructure (Williams, 1971). It is no surprise that Guy
258 et al. (1966) has been highly cited (>525 times using *Harzing's Publish or Perish* citation search tool).

259
260 *Birth of aeolian geomorphology*

261 Wind erosion processes are notoriously difficult to study in the field. Unlike rivers, for example, which
262 represent confined flows that are unidirectional and more or less continuous through time, boundary
263 layer flows in the atmosphere are unconfined, omni-directional, ephemeral, and extend over entire
264 regions. Aeolian transport is initiated at wind speeds that often are an order of magnitude greater than
265 in water, so that the ensuing particle motion is not only rapid but also short-lived during wind gusts.
266 Aeolian geomorphologists are well acquainted with the disappointment of spending many days to weeks

267 in the field waiting for suitable winds to trigger a transport event, only to have their instruments set up
268 in the 'wrong' location and/or orientation relative to the prevailing conditions. Early seminal work in the
269 1930s through the 1950s, which laid the foundation for studying the physics of particle transport by
270 wind in laboratory and portable wind tunnels, was borne out of both curiosity and crisis, and perhaps
271 also, a good deal of frustration. The convenience of being able to create a unidirectional airflow at the
272 desired wind speed whenever required provided early engineers and soil scientists with an invaluable
273 tool and transformative insights that amounted to the birth of aeolian 'process' geomorphology.

274

275 Without question the founder of modern aeolian geomorphology was Brigadier Ralph A. Bagnold, a
276 pioneer of desert exploration, who as an engineer and first commander of the British Army's Long Range
277 Desert Group made the earliest recorded crossing of the Libyan Desert (Bagnold, 1990). Upon his
278 subsequent retirement from the army in 1935, Bagnold constructed the first wind tunnel designed for
279 the sole purpose of studying the inception and transport of sedimentary particles in airflows. Housed in
280 the hydraulics laboratory at Imperial College, University of London, the plywood tunnel had an open-
281 loop, suction-type configuration with a small cross-section (0.3 m x 0.3 m) but a comparatively long
282 fetch (9 m). Laboratory wind tunnels must be highly customized for studying particle motion, and
283 indeed it is an art that depends strongly on the experience of the researcher and the resources
284 available. Even to this day, particles are generally not permitted in wind tunnel facilities used for
285 research on the physics of fluids, owing to problems with sediment abrasion and recirculation. Bagnold
286 borrowed heavily from his engineering studies in fluid dynamics, however, to adapt instruments for
287 obtaining measurements in particle-laden flows.

288

289 In 1941, Bagnold published a seminal book entitled "The Physics of Blown Sand and Desert Dunes" in
290 which he summarizes, compares and integrates findings from his laboratory experiments with

291 observations made in the field. To this day, this monograph remains the most frequently cited work in
292 aeolian geomorphology (>4000 citations using *Harzing's Publish or Perish*). Bagnold's accomplishments
293 include describing and quantifying the inception of motion and transport of particles in atmospheric
294 boundary layer flows, identifying saltation (sand particles moving in a ballistic trajectory) as the primary
295 mode of aeolian transport, and distinguishing between the impact and fluid thresholds for particle
296 entrainment. Bagnold attempted, for the first time, to understand and describe the linkages between
297 the physics of the transport phenomena and aeolian bedform development (e.g., ripples and dunes).
298 Although many of his perceptions concerning such linkages have been superseded and refined with
299 ongoing technological developments (as reviewed by Shao, 2010), the core concepts, terminologies, and
300 methodologies introduced by Bagnold (1941) remain soundly imprinted upon present-day aeolian
301 geomorphology. His laboratory experiments and theoretical developments were transformative in that
302 they provided a new foundation to build upon, one based on the laws of physics and engineering
303 practice, as opposed to earlier subjective approaches involving qualitative description and classification.
304 In subsequent initiatives, Bagnold expanded his experimental interests to the physics of sediment
305 transport by water in alluvial channels (see Bagnold, 1966), participating in flume experiments with
306 Leopold of the USGS (see above) and his co-workers.

307

308 *Responding to the Dust Bowl Era*

309 The largest environmental disaster to affect North America was the drought and associated wind
310 erosion that occurred in the 1930s, a period known as the Great Dust Bowl. In response to the
311 devastation, amounting to an estimated loss of 480 tons of soil per acre by 1938 (Hansen and Libecap,
312 2004), the High Plains Wind Erosion Laboratory of the U.S. Department of Agriculture (later named the
313 Wind Erosion Research Unit or WERU) was established in 1947 on the campus of Kansas State
314 Agricultural College in Manhattan, KS. William S. Chepil joined the unit in 1948 and beginning in 1953,

315 led it for a decade. Chepil was widely recognized as a pioneer of wind erosion research in North
316 America, a career that was launched from his doctoral thesis research at the University of Minnesota
317 (Chepil, 1940) and his early work as a soil scientist with the Canada Department of Agriculture. The
318 WERU 'laboratory' hosted a large collection of custom designed research equipment, inclusive of several
319 wind tunnels of varied scale and configuration. A particularly novel initiative was the deployment of a
320 portable field wind tunnel that could be placed over undisturbed natural surfaces of wide-ranging
321 texture and roughness.

322

323 Similar to Bagnold, Chepil carried out basic research into the dynamics of soil erosion by wind (e.g.,
324 Chepil, 1945a, b, c), but in accordance with the mission-driven nature of WERU, emphasis was placed
325 upon examining the key factors governing wind erosion, and upon developing methods to reduce or
326 eliminate soil loss by wind (e.g., Chepil and Woodruff, 1963). The overarching goal was to develop a
327 Wind Erosion Equation (WEQ) that would parallel the Universal Soil Loss Equation (USLE) used for
328 predicting water erosion. The transformative work carried out under Chepil's direction substantially
329 extended the highly idealized experimental conditions (e.g. dry quartz particles) examined by Bagnold,
330 and firmly established the role of both laboratory and portable field wind tunnels in the development
331 and validation of semi-empirical predictive models describing the erosion of natural soils by wind. On
332 the whole, the large body of journal publications produced by the unit (over 50 by Chepil alone)
333 provided the seminal foundation for understanding the effects of soil texture, structure, and
334 aggregation, surface roughness, and cohesion (e.g., water and organic matter content) in aeolian
335 systems. This work also established a number of measurement techniques that are still used to quantify
336 these governing factors.

337

338 *Morphology of alluvial channels*

339 For over a century, many concepts and insights about fluvial landforms, processes, and responses have
340 been derived from laboratory experiments and models (e.g., Schumm et al., 1987) based in
341 experimental programs established in laboratories around the world. In many cases the laboratory work
342 contains essential insights, tests, and measurements that are not possible from field observations and
343 also provide ideas that can be transferred to analysis of problems in the field or provide some
344 verification of inferences from field observations. One thinks, for example, of Friedkin's (1945)
345 descriptions of meander morphology and dynamics, which has many successors (and some
346 predecessors), or the observations of Leopold and Wolman (1957) of the formation of braids under
347 equilibrium conditions, which contained the essential insight that braiding is an equilibrium state that
348 "does not necessarily indicate excess of total load" and which is the antecedent of several experimental
349 programs on morphology and bedload in braiding rivers in particular (Schumm et al., 1987; Warburton,
350 1996). In this sense, the development of experimental programs in fluvial geomorphology is
351 transformative as a whole, bringing both exploratory and formal experimental (including theory testing)
352 and predictive-analytical programs to the discipline.

353

354 Experimentation on cross-section morphology and dimensions has been prominent in establishing
355 principles, observations, and predictive relations for this fundamental aspect of fluvial systems. Leopold
356 et al. (1960) and Wolman and Brush (1961) used experiments to derive insights into the determination
357 of flow resistance from irregular channel boundaries, the factors controlling river channel dimensions,
358 and application of channel mechanics for fluvial morphology. This complemented the early hydraulic
359 geometry and regime analyses from field data and provided experimental observations and formal tests
360 of theory to stimulate and support these analyses, helping to establishing formal analytical and
361 experimental work as an essential part of geomorphology.

362

363 The focus on channel morphology and pattern motivated another study important to the geomorphic
364 community. The Hydraulics Research Station, Wallingford, UK, was established in the early 1950s partly
365 to study 'loose boundary' problems primarily for civil engineering. The experimental work of Ackers
366 (1964) is an early example of the use of small-scale rivers to generate new observations and
367 measurements of morphological characteristics and processes of development, and also to explicitly
368 derive and test empirical and theoretical relations for predicting, in this case, alluvial channel
369 dimensions and compare results with full-scale channels. His experiments used a simple sand box about
370 100 m long and 30 m wide divided into 10 m wide strips each with a different grade of sand. Initial
371 conditions were straight channels with trapezoidal cross-section and erodible boundary and channel
372 development was observed until a stable state (no measurable change over extended period of time)
373 was reached at constant (channel-forming) discharge. Sediment flux was determined by the conditions
374 in the channel by using a sediment recirculation system to give conditions equivalent to an infinitely
375 long channel. Channels were 1 to 3 m wide and up to 0.2 m deep with discharges up to 30 l/s.
376 Adjustment to a stable state included a tendency to meander in some cases. In part, the study was
377 aimed at comparing predictions from physical theory derived from fundamental equations of river
378 mechanics with empirical formulae developed in the regime approach.

379

380 The results of the experiments of Ackers (1964) showed that empirical relations for dimensions of small
381 channels were consistent with physical theory. They also established the hydraulic basis for the
382 importance of width-depth ratio in channel mechanics and its relation to differences in bed and bank
383 material, consistent with the contemporary field observations of Schumm (1960). The analysis also
384 established the possibility of a regime sediment concentration. The rational formulations gave
385 reasonable agreement with the experimental results, showed the crucial role of bedform resistance in
386 channel morphology, and established the principle that both a resistance law and a transport law were

387 essential for rational prediction of channel dimensions (consistent also with Henderson, 1961). The
388 experiments also helped to establish connections between river engineering and geomorphology, which
389 have proved extremely fruitful in fluvial geomorphology. The debate about empirical versus 'rational'
390 formulae for predicting and explaining river channel dimensions has been a central concern in fluvial
391 geomorphology ever since and continues to some extent today (Eaton, 2013). Ackers' (1964) work was
392 followed by similar experiments on meander geometry (Ackers and Charlton, 1970). Experimental work
393 on river channel geometry has become almost commonplace since the 1960s, both in single and multi-
394 thread channels both for empirical investigation and explicit theory testing (e.g., Warburton et al., 1996;
395 Eaton and Church, 2007).

396

397 *Bar development in alluvial channels*

398 Experimental observations of bar development in rivers have provided crucial insights into the
399 formation, morphology, and dynamics of these features, stimulating theoretical developments and
400 insights applicable to field conditions in which observations are much more difficult to make, initial
401 conditions unknown, and fundamental relations may be obscured by local contingencies. Insights into
402 the role of bars in development of river channel patterns, and associated theoretical explanations, come
403 primarily from experimental studies that can be traced back to several laboratories in Japan where river
404 morphology and engineering were prominent issues in landscape processes and society. Rooted in the
405 observations from rivers, these experimental studies were intended to reproduce the morphological
406 characteristics of a variety of rivers and analyze the conditions controlling the occurrence of particular
407 morphologies. Studies of this type began in the 1950s (e.g., Kinoshita, 1957) based on principles of
408 morphological similarity in small-scale rivers. Many subsequent analyses of alternate bars and more
409 complex bar patterns in rivers can be traced back to this initial work, and the resulting data from
410 experiments such as Ikeda (1973, 1975) are still used in tests of theoretical models of bar morphology

411 and dimensions. As a group, these studies used experimental flumes in several laboratories with a
412 variety of dimensions and sediment types, which could be manipulated to set up a range of initial
413 conditions (e.g. channel width/depth ratio, gradient, flow depth) to run experiments covering the known
414 range of relevant parameters.

415

416 These flume experiments establishing a simple typology of bars have become the foundation for many
417 aspects of fluvial morphodynamics (Dietrich, 1987; Yalin and da Silva, 2001). The results of these early
418 experiments, and subsequent work (e.g., Ikeda, 1984; Fujita, 1989) defined the conditions of sediment
419 mobility and channel cross-section shape under which each bar pattern (and related river morphology)
420 occurred and the conditions for transition between types. The controlling variables (excess shear
421 velocity and the product of slope and width-depth ratio) were established from dimensional analysis of
422 the problem and then subjected to experimental tests. This demonstrated how experimental work both
423 benefitted from and was used to stimulate theoretical analysis, as well as yielding fundamental
424 observations and demonstrating the application of dimensional analysis (derived mainly from
425 developments in river engineering) to problems and experimental modeling of river geomorphology.
426 The flume results were directly related to observations of channel morphology and pattern in reaches of
427 the Omoi River with differing morphology, and other rivers in Japan, demonstrating the applicability of
428 the experimentally-derived predictions of morphological transitions and differences to real rivers (Ikeda,
429 1975). The variables identified by Ikeda (1973) from dimensional analysis and experiments were, in
430 part, also the variables derived from mathematical stability theories for explaining bar modes and
431 channel pattern formation (e.g., Parker, 1976). The distinction between single row and multiple row
432 bars described by Ikeda (1973) has become a fundamental element of fluvial morphodynamics in
433 relation to channel pattern development (e.g., Ferguson, 1987; Bridge, 1993). Experimentation

434 continues to be used in refining these relations, testing theory, and validating numerical models of bar
435 morphology and dynamics (e.g., Lanzoni, 2000; Jang and Shimizu, 2005).

436

437 *A Recipe for Transformative Experimental Research*

438 The previous section provided several examples of experimental geomorphic research deemed by the
439 authors as transformative. This list is not exhaustive, it is decidedly biased, and it is restricted in time
440 during a period where the financial support for engineering and science was different. Nevertheless,
441 several commonalities amongst these studies do emerge, suggesting that these attributes may have
442 played a role in producing research having high and long-lasting impact. These attributes are listed
443 below.

444

- 445 1. Visionary leadership. It is not surprising that several transformative research efforts were
446 initiated or supervised by now-recognized leaders within the geomorphic community. Each of
447 these individuals was broadly trained and brought a strong affinity for field research into the
448 laboratory.
- 449 2. Scientific and/or societal need. In each example presented above, the trigger to begin the
450 endeavor is the same: a real or perceived scientific and/or society need to conduct the research.
451 Moreover, none of the efforts could be considered incremental, as per the definition by NSF
452 (2007).
- 453 3. Involvement of a federal agency or institution. It is remarkable that several examples had a
454 federal agency or research institution serving as the primary entity conducting the work, with
455 some cooperating directly with universities. This suggests that appropriated (potentially non-
456 competitive) funds invested over a relatively long time frame (several years) facilitated in the

457 success of the research program. Interestingly, this research transpired unencumbered by
458 competitive funding agencies and the professional expectations of academia.

459 4. New or repurposed facilities. As expected, new or repurposed experimental facilities,
460 infrastructure, and instrumentation lay at the core of these research endeavors.

461 5. Straddling disciplines. Nearly all of the transformational research presented above straddle two
462 disciplines: engineering and geosciences. Engineering emphasized hardware, technology,
463 governing equations, and analytical tools. Geoscience emphasized the analysis of the processes
464 that shape the Earth's surface over large time and scale scales. Yet the products of the research
465 would be of interest to both disciplines, framed and presented accordingly.

466

467 **Rationale for and Composition of the 46th Binghamton Geomorphology Symposium**

468 There are three primary drivers for hosting a symposium entitled "Laboratory Experiments in
469 Geomorphology," and two already have been noted. First, no BGS symposium has focused on the topic
470 of laboratory experiments, in spite of enormous activity in this area. Second, few treatises currently are
471 available to the geomorphic community that provide detailed information about the design,
472 construction, and execution of laboratory experiments, and how these facilities can be used for
473 transformative research. Third, the importance of experimental facilities in research on Earth surface
474 processes was recently highlighted by the National Research Council (2010). This report noted that
475 experimental research can be used to develop, test, and validate geomorphic transport laws as well as
476 examine the emergence of organized landscapes. The report also noted the rebirth in the use of
477 relatively large experimental facilities such as St. Anthony Falls Laboratory's Outdoor StreamLab,
478 University of Minnesota, and the Landscape Evolution Observatory research facility at Biosphere2,
479 University of Arizona, both of which will be featured in the symposium. These relatively large facilities

480 create or even necessitate interdisciplinary research opportunities, they can represent more realistic
481 biotic processes, and they can reduce or even eliminate many issues related to scale.

482

483 There are many geomorphic themes that can be examined through experimentation. Owing to the
484 short duration of the symposium, and to the single-session venue, the co-organizers identified eight (8)
485 topics that could be represented at the symposium, which span a wide range of environments and
486 scales. These topics are as follows: (1) granular flows and hillslopes, (2) fluvial processes, (3) aeolian
487 processes, (4) coastal and marine processes, (5) glacial and periglacial geomorphology, (6) landscape and
488 planetary processes, (7) biophysical and ecogeomorphic processes, and (8) large-scale facility
489 development and data management. This is not an exclusive inventory, but it helped to frame the list of
490 potential contributors.

491

492 Using these themes, the co-organizers assembled a long list of potential speakers, which was then
493 whittled down in size. To accomplish this, the co-organizers were motivated to achieve strong diversity
494 within the program on the basis of gender, geography, career stage, and perspective. Table 1 is the final
495 list of those scientists invited to the symposium. In every case, the co-organizers were able to secure
496 commitments from the top candidates in each thematic area. Several high-profile facilities and projects
497 also are represented here including the National Center for Earth Surface Dynamics 2 (University of
498 Minnesota), St. Anthony Falls Laboratory's Outdoor StreamLab (University of Minnesota), the USGS
499 Cascade Volcano Observatory Debris-Flow Flume (Washington), the Landscape Evolution Observatory
500 research facility at Biosphere2 (University of Arizona), the Total Environment Simulator (University of
501 Hull), and the EarthCube and the Sediment Experimentalist Network (among others).

502

503

504

505 Table 1: Summary of BGS themes, invitees (alphabetical) and institutions, and topic areas.

Name	Institution	Topic
<u>Granular Flows and Hillslope Processes</u>		
David J. Furbish	Vanderbilt University	Hillslope processes
Gerard Govers	Katholieke Universiteit	Rill erosion
Richard M. Iverson	USGS Cascades Volcano Observatory	Debris flows
<u>Fluvial Processes</u>		
Maarten G. Kleinhans	Universiteit Utrecht	River and delta morphodynamics
Michael P. Lamb	California Institute of Technology	Steep river channels
Chris Paola	University of Minnesota	Clastic depositional systems
Elwyn M. Yager	University of Idaho	Coarse sediment transport
<u>Aeolian Processes</u>		
Keld R. Rasmussen	University of Aarhus	Wind tunnel simulation of planetary surfaces
<u>Coastal and Marine Processes</u>		
Heidi Nepf	Massachusetts Institute of Technology	Flow-sediment-vegetation interactions
Jeff Peakall	University of Leeds	Submarine channels
<u>Glacial Processes</u>		
Neal R. Iverson	Iowa State University	Laboratory experiments of glacial processes
<u>Landscape and Planetary Processes</u>		
Lucy E. Clarke	University of Gloucestershire	Alluvial fans
Fabien Gravelleau	Université des Sciences et Technologies de Lille	Landform evolution
<u>Biophysical and Ecogeomorphic Processes</u>		
Anne F. Lightbody	University of New Hampshire	Biological boundary layers
Joanna C. Curran	Northwest Hydraulic Consultants	River restoration
<u>Large-scale Facility Development and Data Management</u>		
Leslie Hsu	Lamont-Doherty Earth Observatory	Data sharing
Stuart J. McLelland	University of Hull	Total Environment Simulator
Peter A. Troch	University of Arizona	Landscape Evolution Observatory, Biosphere2

506

507 The primary outlet for disseminating the results of the symposium is publication of peer-reviewed
 508 papers prepared by the invitees in a special issue of the journal *Geomorphology*. Authors were given
 509 freedom to explore these topics, and to include any co-authors, as they saw fit. The papers contained
 510 within this special issue are those submitted in support of the 46th BGS.

511

512 **Conclusions**

513 Geomorphology is a discipline that historically has been dominated by field-based research endeavors.
 514 Yet both numerical modeling and laboratory experimentation offer unrivalled methodological
 515 opportunities for the geoscience community. The Binghamton Geomorphology Symposium (BGS) is a

516 highly visible annual meeting that has addressed a wide range of scientifically important and socially
517 relevant topics in geomorphology. The 46th annual BGS will focus on the topic of laboratory
518 experiments.

519
520 The two primary motivations of the experimentalist are to address the scale of geomorphic systems and
521 to predict such phenomena in analytic terms. First, geomorphic processes often operate at relatively
522 large time and space scales, which pose significant challenges to the field scientist. Laboratory
523 experiments can effectively compress time and shrink scale, and there exists ample evidence to suggest
524 that experimental results can be applied to field prototypes. Second, geomorphologists now seek to
525 explain Earth surface processes and landform development in analytic terms. Laboratory
526 experimentation can greatly facilitate the development and testing of generalized theory, which then
527 can be applied to field observations.

528
529 It is contended here that laboratory experimentation of geomorphic systems has resulted in
530 transformative research. Several examples, primarily from fluvial and aeolian research, are presented in
531 support of this claim, and included the following: (1) rill networks and landscape evolution in soils, (2)
532 flow and sediment transport in sand-bedded recirculating flumes, (3) wind erosion research, and (4) bar
533 development and river channel pattern. These transformative research endeavors often were driven by
534 visionary leaders in federal agencies or institutions where specialized experimental facilities were
535 created or repurposed. Moreover, the research featured in these examples effectively straddled the
536 disciplines of engineering and geoscience.

537
538 Laboratory experimentation of geomorphic systems is the focus the 46th Binghamton Geomorphology
539 Symposium. Eight themes within geomorphology were selected as foci for the meeting. The symposium

540 shall feature a strong and diverse assemblage of scientists with a wide range of perspectives, and it will
541 report on several high-profile facilities and projects. This special issue of the journal *Geomorphology*
542 presents as a group those papers submitted in support of this symposium.

543

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