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# A Systematic Review of Convolutional Neural Network-Based Structural Condition Assessment Techniques

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## Abstract

With recent advances in non-contact sensing technology such as cameras, unmanned aerial and ground vehicles, the structural health monitoring (SHM) community has witnessed a prominent growth in deep learning-based condition assessment techniques of structural systems. These deep learning methods rely primarily on convolutional neural networks (CNNs). The CNN networks are trained using a large number of datasets for various types of damage and anomaly detection and post-disaster reconnaissance. The trained networks are then utilized to analyze newer data to detect the type and severity of the damage, enhancing the capabilities of non-contact sensors in developing autonomous SHM systems. In recent years, a broad range of CNN architectures has been developed by researchers to accommodate the extent of lighting and weather conditions, the quality of images, the amount of background and foreground noise, and multiclass damage in the structures. This paper presents a detailed literature review of existing CNN-based techniques in the context of infrastructure monitoring and maintenance. The review is categorized into multiple classes depending on the specific application and development of CNNs applied to data obtained from a wide range of structures. The challenges and limitations of the existing literature are discussed in detail at the end, followed by a brief conclusion on potential future research directions of CNN in structural condition assessment.

**Keywords:** Structural health monitoring, artificial intelligence, deep learning, CNN, damage detection, anomaly detection, structural condition assessment.

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**Table 1.** List of acronyms.

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<b>Acronym</b>	<b>Description</b>
AdaBoost	Adaptive Boosting
AE	Auto Encoder
CNN	Convolutional Neural Network
DBN	Deep Belief Network
DBM	Deep Boltzmann Machine
DL	Deep Learning
FCN	Fully Convolutional Network
$k$ NN	$k$ -nearest Neighbor
ML	Machine Learning
NN	Neural Network
ReLU	Rectified Linear Unit
ResNet	Residual Network
R-CNN	Regional Convolutional Neural Network
RNN	Recurrent Neural Networks
ROC	Receiver Operating Characteristic
SHM	Structural Health Monitoring
SVM	Support Vector Machine
TL	Transfer Learning
VGG	Visual Geometry Group

## 43 1. Introduction

44 Structural health monitoring (SHM) offers emerging and powerful diagnostic tools for damage

45 detection, maintenance, life-cycle cost reduction, and rapid disaster management for structures

46 (Cawley 2018). Most of these techniques rely on dynamic measurements that require installation  
47 of contact sensors such as accelerometers, strain gauges, fiber optic sensors, and ultrasonic wave  
48 sensors, which have high installation costs. With the recent development of next-generation  
49 sensors (Sony *et al.* 2019; Dabous and Feroz 2020) such as digital and high-speed cameras,  
50 unmanned ground vehicles (UGVs), and mobile sensors, there has been a radical shift to non-  
51 contact sensing techniques in SHM. They are easier to deploy, less labor-intensive, and more cost-  
52 effective, enabling more reliable data acquisition from structures with high-resolution temporal  
53 and spatial information (Lattanzi and Miller 2017; Almasri *et al.* 2020). However, unlike  
54 traditional contact sensors, non-contact sensors yield images and videos that require significant  
55 advances in robotics, image processing, computer vision, and deep learning algorithms, where  
56 structural engineers still face several challenges. In recent years, the SHM researchers have  
57 explored artificial intelligence techniques to solve these challenges and successfully achieve novel  
58 autonomous and intelligent inspection strategies using the non-contact and robotic devices. This  
59 research not only accelerates monitoring and maintenance tasks for the infrastructure owners but  
60 also allows accurate early-stage defect detection to prevent any catastrophic structural failure in  
61 the future. Moreover, the research advancement in this area enables improved structural  
62 maintenance with minimal human errors, lower costs, and higher accuracy, providing an end-to-  
63 end system to the infrastructure owners. This research has resulted in numerous publications in  
64 top-notch structural engineering journals. The main objective of this paper is to provide a  
65 systematic review of recent convolutional neural network (a subset of deep learning methods)-  
66 based techniques that have been widely developed in the context of non-contact sensing-based  
67 SHM.

68 A non-contact sensor such as a camera, where each pixel is effectively a sensor, can remotely  
69 collect a large amount of data from a structure. The challenge is then to interpret these images or  
70 videos for decision-making in SHM. Since the last decade, the SHM community has seen  
71 significant development in various image-processing algorithms that have enhanced the  
72 capabilities of non-contact sensors to undertake structural condition assessment. For example,  
73 Jahanshahi *et al.* (2009) reviewed various image processing techniques that were explored for the  
74 detection of missing or deformed members, cracks, and corrosion in various structures. A suite of  
75 image-based crack acquisition, processing, and interpretation techniques specifically for asphalt  
76 pavement was presented by Zakeri *et al.* (2017). Along similar lines, Koch *et al.* (2015) presented

77 a comprehensive summary of various image processing techniques that have been used to identify  
78 damage patterns in concrete bridges, tunnels, pipes, and pavement. Recently, Mohan and Poobal  
79 (2018) reviewed various image processing techniques for detecting cracks in concrete surfaces and  
80 concluded that the direction of the crack was crucial to the ability to detect and quantify the size  
81 of cracks.

82 Overall, existing image processing methods extract features from images using various edges or  
83 boundary detection techniques such as the fast Haar transform, Canny filter, Sobel edge detector,  
84 morphological detectors, template matching, background subtraction, and texture recognition  
85 methods. However, these methods often result in ill-posed problems due to disturbances created  
86 by environmental conditions such as light, distortion, weather, shade, and occlusion in outdoor  
87 civil structures (Lee *et al.* 2014). The SHM community has recently focused on overcoming these  
88 challenges using various computer vision and artificial intelligence (AI) techniques due to their  
89 reduced sensitivity to external disturbances and feature selection. Salehi and Burgueno (2018)  
90 reviewed a suite of various artificial intelligence (AI) methods that have recently been used in  
91 structural engineering. The authors showed the recent trend of AI-assisted research towards pattern  
92 recognition and machine learning-based automated data-driven methods. The relative merits and  
93 drawbacks of various AI methods were discussed in the context of various structural engineering  
94 applications. This paper reviews CNN-based deep learning techniques with a specific focus on the  
95 implementation of non-contact sensor-based SHM.

96 Although AI is a broad area of research covering various engineering disciplines, machine learning  
97 (ML) and deep learning (DL) techniques are the two most popular branches of AI that have been  
98 heavily explored in SHM research. ML algorithms are trained on a wide variety of data, and the  
99 accuracy of the algorithms improves with more data. The purpose of training is to optimize the  
100 error along the dimensions of the dataset using optimization functions such as a loss function or  
101 objective function and to obtain the best prediction results for test data. However, ML algorithms  
102 need features that are obtained from different image processing methods and are fed into different  
103 classifiers. Depending on the application, a suitable choice of features and classifiers is essential  
104 to identify anomalies from the images.

105 Ying *et al.* (2013) reviewed various ML-based SHM algorithms for isolating structural damage to  
106 steel pipes from environmental factors. Recently, another review paper written by Feng and Feng

107 (2018) provided an intensive literature review of state-of-the-art computer vision techniques using  
108 vision-based displacement sensors that were implemented for SHM. Most of these methods were  
109 based on template matching algorithms that extracted displacement time-histories from videos and  
110 images. The authors discussed various challenges of displacement extraction from videos obtained  
111 from 2D and 3D measurements and from artificial or natural targets, as well as their real-time and  
112 preprocessing applications. In particular, Gomes *et al.* (2018) presented a comprehensive review  
113 of intelligent computational tools available for damage detection and system identification, with a  
114 specific emphasis on composite structures. More recently, state-of-the-art vision-based structural  
115 condition assessment techniques using computer vision and ML algorithms were reviewed by  
116 Spencer *et al.* (2019). The challenges associated with static and dynamic measurement techniques  
117 were discussed, along with future directions of automated and improved decision-making methods  
118 for SHM. Overall, it can be concluded from the literature that ML methods rely heavily on feature  
119 extraction, followed by the application of suitable classifiers. These methods can manage small  
120 anomaly datasets, but may not be adequate for full-scale civil structures such as buildings, bridges,  
121 dams, pipelines, and wind turbines where crack patterns are complex and irregular (Yao *et al.*  
122 2014).

123 Unlike ML, DL-based AI methods automatically extract features and eliminate the need for  
124 manual feature extraction. Therefore, DL can differentiate among a large number of classes, and  
125 this capability has been recently explored for damage evaluation in structures. DL algorithms are  
126 based on vast sets of labeled data and require high computational performance and memory  
127 requirements. The term “*deep*” refers to the large number of layers that exist between the raw  
128 image input and the final classification output used in a network. Convolutional neural networks  
129 (CNNs), which are a popular class of DL methods, have been successfully used since their  
130 breakthrough in the 2012 ImageNet challenge due to their ability to extract features automatically.  
131 This has enabled automatic and optimized feature extraction to become part of the classifier  
132 learning process, which, however, does not compromise its optimality or the accuracy of crack  
133 identification. In particular, Bao *et al.* (2019) briefly reviewed improved SHM techniques that  
134 explored various data science, computer vision, DL, and ML methods. It was concluded that the  
135 application of DL, ML, and computer vision techniques made it possible to extract pertinent data  
136 from noisy measurement databases with damage signatures and to analyze them without requiring  
137 any predefined classifiers. Zhao *et al.* (2015) and Lei *et al.* (2020) summarized various ML and

138 DL techniques and their applications that are specific to machine health monitoring. It was  
139 concluded that DL techniques were the most effective because they are not restricted to specific  
140 machine types and involve minimal human intervention. Recently, Ye *et al.* (2019) provided a  
141 general survey and overview of various DL techniques in the context of SHM. Considering the  
142 intensity of CNN-based literature in the field of infrastructure monitoring, this paper is intended  
143 to provide a systematic review of standalone CNN-based literature that is specific to structural  
144 condition assessment.

145 The key objectives of this review paper are as follows:

- 146 1. To review CNN-specific papers that have been recently explored for structural condition  
147 assessment, with a specific focus on structural damage and anomaly detection. Similar to  
148 the condition monitoring of machines, there has been a significant trend towards using  
149 CNN to undertake local damage assessment and anomaly detection in large-scale civil  
150 structures. The primary objective of this paper is to conduct a detailed survey of emerging  
151 CNN-based SHM papers and to provide a comprehensive review of more than one hundred  
152 papers that have been recently published on this topic.
- 153 2. To compare existing CNN-based solutions and best practices to address the challenges of  
154 infrastructure monitoring and maintenance, which would provide valuable opportunities  
155 and guidance to future engineers and researchers to adopt the most relevant CNN  
156 architecture depending on their applications.
- 157 3. To provide a perspective on CNN-based methods in the domain of SHM that would  
158 facilitate valuable feature selection and anomaly detection methodologies in other areas of  
159 structural engineering and the broader field of civil engineering.
- 160 4. To provide the key challenges of the current literature and identify the potential future  
161 research directions of the CNN-based research in structural condition assessment.

162 This paper is structured as follows. A brief overview of various DL methods and CNN techniques  
163 is presented first. Next, the details of various CNN-based condition assessment techniques and  
164 their recent applications in structural condition assessment are presented. Different hybrid methods  
165 based on CNN are then presented, followed by key conclusions and discussions.

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## 168 **2. Preliminaries of Deep Learning Methods**

169 Non-contact sensing techniques (Sony *et al.* 2019; Dabous and Feroz 2020) and computer vision  
170 (Feng and Feng 2018; Spencer *et al.* 2019; Dick *et al.* 2019) have opened up a new era of next-  
171 generation autonomous SHM and inspection of large-scale structures. These sensors result in  
172 images and videos, requiring AI techniques to analyze complex input-output relationships of the  
173 training data and develop predictive models. The trained predictive models are then used for  
174 damage classification, localization, and prediction from the new measurement data of a wide range  
175 of structures. The objective of this paper is to review CNN-based SHM papers that have been  
176 published in the specific context of structural condition assessment. A brief background on DL  
177 methods is presented next, followed by a detailed background on CNN techniques.

178 DL algorithms have an adaptable nature similar to the human brain. These algorithms become  
179 more accurate as more training data are provided to them. DL models can simultaneously learn  
180 representation and decision rules from the data, like the biological organisms by which they are  
181 inspired. DL methods have multiple layers of non-linear transformations. For example, a raw  
182 image dataset that is fed through any DL architecture passes through several layers. Each layer,  
183 starting with the input layer, improves the identification of the dataset with subsequent layers, and  
184 eventually produces a classification or identification at the output layer (Lee *et al.* 2018). The most  
185 prominent aspect of DL is that these layers are not designed by engineers, but rather are learned  
186 from the data using a general-purpose learning procedure (LeCun *et al.* 2015). The advantage of  
187 DL is that it requires minimal user intervention, which has attracted various interdisciplinary  
188 researchers to use it for a wide range of applications such as object detection, classification, and  
189 segmentation.

190 In the context of SHM, DL can be used for damage detection in three ways: (a) classification, i.e.,  
191 labeling an image as damaged or undamaged, (b) localization, i.e., locating the regions where  
192 damage exists using bounding boxes and identifying their coordinates, (c) segmentation, i.e.,  
193 segmenting the pixels of an image into damaged and undamaged pixels (e.g., labeling of all pixels).  
194 In the last few years, several methods have been developed, including, but not limited to, the audio  
195 signal, time-series, video, and natural language datasets. DL methods (Goodfellow *et al.* 2016)  
196 have several variants such as Auto Encoders (AEs), Deep Belief Networks (DBNs), Deep

197 Boltzmann Machines (DBMs), Recurrent Neural Networks (RNNs), and Convolutional Neural  
198 Networks (CNNs).

199 The AE algorithm is used to learn data coding in an unsupervised manner to create a representation  
200 for a dataset by dimensionality reduction, ignoring the noise in the dataset (Vincent *et al.* 2008).  
201 DBN is a probabilistic generative model composed of multiple layers of stochastic and latent  
202 variables. If the number of units in the highest layer is small, DBN performs non-  
203 linear dimensionality reduction and can learn short binary codes that enable very fast retrieval of  
204 datasets (Hinton *et al.* 2006). DBM is a type of binary pairwise Markov random field with multiple  
205 layers of hidden random variables. Similarly to DBN, DBM can learn a complex and abstract  
206 internal representation of the input dataset using a limited amount of labeled data (Salakhutdinov  
207 and Hinton 2009). RNNs are designed and tested for sequential data, typically for application in  
208 dynamic systems such as time-series or speech and language. RNNs are the deepest of all neural  
209 networks and can generate memories of arbitrary sequences of input patterns (Funahashi and  
210 Nakamura 1993). However, CNNs require less statistical and probabilistic expertise to run and to  
211 infer the dataset and results, which makes them a preferred choice for researchers in the SHM  
212 community. The next section presents a detailed background on CNN, followed by a systematic  
213 literature review of non-contact sensor-based SHM using CNN.

### 214 **3. Background on Convolutional Neural Networks**

215 CNN is the most popular variant of the DL network. The underlying architecture of CNN is  
216 comprised of three layers: (a) convolutional (feature extraction), (b) pooling (dimensionality  
217 reduction), and (c) fully-connected layer. The convolutional layer contains a finite number of  
218 filters (defined by the kernel or filter size) that convolves with the input data and identify a large  
219 number of relevant features from the input image. The pooling layer reduces the dimensions of the  
220 resulting features using a down-sampling operation, thereby minimizing the overall computational  
221 effort of the network. Depending on the data and the desired accuracy, the system is deepened by  
222 repeating the convolution-pooling sequences multiple times. In this way, more high dimensional  
223 features are extracted from the input data followed by one or several fully-connected layers that  
224 are used for classification. Various C++/Python-based frameworks and platforms (Pouyanfar *et al.*  
225 2018), including *TensorFlow*, *PyTorch*, *Caffe*, *Theano*, and *Keras*, are currently available to  
226 execute these tasks.

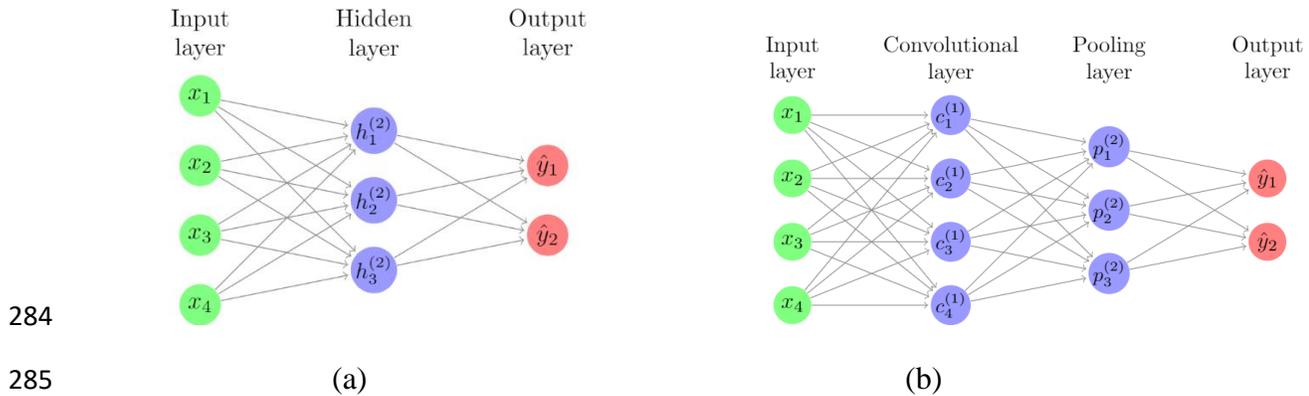
227 Combined with advances in GPUs and parallel computing, CNNs are a key technology underlying  
228 new developments in automated driving and facial recognition. CNNs are trained using a  
229 backpropagation algorithm, which combines the chain rule with the principles of dynamic  
230 programming. In a traditional neural network (NN), the full connections between the layers lead  
231 to time-intensive computations and overfitting of parameters (Abiodun *et al.* 2018). Unlike NN, a  
232 CNN convolves by using particular layers and avoids general multiplications, thereby keeping  
233 computations faster. CNN passes the input images through many deep layers (Gu *et al.* 2017; Yao  
234 *et al.* 2019) such as convolutional, pooling, and activation layers for feature extraction and  
235 performs classification using fully connected layers with a non-linear classifier (e.g., a *Softmax*  
236 classifier). CNN attempts to extract features by alternating and stacking convolutional kernels and  
237 pooling tasks. It tries to find features that best describe the input images with a varying number of  
238 deep layers. A rectified linear unit (ReLU) is often used as a non-linear activation function to  
239 introduce non-linearity in one or more of these layers on CNN. Auxiliary layers such as *dropout*  
240 layers are also used to prevent overfitting on CNN.

241 Convolutional layers take an input image and convolve it with a filter or kernel, where the size of  
242 the kernel matrix is much smaller than the size of the input matrix. The matrix multiplication of  
243 convolutional layers reduces the number of weights, which reduces the variance of the model.  
244 Convolutions generate invariant local features; at a lower level, filters can be used to detect edges  
245 in the image, whereas at a higher level, they can detect more complex shapes and objects that are  
246 critical for classifying an image. A convolutional layer is a set of image filters with learnable  
247 weights and plays an important role in CNN as a feature extractor.

248 On the other hand, pooling layers reduce the size of the layer while reducing the number of neurons  
249 in networks and extracting the most significant features with fixed-length over sliding windows of  
250 the raw input data. The reduction in the number of neurons is carried out by sliding a fixed window  
251 across a layer and choosing one value that effectively represents all the units captured by the  
252 window. Max-pooling and average-pooling are two common implementations of pooling. In max-  
253 pooling, the representative value becomes the largest of all units in the window, whereas, in  
254 average-pooling, the representative value becomes the average of all units in the window. A max-  
255 pooling layer is mostly used to down-sample the filtered weights from the convolutional layer,  
256 reducing computational costs and the probability of overfitting.

257 A fully connected layer has the shape of a flattened vector and plays an active role as a connector  
258 between the two-dimensional convolutional layer and the one-dimensional *Softmax* layer. The  
259 *Softmax* layer takes features from the fully connected layer, calculates the probabilities of each  
260 class using a normalized exponential function, and outputs the class with the highest probability  
261 as the classification result. By passing the images through various layers, a large number of  
262 parameters at various layers are optimally tuned and can extract salient features from the training  
263 images. In general, the training process varies from a few hours to a couple of days, depending on  
264 the network and hardware configurations, the training images, and the learning rate.

265 Both ordinary NNs and CNNs are feedforward neural networks and are generally trained using  
266 backpropagation. The primary difference between NNs and CNNs is the difference in the layers  
267 they use to classify images. Figure 1 shows the schematics of a typical NN and CNN architecture.  
268 The NN uses hidden layers (denoted as  $h$ ), whereas CNN uses convolutional (denoted as  $c$ ) and  
269 pooling layers (denoted as  $p$ ) along with input and output layers. The number of layers depends on  
270 the architecture, the data, and the performance required from the model. One of the most critical  
271 issues with NNs is overfitting. Large neural nets trained on relatively small datasets can over-fit  
272 the training data. Unlike NNs, CNNs are not prone to overfitting due to a reduction in weights and  
273 the number of neurons caused by the convolutional layer and pooling layer, respectively. The  
274 difference between NN and CNN can be understood using an example of an image. Consider an  
275 image of  $W * H * 3$  (over three channels, red, blue, and green), where  $W$  and  $H$  denote the width  
276 and height of the image matrix, respectively. An ordinary NN will take the image as the input, pass  
277 it through fully connected layers and non-linearities, and finally output a vector of probabilities  
278 for each class. The fully connected layer is so named because each of the input neurons  $n_i$  is  
279 connected to each output neuron  $n_o$ . If the number of input neurons is assumed to equal to the  
280 number of output neurons, the resulting number of weights becomes considerably large ( $n_i * n_o$ ).  
281 In the framework of image classification, it is computationally expensive to train such a network,  
282 and it also gives rise to high variance. CNNs are a neural network with a different architecture that  
283 significantly reduces the number of weights and, thereby, the variance of the model.



284  
285  
286 Figure 1. Schematic of (a) a typical NN and (b) a typical CNN with convolutional and pooling  
287 layers.

### 288 3.1 CNN Architectures

289 *LeNet* (LeCun *et al.* 1998) was originally developed to classify low-resolution images such as  
290 handwritten alphanumeric characters. *AlexNet* (Krizhevsky *et al.* 2012), a popular ImageNet CNN  
291 model, was developed by researchers from the University of Toronto and used convolutional filters  
292 of varying sizes, where the first layer had 11\*11 convolution filters. The authors were the first to  
293 use rectified linear units (ReLU). Several layers of convolution and max-pooling were used with  
294 around 60 million weights, and the model was trained on 2 GPUs. The Visual Geometry Group,  
295 *VGGNet* (Simonyan and Zisserman 2014), was developed by researchers from Oxford University  
296 and only used 3\*3 convolutional filters. Conv-Conv-Conv-pool layers were stacked together,  
297 followed by fully connected layers at the end. This research showed how the depth of CNN  
298 influences the accuracy of image reconstruction.

299 *GoogLeNet* (Szegedy *et al.* 2014) was a deeper network, containing 22 layers with more  
300 computational efficiency, and did not have any fully connected layers. There were around 5 million  
301 parameters in the model. The network was composed of stacked sub-networks called inception  
302 modules. It had a naïve inception module that ran convolutional layers in parallel and concatenated  
303 the filters together. Moreover, it had a dimensionality reduction inception module that performed  
304 1\*1 convolutions, thereby achieving dimensionality reduction. The reduction lowered the  
305 computational cost and made the network computationally efficient by stacking multiple inception  
306 modules together. *ResNet* (He *et al.* 2015) was deeper than *GoogLeNet* with 152 layers, where each  
307 layer in the residual block was implemented as a 3\*3 convolution.

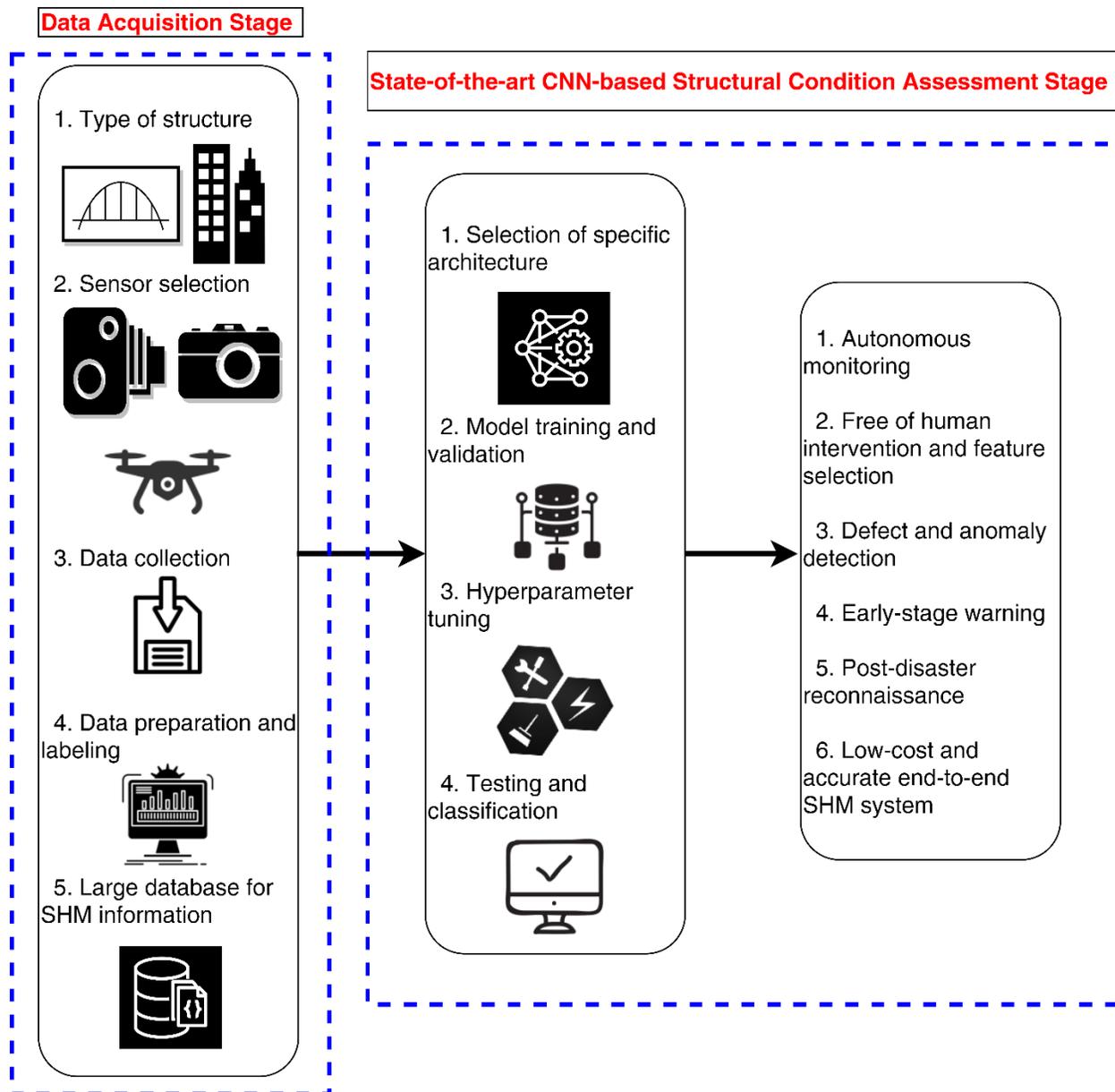
308 The development of newer CNN architectures evidenced a trend towards using more and more  
309 layers (i.e., a deeper architecture). Using these architectures for structural damage classification is  
310 valid only if a large amount of damage data is available. Moreover, the issue of overfitting may  
311 arise, and the outcome of high-performing CNNs will not generalize the results for civil  
312 engineering applications.

#### 313 **4. Review of CNN-Based SHM Literature**

314 Primarily originated for object recognition, 2D CNN algorithms were mostly explored for 2D  
315 images in various SHM applications to detect defects and anomalies autonomously. Moreover, for  
316 vibration-based SHM, the researchers attempted to reshape the vibration signal into images by  
317 transforming the signal in frequency and time-frequency (TF) domain and used the resulting TF  
318 maps as the images in 2D CNN. However, the images involve significant complexity in choosing  
319 a large number of labeled data and layers and are not suitable for real-time SHM applications using  
320 mobile or handheld devices. To alleviate this problem, 1D CNN was recently introduced such that  
321 a time-history of vibration signal can be directly fed into CNN, which requires simple array  
322 operations, thereby demanding a shallow architecture with a fewer number of hidden layers  
323 (Kiranyaz *et al.* 2019).

324 Figure 2 shows a flowchart of the state-of-the-art CNN-based SHM literature that leads to  
325 significant advancement in this topic in the last few years. The schematic presents the two stages:  
326 data acquisition and condition assessment stage. The data acquisition stage is central to understand  
327 which type of data is apt for a particular structure. The data preparation precedes the data  
328 acquisition stage, depending on the classification or prediction task required from a specific  
329 application. Specific CNN architecture is selected next, followed by their further improvement  
330 using hyperparameter tuning. Once this step is accomplished, various infrastructure monitoring  
331 tasks are achieved in the last stage, demonstrating the novel contributions of the state-of-the-art  
332 CNN-based SHM techniques. A detailed systematic review of CNN-based SHM is organized by  
333 classifying the current literature into multiple classes, as illustrated below.

334



335

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Figure 2. A schematic of the state-of-the-art CNN-based SHM operations.

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#### 4.1 Bridge health monitoring

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The bridge infrastructure is critical for transportation and requires continuous monitoring. The critical components of any bridge that are prone to damage are used to acquire data in the form of an acceleration time-history, images, or continuous video streams. Deep learning methods such as CNN, FCN, or R-CNN are used to identify, classify, and quantify the damage. Guo *et al.* (2014) explored a sparse coding-based CNN algorithm with wireless sensors for efficient bridge SHM.

343 Sparse coding was used as an unsupervised layer for unlabelled data to learn high-level features  
344 from acceleration data. Various levels of damage cases were considered for a three-span bridge  
345 that was instrumented using wireless sensors. The proposed method was compared with other  
346 methods such as logistic regression and decision trees, and the proposed method was shown to  
347 outperform other methods with an accuracy of 98%. Gulgec *et al.* (2017) proposed a methodology  
348 for structural damage identification using CNN. Numerous undamaged and single-damaged  
349 samples of a steel gusset plate connection created in ABAQUS with varying uniformly distributed  
350 loads were developed to train, validate, and test the algorithm. Moreover, 50 network  
351 configurations with various hyper-parameters were tested over several epochs to determine the  
352 optimal CNN parameters.

353 A multiscale CNN was developed by Narazaki *et al.* (2017) to extract damage to various bridge  
354 components from image-based data. Post-processing methodologies such as super-pixel averaging  
355 and conditional random field optimization were implemented to enhance the accuracy of the  
356 multiscale CNN. The proposed CNN network was developed from a *ResNet* made up of 22 layers  
357 that computed the *Softmax* probabilities corresponding to ten scene components. The pixel-wise  
358 accuracy was calculated to be only 78.94% for this methodology, suggesting a strong dependence  
359 on the quality of super-pixel segmentation with regards to the boundary segmentation of  
360 components. An ensemble framework combining a couple of sparse coding algorithms and a CNN  
361 was proposed by Fallahian *et al.* (2018) for structural damage assessment under varying  
362 temperature effects. Features extracted from the frequency response function of the measured data  
363 were fed into a CNN and a couple of sparse coding algorithms to develop the classifier. Stochastic  
364 gradient descent was used in CNN to assign weights, and a *Softmax* function as an activation  
365 function. The proposed method was validated using a numerical truss bridge and a full-scale  
366 bridge. However, there are various types of bridges, and for continuous and autonomous  
367 monitoring, the identification of various bridge types is critical along with that of multiple damage  
368 types.

369 Zhao *et al.* (2018) explored CNN for maintenance and inspection of bridges. For bridge  
370 classification, an *AlexNet*-based CNN was trained first with more than 3800 images of various  
371 bridges. For recognition of bridge components, a *ZF-Net*-based faster R-CNN was trained with  
372 600 bridge images. To detect cracks, a *GoogLeNet*-based CNN was trained with 60000 cracked  
373 and un-cracked images. Accuracies of 96.6% for bridge classification, 90.45% for bridge

374 component classification, and 99.36% for crack detection during testing were achieved. An image-  
375 based approach was proposed by Liang (2018) for holistic post-disaster inspection of reinforced  
376 concrete bridges using a DL encompassing system level, a component level, and local damage  
377 detection. Algorithmically, the network was made up of a *VGG-16* TL-based NN with Bayesian  
378 optimization for classification, a faster R-CNN for component detection, and a fully deep CNN for  
379 semantic damage segmentation. In a similar order, Kim *et al.* (2018) explored the application of  
380 regions with CNN (R-CNN)-based TL to identify cracks in a concrete bridge that were monitored  
381 using a UAV. Data containing 50000 images of 32×32 pixels from *ImageNet* and *Cifar-10* were  
382 used to train and classify the data. Max pooling and ReLU layers were used along with the  
383 convolutional layer in a sliding window-based CNN. The total length and thickness of cracks were  
384 also computed using a planar marker and automatically visualized on the inspection map.

385 Bao *et al.* (2019) presented computer-vision and DL-based structural anomaly detection to achieve  
386 automated SHM. Stacked AE and greedy layer-wise training techniques were used to train the DL  
387 networks. The acceleration data from a long-span bridge were first converted into images that were  
388 then transformed into grayscale image vectors for training a DNN considering six different  
389 anomalies such as missing, minor, outlier, square, drift, and trend data points. Recently, Xu *et al.*  
390 (2019) proposed fusion CNN for multilevel and multiscale damage identification in steel box  
391 girders without any prior assumptions of crack geometry. The proposed CNN architecture  
392 consisted of several layers of convolution, batch normalization, ReLU, max pooling, and *Softmax*,  
393 and was implemented using *MatConvNet*. Each image containing one or more cracks, handwriting,  
394 and background noise was acquired using a consumer-grade camera that was used for training and  
395 validation. The authors showed that fusion CNN worked better than general CNN, with an  
396 accuracy of 96.38%. However, its performance was limited to a specific object distance and the  
397 focal length of the camera.

398 Recently, Ni *et al.* (2019) proposed a 1D CNN-based technique in combination with autoencoder  
399 data compression for anomaly detection in a long-span suspension bridge. An accuracy of 97.53%  
400 was achieved with a compression ratio of 0.1. Similarly, Azmi and Pekcan (2019) proposed a  
401 CNN-TL-based SHM technique for damage identification in highly compressed data. A four-story  
402 numerical quarter-scale IASC-ASCE SHM model was used for numerical verification, and the  
403 proposed model was also validated on experimental studies using the IASC-ASCE SHM  
404 benchmark building and the Qatar University Grandstand Simulator. A mean accuracy of 90-100%

405 was achieved using the proposed model. 1D CNN was also used in a further study by Zhang *et al.*  
406 (2019) to detect changes in stiffness and mass. Three structural assemblages, a T-shaped steel  
407 beam, a short steel girder bridge, and a long steel girder bridge, were used, and accuracies of  
408 99.79%, 99.36%, and 97.23% were achieved.

## 409 **4.2 Pavement condition monitoring**

410 Pavements are highly susceptible to damage due to high traffic and extreme weather conditions.  
411 The dataset usually consists of images acquired from a dashboard camera or a UAV. Cha *et al.*  
412 (2017) introduced a vision-based methodology for detecting cracks in concrete structures using  
413 CNN. Using nearly 40,000 images of damaged and undamaged concrete generated from various  
414 structures, CNN was tested and validated with more than 97% accuracy. Zhang *et al.* (2017)  
415 proposed a pixel-level CNN to detect cracks on 3D pavement surfaces. The proposed CNN,  
416 “*CrackNet*”, was made up of two fully connected layers, one convolutional layer, one  $1 * 1$   
417 convolution layer, and one output layer. This network was more efficient than traditional CNNs  
418 because of the absence of pooling layers that downsized the output of previous layers. An  
419 automated crack-length detection algorithm was proposed for pavement by Tong *et al.* (2017)  
420 using a deep CNN. A database of 8000 images of cracked and non-cracked pavement was  
421 generated for training, 500 of which were randomly selected to act as the test database. In addition,  
422 the images were converted to a grey-scale *.bmp* format so that *k*-means clustering analysis could  
423 be used to extract the length and shape of each pavement crack accurately. A five-layer-deep CNN  
424 achieved an accuracy of 94.35% with a mean squared error of 0.2377 cm for crack lengths between  
425 0 and 8 cm. In addition, it was concluded that image resolution and lighting conditions had minimal  
426 influence on the accuracy of the proposed crack detection method.

427 Another pavement crack detection approach was investigated by Gopalakrishnan *et al.* (2017,  
428 2018) using TL-based deep CNN. By implementing a truncated *VGG-16* deep CNN pre-trained  
429 on the *ImageNet* database, image vectors were extracted to train various classifiers to compare  
430 their performance for crack detection. Fan *et al.* (2018) proposed CNN to detect pavement cracks  
431 from images acquired by an iPhone from pavements in Beijing, China. Millions of monochromatic  
432 and RGB image patches were used. It was demonstrated that the proposed methodology had a  
433 precision of approximately 92%, which was better than traditional ML techniques such as local  
434 thresholding, *CrackForest*, Canny, minimal path selection, and free-form anisotropy. Similarly,

435 Maeda *et al.* (2018a,b) investigated the capabilities of CNN networks to detect road surface  
436 damage from smartphone images. A pavement image dataset of 9,053 images captured using a  
437 dashboard-mounted smartphone was annotated using 15,435 bounding boxes to distinguish  
438 various damage classes. By analyzing this dataset using two object detection methods, Single-Shot  
439 Multibox Detector (SSD) using *Inception V2* and SSD using *MobileNet*, the robustness of these  
440 algorithms was investigated. Although the recall value of longitudinal construction joints and  
441 rutting, bumps, potholes, and separation was relatively low due to the small size of the training  
442 dataset, SSD *MobileNet* detected all damage classes with greater than 75% accuracy.

443 Fan *et al.* (2019) developed a novel FCN with an adaptive thresholding technique for image-based  
444 detection of road cracks. Initially, the FCN classified the images as either positive or negative  
445 based on the presence of cracks. The positive images were segmented, and an adaptive threshold  
446 technique that minimized the within-cluster sum of squares was used to localize the defects. The  
447 study used 40,000 RGB images from training, validation, and testing. The proposed methodology  
448 exhibited a precision of 99.92% and 98.70% for classification and pixel-level determination of  
449 pavement cracks. In another study, Zhang *et al.* (2018) proposed a novel algorithm to classify  
450 sealed and unsealed cracks in asphalt pavement using a TL-based deep CNN. The proposed  
451 methodology consisted of three components: (a) the images were initially enhanced to eliminate  
452 imbalance from illumination, (b) the images were classified as cracks, sealed cracks, or  
453 background images by means of a TL-based DCNN, and (c) fast block-wise segmentation and  
454 tensor voting curve detection were used to locate and extract those pixels that were considered  
455 cracked or sealed. It was concluded that the proposed method showed superior performance in  
456 both the classification and detection of sealed and unsealed pavement cracks.

457 Another DL algorithm was developed through TL for automated crack detection on concrete  
458 surfaces (Kim and Cho (2018)). Initially, a database of 50,000 images was created using the  
459 commercial scraper, “*ScrapeBox*”, and various data augmentation techniques. By means of TL, a  
460 modified network for multiple object detection, “*AlexNet*”, was used to train the proposed CNN  
461 classifier to identify uncracked pavement, cracks, and single or multiple edges or joints. By  
462 defining “crack-like” classes such as edges and joints, the number of false positives was  
463 significantly reduced.

464

### 465 **4.3 Inspection of underground structures**

466 Underground structures such as sewer pipes and tunnels are inaccessible for inspection. The  
467 underground structures are monitored using videos in combination with deep learning techniques.  
468 Stentoumis *et al.* (2016) presented CNN-based vision techniques to reconstruct 3D cracks with the  
469 aid of a stereo matching and optimization scheme using data acquired from a tunnel by a DSLR  
470 camera. A multilevel perceptron CNN was used as a classifier. The proposed method was also  
471 compared with various ML techniques such as *k*NN and SVM. The proposed CNN was shown to  
472 outperform other methods, with an accuracy of 88.6%. Similarly, Cheng and Wang (2018)  
473 evaluated sewer pipe defects through images acquired from closed-circuit television using faster  
474 region-based CNN (faster R-CNN). The R-CNN architecture works based on a region proposal  
475 network that can generate region proposals with different aspect ratios and scales to differentiate  
476 foreground and background noise to localize an anomaly compared to the undamaged section of a  
477 region of 3000 images. Doulamis *et al.* (2018) proposed a combined CNN and fuzzy spectral  
478 clustering approach for real-time crack detection in tunnels. An autonomous robotic system  
479 consisting of a robotic vehicle and a robot arm was used to capture imagery along the tunnel. To  
480 analyze complex concrete tunnel images, CNN was first used to capture specific regions of  
481 damage, followed by fuzzy clustering to exploit the spatial and orientation coherence of the cracks.  
482 It was concluded that the accuracy of crack prediction was relatively low due to limited visibility  
483 in the tunnel.

484 The capabilities of region-based FCN were explored by Xue and Li (2018) for shield tunnel lining  
485 defects. The proposed FCN consisted of a backbone convolutional layer and a pooling layer along  
486 with a *Softmax* layer and bounding box regression. A dataset containing a total of 4139 images of  
487 3000×3724 pixels each were acquired using a movable tunnel inspection system consisting of  
488 several CCD cameras and LEDs as a source of light. The proposed method outperformed *AlexNet*  
489 and *GoogleNet* and achieved an accuracy of 96% while performing both object detection and  
490 image classification. Recently, Feng *et al.* (2019) developed a TL based on the *Inception-v3* DL  
491 algorithm to perform multiple damage type classification for hydro-junction infrastructure. The  
492 existing structure of the *Inception-v3* algorithm was modified so that the final layer had five fully  
493 connected neurons to increase the accuracy of labeling each damage type. In another study (Kang  
494 *et al.* 2020), a basic pursuit-based background filtering algorithm was proposed to improve the

495 visibility of underground objects (e.g., cavities, manholes, and pipes), followed by DCNN using  
496 three-dimensional ground-penetrating radar data from urban roads in Korea.

#### 497 **4.4 Building condition assessment**

498 Tall buildings and historical structures pose a challenge for manual inspection and require an  
499 accessible way for autonomous monitoring. Chaiyasarn *et al.* (2018) proposed an integrated  
500 algorithm combining CNN with classification models such as SVM and random forest for crack  
501 detection in historic structures. The data consisted of images from masonry structures containing  
502 cracks that were acquired using a digital camera and an unmanned aerial vehicle (UAV). It was  
503 shown that CNN with SVM outperformed conventional CNN based on the *Softmax* classifier.  
504 Similarly, Yuem *et al.* (2018) used CNN for image classification after post-event (e.g., earthquake,  
505 hurricane, tornado, or others) building reconnaissance. The dataset of 90000 colored structural  
506 images was used to train the network for scene classification and object detection. All the images  
507 were manually labeled using in-house annotation software before the CNN training phase.

508 To classify various common types of building damage, Perez *et al.* (2019) explored the possibility  
509 of detecting common building defects caused by dampness, such as mold, deterioration, and  
510 staining through images using CNN. The proposed model was trained using the *VGG-16 (ResNet-*  
511 *50)* CNN classifier, and class activation mapping was used for object localization. The CNN  
512 architecture contained five blocks of convolutional layers with max-pooling for feature extraction.  
513 The proposed methodology achieved an overall accuracy of 87.50% and classified multiclass  
514 defects using a small dataset. Recently, Jiang and Zhang (2019) used a wall-climbing unmanned  
515 aerial system (UAS) to acquire real-time video. The video data were then converted to 1330 crack  
516 images, and a CNN was trained. The images were transferred to an Android platform through a  
517 wireless data link. An accuracy of 94.48% was achieved using the proposed model.

#### 518 **4.5 Multi-class structural monitoring**

519 Structures experience multiple types of damage, and identifying all of them at once is a faster  
520 approach to repair and maintenance. A vision-based multiscale pixel-wise deep CNN network was  
521 proposed by Hoskere *et al.* (2017) to detect six types of structural damage. The proposed  
522 methodology consisted of two parallel steps: (a) a damage classifier to separate each pixel into  
523 predefined classes and (b) a damage segmenter that distinguished damaged pixels from undamaged

524 ones. By implementing 1695 images of over 250 structures, the authors concluded that *ResNet23*  
525 and *VGG-19* were the most accurate segmenter and classifier, with accuracies of 88.8% and 71.4%,  
526 respectively. Moreover, by combining the segmenter and classifier networks using *Softmax*  
527 thresholds, the accuracy across all classes was increased from 71.4% to 86.7%. Lin and Nie (2017)  
528 used a CNN with batch normalization to extract and localize structural damage in a simply  
529 supported Euler-Bernoulli beam. Numerical simulations were conducted with various damage  
530 locations and conditions to generate a dataset of 6,885 measurements. The proposed methodology  
531 was compared with a wavelet packet transform approach for both noiseless and noisy single- and  
532 multi-damage scenarios. Overall, CNN resulted in superior performance over the wavelet packet  
533 transform for single and multiple structural damage sites.

534 Atha and Jahanshahi (2018) evaluated corrosion detection using three proposed CNN  
535 architectures, *VGG-15*, *Corrosion5*, and *Corrosion7*. A comparison is presented with the other two  
536 state-of-the-art CNN architectures, *VGG-16*, and *ZF-Net*. An approach containing non-  
537 overlapping sliding windows was used to isolate the corroded region within each image. The  
538 authors investigated the performance of the proposed architecture under various sizes of sliding  
539 windows and color spaces. Using two specific properties of CNN (parameter sharing and local  
540 connectivity), Khodabandehlou *et al.* (2018) proposed a CNN method that used a reduced number  
541 of parameters, hence requiring limited training data for SHM. Behrouzi and Pantoza (2018) used  
542 a DL algorithm to identify damage patterns from tagged images of roadways and railways after  
543 large seismic events. The authors claimed that the proposed method correctly identified 92% of  
544 the roadway images, where 80% of railways were affected by the earthquake. Cha and Kang (2018)  
545 carried out damage identification by means of CNN using ultrasonic beacons by geo-tagging a  
546 video stream obtained from a UAV. A deep CNN with a sliding window was used as a DL  
547 architecture, with ReLU as an activation function and a *Softmax* function as a classifier.

548 Similarly, Patterson *et al.* (2018) used DL techniques for seismic damage image classification and  
549 developed a user-friendly graphic user interface wrapper where *AlexNet* and *ResNet* were used in  
550 the pre-trained DL model. Pan *et al.* (2018) evaluated the efficacy of DBN using multiple restricted  
551 Boltzmann machines for structural condition assessment to enable timely decision-making for  
552 maintenance. A 1D CNN was proposed by Abdeljaber *et al.* (2018) for structural damage detection  
553 on an SHM benchmark dataset. Although CNNs are primarily used for 2D signals such as images  
554 and videos, the authors used the *tanh* activation function to learn from 1D raw acceleration data

555 and proposed an enhanced adaptive CNN to identify global structural damage in structures. Images  
556 acquired using smartphones and UAVs are viable and inexpensive options for acquiring damaged  
557 data from structures. Li and Zhao (2018) evaluated CNN for crack detection on a real concrete  
558 surface using cropped images taken from a smartphone. A CNN with binary outputs of the cracked  
559 or uncracked concrete surface was used to train *GoogLeNet*. A total of 60000 images with 256 by  
560 256 pixels each were used to classify cracked concrete surfaces with an accuracy of 99.39%. An  
561 application called *Crack Detector* was developed and installed in a smartphone to detect cracks in  
562 real-time.

563 Dorafshan *et al.* (2018a) explored the feasibility of using small off-the-shelf UAVs for inspection  
564 of concrete decks and buildings using CNNs. The proposed algorithm was first used to train the  
565 model using images acquired from a laboratory-scale bridge deck with a low-resolution camera  
566 and achieved an accuracy of 94.7%. The proposed CNN was then used to investigate a building  
567 by means of transfer learning (TL) using *AlexNet* with an accuracy of 97.1%. Moreover, Cha *et al.*  
568 (2018) proposed an improved visual inspection method using a faster region-based CNN. The  
569 proposed method provided robust detection of multi-surface damage types such as concrete cracks,  
570 medium and high corrosion of steel, bolt corrosion, and steel delamination using a variable  
571 bounding box and was shown to be more efficient than the authors' previous work (Cha *et al.*  
572 2017). Moreover, this technique showed promising results for the autonomous detection of  
573 structural defects from quasi-real-time video data. On the other hand, Dorafshan *et al.* (2018b)  
574 provided an excellent database for autonomous detection of cracks ranging from 0.06 to 25 mm  
575 using CNN on a concrete surface. Spatial- and frequency-domain edge detection methodologies  
576 were compared by the same authors (Dorafshan *et al.* 2018c) using DCNN to detect cracks in  
577 concrete structures. It was concluded that *AlexNet* could detect smaller cracks (86%) more  
578 accurately than Laplacian-of-Gaussian (LoG). Moreover, the authors proposed a hybrid  
579 methodology that implemented a CNN to categorize images based on the presence of damage,  
580 after which those damaged images were further refined at the pixel level by the LoG edge detection  
581 technique.

582 Hoskere *et al.* (2018) explored FCN with residual network architecture for automated post-  
583 earthquake image classification. The FCN was capable of semantic segmentation and classification  
584 and was combined with a 3D mesh model of the structure for damage representation in building  
585 components. The dataset used to train the FCN included 1000 images of 288 by 288 pixels each

586 and was acquired from post-disaster reconnaissance surveys using a UAV. An accuracy of 91.1%  
587 was achieved for damage type identification along with information of structural and non-  
588 structural components. Moreover, Rui *et al.* (2019) developed a two-stage CNN to detect and  
589 classify defects in narrow overlap welds. Time-series signals from eddy current testing of defective  
590 welds were initially converted to 2D diagrams using a continuous wavelet transform. Before the  
591 initial data transformation, the 2D diagrams were entered into a two-step CNN network that (a)  
592 identified the presence of defects using binary classification and (b) upon detecting defects, further  
593 classified them into five defect types. Although both single-step and two-step CNNs had similar  
594 accuracy of approximately 97%, the faster computational time of the two-step method made it  
595 more efficient.

596 Recently, Deng *et al.* (2019) implemented a faster R-CNN to detect handwritten scripts and cracks  
597 in concrete surfaces. A modified 21-layer *ZF-Net* consisting of three neurons to classify  
598 background, cracks, and handwriting was trained using a 20% subset of the authors' generated  
599 database of nearly 5000 sub-images. By investigating the influence of handwriting scripts on crack  
600 detection, it was concluded that including handwriting scripts as a unique background class  
601 significantly increased the accuracy of classifying cracks in concrete surfaces. Furthermore,  
602 comparing the proposed methodology with the DL algorithm, 'You Only Look Once' (*YOLO*) v2,  
603 showed superior performance, with significantly reduced percentages of false positives detected.  
604 Dung and Duc Anh (2019) proposed an FCN for segmented vision-based detection and density  
605 evaluation of surface cracks in concrete structures. TL was applied as the FCN encoder was based  
606 on the *VGG-16* CNN model because this model showed superior performance to *ResNet* and  
607 *Inception*. Upon training and validation using 500 images, the FCN was shown to have a max F1  
608 score and average precision of approximately 90%.

609 Li *et al.* (2019) proposed an FCN to detect four concrete damage classes: cracks, spalling,  
610 efflorescence, and holes, from an established smartphone-based image database. The development  
611 of the FCN algorithm was based on TL of weights and biases provided by *DenseNet-121* for feature  
612 extraction. The algorithm was trained and validated using 2200 images. Compared to *SegNet*, the  
613 proposed methodology offered better performance in detecting various types of concrete damage.  
614 In another recent study, the authors (Mei and Gul 2020) used a depth-first search algorithm as a  
615 preprocessing tool to eliminate isolated pixels, followed by multilevel feature fusion and crack  
616 detection using images obtained from a smartphone.

## 617 **4.6 Inspection of other large-scale structures**

618 Large-scale structures are challenging to monitor, and image-based monitoring techniques provide  
619 a powerful tool for effective structural monitoring. CNN was implemented to detect surface defects  
620 in rails from photometric stereo images acquired in a dark-field setup by Soukup and Huber-Mork  
621 (2014). The setup of various light sources at different oblique angles in the dark-field identified  
622 the location of cavities through a scattering of applied light. Comparing traditional model-based  
623 approaches to the trained CNN, the authors found a significant reduction in a detection error.  
624 Furthermore, regularization methods such as training data augmentation and unsupervised layer-  
625 wise pre-training were shown to reduce the probability of overfitting due to the size of the available  
626 image dataset. Abdeljaber *et al.* (2017) proposed a nonparametric 1D CNN to extract structural  
627 damage from the time-histories of vibration-based responses. In this method, the acceleration at  
628 each sensor location was first divided into several frames, each containing a finite number of  
629 samples, and then each frame was normalized and fed into a CNN. The probability of damage was  
630 then computed to quantify the severity of damage and isolate the damage location. The proposed  
631 methodology showed efficient processing of the measured data compared to existing ML  
632 techniques, which required significant pre- and post-processing and feature extraction. A  
633 laboratory stadium developed in the Qatar University Grandstand Simulator was used to validate  
634 the accuracy of the proposed method.

635 Pan *et al.* (2018) evaluated the efficacy of DBN using multiple restricted Boltzmann machines for  
636 structural health assessment to enable timely decision-making for maintenance. Lin *et al.* (2018)  
637 compared CNN with SVM for damage assessment in a three-story laboratory model and concluded  
638 that DL methods had less noise sensitivity than shallow learning methods. Chen and Jahanshahi  
639 (2018) proposed a CNN method with a naïve Bayes data fusion scheme to detect tiny cracks on  
640 metallic surfaces from video data for nuclear inspection applications. This methodology was  
641 distinct from previous CNNs because it collected image data from multiple video frames to  
642 improve crack localization while using a naïve Bayes decision process to reduce false negatives.  
643 Through testing and training of approximately 300,000 images extracted from video frames, it was  
644 concluded that this methodology achieved an accuracy of 98.3%, showing significant  
645 improvement compared to state-of-the-art ML algorithms.

646 Recently, Dick *et al.* (2019) investigated the use of DL algorithms to inspect critical electric utility  
647 infrastructure. Through TL on CNN, images of utility infrastructure from vehicular-mounted  
648 cameras were classified into five categories: highways, pine trees, fields, trucks, and power  
649 infrastructures. This technique provided automatic detection of vegetation, which was considered  
650 a major hazard to power infrastructure. Hoskere *et al.* (2019) proposed deep Bayesian NNs for  
651 damage localization in gates of navigation locks. In this proposed research, Monte Carlo dropout  
652 was used to increase the accuracy of the trained network and determine the sensitivity of measured  
653 strain to damage. Three CNN models were recently tested by Xu *et al.* (2019) to identify cracks in  
654 wind turbine blades. In another study (Zhang *et al.* 2020), the authors implemented a faster region-  
655 based CNN to detect bolt loosening under different operating conditions such as measurement  
656 angle, lighting condition, and vibration condition.

## 657 **5. Improved CNN methods in SHM**

658 Depending on the complexity of damage and its location in large-scale structures, the SHM  
659 community recently implemented several advanced CNN architectures to train these complex  
660 models. Some of these newer architectures include fully convolutional networks (FCNs) and  
661 transfer learning (TL).

### 662 **5.1 Fully Convolutional Networks (FCNs)**

663 Yang *et al.* (2018) proposed a novel FCN for pixel-level crack detection. This method consisted  
664 of both down-sampling using a *VGG16* network and up-sampling techniques, creating a robust  
665 model that could analyze multiscale images. Future improvements to increase performance for the  
666 detection of thin cracks, intersections, and border cracks were suggested to increase the accuracy  
667 of proposed networks to that of existing state-of-the-art DL algorithms. Hoskere *et al.* (2018)  
668 explored FCN with residual network architecture for automated post-earthquake image  
669 classification. The FCN was capable of semantic segmentation and classification and was  
670 combined with a 3D mesh model of the structure for damage representation in building  
671 components. The dataset used for training the FCN included 1000 images of 288 by 288 pixels  
672 each and was acquired from post-disaster reconnaissance surveys using a UAV.

673 The capabilities of region-based FCN were explored by Xue and Li (2018) for shield tunnel lining  
674 defects. The proposed FCN consisted of a backbone convolutional layer, a pooling layer, a *Softmax*

675 layer, and bounding box regression. A dataset of 4139 images of 3000×3724 pixels each were  
676 acquired using a movable tunnel inspection system consisting of several CCD cameras and LEDs  
677 as a source of light. The proposed method outperformed *AlexNet* and *GoogLeNet* and achieved an  
678 accuracy of 96% while performing both object detection and image classification. Dung and Duc  
679 Anh (2019) proposed an FCN for segmented vision-based detection and density evaluation of  
680 surface cracks in concrete structures. Fan *et al.* (2019) developed a novel FCN with an adaptive  
681 thresholding technique for image-based detection of road cracks. Initially, the FCN classified the  
682 images as either positive or negative based on the presence of cracks. These positive images were  
683 then segmented, and an adaptive threshold technique that minimized the within-cluster sum of  
684 squares was used to localize the defects.

685 Li *et al.* (2019) proposed an FCN to detect four concrete damage classes: cracks, spalling,  
686 efflorescence, and holes, from an established smartphone-based image database. The development  
687 of the FCN algorithm was based on TL of weights and biases provided by *DenseNet-121* for feature  
688 extraction. The algorithm was trained and validated using 2200 images. Compared to *SegNet*, the  
689 proposed methodology offered better performance in detecting various types of concrete damage.  
690 An FCN was developed by Rubio *et al.* (2019) to detect delamination and rebar exposure in  
691 reinforced concrete bridges. The authors considered a multi-labeled approach for the dataset in  
692 which different regions of the images were considered ground truth, uncertain, or penalized  
693 depending on the agreement of the various annotators that classified them. This methodology had  
694 a mean accuracy of 89.7% and 78.4% for delamination and rebar exposure, meaning that this  
695 model could be used as a step towards automating bridge inspection.

## 696 **5.2 CNN with Transfer Learning**

697 Feng *et al.* (2017) proposed an active learning algorithm for automatic detection and classification  
698 of cracks, deposits, and water leakage from concrete structures without requiring time-consuming  
699 labelling. The classification and detection of these defects were performed by a deep residual  
700 network (*ResNet*). Using the active learning network, the classifiers were continuously retrained  
701 with new annotated images, achieving a significant reduction in manual human-based image  
702 annotation and labeling. Using a positive-sampling technique, the authors obtained an accuracy of  
703 87.5% for 235,200 image patches. Another pavement crack detection approach was investigated  
704 by Gopalakrishnan *et al.* (2017, 2018) using TL-based deep CNN. By implementing a truncated

705 VGG-16 deep CNN pre-trained on the *ImageNet* database, image vectors were extracted to train  
706 various classifiers to compare their performance for crack detection. Kim *et al.* (2018) explored  
707 the application of regions with CNN (R-CNN)-based TL to identify cracks in a concrete bridge  
708 that was monitored using a UAV. Data containing 50000 images of 32×32 pixels each from  
709 *ImageNet* and *Cifar-10* was used to train on the data, followed by classification. Max pooling and  
710 ReLU layers were used along with a convolutional layer in the sliding window-based CNN. The  
711 total length and thickness of cracks were also computed using a planar marker and were  
712 automatically visualized on an inspection map.

713 In another recent study, Gao and Mosalam (2018) developed a *Structural ImageNet* to detect  
714 various types of post-disaster damage using a modified TL-based VGG-16 network. The  
715 robustness of detecting four pre-defined features: (1) component type, (2) spalling condition, (3)  
716 damage level, and (4) damage type was investigated using feature extraction and fine-tuning of the  
717 TL technique. Parametric studies were conducted to determine the optimal image size to reduce  
718 computational complexity while retaining valuable information. Moreover, complexities in the  
719 four-class damage-level features resulted in decreased accuracy (68%) and increased overfitting  
720 (23%), suggesting that this model may be a baseline for future research into *Structural ImageNet*.  
721 Zhang *et al.* (2018) proposed a novel algorithm to classify sealed and unsealed cracks in asphalt  
722 pavement using a TL-based deep CNN. The proposed methodology consisted of three components:  
723 (a) the images were initially enhanced to eliminate imbalance with illumination, (b) images were  
724 classified as unsealed cracks, sealed cracks, or background images by means of a TL-based DCNN,  
725 and (c) fast block-wise segmentation and tensor voting curve detection were used to locate and  
726 extract those pixels that were considered cracked or sealed. It was concluded that the proposed  
727 method showed superior performance for both the classification and detection of sealed and  
728 unsealed pavement cracks compared to other image processing methods. Another DL algorithm  
729 was developed through TL for the automated detection of cracks on a concrete surface (Kim and  
730 Cho 2018). Initially, a database of 50,000 images was created using the commercial scraper,  
731 “*ScrapeBox*”, and various data augmentation techniques. By means of TL, a modified network for  
732 multiple object detection, “*AlexNet*”, was used to train the proposed CNN classifier to identify  
733 non-cracks, cracks, and single or multiple edges or joints. By defining “crack-like” classes such as  
734 edges and joints, the number of false positives was significantly reduced.

735 Recently, Feng *et al.* (2019) developed a TL based on the *Inception-v3* DL algorithm to detect  
736 multiple damage classifications for hydro-junction infrastructure. The existing structure of the  
737 *Inception-v3* algorithm was modified so that the final layer had five fully connected neurons to  
738 increase the accuracy of labeling each damage type. Kim and Sim (2019) addressed the automation  
739 of operational modal analysis by developing a faster R-CNN for automated extraction of peaks  
740 from frequency-domain image data. Faster R-CNNs such as the *VGGNet* and *ZF-Net* implemented  
741 in this study used region proposal networks (RPNs) to generate rectangular object regions through  
742 the shared convolutional features of fast R-CNN networks. The network was trained using 15,596  
743 peaks extracted from a multiple-degree-of-freedom numerical model. Upon comparison with time  
744 domain-based methods for peak extraction, it was found that the proposed method had superior  
745 performance to F1 scores and computational time.

## 746 **6. Comprehensive Summary of the Reviewed Literature**

747 As shown in Sections 4-5, structural condition assessment involves major tasks such as system  
748 identification, damage identification, crack, and anomaly detection. The accuracy of these tasks  
749 strongly depends on sensor placement and presence of sensor faults, fluctuations in environmental  
750 and operational conditions, the suitability of appropriate features and feature extraction methods  
751 such as time-, frequency-, time-frequency methods (Qarib and Adeli 2016; Sadhu *et al.* 2019;  
752 Barbosh *et al.* 2020; Kankanamge *et al.* 2020), image processing (Mohan and Poobal 2018) and  
753 other ML techniques (Sun *et al.* 2020). Therefore, the conventional ML-based SHM strategies  
754 strongly rely on expert knowledge to design the most appropriate features for a given data of  
755 critical infrastructure. Unlike the traditional approaches, CNN undertakes similar tasks without  
756 requiring any feature selection stage. It relies on a large database of training data and builds a deep  
757 network with a suite of network and training parameters, implicitly performing both feature  
758 extraction and pattern classification. At one end, 1D CNN (Kiranyaz *et al.* 2020) uses structured  
759 information such as vibration or time-series data to perform global damage detection. On the other  
760 hand, 2D CNN has been explored to analyze unstructured data such as actual images or derived  
761 TF images (e.g., spectrograms or scalograms) of time-series to undertake local damage  
762 identification. Overall, CNN has achieved significant popularity in the SHM literature due to its  
763 requirement of having minimum knowledge of the best-suited features of a dataset. Table 2 finally

764 provides a summary of the literature reviewed in Sections 4 and 5 with a systematic presentation  
 765 of the specific application and data used for structural condition assessment.

766 **Table 2:** Summary of CNN-based structural condition assessment literature.

Reference	Application	CNN architecture	Specifics of data
<b><u>Bridge health monitoring</u></b>			
<b>Merits:</b>			
<ol style="list-style-type: none"> <li>1. A wide variety of data types includes sequential/time-series and visual-based images and videos, where both 1D and 2D CNNs have been equally effective.</li> <li>2. The application of CNNs enables the identification of both global and local structural damage.</li> </ol>			
<b>Drawbacks:</b>			
<ol style="list-style-type: none"> <li>1. The sparse coding algorithm is often needed as a preprocessor for feature extraction in combination with CNNs to overcome the challenge of data labeling.</li> <li>2. Vision-based data collection of independent bridge components is a challenging task; CNNs are used to train the classification based on scene segmentation and bridge component identification from a large-scale image.</li> </ol>			
Guo <i>et al.</i> (2014)	Global condition assessment	Inclusion of sparse coding in CNN	Acceleration time-histories
Gulgec <i>et al.</i> (2017)	Anomaly detection in steel gusset plate	CNN	Simulated strain measurements
Narazaki <i>et al.</i> (2017)	Global and component-level damage assessment	Multiscale CNN developed from a <i>ResNet</i>	Images of scene components
Fallalian <i>et al.</i> (2018)	Global condition assessment	Integration of coupled sparse coding in DNN	Simulated and experimental acceleration data
Zhao <i>et al.</i> (2018)	Component-level damage assessment	<i>AlexNet</i> , <i>ZF-Net</i> , and <i>GoogleNet</i>	Cracked and un-cracked images

Liang (2018)	Global and component-level damage assessment	VGG16, R-CNN, and fully deep CNN through semantic segmentation with Bayesian optimization	Cracked and un-cracked images of reinforced concrete bridges
Kim <i>et al.</i> (2018)	Component-level damage assessment	R-CNN-based TL ( <i>ImageNet</i> and <i>Cifar10</i> )	Images from UAV
Bao <i>et al.</i> (2019)	Anomaly detection	DNN-stacked AE and greedy layer-wise training techniques	Acceleration data
Xu <i>et al.</i> (2019)	Damage assessment in steel box girders	FCNN implemented with <i>MatConvNet</i>	Images acquired from a consumer-grade camera
Rubio <i>et al.</i> (2019)	Component-level damage assessment	FCNs	Images
Ni <i>et al.</i> (2019)	Anomaly detection with data compression	1D CNN	Acceleration data
Azimi and Pekcan (2019)	Damage identification	CNN with TL	Acceleration data
Zhang <i>et al.</i> (2019)	Damage identification with changes in stiffness and mass	1D CNN	Acceleration data
<b><u>Pavement condition monitoring</u></b>			

**Merits:**

1. The image datasets can be acquired under varying environmental conditions. The data acquired is suitable for multiclass problems (e.g., identification of cracks, their sizes, and locations).
2. The crack length identification is carried out efficiently by increasing the subsampling between the convolution layers and creating a deep CNN.

**Drawbacks:**

1. In the presence of noise and complicated cracks, the CNNs are supplemented with additional preprocessing such as bilateral filtering and adaptive thresholding.
2. The datasets often result in imbalance measurements.
3. In case of similar crack identification, such as open crack and sealed crack under noise is tackled using a special treatment such as TL and tensor voting-based crack detection.

Cha <i>et al.</i> (2017)	Concrete surface	CNN with sliding window technique	Images from DSLR camera
Zhang <i>et al.</i> (2017)	Automated pavement crack detection	<i>CrackNet</i> in the absence of pooling layer	3D asphalt images
Tong <i>et al.</i> (2017)	Crack length detection	Deep CNN	Cracked and un-cracked RGB images
Gopalakrishnan <i>et al.</i> (2017,2018)	Pavement defects	<i>VGG16</i> , DCNN	Images acquired using UAV
Fan <i>et al.</i> (2018)	Crack size estimation	CNN	Monochromatic and RGB images from iPhone
Maeda <i>et al.</i> (2018a,b)	Anomaly detection on the road surface	CNN integrated with two object detection methods	Images acquired from a dashboard-mounted smartphone in a vehicle
Fan <i>et al.</i> (2019)	Road inspection	FCN with adaptive threshold technique	RGB images

Zhang <i>et al.</i> (2018)	Asphalt pavement	TL-based deep CNN	Images
Kim and Cho (2018)	Crack inspection in an onsite environment	TL integrated with <i>AlexNet</i>	Images and videos acquired from UAVs
<b><u>Inspection of underground structures</u></b>			
<b>Merits:</b>			
<ol style="list-style-type: none"> <li>1. Underground structures such as sewer and water pipes, tunnels, and heavy infrastructures such as hydropower dams are difficult to inspect due to their depth, and thickness using the traditional vibration-based SHM methods.</li> <li>2. For extremely large, inaccessible structures such as hydro structures, UAVs with real-time kinematic global positioning system can be used for data collection and defect identification.</li> <li>3. In the presence of sequential data such as radar data, CNNs perform better with de-noised signals.</li> </ol>			
<b>Drawbacks:</b>			
<ol style="list-style-type: none"> <li>1. Data acquisition from structures such as tunnels and sewer pipe require different approaches. For example, images from tunnels can be acquired using DSLR cameras and robotic vehicles; however, for sewer pipe, images are obtained from pre-installed closed-circuit cameras.</li> <li>2. CNNs are also required to be combined with unsupervised clustering to refine the detected crack regions from noisy images exploiting spatial and orientation coherency in the presence of inadequate lighting conditions.</li> <li>3. If the dataset is small, TL is applied for the enhancement of CNN damage classification performance.</li> </ol>			
Stentoumis <i>et al.</i> (2016)	Highway and railway tunnels	CNN connected with multilevel perceptron to build a 3D crack model	Images from DSLR camera
Cheng and Wang (2018)	Sewer pipe defects	Faster region-based CNN	Images acquired from closed-circuit television
Doulamis <i>et al.</i> (2018)	Tunnel inspection	CNN combined with fuzzy spectral clustering	Images obtained from a robotic vehicle

Xue and Li (2018)	Tunnel lining	Region-based FCN with <i>Softmax</i> layer and bounding box regression	Images from CCD camera
Feng <i>et al.</i> (2019)	Hydro infrastructure	<i>Inception-V3</i> and TL	Images from a high-definition camera
Kang <i>et al.</i> (2020)	Underground cavity detection	CNN with a basic pursuit-based background algorithm	3D ground penetration radar data
<b><u>Building condition assessment</u></b>			
<b>Merits:</b>			
<ol style="list-style-type: none"> <li>1. Buildings are tall spatial structures that require condition assessment on internal and external components. The evaluation of external components, e.g., assessment of post-disaster nonstructural damages, is now possible with vision-based CNN methods. The datasets can be easily acquired using an inexpensive digital handheld camera, smartphones, and UAVs.</li> <li>2. In many studies, apart from the crack or defect detection, the Class Activation Mapping layer is added to CNNs for object identification. The object localization is highly beneficial for the identification of damage in structural and nonstructural components.</li> </ol>			
<b>Drawbacks:</b>			
<ol style="list-style-type: none"> <li>1. CNNs are often reinforced with an additional 3D image stitching technique to analyze the structure in the 3D coordinate system.</li> <li>2. The training database is often not enough; CNNs are required to pre-trained on benchmark models such as <i>VGG16</i> or <i>CrackNet</i>.</li> </ol>			
Chaiyasarn <i>et al.</i> (2018)	Global condition assessment in historical masonry structures	CNN with SVM and random forest	Images from digital camera and UAV

Yuem <i>et al.</i> (2018)	Post-disaster building reconnaissance	CNN with in-house automation software to label images	Scene classification and object detection for damage classification
Perez <i>et al.</i> (2019)	Surface-level defects caused by mold, stain, and deterioration	VGG16 and class activation mapping	Images acquired using a mobile phone and hand-held camera along with copyrighted images from Internet
Jiang and Zhang	Crack detection	CNN	Unmanned aerial system to acquire video and images
<b><u>Multi-class structural monitoring</u></b>			
<b>Merits:</b>			
<ol style="list-style-type: none"> <li>1. Offer autonomous monitoring systems and eliminate manual inspections that are time-consuming, labor-intensive, subjective, and often unsafe.</li> <li>2. Allow rapid decision making for post-disaster damage assessment.</li> <li>3. The proposed techniques are mostly insensitive to the measurement noise.</li> </ol>			
<b>Drawbacks:</b>			
<ol style="list-style-type: none"> <li>1. Need further improvement to develop more robust multi-type damage classification techniques.</li> <li>2. Significantly more layers would be required to distinguish between different types of complexities in structures, damage conditions, and background effects.</li> <li>3. Few of these methods are heavily dependent on the results of the FE model as the real condition data are scarce.</li> <li>4. Proper labeling of multiclass damages is always a challenge.</li> </ol>			
Hoskere <i>et al.</i> (2017)	Post-earthquake multiclass structural inspection	Multiscale pixel-wise deep CNN	Various images of concrete and steel surfaces
Lin and Nie (2017)	Numerical simulation using a simply supported beam	CNN	Time-series data

Atha and Jahashahi (2018)	Corrosion detection on a metallic surface	<i>VGG15</i> , <i>Corrosion5</i> , and <i>Corrosion7</i> with non-overlapping sliding windows	Colour images
Khodabandehlou <i>et al.</i> (2018)	Vibration-based condition assessment	2D CNN	Acceleration time-histories
Behrouzi and Pantoza (2018)	Post-earthquake inspection	DL network	Tagged images of roadways and railways
Kang and Cha (2018)	Structural inspection where using GPS is not feasible	Deep CNN with sliding window	Geo-tagging of a video stream from a UAV
Patterson <i>et al.</i> (2018)	Seismic damage classification	<i>AlexNet</i> and <i>ResNet</i>	GUI wrapper
Abdeljaber <i>et al.</i> (2018)	SHM benchmark data	1-D adaptive CNN with (hyperbolic tangent) <i>tanh</i> activation function	Acceleration data
Li and Zhao (2018)	Concrete surface	<i>GoogleNet</i> (an app, <i>Crack Detector</i> , was developed)	Cropped images are taken from a smartphone
Dorafshan <i>et al.</i> (2018)	Component-level damage assessment in bridges and buildings	TL and <i>AlexNet</i> DCNN	Imaged from off-the-shelf UAV
Cha <i>et al.</i> (2018)	Multi-surface damages	Faster-R-CNN	Quasi-real-time video data

Dorafshan <i>et al.</i> (2018b, 2018c)	Concrete surface	CNN with LoG edge detection	Benchmark database with cracks ranging from 0.06 to 25 mm
Yang <i>et al.</i> (2018)	Pixel-level crack detection	FCN via <i>VGG16</i>	Multiscale images
Hoskere <i>et al.</i> (2018)	Post-earthquake inspection	FCN	Reconnaissance survey from a UAV
Rui <i>et al.</i> (2019)	Defective welds	Wavelet-assisted CNN with binary classification	Time-series data of eddy current
Deng <i>et al.</i> (2019)	Concrete surface	Faster R-CNN, <i>ZF-Net</i> , and <i>YoLo v2</i>	Images with handwritten scripts and cracks
Dung and Duc Anh (2019)	Surface cracks in concrete structures	<i>VGG16</i>	Images and video of crack data
Li <i>et al.</i> (2019)	Multiple concrete damage types	<i>DenseNet-121</i> -based FCN	Smartphone-based images
Mei and Gul (2020)	Pixel-level crack detection	DNN with depth-first search-based preprocessing	Smartphone-based images

### **Inspection of other large-scale structures**

#### **Merits:**

1. Many algorithms showed robustness in different environmental conditions.

#### **Drawbacks:**

1. Noise interference could contaminate the data in large-scale structures; deeper neural networks could be used to solve this issue.
2. A large number of training data is needed to achieve data convergence and prevent overfitting.

Soukoup and Huber-Mork (2014)	Metal surface of rails	Unsupervised layer-wise pre-training.	Photometric stereo images
Abdeljaber <i>et al.</i> (2017)	Laboratory study	One-dimensional CNN	Acceleration time-histories
Feng <i>et al.</i> (2017)	Less time-consuming labelling operation	<i>ResNet</i> with active learning	Image dataset
Pan <i>et al.</i> (2018)	Experimental study	Deep Bayesian NN using multiple restricted Boltzmann machines	Acceleration data
Lin <i>et al.</i> (2018)	Laboratory studies	Comparison of CNN with SVM and other shallow learning methods	Acceleration data
Chen and Jahanshahi (2018)	Nuclear power plant	CNN with a naïve Bayes data fusion	Video data
Dick <i>et al.</i> (2019)	Electrical utility infrastructure	TL and CNN	Images from a vehicle-mounted camera
Hoskere <i>et al.</i> (2019)	Navigation infrastructure	Deep Bayesian NN	Finite element model-based simulated data and measured strain data
Xu <i>et al.</i> (2019)	Wind turbine blade	Three CNN models	Images from UAVs
Kim and Sim (2019)	Operational modal analysis	<i>VGGNet</i> and <i>ZF-Net</i>	Frequency peaks from simulated data.

Zhang <i>et al.</i> (2020)	Detection of bolt loosening using experimental study	Region-based CNN	Webcam data
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767

## 768 **7. Challenges for CNN Implementation in Structural Condition Assessment**

769 With increasing computational capabilities in the era of big data, high-performance computing,  
770 parallel processing, and cloud computing, CNN techniques have witnessed significant  
771 developments in remote and autonomous SHM of critical civil infrastructure. 2D CNN has brought  
772 a radical shift in SHM using non-contact sensors and robotic devices. Whereas, 1D CNN, which  
773 is free of major matrix operations, has resulted in efficient classification and clustering of  
774 vibration-based SHM data, enabling its capabilities in low power real-time applications (e.g.,  
775 smartphone or handheld device). The CNN techniques offer new advantages and opportunities that  
776 are systematically reviewed in this paper based on the ongoing research published in top-notch  
777 journals and conference papers. At one end, the state-of-the-art research offers remote and  
778 autonomous SHM systems for cost-effective and accurate structural inspection. On the other hand,  
779 it allows feature-free early-stage warning or post-disaster reconnaissance for the infrastructure  
780 owners and stakeholders, enhancing an end-to-end SHM system. However, the existing CNN-  
781 based literature presents several challenges that must be addressed in the upcoming years before  
782 this approach can be positioned as a generalized strategy for monitoring and maintenance of a wide  
783 range of infrastructure. The identified real-world challenges are illustrated below:

784 **i) Data imbalance issue in large-scale infrastructure:** CNN implicitly adopts a deep network  
785 depending on the complexity of the data. Unlike systems in other engineering domains, civil  
786 infrastructure is large in size and composed of decades of design life. Due to such size and life-  
787 span, structural condition data obtained from limited sparse measurements have a wide variety of  
788 damage states (Sun *et al.* 2020), causing data imbalance issue in SHM. Although the researchers  
789 have proposed various data augmentation techniques to alleviate the over-fitting caused by the  
790 data imbalance, it remains a significant challenge to the SHM community (Gopalakrishnan *et al.*  
791 2017, 2018; Liang 2018; Kim *et al.* 2018; Zhang *et al.* 2018), unlike in other engineering domain.

792 Moreover, acquiring a large number of images with a wide variety of historical damage events  
793 forms another hindrance to developing a training database, which limits the applicability of CNN  
794 in structural condition assessment.

795 **ii) Data variety and lack of expandability in SHM:** SHM data has a wide variety depending on  
796 the type of infrastructure and sensors, quality of the database and background noise, level of  
797 damage and sensor locations, presence of outlier and bias, environmental and operating conditions.  
798 Therefore, the existing literature of data-driven condition assessment approaches has primarily  
799 focussed on finding the most appropriate CNN architecture (Yuem *et al.* 2018) required for  
800 specific data of interest. For example, it may not be necessary that the training data of a steel and  
801 concrete bridge of the same length subjected to similar operational and environmental loads will  
802 have identical CNN architecture. The scalability and expandability of CNN architecture across  
803 various infrastructure is still a challenge.

804 **iii) Cost of implementation to the infrastructure owners:** Depending on the complexity in the  
805 data and existing conditions of a critical infrastructure, a deep and complex network is often needed  
806 to train a large database of SHM data. Such implementation of network demands high-performance  
807 workstations, cloud computing, parallel processing, graphic processing units and massive storage.  
808 Therefore, CNN is associated with high operating costs to analyze big data of infrastructure  
809 monitoring and maintenance for the decision-makers.

810 **iv) Amplification of error in the network due to poorly measured data:** False positives are  
811 often triggered due to varying image background caused by environmental effects (e.g., shadow,  
812 texture, light, rain, fog, and other adverse weather conditions), changes in color (e.g., material  
813 deterioration), and the presence of unwanted objects (e.g., debris, people, and vehicles). These  
814 noisy training data may lead to inaccurate damage detection in public infrastructures such as  
815 bridges, pavements, potholes, and pipelines (Azimi and Pekcan 2019; Kang *et al.* 2020). In  
816 particular, the impact of weather and lighting conditions, background noise, and the distance of  
817 the camera from the structures have still not been investigated in the context of multiclass crack  
818 detection.

819 The false positives may be removed using the traditional image processing or time-series based  
820 anomaly detection techniques during the data preparation stage. Having a well-processed data will  
821 enable CNN to produce higher accuracy and precision-recall value. The SHM community has

822 advanced in the use of DL algorithms; however, data preparation and the amount of data usage  
823 without increasing the complexity of the network architecture is an open area of research.  
824 Moreover, the optimal network architecture and the configurations of input images and categories  
825 are still topics of active research in SHM.

826 **v) Multiclass damage detection as a black box operation:** There is often a lack of robustness in  
827 detecting multiple damage types (e.g., identification of cracks due to fatigue, delamination, voids,  
828 spalling, corrosion, etc.), requiring CNN architecture to be significantly deep to classify various  
829 components (Khodabandehlou *et al.* 2019). Any data-driven CNN network involves a scientific  
830 selection of the structure of layers as well as an optimal number of layers (Sandler *et al.* 2019; Tan  
831 and Le 2019) to achieve the best accuracy without resulting in overfitting, which still forms a black  
832 box to the majority of the structural engineers and infrastructure owners. Apart from the system  
833 architecture, the black-box nature of neural networks or CNN *per se* appears due to the traditional  
834 interpretability of the results. The matrices used for most of the networks are the accuracy and  
835 ROC curves, however, in a situation like structural damage detection and localization, only  
836 accuracy as a measure of performance of the CNN model may lead to catastrophic failures.  
837 Considering “false-negative rate” along with accuracy will improve the damage diagnosis model  
838 and also remove any situation where the CNN model ignores the possibility of damage. Moreover,  
839 improved visualization techniques of layer-wise classification results will eliminate the black-box  
840 nature of CNN for complex SHM applications.

841

## 842 **8. Future Research Directions**

843 **i) Next-generation infrastructure monitoring and maintenance using big data:** Smart and  
844 autonomous monitoring systems of future urban cities will result in internet-of-things (IoT)-  
845 enhanced big data for large-scale structures. This data will include either time-series measurements  
846 obtained from long-term embedded sensors within the structures or a large number of images  
847 obtained from sophisticated vision measurement systems such as drones and robots (Spencer *et al.*  
848 2019). Such big data will enable a large and wide range of databases for CNN methods for robust  
849 structural condition assessment, and eliminate data imbalance issue.

850 **ii) Real-time CNN implementation for remote and autonomous SHM systems:** 1D CNN  
851 (Kiranyaz *et al.* 2020) has shown capabilities of utilizing a shallow architecture for structured  
852 SHM data such as time-series (e.g., vibration measurement). This results in less computationally  
853 intensive tasks on CNN, which can be implemented in mobile or handheld devices that are low  
854 cost and low powered in nature. Future application of 1D CNN will enable real-time indirect SHM  
855 for bridges using smart-phones installed in passing vehicles. There is a need to develop efficient  
856 strategies to accelerate the training and validation process and reduce the cost of deployment of  
857 CNN algorithms in SHM.

858 **iii) Transfer learning-enabled efficient CNN using SHM data across various infrastructure:**  
859 Improved CNN integrated with TL and Active Learning (Bull *et al.* 2018, 2019), and population-  
860 based SHM technique (Worden *et al.* 2015) may offer attractive solutions where statistically  
861 similar datasets of identical structure can be leveraged to replace the requirement for large training  
862 datasets from existing structures. CNN methods trained in one domain may be transferred into  
863 other domains, especially when the previous domain lacks training data. TL is a new development  
864 that uses knowledge from a source domain to target a domain that might be related but different,  
865 making existing pre-trained models more useful in the context of limited available datasets and  
866 relaxing the prerequisite for larger training datasets. The primary use of TL in CNN would be to  
867 use the parameters in a well-trained model in the source domain and to assist in generating limited  
868 training datasets in the target domain. The application of TL has a promising future while using  
869 the well-established benchmarks models for training the model and feature extraction, and  
870 improving the fully-connected classification layer for damage diagnosis.

871 **iv) Field implementation:** At present, there exist very few civil engineering image databases that  
872 have representative images of the damage to train the CNN architectures. Many images are  
873 obtained in a laboratory setting. Very few studies quantify the influence of measurement noise  
874 (wind, light, and angle) or mechanical vibrations from UAVs on the ability to capture damage  
875 using CNNs accurately. More controlled field measurements and shared case studies will allow  
876 SHM researchers to check the robustness and efficacy of the new algorithms. It is also expected  
877 that the SHM community will see a significant revolution of large databases in the near future that  
878 will allow the researchers to validate the new algorithms for a broad range of images.

879 **v) Improved visualization of big SHM data:** Building information modeling and mixed reality  
880 such as virtual reality and augmented reality has huge potential to allow structural engineers to  
881 manage and visualize long-term SHM data (Napolitano *et al.* 2018; Boddupalli *et al.* 2019, Singh  
882 and Sadhu 2020). These visualization tools integrated with the data storage capabilities of cloud  
883 computing, high-performance computing, and parallel processing will allow systematic  
884 interpretation of long-term SHM data.

885 **vi) Multidisciplinary research in SHM:** Although CNN and its architectures stem from  
886 Computer Science and Data Analytics, domain expertise in structural engineering and SHM is still  
887 of paramount importance to select appropriate features and classes specific to any SHM  
888 applications. On the other hand, the selection of a suitable number of hidden layers (i.e., depth of  
889 the network), structure of the network, and various hyper-parameters such as the number of epochs,  
890 batch size, and iterations vary with the data and should be carefully selected by the AI experts.  
891 Therefore, multidisciplinary research amongst the researchers from structural engineering,  
892 computer science, and big data analytics will be essential to achieve optimal performance.

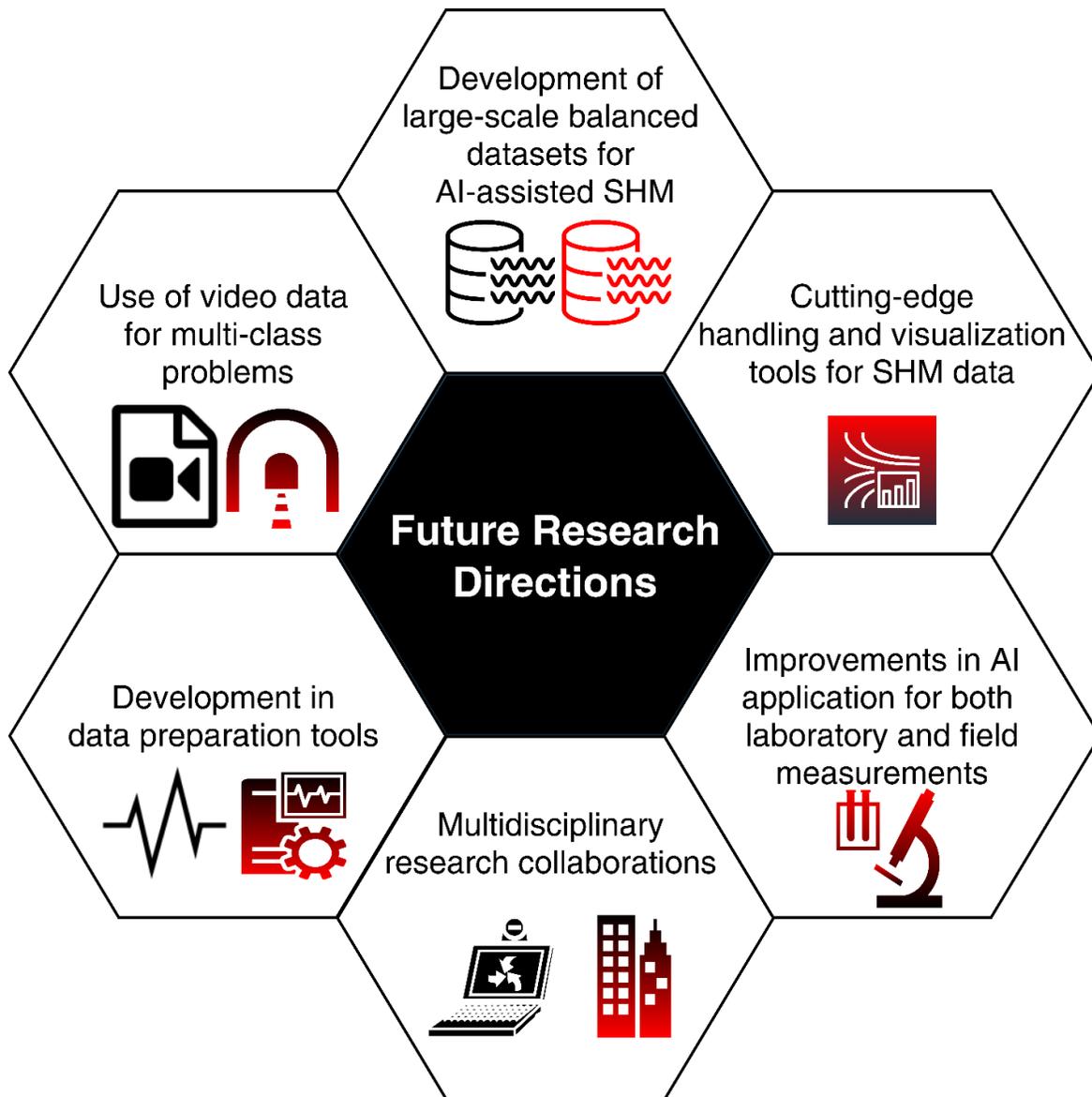
893 **vii) The potential use of video data in SHM:** The majority of current approaches are limited to  
894 static images and do not apply to video data. Future research should be directed to acquiring high-  
895 definition videos and processing them as a sequential dataset of static images using RNNs.

896 Finally, figure 3 shows a summary of potential future research directions that will enhance the  
897 deployment of CNN in many SHM applications in upcoming years. Three critical components  
898 include balanced and real-time data collection and its visualization, development of laboratory and  
899 field measurements, and use of various forms of data type, such as time-series data and video data.

900

901

902



903

904 Figure 3. A schematic of the potential future research directions of CNN-based SHM research.

905

906 **9. Conclusions**

907 Civil Structures are composed of several material types, and often, therefore, subject to a wide  
 908 range of damage categories. Such diversity applies to not only the majority of civil structures, but  
 909 also railway infrastructure, pipelines, power generation plants, transmissions lines, and towers.  
 910 Moreover, there is a prevalence among these structures to be highly susceptible to damages due to  
 911 natural disasters and life-span fatigue due to ageing or normal operational conditions. Also, post-

912 disaster inspections are often time-consuming, unsafe, and labour-intensive, making it difficult for  
 913 human beings to accomplish these tasks efficiently. This paper systematically reviews the recent  
 914 development of CNN-based SHM research that has been directed to solve these challenges. The  
 915 state-of-the-art CNN-based architectures and newer SHM technologies have allowed the  
 916 infrastructure owners to accurately and autonomously detect and localize multiple damage types  
 917 in various structures using next-generation sensors such as cameras, drones and robots. In  
 918 conclusion, future research will focus on developing the real-time implementation of CNN  
 919 algorithms, open-source databases for civil structures, generalized application of CNN techniques  
 920 using TL, and reducing classification imbalances that occur in large-scale infrastructure.

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