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Expanding the Scope of Clickable Azide-Functionalized Nanoclusters to include Au144

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A thesis submitted in partial fulfillment of the requirements for the Master of Science degree in Chemistry

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Abstract

Thiolate-protected Au₁₄₄ nanoclusters (NCs) are an intriguing member of the gold NC family. Their geometric structure, distinct electrochemical features, and susceptibility to structural rearrangement under the duress of ligand exchange have been studied. However, there are currently no established protocols for surface modification or tuning of their ligand shells post synthesis. Here, the direct synthesis of three regioisomers of azide-modified Au₁₄₄ NCs with 60 azide moieties, i.e., Au₁₄₄(SC₂H₄C₆H₄-N₃)₆₀, is reported, in which the azide functionality is located at the *ortho*-, *meta*-, or *para* position of the ligand's phenyl ring. The regioisomeric effect of the azide group on Au₁₄₄(SC₂H₄C₆H₄-N₃)₆₀ synthesis and physical properties are described. A proof-of-concept cluster surface strain promoted alkyne-azide cycloaddition (CS-SPAAC) reaction is also demonstrated, revealing all 60 azides can be accessible in the para derivative. The CS-SPAAC chemistry was further extended to append a functional TEMPO group, which can be utilized towards an alcohol electro-oxidation application.

Keywords

Gold, Nanocluster, Au₁₄₄, Click Chemistry, Nanomaterials, Surface Modification, Nanoorthogonal Chemistry, Biorthogonal Chemistry, Strained Alkyne, Cluster Surface Strain-Promoted Alkyne-Azide Cycloaddition Reaction, TEMPO, Ferrocene

Summary for Lay Audience

At the macro scale, bulk gold is known to be an excellent material for making lustrous jewelry and coinage. However, at the nanoscale, a scale too small for the naked eye to perceive, gold materials acquire new size and structure-dependent properties that suit various technological and medical applications. Among these ultrascale materials are gold (Au) nanoclusters (NCs), a class of nano-sized compounds. Au NCs have a core-shell configuration that can be tuned to target different optical or chemical properties. Their cores contain a geometric framework of a precise number of Au atoms, and the shell is made up of ligands (organic small molecules). Existing knowledge of tunable NC features focuses mainly on their gold cores. However, it has recently been demonstrated that NC ligand shells can be modified following NC synthesis via “click chemistry”; a means of connecting molecules together using rapid, high-yielding and highly selective reactions. By incorporating a robust functional group amenable to click chemistry into the ligand structure of NCs, i.e. an azide (N_3) group, new molecules can be tethered to the surface of NCs following their synthesis. This thesis focuses on the development of a NC containing 144 gold atoms in its core and 60 azide-containing ligands in its shell. The 60 ligands are each capable of undergoing a click reaction, specifically the cluster surface strain-promoted alkyne-azide cycloaddition (CS-SPAAC) reaction, to attach new functionality to the NC at 60 different sites within its ligand shell. This azide-functionalized Au_{144} NC can be used as a template to introduce new properties to NCs and therefore expand the scope of their applications.

Co-Authorship Statement

The work described in this thesis contains contribution from the author, under supervision of Prof. Mark S. Workentin.

Chapter 1 was written by the author and edited by Prof. Mark S. Workentin and Dr. Mahdi Hesari.

Chapter 2 describes the synthesis and characterization of three azide-modified Au₁₄₄ NCs. Mass spectrometry was conducted by Hirunika Eshangi Wijesinghe, a member of the Dass group at the University of Mississippi. Excitation data was collected with the assistance of Adyn Melenbacher using instrumentation in the Stillman group at Western University. Photoluminescence spectra were collected by the author with the assistance of Kenneth Chu and Dr. Mahdi Hesari using instrumentation provided by the Ding group at Western University. DFT calculations and electrochemical experiments were conducted by Mahdi Hesari. All other experiments were performed by the author. Details of the electrochemistry experiments were partially written by Dr. Mahdi Hesari. The rest of this chapter was written by the author and edited by Prof. Mark S. Workentin and Dr. Mahdi Hesari.

Chapter 3 describes the click reaction and subsequent electrochemistry and electrocatalysis of Au₁₄₄ NCs with functionalized derivatives containing Ferrocene and TEMPO. Electrocatalytic experiments, including the embedding of the NCs in a Nafion polymer, were performed by Dr. Mahdi Hesari. Details of electrochemical and electrocatalytic experiments were partially written by Dr. Mahdi Hesari. The rest of this chapter was written by the author and edited by Prof. Mark S. Workentin and Dr. Mahdi Hesari.

Chapter 4 was written by the author and edited by Prof. Mark S. Workentin and Dr. Mahdi Hesari.

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List of Abbreviations

~	approximately
AIE	aggregation-induced emission
Au ₁₄₄	Au ₁₄₄ (SC ₂ H ₄ Ph) ₆₀
Au ₁₄₄ -N ₃	Au ₁₄₄ (SC ₂ H ₄ -C ₆ H ₄ -N ₃) ₆₀
BCN	exo-9-hydroxymethylbicyclo[6.1.0]non-4-yne
CA	chronoamperometry
CS-SPAAC	Cluster-Surface Strain-Promoted Alkyne-Azide Cycloaddition
CuAAC	Copper-catalyzed Alkyne-Azide Cycloaddition
CV	cyclic voltammetry
Da	daltons
DBCO-OH	5-hydroxy-1,2:5,6-dibenzocyclooct-7-yne
DCC	N,N'-dicyclohexylcarbodiimide
DCM	dichloromethane, methylene chloride
DMAP	4-dimethylaminopyridine
DPV	differential pulse voltammetry
eq.	equivalents

ESI-MS	electrospray-ionization mass spectrometry
ET	electron transfer
EtOAc	ethyl acetate
EtOH	ethanol
GC	glassy carbon
Hex	hexanes
HOMO	highest-occupied molecular orbital
Hz	hertz
kDa	kilodaltons
LSPR	localized surface plasmon resonance
LUMO	lowest unoccupied molecular orbital
m	meta-
m	milli
M	molar
m/z	mass/charge
MALD-MS	matrix-assisted laser desorption ionization mass spectrometry
MBA	methylbenzene thiol
MBT	mercaptobenzoic acid
MeOH	methanol

NCs	nanoclusters
NHC	N-heterocyclic carbene
nm	nanometer
NMR	nuclear magnetic resonance
NPs	nanoparticles
<i>o</i>	<i>ortho-</i>
<i>p</i>	<i>para-</i>
PBIS	p-band intermediate state
pH	potential of hydrogen
Ph	phenyl
PL	photoluminescence
ppm	parts per million
RIM	restriction of intramolecular motion
SCE	saturated calomel electrode
SG	glutatathionate
TBAPF ₆	tetrabutylammonium hexafluorophosphate
^t Bu	tert-butyl
TEMPO	2,2,6,6-tetramethylpiperidine -1 oxyl
TOA ⁺	tetraoctylammonium

V

volts

μ

micro

Chapter 1

1 Introduction

1.1 Gold Nanoclusters: A Unique Size Regime

The study and obsession over gold materials predates modern science,¹ and yet thousands of years later we find ourselves studying them for the same reasons humanity began to obsess over gold so long ago: flexibility and resistance to oxidation. Of course, today flexibility refers not only to gold's malleability. It also refers to the diversity of materials that can be synthesized with gold (Au) from the atomic to the macro scale, including Au ions, Au(I) complexes, nanoclusters (Au NCs), nanoparticles (Au NPs), nanocrystals, nanorods, nanocages, and self-assembled monolayers on gold, in addition to bulk gold metal.

At different size regimes, gold has vastly different features. Bulk gold is known to be extremely ductile and conductive, while gold particles (1-100 nm size regime) are known to exhibit tunable localized surface plasmon resonance (LSPR) based on their size and shape. LSPR is a process in which conduction electrons are collectively excited in response to incident light and is responsible for their bright red colour, observed in things like glazes, paints, and stained-glass windows. Scaling down from 3.3 nm to 1.8 nm, LSPR diminishes, and the metallic band structure of gold disperses into quantized energy levels. Atomically precise Au particles (<2 nm) that exhibit quantized energy levels are referred to as nanoclusters (Au NCs). Their atomic precision allows for the establishment of useful structure-function relationships. These relationships are exploited to optimize catalytic and electrocatalytic reactions, tune excitation and emission energies for imaging and photonic devices, as well target specific biological functions for medical applications. In recent decades, researchers have unearthed a complex library of Au NCs, with each individual Au NC exhibiting unique electronic and structural features.

1.2 The Synthesis and Design of Au NCs

This library of Au NCs is astonishing when one considers the magnitude of tuning required, kinetically and thermodynamically,²⁻⁴ to access a single atomically-precise NC. To begin with, NC synthesis does not start on the nanoscale, but is approached either from the bulk regime through etching processes, or bottom-up from the atomic regime (Figure 1). The existing body of known NCs are predominantly synthesized using bottom-up techniques that require careful consideration of every element; reaction solvent,⁵ pH,^{6,7} equivalencies,⁸ temperature,⁹ stir rate,¹⁰ amount of dissolved oxygen,¹¹ as well as reaction duration.

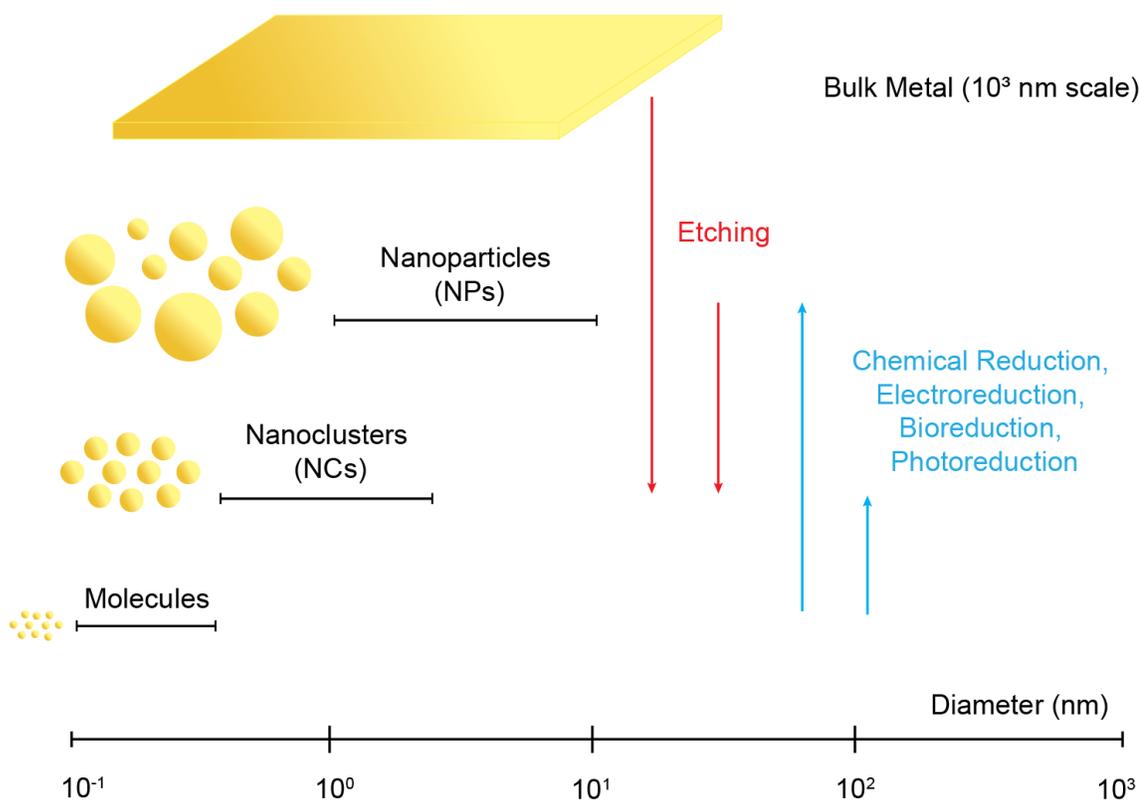


Figure 1. Synthetic approaches to synthesizing NCs.

Additionally, tuning the size and amount of gold present in a given nanocluster is merely part of the equation. The syntheses of Au NCs also require an outer shell of electron rich donor ligands that protect the NCs against aggregation and influence the resulting NC core size and structural features. While their overarching features are ascribed to their

size, NCs physical and chemical properties are strongly influenced by the protecting ligand(s) structure.¹²⁻²¹ Various types of ligands have been used to synthesize nanoclusters, the most common being phosphines, thiolates, alkynes and N-heterocyclic carbenes (NHCs) (**Figure 2**). Phosphines were the very first ligand to ever be used in NCs, originating with Au₁₁(PPh₃)₇(SCN)₃ in 1969.²² However, they exhibit the weakest bonding strength relative to thiolates and NHCs.²³ While much headway has been made recently in the development of NHC-protected NCs,²⁴ and alkyne-protected NCs,²⁵ thiolates are by far the most well-understood and established ligands used to make NCs due to the stability afforded to them by robust Au-S bonding²⁶.

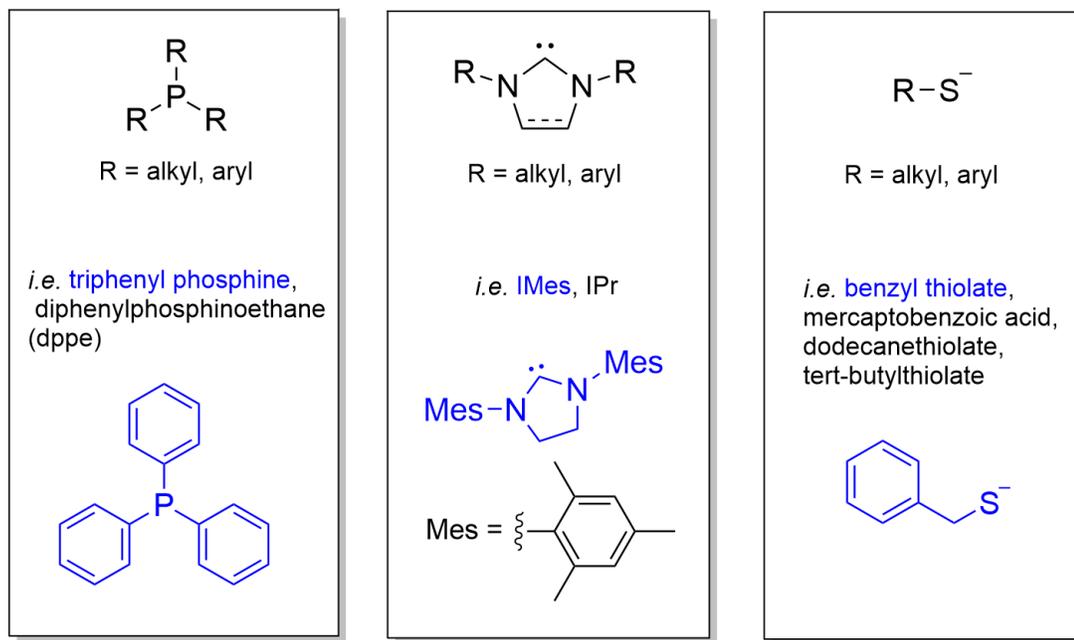
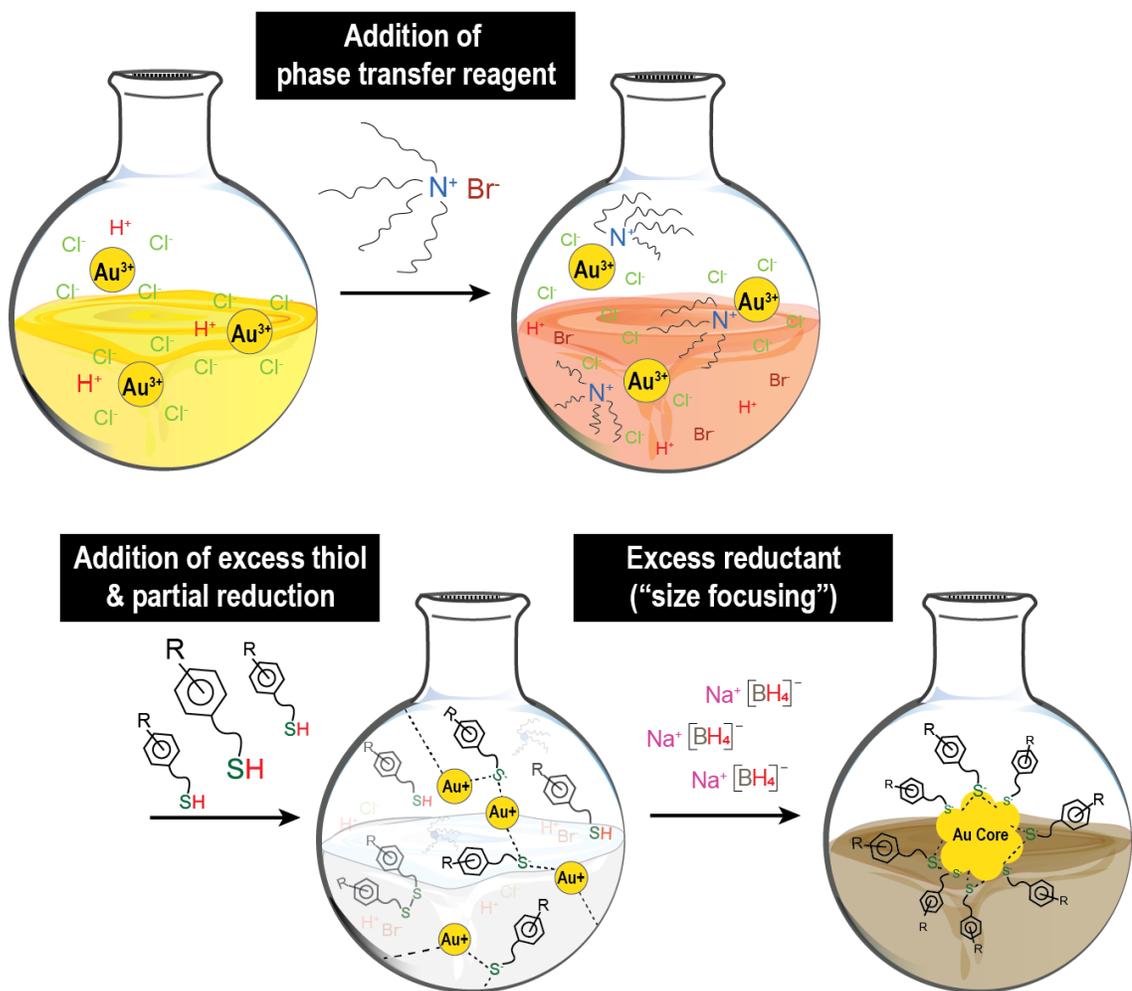


Figure 2. Representative examples of ligands used to make NCs.

The popularity of thiolate-protected Au NCs can arguably be attributed to the development of the Brust-Schiffrin²⁷ and modified Brust-Schiffrin²⁸ syntheses, which provided a foundation from which the most widely-studied NCs were discovered.^{29, 30} The basic premise of both methods is that Au(III) is partially reduced by an excess of thiol to form Au(I) polymeric intermediate species.³¹ The Au(I) intermediate species are then reduced using aqueous sodium borohydride to achieve thiolate-protected Au particles (NPs or NCs) (**Scheme 1**). When synthesizing NCs, the sodium borohydride

reduction process is frequently referred to as “*size-focusing*”, as many different clusters are formed, but only one is stable enough to survive.^{2, 32}



Scheme 1. Brust-Schiffrin approach to NC synthesis.

By either exploiting the sensitivity of the size-focusing process directly, or further focusing its products with heat or ligand exchange-driven processes, a handful of thiols have been used to access Au NCs of various sizes capped by the same ligand. For instance, phenylethane thiol ($\text{PhC}_2\text{H}_4\text{SH}$) has been used to access $\text{Au}_{19}(\text{SR})_{13}$,³ $\text{Au}_{24}(\text{SR})_{20}$,³³ $\text{Au}_{25}(\text{SR})_{18}$,³⁴ $\text{Au}_{38}(\text{SR})_{24}$,³⁵ $\text{Au}_{40}(\text{SR})_{24}$,³⁶ $\text{Au}_{67}(\text{SR})_{35}$,³⁷ $\text{Au}_{137}(\text{SR})_{56}$,³⁸ $\text{Au}_{144}(\text{SR})_{60}$,³⁹⁻⁴¹ and $\text{Au}_{333}(\text{SR})_{79}$,⁴² where $\text{SR}=\text{PhC}_2\text{H}_4\text{S}^-$. The breadth of NCs that can be accessed using just one ligand emphasizes the utility of the methods originating from the Brust-Schiffrin synthesis. Sensitivity in the case of these syntheses gives rise to a wealth

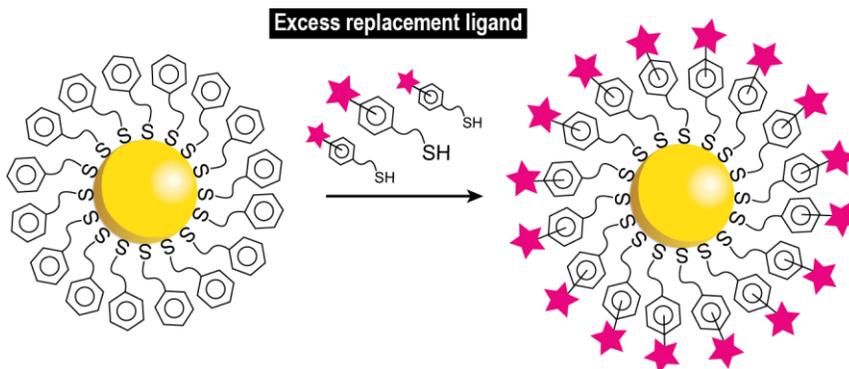
of accessible size regimes, provided one has the correct starting materials. The variance in core size irrespective of ligand structure in these examples also incites a different question: how can one introduce flexibility and function to both Au NC cores and their corresponding ligand shells?

1.3 Functionalizing the Ligand Shell of NCs

Incorporating functionality into the protecting ligand structure presents an opportunity to modify or introduce new properties to NCs. For instance, the incorporation of carboxyl groups into the structure of NCs has been very successful, using ligands such as mercaptobenzoic acid^{43, 44} and mercaptoundecanoic acid⁴⁵. Carboxyl groups not only impart water-solubility and pH-responsive features to NCs, but allow for their luminescence to be modified through aggregation-induced emission^{46, 47} (AIE) and restriction of intramolecular motion⁴⁸ (RIM). Glutathione, a peptide containing both an amino and two carboxyl functional groups, when used to synthesize Au₂₅(SR)₁₈, has demonstrated stronger luminescence relative to other ligand-protected Au₂₅(SR)₁₈ NCs.⁴⁹ Glutathione-protected NCs have also exhibited exceptional renal clearance⁵⁰ and great promise as photosensitizers,⁵¹ radiosensitizers,⁵² and nanosensors⁵³. Amino groups are also a handle for introducing greater utility to the ligand shell of NCs. For example, the amino group of glutathione has also been exploited in a post-synthesis amide coupling reaction to couple a chromophore directly to NCs for light-harvesting applications.⁵⁴ Other examples of how functional groups may be used to improve/modify the properties of NCs include the use of sulfonic thiolate ligands to impart greater stability to NCs in solution,⁵⁵ fluorinated ligands to improve their hydrophobicity and self-assembly,⁵⁶ and pyridyl ligands to impart tunable surface protonation-dependent solubility to NCs⁵⁷.

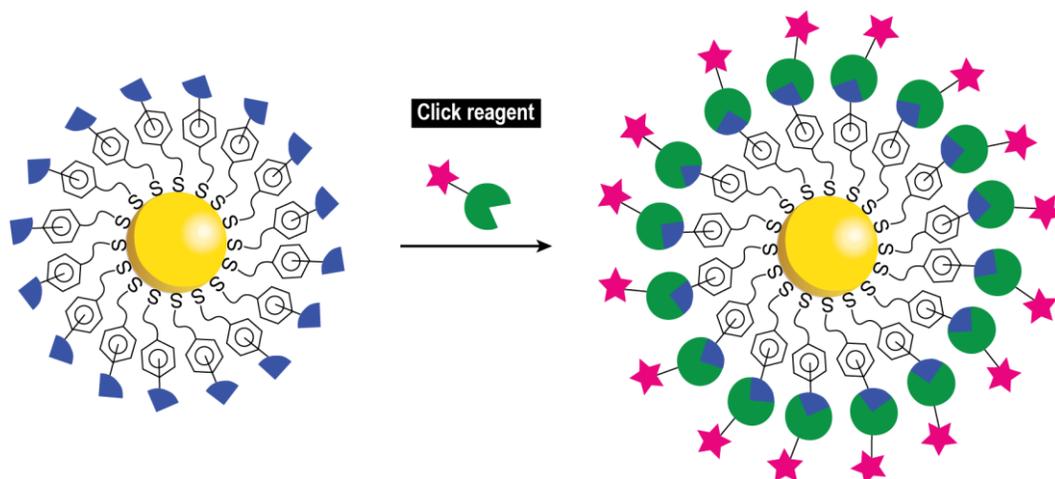
Unfortunately, an obstacle to adding functionality onto the surface of NCs is that functional groups that are susceptible to NaBH₄ reduction or interfere with the size evolution of NC syntheses must be incorporated following NC synthesis. The most common method used to impart changes to the functionality of NCs following their synthesis is “*ligand exchange*”, whereby ligands of pre-existing NCs are replaced, often using an external driving force such as excess replacement ligand, heat, etc., to assist and accelerate exchanges to completion (**Scheme 2**).⁵⁸⁻⁶² The ligand exchange process

requires consideration of: (i) the affinity of the new ligand to the metal core compared to the original one, (ii) the change in solubility that the new ligand will impart to the NC, and (iii) whether the new ligand will preserve the original NC size or core structure.¹⁸ Additionally, controlling the extent of exchange or ensuring complete exchange is challenging when using ligand exchange methods.⁶³⁻⁶⁵ Ultimately, ligand exchange when it pertains to NCs is an invasive surgical procedure. Due to the disturbance of the ligand-gold interface, NCs may lose their structure and atomic precision altogether, or they may be transformed into NCs of a different size.



Scheme 2. Post-synthesis introduction of function to NCs via ligand exchange.

In pursuit of a less invasive approach, our group has addressed challenges with ligand exchange by expanding the synthetic toolbox of NP and NC surface modification to include, among other reactive groups, azides as a vehicle for interfacial click chemistry (**Scheme 3**).⁶⁶⁻⁶⁸ “Click” chemistry, a term that was coined by K. Barry Sharpless, refers to chemoselective, solvent-tolerant, and high-yielding reactions that produce little to no byproducts.⁶⁹ A subclass of these reactions is bioorthogonal click chemistry; click reactions that do not interfere with biological processes.⁷⁰



Scheme 3. Post-synthesis introduction of function to NC via click chemistry.

The use of interfacial click chemistry is advantageous because it avoids the alteration of regions of ligand structure directly bound to NC cores, lessening the risk of core modification. We have previously demonstrated interfacial click chemistry on the surface of an azide-functionalized $\text{Au}_{25}(\text{SR})_{18}^-$ NC, where $\text{SR}=\text{N}_3\text{C}_6\text{H}_4\text{C}_2\text{H}_4\text{S}^-$.⁶⁶ This azide-functionalized NC was amenable to a cluster surface strain-promoted alkyne-azide cycloaddition (CS-SPAAC) reaction with a model strained alkyne, (*Z*)-cyclooct-1-ene-5-yne. The SPAAC reaction is a bioorthogonal click reaction in which an azide undergoes a [3 + 2] cycloaddition with a strained alkyne to form a triazole cycloadduct without the need for any catalyst.⁷¹ The Zhang group has demonstrated that $\text{Au}_{25}(\text{PPh}_3)_{10}(\text{SC}_6\text{H}_4\text{N}_3)_5\text{Cl}_2$, $\text{Au}_{28}(\text{SC}_6\text{H}_4\text{N}_3)_{20}$ and $\text{Au}_{36}(\text{SC}_6\text{H}_4\text{N}_3)_{24}$ can be synthesized using ligand exchange and also undergo a CS-SPAAC reaction with a different strained alkyne, 5-hydroxy-1,2:5,6-dibenzocyclooct-7-yne (abbreviated as DBCO-OH).⁷² While azides are only emerging as a means of NC surface modification, these examples demonstrate their potential as both an alternate and complementary approach to ligand exchange.

Furthermore, the presence and structural impact of the azide group on NCs provides insight into the fundamental structure-property relationships of NCs and can inform future ligand design. We recently reported the regioisomeric effect of the azide functionality at the *ortho*- (*o*-), *meta*- (*m*-), and *para*- (*p*-) positions of the Au_{25}

phenylethane thiolate ligand.⁷³ The position of the azide group was not observed to affect the size of the Au₂₅(SR)₁₈ NC. However, it had a significant influence on the reactivity of the NCs toward the CS-SPAAC reaction, the most reactive being the *p*- derivative. A related study using *o*-, *m*-, and *p*-mercaptobenzoic acid revealed the position of carboxyl groups, when utilizing a thiophenolic ligand, also have a minimal structural impact on Au₂₅(SR)₁₈ NCs.⁷⁴ In contrast, a study using *o*-, *m*-, and *p*-methylbenzene thiol resulted in the synthesis of three different clusters; Au₄₀(*o*-MBT)₂₄, Au₁₀₄(*m*-MBT)₄₁, and Au₁₃₀(*p*-MBT)₅₀, respectively.⁷⁵ While discrepancies between their experimental protocols cannot be discounted, these studies highlight the interplay between function and size direction in ligand design.

1.4 Properties and Applications of Au₁₄₄(SR)₆₀ NCs

Given the flexibility that direct syntheses of azide-functionalized NCs offer and the biorthogonal nature of the azide, it is desirable to extend the click chemistry methodology to other stoichiometries of Au NCs. Au₁₄₄(SR)₆₀ NCs are an ideal candidate because their size-dependent properties are distinctly different from Au₂₅(SR)₁₈ NCs. For instance, based on their optical features, Au₂₅(SR)₆₀ NCs are considered ultrasmall NCs (<50 Au atoms) with more structure-dependent features, whereas Au₁₄₄(SR)₆₀ NCs are considered large-sized Au NCs (> 100 Au atoms), with more size-dependent features.⁷⁶ Additionally, like Au₂₅(SR)₁₈ NCs, Au₁₄₄(SR)₆₀ NCs can be synthesized in appreciable yields under ambient conditions.³⁹

Au₁₄₄(SR)₆₀ NCs and Au₂₅(SR)₁₈ NCs were first synthesized by the Whetten group within the same time period, reporting high yields of a 28 kDa species with a 1.7 nm Au core diameter and ~146 Au atoms in 1997,⁷⁷ and the isolation of a 10.4 kDa species with a ~0.9 nm Au core diameter, misidentified as Au₂₈(SG)₁₆ (HSG=glutathione), in 1998.⁷⁸ While it took ten years for the first crystal structure of Au₂₅(SR)₁₈ to be elucidated,^{79, 80} it took 21 years for Au₁₄₄(SR)₆₀.⁸¹ In fact, not a single Au_n(SR)_n NC was analyzed via single-crystal X-ray diffractometry prior to 2007.⁸² However, atomic precision is so integral to the study of NCs that the onset of their crystallographic elucidation resulted in

a paradigm shift in NC research towards preferentially utilizing NCs with solved crystal structures.

Au₁₄₄(SR)₆₀ NCs are perhaps historically the most sought-after gold nanocluster size regime despite their elusive crystal structures. Prior to their exact molecular formula being determined, the Murray group observed that they exhibited quantized capacitance charging,⁸³ and the Whetten group analyzed their spectroscopic features⁸⁴. In 2009, Lopez-Acevedo *et al.* used density functional theory to accurately compute their molecular formula.⁸⁵ This formula was later confirmed in the same year using electrospray ionization mass spectrometry (ESI-MS).^{41, 86} The structure of Au₁₄₄(SR)₆₀ NCs comprises of a hollow icosahedral grand core of 114 Au atoms and 60 surface-bound ligands arranged in rigid SR-Au(I)-SR staple motifs.^{81, 87} In the last decade, the Au₁₄₄(SR)₆₀ size regime has been accessed using benzyl thiolate,^{81, 87} phenylethane thiolate,^{39, 40} hexyl thiolate,^{88, 89} mercaptobenzoic acid,⁹⁰ phenylacetylene,⁹¹ and 2-fluorophenylacetylene⁹² ligands.

Due to their elucidated structure, Au₁₄₄(SR)₆₀ has become model system for better understanding quantum confinement effects.^{89, 93, 94} Notably, Au₁₄₄ still occupies a molecular rather than metallic state.^{41, 93, 95} Consequently, it exhibits physical, chemical and electrochemical properties that differ from its ultrasmall counterparts, including a much smaller HOMO-LUMO gap,⁹⁶ single-electron charging behavior,^{39, 97-99} and a lower surface-to-volume ratio. These unique features make Au₁₄₄(SR)₆₀ the subject of research for the development of nanoelectronic devices⁹⁹⁻¹⁰¹ and electrocatalysis.^{87, 102-104} Furthermore, Au₁₄₄(SR)₆₀'s thermodynamic stability and small size relative to nanoparticles also indicate it has potential use in theranostic applications.¹⁰⁵⁻¹⁰⁹

A variety of ligands have been used to synthesize Au₁₄₄(SR)₆₀ NCs, yet none have exhibited interfacial reactivity. Moreover, the implications of developing an azide-functionalized Au₁₄₄(SR)₆₀ NC extend beyond post-synthesis functionalization. Owing to lower surface-to-volume ratios and fewer points of contact between their core and ligand shell, the structure of Au₁₄₄(SR)₆₀ alludes that they may be less sensitive to ligand modification when compared to Au₂₅(SR)₁₈⁻. However, empirical evidence suggests the

opposite is true: ligand structure has a strong influence on the optoelectronic properties of $\text{Au}_{144}(\text{SR})_{60}$ NCs.^{91, 110, 111} Ligand exchange on $\text{Au}_{144}(\text{SR})_{60}$ NCs, for example, has resulted in size transformations from $\text{Au}_{144}(\text{SC}_2\text{H}_4\text{Ph})_{60}$ to $\text{Au}_{99}(\text{SPh})_{42}$ ¹¹², $\text{Au}_{133}(\text{S-}p\text{-C}_6\text{H}_4\text{-}^t\text{Bu})_{52}$ ¹¹³, and $\text{Au}_{279}(\text{SC}_6\text{H}_4\text{-}^t\text{Bu})_{84}$ ¹¹⁴. Therefore, while from an organic chemistry perspective, organic azides are small and non-toxic moieties that can be easily incorporated into substrates with minimal structural effects,¹¹⁵ it has yet to be established that the electronic properties of $\text{Au}_{144}(\text{SR})_{60}$ NCs are negligibly impacted by ligand modification.

1.5 Scope of Thesis

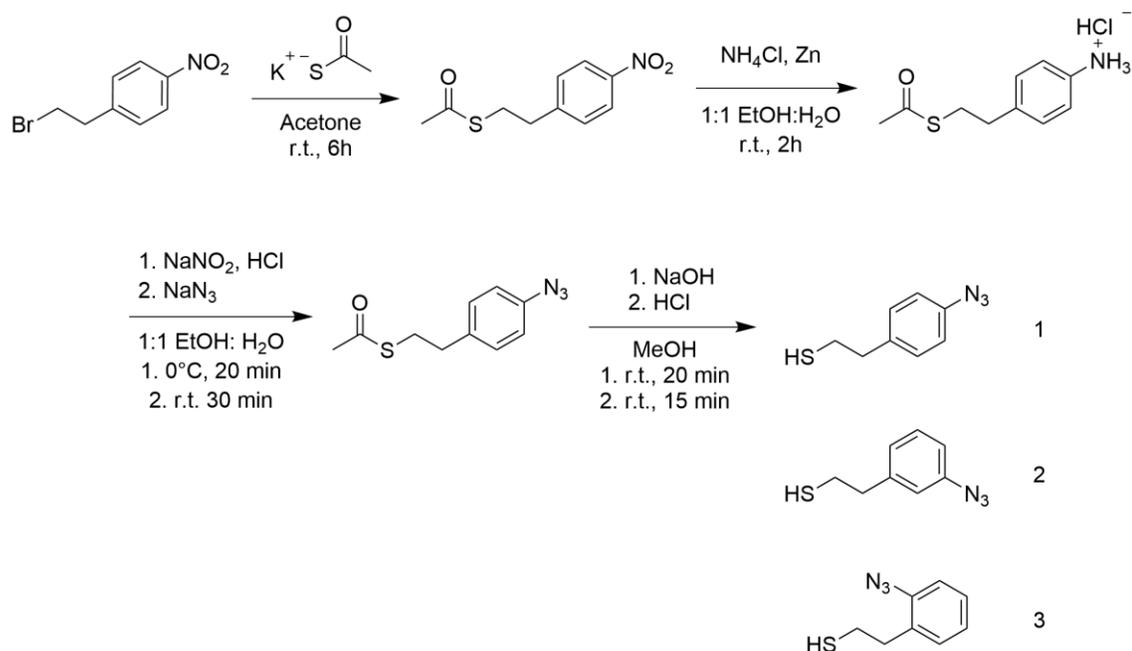
This thesis describes the synthesis and characterization of the azide-functionalized $\text{Au}_{144}(\text{SR})_{60}$ NC platform for post-synthesis interfacial surface modification, $\text{Au}_{144}(\text{SC}_2\text{H}_4\text{C}_6\text{H}_4\text{-N}_3)_{60}$ (abbreviated as $\text{Au}_{144}\text{-N}_3$), containing 60 surface azides amenable to click chemistry. Further, it examines the regioisomeric effect of the *ortho*-, *meta*- and *para*- ligands on the $\text{Au}_{144}\text{-N}_3$ NC synthesis. Chapter 2 describes the synthesis and characterization of the $\text{Au}_{144}\text{-N}_3$ NCs. In Chapter 3, a proof-of-concept CS-SPAAC reaction is performed on the *p*- $\text{Au}_{144}\text{-N}_3$ NCs with a model strained alkyne, *exo*-9-hydroxymethylbicyclo[6.1.0]non-4-yne (BCN). This proof-of-concept reaction is followed by the post-synthesis modification of the *p*- $\text{Au}_{144}\text{-N}_3$ NCs with two functionalized strained-alkyne derivatives. The first BCN-derivative examined contains 2,2,6,6-tetramethylpiperidine-1-oxyl (TEMPO), a stable radical. The electrocatalytic capability of the $\text{Au}_{144}\text{-TEMPO}$ NCs towards oxidation of alcohols to aldehydes is demonstrated using *n*-butanol as a model reaction. The second derivative reported contains ferrocene, a redox-active material. The amenability of the $\text{Au}_{144}\text{-N}_3$ NCs to modification with ferrocene is briefly examined and compared to previous research in which the same ferrocene-modified strained alkyne was reacted with azide-modified Au_{25} NCs. Lastly, Chapter 4 summarizes the findings described in Chapters 2 and 3 and briefly presents future work towards the development of surface- reactive NCs.

Chapter 2

2 Synthesis and Characterization of Azide-Modified Au₁₄₄(SR)₆₀ NCs

2.1 Ligand Synthesis

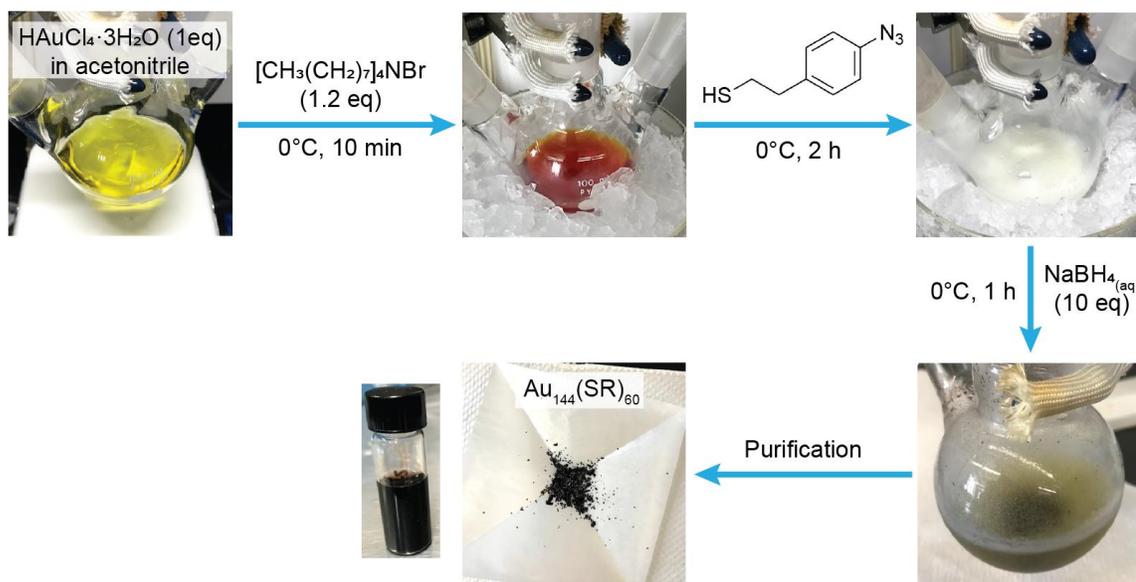
The ligands used for the design and synthesis of the Au₁₄₄-N₃ derivatives were *o*-, *m*-, and *p*-azidophenylethanethiol, prepared according to Gunawardene *et al.*⁷³ (**Scheme 4**). First, an S_N2 reaction was conducted on nitrophenethyl bromide to substitute its bromide substituent for an acetyl-protected thiol using potassium thioacetate. A zinc reduction was then used to reduce the aromatic nitro group of the product to an amine. The resulting aminophenethyl thioacetate was immediately filtered into a new reaction flask, acidified, and converted to azidophenethyl thioacetate using sodium nitrite followed by sodium azide. Lastly, the thioacetyl group was deprotected using aqueous base.



Scheme 4. Synthesis of azidophenylethane thiol ligands (1-3) from nitrophenethyl bromide.

2.2 Synthesis of Au₁₄₄(SR)₆₀ NCs

Despite the structural similarity of the ligands, it was observed that the inclusion of the azide within the ligand structure had a non-negligible effect on the Au₁₄₄(SR)₆₀ NC synthesis progressions and yields. The azide-functionalized Au₁₄₄(SR)₆₀ NCs were synthesized in acetonitrile according to Hesari *et al.*³⁹ (**Scheme 5**). Briefly, chloroauric acid was combined with a slight excess (1.2 eq.) of tetraoctylammonium bromide. The mixture was cooled in an ice bath and a great excess (6.0 eq.) of azidophenylethane thiol was added dropwise at a slow stir rate. The addition of the thiol resulted in the formation of a white polymeric mixture that could then be reduced with sodium borohydride solution to yield the crude product (further details provided in experimental section).



Scheme 5. Synthesis of Au₁₄₄(SC₂H₄-*p*-C₆H₄-N₃)₆₀ (*p*-Au₁₄₄-N₃) NCs.

The UV-Visible (UV-Vis) spectroscopic features of the Au₁₄₄(SC₂H₄-*p*-C₆H₄-N₃)₆₀ NCs (abbreviated as *p*-Au₁₄₄-N₃) emerged after one hour following the addition of sodium borohydride, whereas for the parent Au₁₄₄(SC₂H₄-Ph)₆₀ NC (abbreviated as Au₁₄₄), spectroscopic features emerged within a 20-minute timeframe. The slower size evolution was accompanied visually by a slower rate of precipitation of the Au₁₄₄-N₃ NCs. The length of the size evolution process was also observed to be critical to the monodispersity of the product, as a greater reduction period led to the formation of Au₂₅(SR)₁₈ as a side

product, which was extracted into acetonitrile during the purification process and observed using UV-Vis spectroscopy. Notably, if the reaction was stopped at 1h, appreciable amounts of Au₂₅(SR)₁₈ NCs were not obtained, though small amounts were later visible during mass spectrometry analyses. Density functional theory (DFT) was used to analyze the *o*-, *m*-, and *p*- ligands and revealed that the presence of the azide group shifts electron density in the highest occupied molecular orbitals (HOMOs) away from the thiol. The lowest unoccupied molecular orbital (LUMO) of *p*-azidophenylethanethiol was most like the parent phenylethanethiolate ligand, however the LUMOs of *o*- and *m*- ligands exhibited much less sulfur character (**Figure S1**). Therefore, the effect of the azide group on the electronic structure of the ligands may have played a role in the rate of size evolution of the NCs, although steric factors are also likely a contributing factor.

The Au₁₄₄-N₃ derivatives exhibited UV-Vis (**Figure 3a**) and ¹H NMR spectroscopic data (**Figures S2-S5**) that matched the characteristic broad absorbance centered at 532 nm and broadened chemical shifts, respectively, of Au₁₄₄. The yield of the *o*-Au₁₄₄-N₃ NCs was lower (9-12% yield based on the mass of gold used), likely owing to the sterics of the azide moiety located at the ortho position. Importantly, the azide functionality was incorporated on the surface of the Au₁₄₄ NCs and its asymmetric and symmetric IR stretches could be easily identified within the IR spectrum of the products at 2113 cm⁻¹ and 1289 cm⁻¹, respectively (**Figure 3b**).

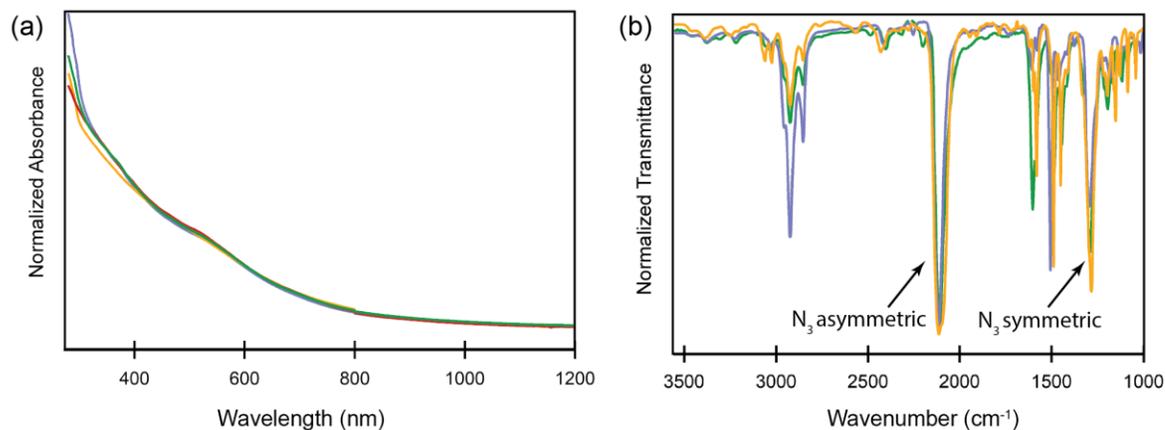


Figure 3. Spectroscopy of Au₁₄₄-N₃ NCs. (a) UV-visible and (b) infrared spectra of Au₁₄₄ (red), *o*-Au₁₄₄-N₃ (yellow), *m*-Au₁₄₄-N₃ (green), *p*-Au₁₄₄-N₃ (purple)

2.3 Mass spectrometry and thermogravimetric analysis of Au₁₄₄(SR)₆₀ NCs

The mass spectrometry data of Au₁₄₄ and the Au₁₄₄-N₃ NCs were obtained using matrix-assisted laser desorption ionization mass spectrometry (MALDI-MS) with trans-2-[3-(4-*tert*-Butylphenyl)-2-methyl-2-propenylidene]malononitrile (DCTB) as the matrix (**Figure 4a**). High laser intensities were required to ionize the NC samples. Au₁₄₄ ionized more readily when compared to the Au₁₄₄-N₃ NCs and exhibited a single broad signal that began at ~36125 Da and extended to ~27432 Da, with a maximum at ~33375 Da. The mass signal of Au₁₄₄ was consistent with previously reported MALDI-MS data and indicative of the loss of ligand (SR=SC₂H₄-Ph) fragments, and staple motifs (n[SR-Au(I)-SR], 0<n<30) during the ionization process.^{38, 87, 116, 117} Sharp signals from Au₂₅(SC₂H₄-Ph)₁₈ were also observed as a minor impurity in the sample. Electrospray-ionization mass spectrometry (ESI-MS) of the sample corroborated Au₁₄₄(SR)₆₀ was present as the major species, observed in both its +4, +3 and +2 charge states. The +4, +3 and +2 charge states of Au₁₃₇(SC₂H₄-Ph)₅₆ and the +1 and -1 charge states of Au₂₅(SC₂H₄-Ph)₁₈ were present in the sample as minor impurities.

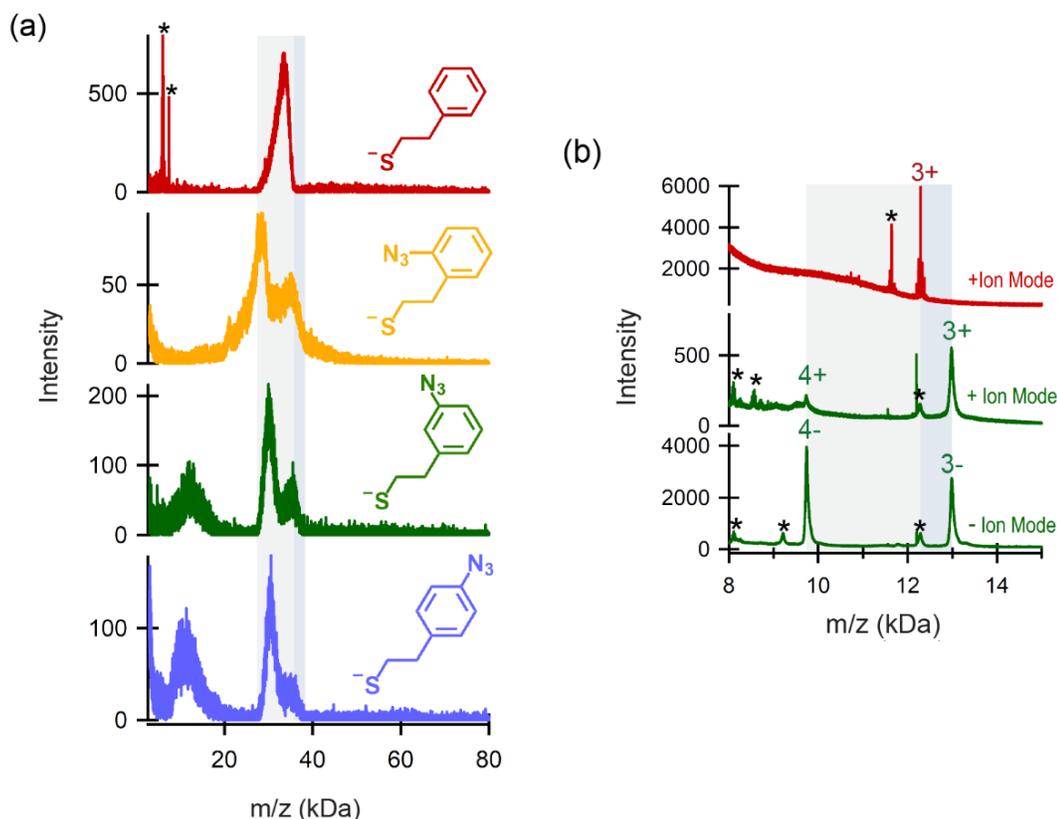


Figure 4. Mass spectrometry of Au₁₄₄(SR)₆₀ NCs. (a) MALDI-MS of Au₁₄₄ (red), *o*-Au₁₄₄-N₃ (yellow), *m*-Au₁₄₄-N₃ (green), *p*-Au₁₄₄-N₃ (purple) (b) ESI-MS of Au₁₄₄ (red) in positive ion mode and *m*-Au₁₄₄-N₃ (green) in both positive and negative ion modes. Asterisks indicate other NC impurities.

The three Au₁₄₄-N₃ NCs exhibited fragmentation ranges similar to Au₁₄₄ (**Figure 4a**). The *o*-Au₁₄₄-N₃ NCs had the broadest mass fragment distribution, with a greater fragment intensity below 30,000 Da than that of the *p*- and *m*-Au₁₄₄-N₃ NCs. Additionally, the *o*-Au₁₄₄-N₃ NCs contained a mass signal at ~21,075 Da, matching the mass of Au₁₀₇, a fragment that potentially originates from the Au₁₁₄ grand core following the stripping of all its surface motifs. In contrast, the MALDI-MS of the *m*- and *p*- ligands were virtually identical, with a smaller maxima and larger maxima consistent with Au₁₃₇(SC₂H₄-C₆H₄-N₃)₄₈ (n=7) and ~Au₁₂₈(SC₂H₄-C₆H₄-N₃)₂₈ (n=16), respectively. The greater fragmentation of the *o*-Au₁₄₄-N₃ NCs is likely due to the interference of the azide motif at the ortho position with the thiolate-Au bonding.

ESI-MS spectroscopy was successfully used to analyze the *m*-Au₁₄₄-N₃ NCs, in which its +3/-3 and +4/-4 charged fragments were observed, however the *o*- and *p*-Au₁₄₄ NCs could not be ionized via ESI methods (**Figure 4b**). Other minor fragments in the ESI-MS spectrum of *m*-Au₁₄₄-N₃ included the +2, +3/-3, and +4/-4 charge states of Au₁₃₇, and +2 and +1 charge states of Au₂₅. While the pattern of fragmentation in the MALDI-MS analysis emphasized the similarity between the Au₁₄₄-N₃ NCs, the effect of the position of the azide on the ability of the samples to ionize indicates that small changes to ligand structure influence the Au₁₄₄(SR)₆₀ NC regime.

The differences in the ligand features between Au₁₄₄ and *p*-Au₁₄₄-N₃ were also observed via thermogravimetric analysis (TGA). Thermogravimetric analysis can be used to measure the thermal stability and percentage of volatile components in materials. During TGA, the Au₁₄₄(SR)₆₀ ligands volatilize, leaving residual gold from the core component of the NCs. The percentage of remaining material can therefore be used to give an indication of the purity and thermostability of the NC samples and verify their stoichiometry. The Au₁₄₄ NCs in non-zero oxidation states contain counterions that contribute to their mass and alter their residual mass percentages. Therefore, as Au₁₄₄⁻¹ contains a tetraoctylammonium (TOA⁺) counterion, its residual mass was calculated to be lower than Au₁₄₄⁰. The theoretical residual mass percentage of Au₁₄₄ in its 0 and -1 oxidation states correspond to 77.5 and 76.5%, respectively. The observed residual mass percentage of Au₁₄₄ was 76.8%. The theoretical residual mass percentage of Au₁₄₄-N₃ NCs correspond to 72.6% in an oxidation state of 0 and 71.8% in an oxidation state of -1. The observed residual mass of the Au₁₄₄-N₃ NCs was 72.7%. Therefore, the percentage of mass remaining in TGA of the Au₁₄₄ and *p*-Au₁₄₄-N₃ matched the metal to ligand ratio of Au₁₄₄(SR)₆₀ NCs and indicated the samples were comprised of almost exclusively Au₁₄₄(SR)₆₀ (**Figure 5, Table 1**). Additionally, the TGA of the Au₁₄₄ NCs exhibited a much sharper trend in mass depletion, while the *p*-Au₁₄₄-N₃ NCs exhibited a more gradual mass loss, with a notable initial drop between 150°C and 200°C, indicative of N₂ loss and the decomposition of the azide fragment. Overall, the TGA data complemented mass spectrometric data supporting the synthesis of Au₁₄₄(SR)₆₀ NCs.

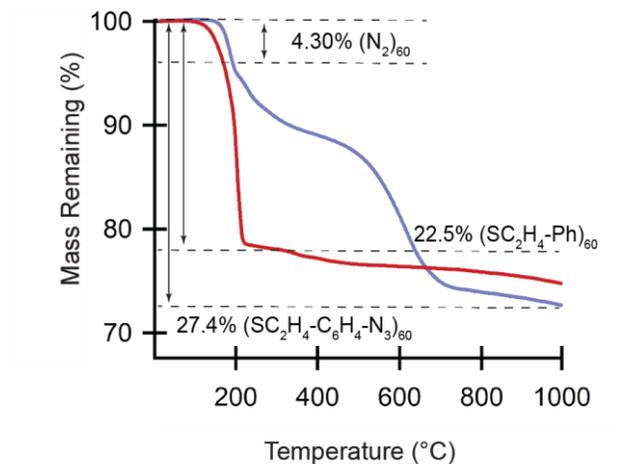


Figure 5. TGA of Au₁₄₄ (red) and *p*-Au₁₄₄-N₃ NCs (purple). Dotted lines indicate theoretical mass percentages.

Table 1. Theoretical and Experimental TGA Mass Percentages.

Sample	Ligand Molecular Weight (g/mol)	Theoretical Residual Mass Percentage for Au ₁₄₄ (SR) ₆₀ ⁰ NC	Theoretical Residual Mass Percentage for [Au ₁₄₄ (SR) ₆₀ ⁻¹][TOA ⁺] NC	Experimental Residual Mass Percentage
Au ₁₄₄	137.22	77.50	76.53	76.82
<i>p</i> -Au ₁₄₄ -N ₃	178.23	72.62	71.76	72.68

2.4 Excitation and photoluminescence spectroscopy of Au₁₄₄(SR)₆₀ NCs

The photoluminescence (PL) spectra of the Au₁₄₄ and the Au₁₄₄-N₃ NCs were obtained in dichloromethane at concentrations of 5 μM. The excitation wavelength was 532 nm, at a laser intensity of 10 mW (**Figure 6a**). The Au₁₄₄-N₃ NCs' emissions were similar to that of the Au₁₄₄ NCs, owing to the similarity of their Au core structural features. The

maximum emission of Au₁₄₄ was located at 933 nm. Slight shifts in maximum emission were observed for the *m*-azido and *p*-azido NCs, at 935 nm and 916 nm, respectively, while the greatest shift in peak maxima was observed for the *o*-azido NCs at 906 nm, a hypsochromic shift of 27 nm. The excitation spectra of the NCs were also collected (**Figure 6b**), measuring the edge of their emission at 850 nm. The excitation spectra of the NCs revealed greater optical fine structure than is observable in the UV-Vis spectra. These features are consistent with preexisting computational and experimental data pertaining to the optical features of Au₁₄₄(SR)₆₀ NCs and emphasized the structural similarity of their gold cores.^{76, 89, 95, 118, 119}

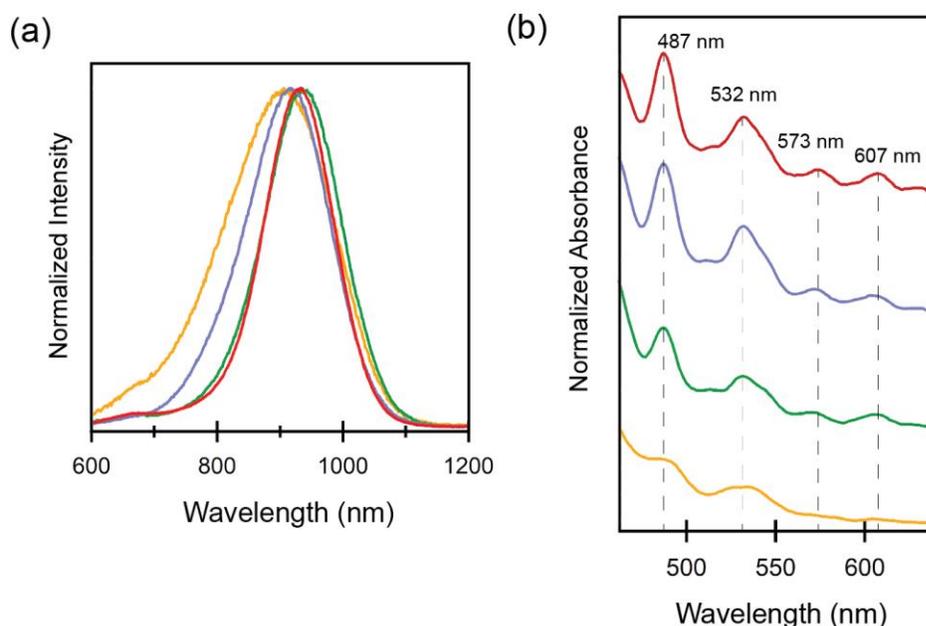


Figure 6. Spectroscopy of Au₁₄₄(SR)₆₀ NCs. (a) PL emission spectra of NCs, excited at 532 nm, and (b) excitation spectra of NCs, measuring at 850 nm, Au₁₄₄ (red), *p*-Au₁₄₄-N₃ (purple), *m*-Au₁₄₄-N₃ (green), *o*-Au₁₄₄-N₃ (yellow).

2.5 Electrochemistry of *p*-Au₁₄₄-N₃ and *m*-Au₁₄₄-N₃ NCs

Figure 7a displays differential pulse voltammograms (DPVs) of 0.75 mM Au₁₄₄ in dichloromethane containing 0.1 M tetra-*n*-butylammonium hexafluorophosphate (TBAPF₆) as the supporting electrolyte. The working electrode (WE) potential was

scanned from -1.9 to $+1.8$ V vs. SCE in both oxidation and reduction directions (indicated with red arrows). The DPV of Au_{144} displays 15 distinct redox pairs corresponding to consecutive electron transfers (ETs). These obtained electrochemical features of Au_{144} are similar to the previously reported DPVs.^{39, 120}

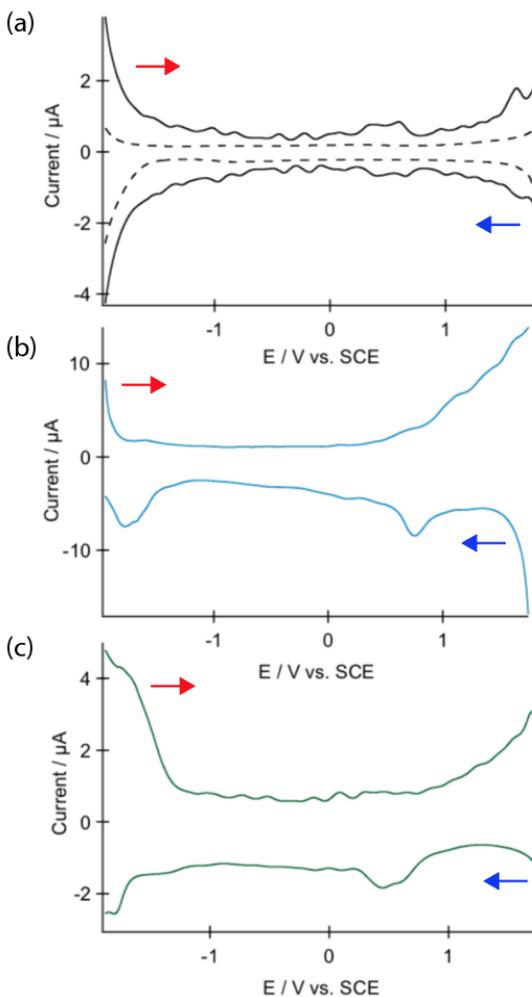


Figure 7. Electrochemistry of Au_{144} NCs derivatives. DPVs of (a) 0.75 mM Au_{144} , (b) 0.75 mM $p\text{-Au}_{144}\text{-N}_3$, and (c) 0.5 mM $m\text{-Au}_{144}\text{-N}_3$ in dichloromethane containing 0.1 M tetra-*n*-butylammonium hexafluorophosphate (TBAPF₆) using a 2-mm Pt disk electrode. DPVs collected at peak amplitude of 50 mV, a pulse width of 0.05 s, 4 mV increment per cycle, and a pulse period of 0.2 s were applied to obtain DPVs. The arrows indicate potential scan directions. The dotted lines indicate background scan prior to addition of analyte.

DPVs of 0.75 mM of the *p*-Au₁₄₄-N₃ NCs in DCM/0.1 M TBAPF₆ are shown in **Figure 7b**. The following changes were observed in the electrochemical features of the *p*-Au₁₄₄ NCs in comparison with those observed for the Au₁₄₄; (i) the redox couples are less pronounced in the oxidation and reduction direction scans, though some features can be seen at potential larger than 0.51 V. (ii) in the reverse scan (indicated with blue arrow) peaks at +0.76 V and -1.77 V vs. SCE. It appears that the presence of the azide influenced the electrochemical behavior of the *p*-Au₁₄₄-N₃ NCs. More importantly, owing to the position of the azide group, i.e., *para*-, it is highly plausible that the NCs form a film on the electrode surface and, somehow, influence the ET process between the WE and the NCs by generating a semiconductive organometallic layer. To evaluate the effect of to the azide position on the electrochemistry of Au₁₄₄(SR)₆₀ NCs, the *m*-Au₁₄₄-N₃ NCs were also investigated in DCM/0.1 M TBAPF₆. The electrochemistry of the *m*-Au₁₄₄-N₃ NCs is shown in **Figure 7c**. Interestingly, the DPVs of the *m*-Au₁₄₄-N₃ NCs reveal more features, i.e., redox peaks, in comparison with the *p*-Au₁₄₄-N₃. This observation supports the influence of the azide position on the electrochemical behavior of Au₁₄₄ NCs and their assembly on the electrode surface to create a sluggish ET. Additionally, both derivatives show a peak at -1.7 V. To further understanding its plausible origin, the electrochemistry of *p*-azidophenylethanethioacetate was investigated in DCM/TBAPF₆ (**Figure S6**). The DPVs show a peak at -1.5 V that is corresponding to electroreduction of azide to initially its radical anion (-PhN₃^{•-}) and subsequent reaction, according to Hawley and co-workers (more details are provided in supporting information).¹²¹ The electrochemistry of the *o*-Au₁₄₄-N₃ NCs is shown in **Figure S7**, in which much fewer electrochemical features are observed.

To conclude, *o*-, *m*-, and *p*-Au₁₄₄-N₃ were successfully prepared and characterized in this chapter. While the incorporation of the azide groups was observed to noticeably interfere with the electron transfer processes during electrochemical analysis, the azides had little to no impact on the UV-Visible and photoluminescent features of the Au₁₄₄ NCs. Overall, characterization of the NCs indicated both the azide groups as well as the Au₁₄₄ core structure remained intact in all three of the NCs. Equipped with the knowledge that 60

azido- ligands were present on the surface of the NCs, proof-of-concept CS-SPAAC chemistry could now be conducted on the surface of the Au₁₄₄-N₃ NCs to establish their degree of reactivity towards post-synthesis interfacial modification.

2.5.1 Reagents.

Ammonium chloride ($\geq 99.5\%$, fisher chemical), anhydrous magnesium sulfate (fisher chemical), Hydrogen tetrachloroaurate trihydrate ($\geq 99.9\%$, Aldrich), zinc dust ($\geq 98\%$, Aldrich), sodium borohydride ($> 98\%$, Acros Organics), 4-nitrophenethyl bromide (98%, Oakwood), potassium thioacetate (98% purity, Oakwood), celite 545 filter aid (Fisher Chemical), sodium thiosulfate pentahydrate solution (0.1N, Fisher Chemical), anhydrous sodium sulfate (99%, Acros Organics), tetraoctylammonium bromide (98%, Aldrich), phenylethane thiol ($\geq 99\%$, Aldrich), sodium nitrite ($\geq 97\%$, Caledon), sodium azide ($\geq 97\%$, Aldrich), ethyl diazoacetate (13% wt dichloromethane, Aldrich), dichloromethane-d₂ (99.9%, Aldrich), chloroform-d (99.8%, Cambridge Isotope Laboratories), hydrochloric acid (37%, Aldrich), triethylamine ($\geq 99.5\%$, Millipore), tetra-n-butylammonium hexafluorophosphate (98%, Aldrich). Solvents were obtained from fisher chemical or Aldrich and used without further purification.

2.5.2 Instrumentation

Measurements were conducted at room temperature (25 °C) unless otherwise specified.

¹H, and ¹³C{¹H} spectra were recorded on a Bruker AvIII HD 400. ¹H NMR spectra are reported as δ in units of parts per million (ppm) and referenced against residual protonated chloroform (δ 7.26 ppm, s), or dichloromethane (δ 5.32, t), as indicated. Multiplicities are reported as follows: s (singlet), d (doublet), t (triplet), q (quartet), quin (quintuplet), and m (multiplet). Second order splitting patterns, such as the AA'XX' para-substituted aromatic protons in *p*-azidophenylethane thiol, and for the ethyl substituents in the ligands are reported according to the latter multiplicity values based on their appearance. Coupling constants are reported as a J value in Hertz (Hz). The number of protons (n) for a given resonance is indicated as nH, based on spectral integration values.

$^{13}\text{C}\{^1\text{H}\}$ NMR spectra are reported as δ in units of parts per million (ppm) or referenced against residual protonated chloroform (δ 77.0 ppm, t).

Thermogravimetric analysis was performed using a TA Instruments Q50 TGA. Each sample was added as a fine powder to a platinum pan and heated at a rate of 10 °C/min from 25 °C to 1000°C under a flow of nitrogen (100 mL/min).

A Voyager DE mass spectrometer operated with an N_2 laser at 337 nm in the positive mode was used to obtain the MALDI-MS data. *trans*-2-[3-(4-*tert*-Butylphenyl)-2-methyl-2-propenylidene]malononitrile (DCTB) was used as the MALDI matrix (100 mg/mL).

ESI-MS was recorded using a Waters Synapt XS instrument. Sample solutions were prepared by dissolution in dichloromethane at a concentration of ~1-2 mg/mL and diluted as needed. The sample solution was then mixed with cesium acetate to facilitate the ionization of (neutral) AuNMs via cesium adduct formation. Typically, cesium acetate (10-20 μL , 50 mg/mL) was added to the sample (150 μL) and mixed prior to introducing the sample via the ESI source. UV-Visible (UV-Vis) spectra were recorded using an Agilent technologies Cary 5000 UV-Vis-NIR spectrophotometer using standard quartz cells (1 cm path length) with a scan range of 200-1200nm.

Photoluminescence measurements were conducted in dichloromethane using Acton 2300i spectrograph equipped with an iDus BRDD CCD camera. A 532 nm COHERENT laser (model 1037860, VERDI 5W) attached to a cooler (model: T255p) was utilized to excite the NC solutions.

Excitation spectra were measured using a Photon Technology International Quanta Master (QM4) scanning spectrophotometer (PTI, London, Canada) equipped with a Xenon flash lamp, emission monochromator with a grating blazed at 750 nm, and a red sensitive GaAs photomultiplier tube. For emission spectra of the Au_{144} , *m*- $\text{Au}_{144}\text{-N}_3$, and *p*- $\text{Au}_{144}\text{-N}_3$ NCs, the emission slits were set to 10 nm and the excitation slits were set to 20 nm. For excitation spectra of the Au_{144} and *p*- $\text{Au}_{144}\text{-N}_3$ the excitation slits were set to 10 nm and the emission slits were opened to 20 nm. For excitation spectra of the *m*-

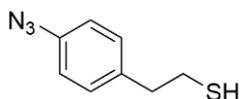
Au₁₄₄-N₃, the excitation slits were 10 nm and the emission slits were 30 nm. Spectra were smoothed using Fourier Transform smoothing.

Attenuated total reflectance IR (ATR-IR) spectra were recorded using a PerkinElmer Spectrum Two FT-IR spectrometer.

The electrochemistry of the Au₁₄₄(SR)₆₀ NCs were investigated using a CH instrument (model 760E), and a three-electrode system including a 2 mm Pt disk, Pt coil and Ag/Ag⁺/TPAPF₆ which served as working, counter, and reference electrodes. The solution was purged with argon prior to the electrochemical studies.

2.5.3 Preparation and Characterization of Compounds

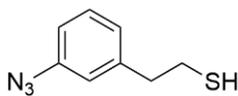
p-azidophenylethanethiol (1)



Prepared according to Gunawardene *et al.*⁶⁶

¹H NMR (CDCl₃, 400 MHz): δ (ppm) 7.18 (d, 2H, *J*=8.4 Hz), 6.98 (d, 2H, *J*=8.4 Hz), 2.90 (q, 2H, *J*=7.2 Hz), 2.77 (q, 2H, *J*=7.2 Hz), 1.36 (t, 1H, *J*=8.0 Hz).

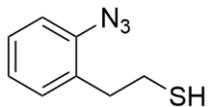
m-azidophenylethanethiol (2)



Prepared according to Gunawardene *et al.*⁷³

¹H NMR (CDCl₃, 400 MHz): δ (ppm) 7.29 (t, 1H, *J*=7.6 Hz), 6.98 (d, 1H, *J*=7.6 Hz), 6.91 (dd, 1H, *J*₁=7.6 Hz, *J*₂=2.0 Hz), 6.85 (s, 1H), 2.92 (t, 2H, *J*=7.6 Hz), 2.79 (q, 2H, *J*=7.6 Hz), 1.38 (t, 1H, *J*=8.0 Hz).

o-azidophenylethanethiol (3)



Prepared according to Gunawardene *et al.*⁷³

¹H NMR (CDCl₃, 400 MHz): δ (ppm) 7.29 (dt, 1H, *J*₁=7.6 Hz, *J*₂=1.0 Hz), 7.17 (m, 2H), 7.08 (dt, 1H, *J*₁=7.6 Hz, *J*₂=1.0 Hz), 2.89 (t, 2H, *J*=7.6 Hz), 2.75 (q, 2H, *J*=7.6 Hz), 1.38 (t, 1H, *J*=8.0 Hz).

Au₁₄₄(SC₂H₄-C₆H₄-N₃)₆₀ NCs (o-Au₁₄₄-N₃(4), m-Au₁₄₄-N₃(5), p-Au₁₄₄-N₃(6))

The protocol for the synthesis of Au₁₄₄(SC₂H₄-C₆H₄-N₃)₆₀ NCs was adapted from a one-pot monodispersed Au₁₄₄ synthesis developed by Hesari *et al.*³⁹. Briefly, gold (III) chloride trihydrate (250 mg, 635 μmol, 1.0 eq.) was added to a 100 mL multi-neck flask fitted with a stir bar and dissolved in 25 mL of acetonitrile. This was followed by the addition of tetraoctylammonium bromide (417 mg, 763 μmol, 1.2 eq.). The mixture was cooled to 0°C in an ice bath over 10 minutes. Next, azidophenylethanethiol (683 mg, 3.81 mmol, 6.0 eq.) was added dropwise over 5 minutes while stirring at 80 rpm. The reaction was stopped and stirred for 2 hours, or enough time for the reaction mixture to form a colourless white suspension. Sodium borohydride (240 mg, 6.34 mmol, 10 eq.) was dissolved in 5 mL of ice-cold milli-Q water and added in one portion at the highest possible controlled stir rate (generally 540 rpm). The reaction rapidly formed a black/brown solution. It was allowed to stir for 1 hour during which the black crude precipitated out. The crude NCs were isolated by gravity filtration and rinsed with a small amount of ice-cold acetonitrile (3 x ~2-3 mL) to wash away any potential Au₂₅(SR)₁₈. Reactions stopped at 1h were not observed to contain appreciable amounts of Au₂₅(SR)₁₈, however small amounts of Au₂₅(SR)₁₈ NCs could be detected during mass spectrometric analysis. The NCs were dried using rotary evaporation and purified by washing repeatedly with a methanol/water mixture, gradually increasing the percentage of methanol in the washing solution from 50% to 95% to remove excess thiol and borohydride salt and obtain the product as a shiny black powder. The typical yield of the reaction was 90-100 mg (26-29% yield based on mass of gold) for the *para*- and *meta*-regioisomers and 30-40 mg (9-12% yield based on the mass of gold used) for the *ortho*-regioisomer.

Au₁₄₄(S-C₂H₄-Ph)₆₀ NCs (7)

Prepared according to Hesari *et al.*³⁹ Crystals of **7** were obtained by dissolving 20 mg in 2 mL of DCM in an NMR tube and filling the tube to the brim with petroleum ether (~6 mL). After placing in a dark cabinet at room temperature for 1 month, the solution in the NMR tube had become colourless and small black crystals were observed on the walls of

the tube (**Figure 8**). The crystals were submitted to the X-ray Diffraction Facility in the Western Chemistry Department. They were then analyzed and an amorphous scattering during survey scans of the crystals was observed. This indicated the crystals were not of high enough quality for structural determination using single crystal X-ray diffractometry. Further attempts to grow high quality crystals by changing critical conditions such as solvent, and concentration were considered.

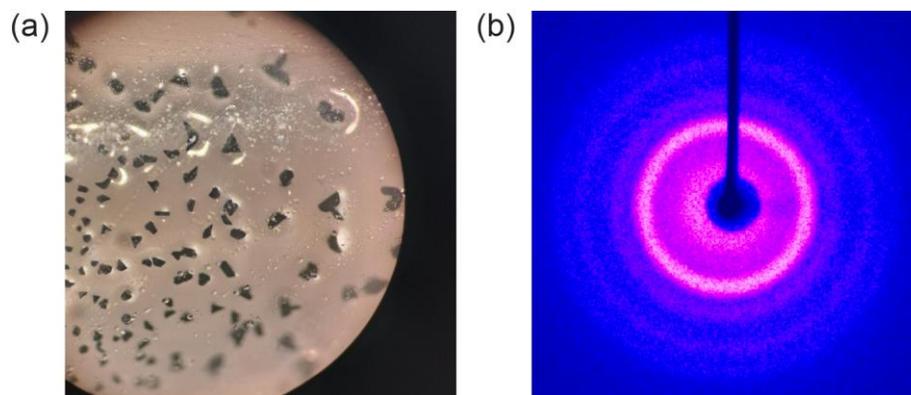
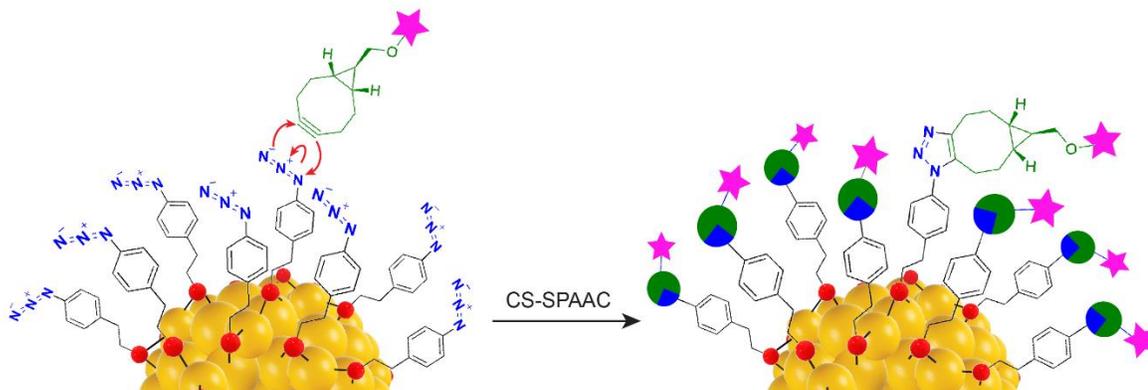


Figure 8. Single crystals of Au₁₄₄. (a) Optical image of obtained amorphous crystals grown on walls of NMR tube, (b) the observed amorphous scattering during survey scans of crystals.

Chapter 3

3 Post-Synthesis Modifications of p -Au₁₄₄-N₃ NCs via the CS-SPAAC Reaction

Azide-functionalized Au₁₄₄(SR)₆₀ NCs present an intriguing opportunity to examine the reactivity of their 60 surface azide groups towards click chemistry, the effect of modifying their ligand structure on their features, and the breadth of function that can be bestowed to Au₁₄₄(SR)₆₀ NCs post-synthesis (**Scheme 6**). In addition, having previously studied click chemistry on the surface of Au₂₅(SR)₁₈ NCs, click chemistry on Au₁₄₄(SR)₆₀ NCs enables one to compare interfacial reactivity at different size regimes. A quick calculation reveals that when scaling from Au₂₅(SR)₁₈ to Au₁₄₄(SR)₆₀, the rate of increase in the number of gold atoms is almost double that of the number of ligands (and their corresponding reactive surface groups). Among other contributing factors, like their structural features, this indicates that the interaction of the ligands with the Au NC core is unique at each size regime. The 60 ligands of the Au₁₄₄-N₃ are bound in 30 identical SR-Au-SR staple motifs and should therefore all exhibit the same reactivity towards the CS-SPAAC reaction. However, for any one superatomic molecule to undergo 60 independent surface reactions is a non-trivial process when one considers the new steric hindrance incurred by each CS-SPAAC reaction, and the difficulty that added sterics pose to succeeding CS-SPAAC reactions.



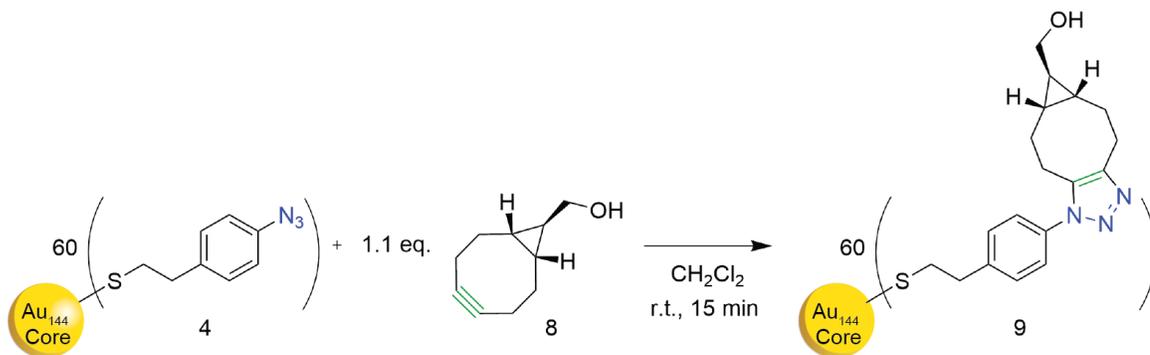
Scheme 6. CS-SPAAC reaction of Au₁₄₄-N₃ NCs. Introduction of function (pink star) to the surface of *p*-Au₁₄₄-N₃ NCs via the CS-SPAAC reaction, azide (blue), strained alkyne (green)

In order to optimize the investigation of proof-of-concept click chemistry of Au₁₄₄-N₃ NCs, we chose to select only one of the three Au₁₄₄-N₃ isomers based on our group's previous findings. Our recent study of the azido regioisomers of Au₂₅(SR)₁₈ NCs revealed *p*-azidophenylethanethiolate ligands exhibited superior reactivity towards the CS-SPAAC reaction.⁷³ While it had been previously established that electron donating groups decreased the reactivity of aromatic azides towards the CS-SPAAC reaction,¹²² it was additionally observed that the position of the azide group within the azidophenylethanethiolate ligands of the Au₂₅-N₃ NCs also heavily influenced their rate of reactivity. The azide groups in the *m*- and *o*-Au₂₅-N₃ NCs are oriented more inwards towards the NC core relative to *p*-Au₂₅-N₃. This prevents the *o*-Au₂₅-N₃ NCs from stably undergoing the CS-SPAAC reaction altogether, and significantly decreases the reactivity of the *m*-Au₂₅-N₃ NCs, by a factor of 8 when compared to the *p*-Au₂₅-N₃ NCs. Therefore, reasoning that the performance of the Au₁₄₄-N₃ NC isomers likely mirrored that of the Au₂₅-N₃ NCs, the *p*-Au₁₄₄-N₃ NCs were used as the subject for examining proof-of-concept Au₁₄₄(SR)₆₀ CS-SPAAC click chemistry.

3.1 Proof-of-concept CS-SPAAC reaction with *exo*-9-hydroxymethylbicyclo[6.1.0]non-4-yne (BCN)

The amenability of the NCs to the SPAAC reaction was tested first using a readily accessible strained alkyne, *exo*-9-hydroxymethylbicyclo[6.1.0]non-4-yne (BCN),

synthesized according to previously reported literature procedures^{123, 124} and added in slight excess (1.1 equivalents) to a stirring solution of the *p*-Au₁₄₄-N₃ NCs in dichloromethane (**Scheme 7**).



Scheme 7. CS-SPAAC reaction of *p*-Au₁₄₄-N₃ NCs with BCN (8) to produce *p*-Au₁₄₄-BCN NCs (9)

The NCs were observed to rapidly undergo the CS-SPAAC reaction with BCN and precipitated out of the reaction to produce a fine black suspension. The reaction mixture was dried by rotary evaporation and washed several times with dichloromethane to remove excess BCN. The apparent complete disappearance of the azide stretches and the appearance of a broad O-H stretch at 3358 cm⁻¹ due to the hydroxyl group of BCN in the product's IR spectrum revealed all the azide ligands on the surface of the NCs underwent the CS-SPAAC reaction (**Figure 9b**). The UV-Vis spectra (**Figure 9a**) of the product, obtained in methanol, indicated that the structure of the Au₁₄₄(SR)₆₀ framework remained intact. TGA further corroborated that all the surface azides had reacted based on the sample's residual mass percentage, with a theoretical residual mass of 72.6% and an experimental mass percentage of 72.7% (**Figure S8**). Unlike the *p*-Au₁₄₄-N₃ NCs, the product of the click reaction was alcohol-soluble, owing to the 60 hydroxyl groups of BCN incorporated onto the NC surface. While the marked change in solubility was not the primary ambition of modifying the NCs with BCN, it highlighted how dependent the NC solubility is on the groups positioned at the NC-solvent interface and indicated the solubility of the NCs was tunable. This could be a valuable tool in the design of NCs for potential targeted properties like cell membrane permeability.

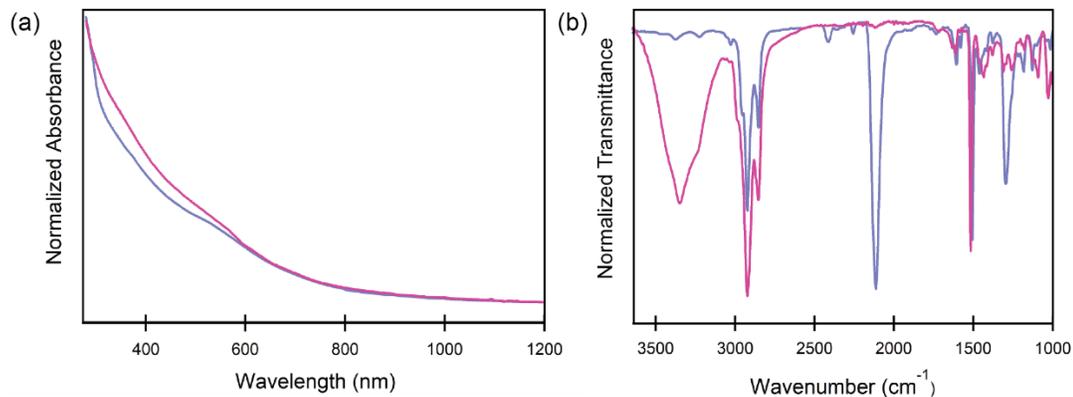


Figure 8. Spectroscopy of p -Au₁₄₄-BCN. (a) UV-Vis and (b) IR spectra of p -Au₁₄₄-N₃ NCs before (purple) and after (pink) click reaction with BCN

Upon clicking the p -Au₁₄₄-N₃ NCs with BCN, a PL spectrum was also obtained in methanol using the same concentration and parameters as the other samples (**Figure 10a**). The PL peak of the NCs were observed to broaden, and the peak maxima was shifted to a shorter wavelength at 892 nm. The broadening in the PL emission maxima of the click product may be attributed to the ligand density surrounding the NC core, where more sterically demanding thiolate ligands influence ligand to metal/ligand to metal-metal charge donation processes.⁴⁹ Due to the broader emission peak, its excitation spectra could be collected by measuring the edge of its emission at 756 nm, which allowed for the region of 410-460 nm to be probed within the excitation spectrum, avoiding the harmonic of the emission wavelength and revealing two additional excitation maxima at 423 nm and 445 nm (**Figure 10b**).

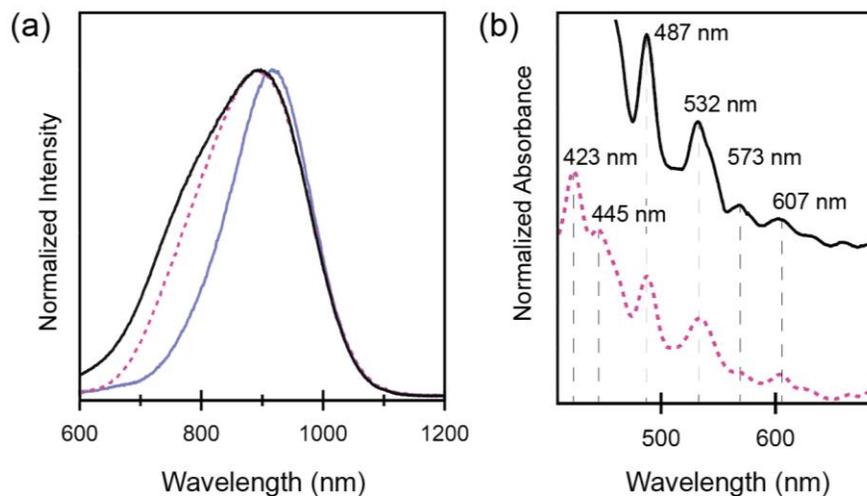


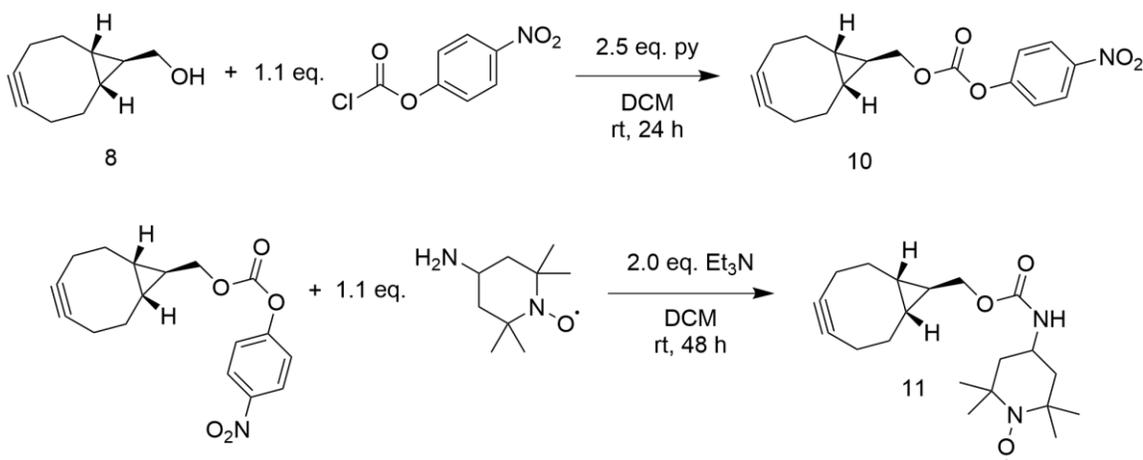
Figure 9. PL and excitation spectra of click products. (a) PL spectra with excitation at 532 nm, p -Au₁₄₄-N₃ (purple), click reaction product of p -Au₁₄₄-N₃ with BCN (pink, dotted), and click reaction product of p -Au₁₄₄-N₃ with BCN-TEMPO (black). (b) excitation spectra, measuring emission at 850 nm for p -Au₁₄₄-TEMPO (black) and 756 nm for p -Au₁₄₄-BCN (pink, dotted).

3.2 Functionalization of p -Au₁₄₄-N₃ NCs with TEMPO and their Catalytic Application

The compound 2,2,6,6-Tetramethylpiperidine-1-oxyl (TEMPO) is a stable nitroxyl radical that is used as a catalyst in oxidation reactions¹²⁵⁻¹²⁷, a mediator in radical polymerization¹²⁸ and a probe for chemical sensing/imaging applications.¹²⁹⁻¹³¹ Its stability and range of applications have made it the subject of the development of nanomaterials and surface-modification studies.¹³²⁻¹³⁴ TEMPO has been used previously in combination with Au nanoparticles (AuNPs) in the electrochemical detection of hydrogen peroxide.¹³⁵ It has also been thiolated and tethered to AuNPs for the development of therapeutics¹³⁶ and catalytic TEMPO-coated gold apparatuses.^{137, 138} It has been applied to NC chemistry previously in order to study the electron transfer capability of Au₂₅(SC₂H₄Ph)₁₈ NCs,¹³⁹ and the formation of Au-H intermediates during gold Np- and NC-catalyzed alcohol oxidation reactions.^{140, 141} We therefore chose to investigate whether TEMPO could be incorporated through the CS-SPAAC reaction as

another proof-of-concept post-synthesis modification. Further, the incorporation of the TEMPO moiety brings potential application of these NCs to memory devices, redox probes and specifically as herein described, electrocatalysis.

To functionalize the *p*-Au₁₄₄-N₃ NCs with TEMPO, the hydroxyl group of BCN was converted to a nitrophenoxy carbonate leaving group according to Dommerholt *et al.*,¹²³ and reacted with 4-amino-TEMPO (Tempamine) to tether BCN to TEMPO via a carbamate group (**Scheme 8**). The resulting BCN-TEMPO substrate was reacted in excess with the *p*-Au₁₄₄ NCs for 1h before it was dried and washed with methanol to remove excess BCN-TEMPO.



Scheme 8. Synthesis of BCN-TEMPO (11)

The complete click reaction of the product was confirmed using infrared spectroscopy (**Figure 11a**), indicated by the complete disappearance of the azide stretch. It was also confirmed by the appearance of an N-H stretch at 3336 cm⁻¹ and carbonyl stretch at 1700 cm⁻¹, both attributed to the carbamate group of BCN-TEMPO now tethered to the NC surface. The residual mass within the TGA of the TEMPO-functionalized NCs (47.3 % theoretically, 47.7% experimentally) was consistent with all the surface azides reacting with BCN-TEMPO (**Figure S9**). The presence of the nitroxyl radical on the surface of the cluster was observed with electron paramagnetic resonance (EPR) spectroscopy (**Figure 11b**). Moreover, the CV of 0.15 mM of *p*-Au₁₄₄-TEMPO in DCM/0.1 M

TBAPF₆ also confirms the presence of TEMPO functionality with redox potential of 0.85 V vs. SCE (**Figure 11c**).

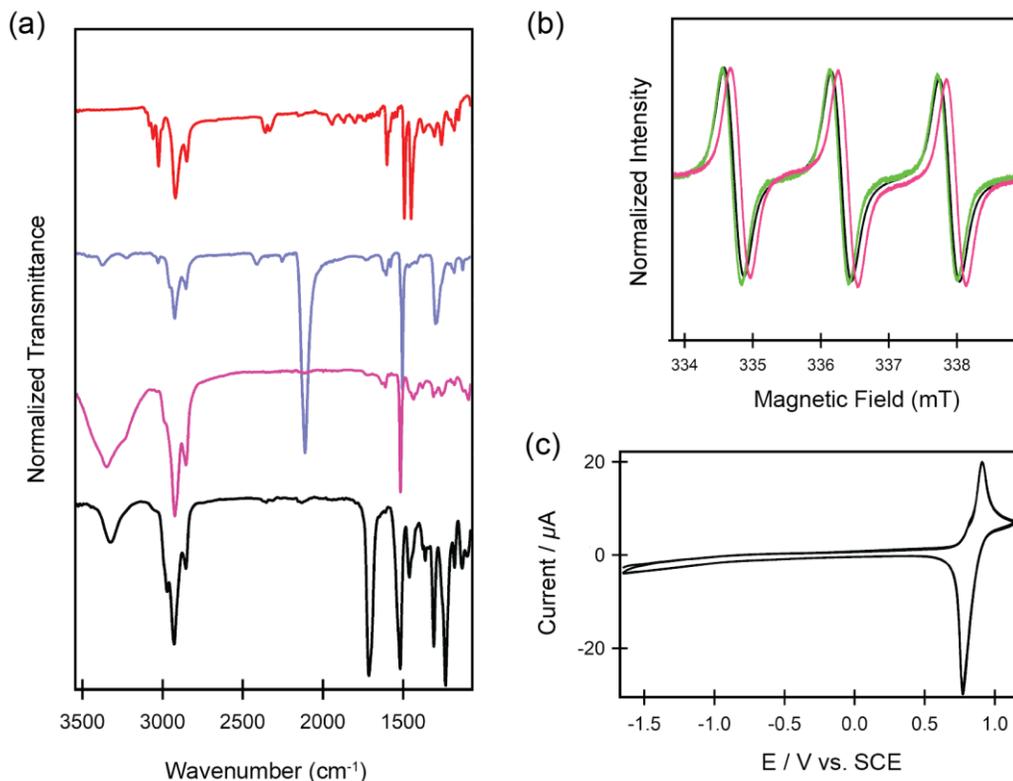
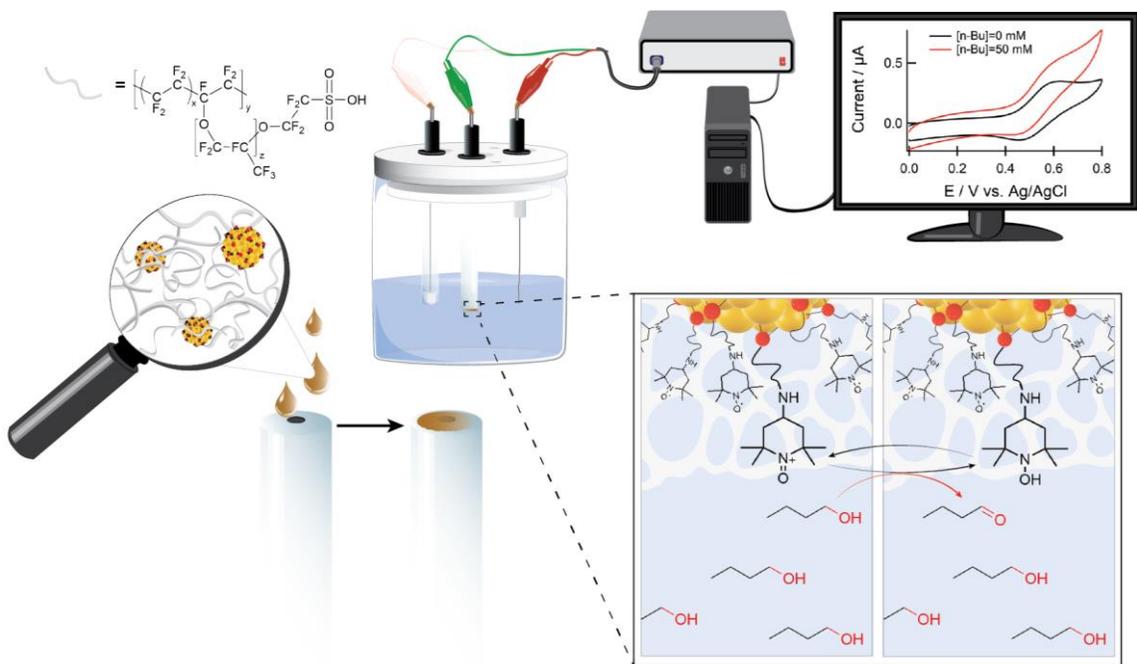


Figure 10. Characterization of *p*-Au₁₄₄-TEMPO. (a) Infrared spectra of Au₁₄₄ (red), *p*-Au₁₄₄-N₃ (purple), *p*-Au₁₄₄-BCN (pink), and *p*-Au₁₄₄-TEMPO (black). (b) EPR spectroscopy of *p*-Au₁₄₄ NCs after click reaction with BCN-TEMPO (black), 4-amino-TEMPO (orange), BCN-TEMPO (green). (c) Cyclic voltammogram (CV) of 0.15 mM *p*-Au₁₄₄-TEMPO in DCM/0.1 M TBAPF₆ using a 2-mm Pt disk working electrode.

To test its electrocatalytic activity, the *p*-Au₁₄₄-TEMPO was embedded in a sulfonated tetrafluoroethylene-based fluoropolymer-copolymer, Nafion, in order to create a permeable heterogenous catalyst with good ion exchange capacity. The effectiveness of this heterogeneous *p*-Au₁₄₄-TEMPO NC catalyst was then examined by measuring the current response of TEMPO at the working electrode when placed in an electrochemical cell with varying concentrations of an oxidizable substrate, n-butanol (**Scheme 9**).



Scheme 9. Drop-casting of p -Au₁₄₄-TEMPO in Nafion polymer on to surface of GC electrode and subsequent experimental setup measuring electrocatalytic oxidation of n -butanol to n -butanal at pH of 9.

Nafion and p -Au₁₄₄-TEMPO were dissolved in THF, drop casted onto a glassy carbon working electrode and dried overnight. The electrode was then placed in sodium bicarbonate solution at a pH of 9. **Figure 12a** displays typical cyclic voltammogram of p -Au₁₄₄-TEMPO modified GC electrode in 0.5 M NaHCO₃, pH 9. The capability of the immobilized TEMPO on p -Au₁₄₄-TEMPO to electrocatalytically oxidize an alcohol as a model compound was investigated. The alcohol selected was n -butanol according to previous studies showing n -butanol to be the most active in the nitroxyl-mediated electrocatalytic oxidation of alcohols.¹⁴² The electrocatalytic activity of p -Au₁₄₄-TEMPO was first investigated using cyclic voltammetry technique. **Figure 12b** displays a series of CVs of the modified GC electrode with p -Au₁₄₄-TEMPO in pH 9 in the absence and presence of various concentrations of n -butanol at 10 mV/s scan rate. Moreover, chronoamperograms (CAs) at $E_{app}=0.6$ V vs. Ag/AgCl were generated in which the potential was held constant for 3 minutes and the response in current was measured when 50mM, 100mM, 150mM, and 200mM solutions of n -butanol were added (**Figure 12c**). A plot of current vs. $[n\text{-butanol}]^{-1}$ from the extrapolated data indicated the p -Au₁₄₄-TEMPO

NCs indeed displayed catalytic behavior (**Figure 12d**). As one may argue that the observed electrocatalytic activity originates from the presence of the gold core, a control experiment was considered. A GC electrode modified with Au₁₄₄ NCs and its reactivity towards the electrocatalytic oxidation of *n*-butanol was examined in NaHCO₃. In fact, the obtained CVs did not show any faradic current. In addition, there was no appreciable change in the CA current in the presence of various *n*-butanol concentrations (**Figure S12**).

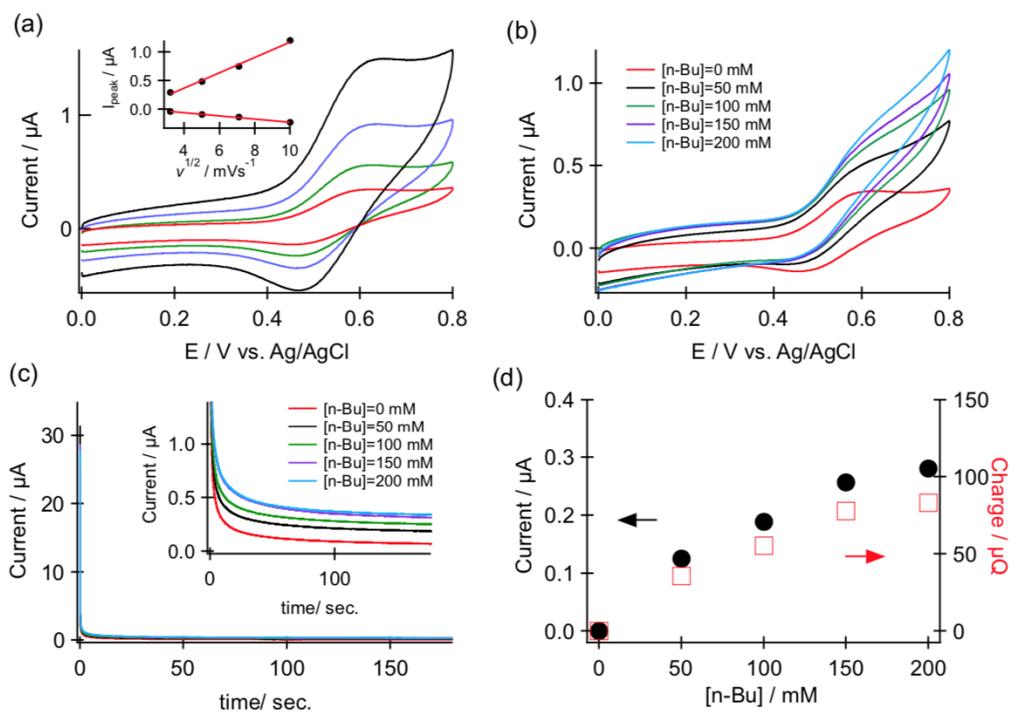
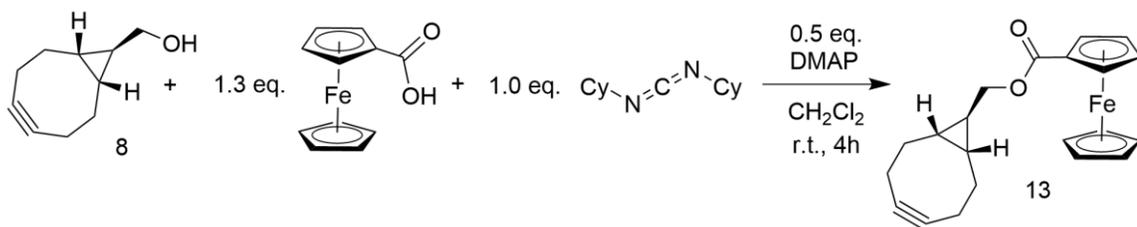


Figure 11. Electrocatalysis of *p*-Au₁₄₄-TEMPO. (a) Cyclic voltammograms (CVs) of dropcasted *p*-Au₁₄₄-TEMPO on a 2-mm GC electrode at various scan rates; 10 mV (red), 25 mV (green), 50 mV (purple), and 100 mV/s in 0.5 mM NaHCO₃. The inset displays anodic and cathodic peaks versus square root of scan rate. (b) CVs of GC-modified *p*-Au₁₄₄-TEMPO in the absence (red) and presence of 50 mM (black), 100 mM (green), 150 mM (purple), and 200 mM (blue) of *n*-butanol in 0.5 mM NaHCO₃ at 10 mV/s. (c) CA curves of electro-oxidation of *n*-butanol on *p*-Au₁₄₄-TEMPO-modified GC electrode in the absence (red) and presence of 50 mM (black), 100 mM (green), 150 mM (purple), and 200 mM (blue) of *n*-butanol in 0.5 mM NaHCO₃ at 0.6 V vs. Ag/AgCl. (d) Current and charge vs. *n*-butanol concentrations. Data are taken from CA curves in panel (c).

3.3 Functionalization of p -Au₁₄₄-N₃ NCs with Ferrocene

In addition to appending TEMPO to the surface of the p -Au₁₄₄-N₃ NCs, the click reaction of the NCs with a strained alkyne containing ferrocene was also investigated. Ferrocene (Fc) is a redox-active compound that has been used in nanoparticles for applications such as chemodynamic cancer therapy¹⁴³ and chemical sensing¹⁴⁴. Due to its stability and solubility in common organic solvents, it is a popular proof-of-concept reagent for bestowing function to nanomaterials.¹⁴⁵⁻¹⁴⁹ Dr. Gunawardene previously demonstrated that Fc could be appended to the surface of an azide-functionalized Au₂₅(SC₂H₄- p -C₆H₄-N₃)₁₈ NC (p -Au₂₅-N₃) via a CS-SPAAC reaction.¹⁵⁰ He observed that all 18 surface azides of p -Au₂₅-N₃ could be functionalized with Fc to produce an Au₂₅(SR)₁₈ NC with 18 electroactive Fc groups. We therefore sought to compare the reactivity of the p -Au₁₄₄-N₃ NCs to that of the p -Au₂₅-N₃ NCs using the same Fc click derivative.

To synthesize the Fc click derivative, ferrocene carboxylic acid (Fc-COOH) was coupled to BCN through an esterification reaction using dicyclohexylcarbodiimide (DCC) as a coupling reagent (**Scheme 10**).¹⁵⁰ The BCN-Fc product was purified by column chromatography and confirmed using NMR, IR, and UV-Vis spectroscopic methods, matching the data previously collected and reported by Dr. Gunawardene.



Scheme 10. Synthesis of BCN-Fc (13)

BCN-Fc was then added to the p -Au₁₄₄-N₃ NCs in an excess of 0.5 equivalents in dichloromethane and stirred for 40 minutes. The reaction mixture was then dried by rotary evaporation and washed with acetonitrile to remove excess Fe-BCN. The IR spectrum of the product still contained a strong azide stretch and so the crude product was redissolved and 1.5 equivalents of Fe-BCN were re-added to the reaction mixture.

The reaction was stirred overnight and washed again, however no further change in the IR spectrum was observed.

The IR spectrum of the starting materials and product (*p*-Au₁₄₄-Fc) can be seen in **Figure 13a**. By comparing the intensity of the azide stretch at 2116 cm⁻¹ to the C-C stretching vibration of the *p*-azidophenylethane thiolate ligand at 1506 cm⁻¹ in the IR spectrum of the partially clicked product relative to that of the starting material, the percentage of clicked BCN-Fc could be approximated. Prior to the CS-SPAAC reaction, the azide intensity equated to 147.1% of the C-C stretch intensity. Subsequently, the azide stretch equated to 93.2% of the C-C stretch intensity. The change in relative intensity of the two stretches corresponds to 38 ligands clicking on to the surface of the cluster, or in other words, 63.3% of the ligands reacting. The lower reactivity of the *p*-Au₁₄₄-N₃ NCs can be largely attributed to the bulk of the Fc groups at the surface of the NCs, likely blocking the residual azides from reacting further. Additionally, a smaller azide stretch overlapping with the azide stretch at 2083 cm⁻¹ was observed, indicating a change to the chemical environment of the azides on the surface of the *p*-Au₁₄₄-N₃ NCs.

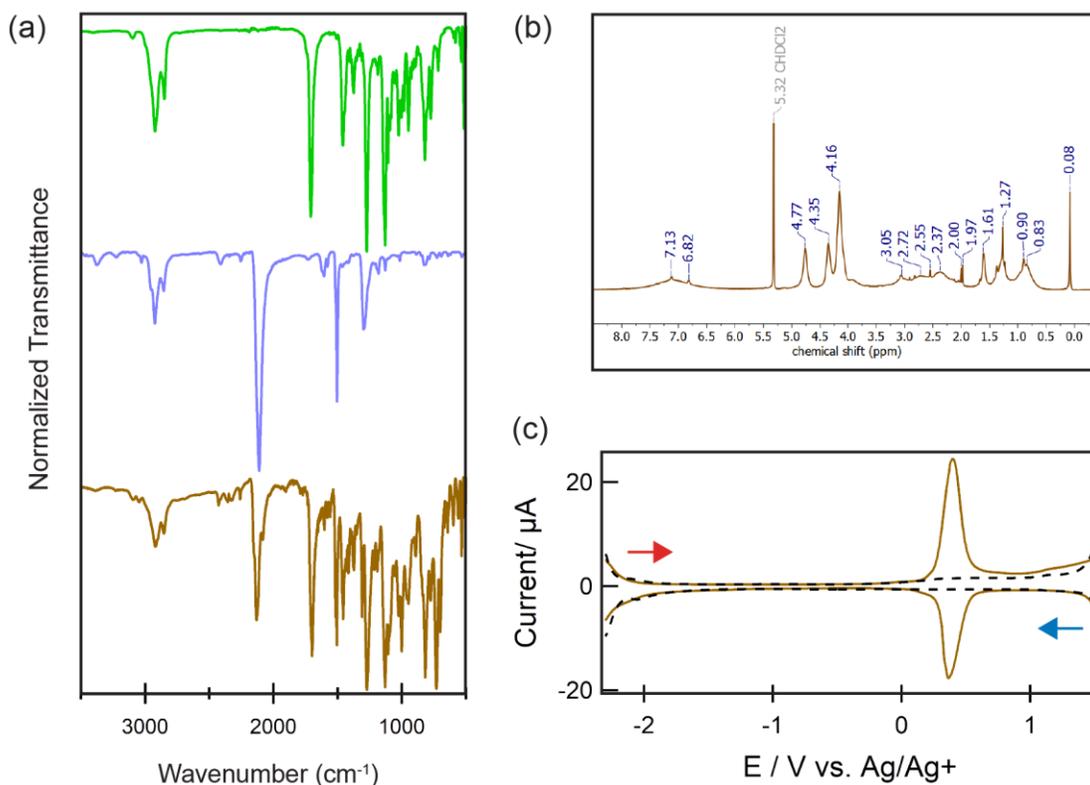


Figure 12. Characterization of p -Au₁₄₄-Fc NCs. (a) IR spectrum of BCN-Fc (green), p -Au₁₄₄-N₃ (purple), and p -Au₁₄₄-Fc (brown), (b) ¹H NMR spectrum of p -Au₁₄₄-Fc, (c) DPV of 0.13 mM of p -Au₁₄₄-Fc in DCM/ 0.1 M TBAPF, with arrows indicating potential scan directions. The dotted line indicates the background scan prior to the addition of the analyte.

Figure 13b shows the ¹H NMR spectrum of the click product. Notably, three new broad peaks at 4.77, 4.35 and 4.16 ppm are present in the spectrum that correspond to the protons of the cyclopentadienyl groups of clicked-on BCN-Fc. These peaks are also visible in the proton spectrum of p -Au₂₅-N₃ functionalized with surface Fc groups (p -Au₂₅-Fc). However, in the p -Au₂₅-Fc NC, these peaks are considerably less broadened due to the smaller size regime of the NC and can be identified as two triplets and a singlet, respectively.

Lastly, **Figure 13c** displays the DPV of the p -Au₁₄₄-Fc NCs in dichloromethane. A quasi-reversible peak was observed at 0.40 V vs. SCE corresponding to the Fc⁰/Fc⁺¹ redox activity of the Fc motifs on the surface of the p -Au₁₄₄-Fc NCs. Electrochemical

activity attributed to the *p*-Au₁₄₄-Fc NC Au core was not observed in the DPV. Interestingly, the irreversible electroreduction of the surface azide groups at -1.7 V, previously observed in the DPV of the Au₁₄₄-N₃ NCs, was also not observed in the DPV. The seemingly invisible azide motifs in the electrochemistry of the Fc-decorated NCs emphasize the role that structural arrangement plays in the performance of functional groups within NCs. Unsurprisingly, when scaling up from Au₂₅(SR)₁₈ to Au₁₄₄(SR)₆₀, the reactivity of surface ligands towards the CS-SPAAC reaction may therefore vary. While the electrochemical activity of the azide group was masked by the introduction of the BCN-Fc substrate, it has yet to be established whether the reactivity of the residual azides was completely suppressed. In future, these residual azide groups could potentially serve as a handle in order to functionalize the surface of the NCs with multiple surface groups.

3.4 Experimental

3.4.1 Chemicals

Ammonium chloride ($\geq 99.5\%$, Fisher Chemical), anhydrous magnesium sulfate (Fisher Chemical), Hydrogen tetrachloroaurate trihydrate ($\geq 99.9\%$, Aldrich), zinc dust ($\geq 98\%$, Aldrich), sodium borohydride ($> 98\%$, Acros Organics), 4-nitrophenethyl bromide (98%, Oakwood), potassium thioacetate (98% purity, Oakwood), rhodium(II) acetate dimer (anhydrous, 46% Rh, Acros Organics), cis,cis-1,5-cyclooctadiene (99%, stabilized with 50-200ppm Irganox 1076, Alfa Aesar), lithium aluminium hydride ($\geq 95\%$, Alfa Aesar), sodium sulfate ($\geq 99\%$, Acros Organics), potassium tert-butoxide (pure, 1M solution in THF, AcroSealtm, Acros Organics), celite 545 filter aid (Fisher Chemical), sodium thiosulfate pentahydrate solution (0.1N, Fisher Chemical), anhydrous sodium sulfate (99%, Acros Organics), tetraoctylammonium bromide (98%, Aldrich), phenylethane thiol ($\geq 99\%$, Aldrich), sodium nitrite ($\geq 97\%$, Caledon), sodium azide ($\geq 97\%$, Aldrich), ethyl diazoacetate (13% wt dichloromethane, Aldrich), bromine (≥ 99.99 , Aldrich), amino-TEMPO (95%, Oakwood), 4-toluenesulfonyl chloride (99%, Oakwood), ferrocene carboxylic acid (98%, Oakwood), N,N'-dicyclohexylcarbodiimide (DCC) (99%, Sigma-Aldrich), 4-dimethylaminopyridine (DMAP) (99%, Alfa-Aesar), dichloromethane-d₂ (99.9%, Aldrich), chloroform-d (99.8%, Cambridge Isotope Laboratories), hydrochloric acid (37%, Aldrich), triethylamine ($\geq 99.5\%$, Millipore), tetra-n-butylammonium

hexafluorophosphate (98%, Aldrich). Solvents were obtained from Fisher Chemical or Aldrich and used without further purification. Dry solvents were dispensed from an Innovative Technology SPS-400-5 solvent purification system, in which they were dried over alumina and degassed. They were stored over 3Å molecular sieves and used on the day they were obtained.

3.4.2 Instrumentation

Measurements were conducted at room temperature (25 °C) unless otherwise specified.

^1H , and $^{13}\text{C}\{^1\text{H}\}$ spectra were recorded on a Bruker AvIII HD 400 (400MHz). ^1H NMR spectra are reported as δ in units of parts per million (ppm) and referenced against residual protonated chloroform (δ 7.26 ppm, s), or dichloromethane (δ 5.32, t), as indicated. Multiplicities are reported as follows: s (singlet), d (doublet), t (triplet), q (quartet), quin (quintuplet), and m (multiplet). Second order splitting patterns, such as the AA'XX' para-substituted aromatic protons in *p*-azidophenylethane thiol, and for the ethyl substituents in the ligands are reported according to the latter multiplicity values based on their appearance. Coupling constants are reported as a J value in Hertz (Hz). The number of protons (n) for a given resonance is indicated as nH, based on spectral integration values. $^{13}\text{C}\{^1\text{H}\}$ NMR spectra are reported as δ in units of parts per million (ppm) or referenced against residual protonated chloroform (δ 77.0 ppm, t).

Thermogravimetric analysis was performed using a TA Instruments Q50 TGA. Each sample was added as a fine powder to a platinum pan and heated at a rate of 10 °C/min from 25 °C to 1000°C under a flow of nitrogen (100 mL/min).

UV-Visible (UV-Vis) spectra were recorded using an Agilent technologies Cary 5000 UV-Vis-NIR spectrophotometer using standard quartz cells (1 cm path length) with a scan range of 200-1200nm.

Photoluminescence measurements were conducted in dichloromethane using Acton 2300i spectrograph equipped with an iDus BRDD CCD camera. A 532 nm COHERENT laser (model 1037860, VERDI 5W) attached to a cooler (model: T255p) was utilized to excite the NC solutions.

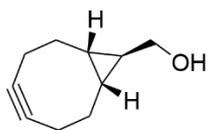
Excitation spectra were measured using a Photon Technology International Quanta Master (QM4) scanning spectrophotometer (PTI, London, Canada) equipped with a Xenon flash lamp, emission monochromator with a grating blazed at 750 nm, and a red sensitive GaAs photomultiplier tube. For emission spectra of the *p*-Au₁₄₄-N₃ and *p*-Au₁₄₄-BCN NCs, the emission slits were set to 10 nm and the excitation slits were set to 20 nm. For excitation spectra of the *p*-Au₁₄₄-N₃ and *p*-Au₁₄₄-BCN NCs, the excitation slits were set to 10 nm and the emission slits were opened to 20 nm. For emission spectra of the *p*-Au₁₄₄-TEMPO, the emission slits were 10 nm, and the excitation slits were 30 nm. For excitation spectra of the Au₁₄₄-TEMPO NCs, the excitation slits were 10 nm and the emission slits were 30 nm. Spectra were smoothed using Fourier Transform smoothing.

Attenuated total reflectance IR (ATR-IR) spectra were recorded using a PerkinElmer Spectrum Two FT-IR spectrometer.

The electrochemistry of the Au₁₄₄ NCs were investigated using a CH instrument (model 760E), and a three-electrode system including a 2 mm Pt disk, Pt coil and Ag/Ag⁺/TPAPF₆ which served as working, counter, and reference electrodes. The solution was purged with Ar prior to the electrochemical studies.

3.4.3 Preparation and Characterization of Compounds

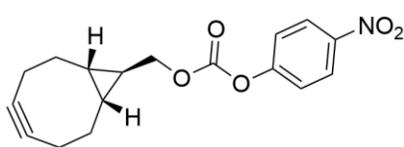
(1*R*,8*S*,9*R*)-Bicyclo[6.1.0]non-4-yn-9-ylmethanol (*exo*-BCN) (**8**)



Prepared according to Dommerholt *et al*¹²³, with rhodium acetate dimer catalyst loading according to O'Brien *et al*.¹²⁴

Columned 1:1 EtOAc:Hexanes (R_f = 0.4) ¹H NMR (CDCl₃, 400 MHz): δ (ppm) 3.55 (d, 2H, *J*=6.4 Hz), 2.44-2.40 (m, 2H), 2.33-2.24 (m, 2H), 2.18-2.14 (m, 2H), 1.58 (bs, 1H), 1.45-1.33 (m, 2H), 0.74-0.63 (m, 3H).

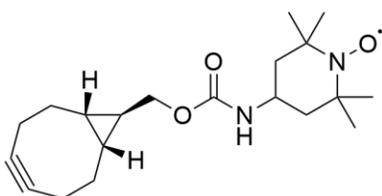
(1*R*,8*S*,9*R*)-Bicyclo[6.1.0]non-4-yn-9-ylmethyl (4-nitrophenyl) carbonate (**10**)



Prepared according to Dommerholt *et al.*¹²³

¹H NMR (CDCl₃, 400 MHz): δ (ppm) 8.29 (d, 2H, $J=9.2$ Hz), 7.39 (d, $J=8.8$ Hz), 4.22 (d, 2H), 2.49-2.15 (m, 6H), 1.48-1.36 (m, 2H), 0.90-0.80 (m, 3H) R_f (1:4 hexanes: ethyl acetate) = 0.5

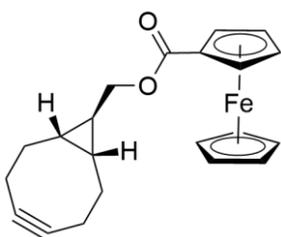
(1*R*,8*S*,9*R*)-Bicyclo[6.1.0]non-4-yn-9-ylmethyl (2,2,6,6-tetramethylpiperidine-1-oxyl) carbamate (BCN-TEMPO) (**13**)



4-Amino-2,2,6,6-tetramethylpiperidine-1-oxyl (Amino-TEMPO) (265 mg, 1.54 mmol, 1.1 eq.) was added to a stirring solution of compound **10** (439 mg, 1.4 mmol, 1.0 eq.) and pyridine (284 mg, 2.8 mmol, 2.0 eq.) in 10 mL

of DCM. The reaction was stirred for 24 h and monitored with TLC using 1:4 hexanes: ethyl acetate ($R_f = 0$). Upon completion, the reaction was concentrated using rotary evaporation to yield a viscous red oil that was purified by column chromatography on neutral alumina (fraction 1, 2% methanol in dichloromethane, $R_f=0.89$) to obtain a yield of 74% (358 mg, 1.0 mmol) NMR spectra of the radical product could not be obtained but the product was confirmed using ESI-MS, in which it was observed to bind to sodium. $C_{20}H_{31}N_2O_3 \cdot Na^+$ (M^+): 370.2226, found: 370.2229.

(1*R*,8*S*,9*R*)-Bicyclo[6.1.0]non-4-yn-9-ylmethyl Ferrocenoate (BCN-Fc) (**12**)



Prepared according to Gunawardene *et al.*¹⁵⁰ Ferrocene carboxylic acid (200 mg, 0.87 mmol, 1.3 eq.) was dissolved in 20 mL of dichloromethane. DCC (138 mg, 0.67 mmol, 1.0 eq.) and DMAP (41 mg, 0.33 mmol, 0.5 eq.) were added to the reaction mixture and stirred with the ferrocene carboxylic acid for 10

minutes. *Exo*-BCN (**8**) (100 mg, 0.66 mmol, 1.0 eq.) was then added as a solid and the reaction was stirred for 4h before it was concentrated by rotary evaporation and purified by column chromatography (100% dichloromethane, F1, $R_f=0.69$). A yield of 163 mg

(68% yield) was obtained. ^1H NMR (CDCl_3 , 400 MHz): δ (ppm) 4.81 (t, 2H, $J=2.0$ Hz), 4.40 (t, 2H, $J=2.0$ Hz), 4.21 (s, 5H), 4.15 (d, 2H, $J=6.4$ Hz), 2.45 (m, 2H), 2.32 (m, 2H), 2.18 (m, 2H), 1.42 (m, 2H), 0.81 (m, 3H).

Click reaction of p-Au₁₄₄-N₃ with exo-BCN (9)

p-Au₁₄₄-N₃ NCs (23.5 mg, 5.9×10^{-4} mmol, 1.0 eq.) were dissolved in 10 mL of dichloromethane in a 25 mL round-bottom flask fitted with a stir bar and BCN (5.9 mg, 3.9×10^{-2} mmol, 1.1 eq. per ligand, 66 eq. per cluster) was added as a solid. The reaction was monitored via TLC in 100% EtOAc. After 10 minutes, the BCN spot (BCN R_f = 0.73, Au₁₄₄ R_f = 0) had almost completely faded. The appearance of the reaction had also changed from a reddish-brown solution to a fine black suspension. After 15 minutes, the reaction was concentrated using rotary evaporation and washed repeatedly with 3:1 H₂O:EtOH (Note 2:1 H₂O:EtOH dissolved the clusters) to obtain the click product in quantitative yield. Prior to the reaction, the azide-functionalized clusters were insoluble in EtOH, but following the reaction, EtOH completely dissolved the clusters. The complete CS-SPAAC conversion of the azide was confirmed via the disappearance of the azide stretch (2116 cm^{-1}) in the infrared spectrum of the product.

Click reaction of p-Au₁₄₄-N₃ with BCN-TEMPO (12)

BCN-TEMPO (11) (16.3 mg, 4.7×10^{-2} mmol, 90 eq. per NC) was added to a stirring solution of Au₁₄₄(S-C₂H₄-*p*-C₄H₄-N₃)₆₀ NCs (20.5 mg, 5.2×10^{-4} mmol, 1.0 eq.) in 10 mL of DCM. The reaction was stirred for 1 hour, upon which the azide stretch in the FT-IR spectrum of the crude reaction mixture had disappeared. The reaction was concentrated by rotary evaporation and washed with 3 x 10 mL portions of methanol to remove excess strained alkyne.

Click reaction of p-Au₁₄₄-N₃ with BCN-Fc (14)

BCN-Fc (12) (21.6 mg, 6.0×10^{-2} mmol, 90 eq. per NC) was added to a stirring solution of Au₁₄₄(S-C₂H₄-*p*-C₄H₄-N₃)₆₀ NCs (26.25 mg, 6.6×10^{-4} mmol, 1.0 eq.) in 10 mL of DCM. The reaction was stirred for 40 minutes, dried using rotary evaporation and washed with acetonitrile to remove excess BCN-Fc. An azide stretch was still clearly

visible in the sample's IR spectrum. To progress the reaction further, the same equivalencies of BCN-Fc and solvent were re-added to the sample and the reaction was stirred overnight. Again, the solvent was removed via rotary evaporation and the residual BCN-Fc was washed out with acetonitrile. No further change in the infrared spectrum of the product was observed.

Chapter 3

4 Conclusions and Future Work

4.1 Summary and Conclusions

In this thesis, I synthesized three novel azide-modified $\text{Au}_{144}(\text{SR})_{60}$ NCs using a facile one-pot synthetic protocol. The $\text{Au}_{144}(\text{SR})_{60}$ NCs were characterized using UV-Visible, ^1H NMR, and IR spectroscopy, each matching the established features in literature of $\text{Au}_{144}(\text{SR})_{60}$ NCs. Mass spectrometry and thermogravimetric analysis confirmed the mass of the NCs and highlighted differences in their ionization and fragmentation patterns. While the photoluminescence of the NCs revealed slight shifts in their emission maxima, the electronic influence of the azide moieties greatly impacted the $\text{Au}_{144}(\text{SR})_{60}$ NCs' electrochemical features, i.e., reducing the number and intensity of observed redox coupling.

Notably, the utility of the azides on the surface of the $\text{Au}_{144}(\text{SR})_{60}$ NCs was demonstrated via the CS-SPAAC reaction. Remarkably, all 60 azide groups of $p\text{-Au}_{144}\text{-N}_3$ NCs reacted with BCN under ambient conditions to produce alcohol-soluble NCs. A functional TEMPO derivative was then successfully clicked on to the surface of the NCs and employed in an electrocatalytic application. Lastly, the limitations of the CS-SPAAC reaction were challenged during the reaction of the $p\text{-Au}_{144}\text{-N}_3$ NCs with BCN-Fc. This presented an opportunity to observe how the azide groups perform in conjunction with other functional groups on the surface of the NCs. Notably, even in cases where sterically challenging strained-alkyne derivatives were involved, a considerable percentage of ligands still underwent the CS-SPAAC reaction, indicating the robust nature of their reactivity. It can therefore be concluded that the azide moieties served as a reliable handle for introducing functional groups to the NCs without inciting any significant changes to their core features.

Azide-modified Au NCs undoubtedly present an extraordinary opportunity to bestow function to NCs following their synthesis. The $\text{Au}_{144}(\text{SR})_{60}$ NC syntheses described here indicate that thermodynamic and kinetic size-directing forces in NC syntheses using

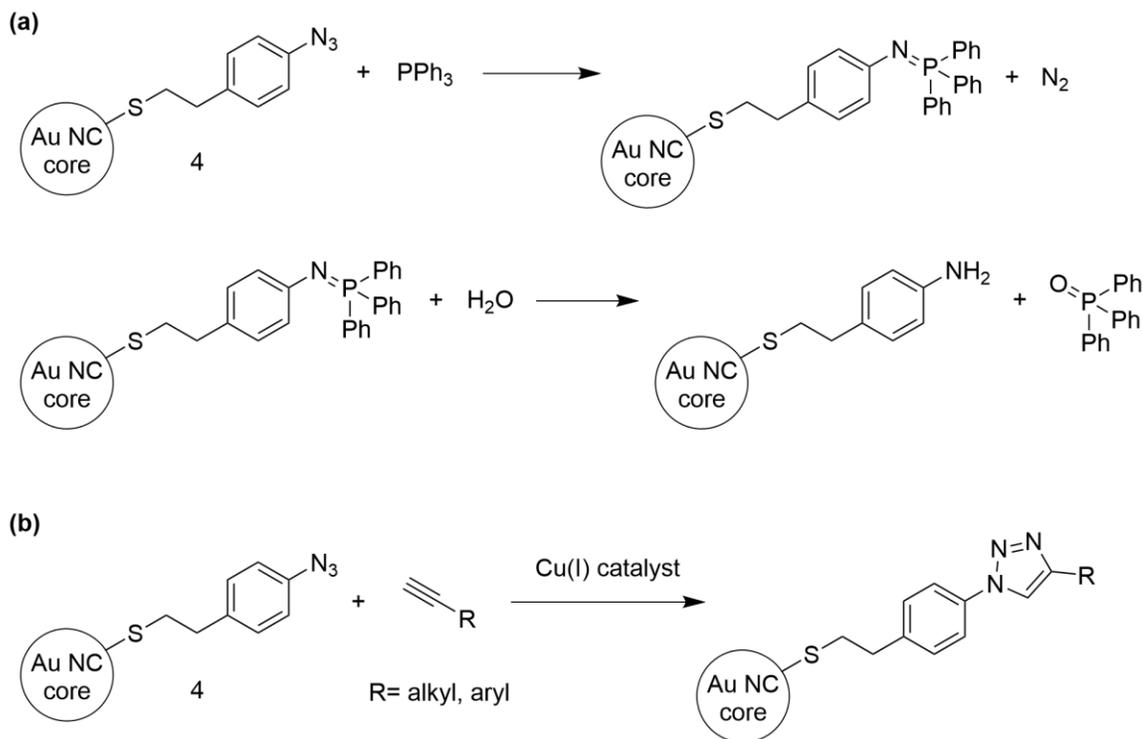
phenylethane thiol can be readily adapted to use azidophenylethane thiol as a vehicle for click chemistry. Based on these findings, interfacial click chemistry has a promising future in the design of functional NCs of all shapes and sizes.

4.2 Future Work

During this thesis, a robust Au₁₄₄(SR)₆₀ platform for click chemistry was developed that could be readily modified via click chemistry without significantly impacting its core features. As described in the first chapter, identical NC size regimes may be accessed using different thiolate ligands, i.e., azidophenylethane thiolate vs. phenylethane thiolate. However, even in the case of small changes to ligand structure, like the incorporation of an azide group, the properties of these identically sized NCs can be distinct from one another. The effects of these small changes are an area of research that has only begun to be investigated. In fact, many aspects of NCs have yet to be fully understood, including the origin of their luminescent features, the extent to which ligand properties can influence their core features, and how changes to their environment, such as temperature and solvent, affect their structure and stability. In future, we can use azide modified NCs not only to introduce function, but also to help address some of these fundamental questions.

For instance, Au₁₄₄(SR)₆₀ NCs are regarded for their electrochemical features, yet during this thesis, azide groups were observed to potentially interfere with the electron transfer (ET) process, subduing the electrochemical features of the NCs. Upon reacting the NCs with large BCN groups, these electrochemical features were not revived. The question of which functional groups/ligand structures Au₁₄₄(SR)₆₀ NCs can tolerate with regards to their electrochemical features therefore arose. Rather than clicking large, and perhaps insulative, groups on to the surface of the NCs via the CS-SPAAC reaction, alternative reactions such as the Staudinger reduction or copper-catalyzed azide–alkyne 1,3-dipolar cycloaddition (CuAAC) could be exploited to establish the relationship between the ligand structure and electrochemical performance of the Au₁₄₄(SR)₆₀ NCs. During the Staudinger reduction, triphenylphosphine would react with the azides to form iminophosphorane intermediates that could be hydrolyzed to produce amine functionalized Au₁₄₄(SR)₆₀ NCs (**Scheme 11a**). If the conversion of the azide groups on

the surface of the NCs to amines restored their electrochemical features, it would confirm the role of the azide in interfering with ET.

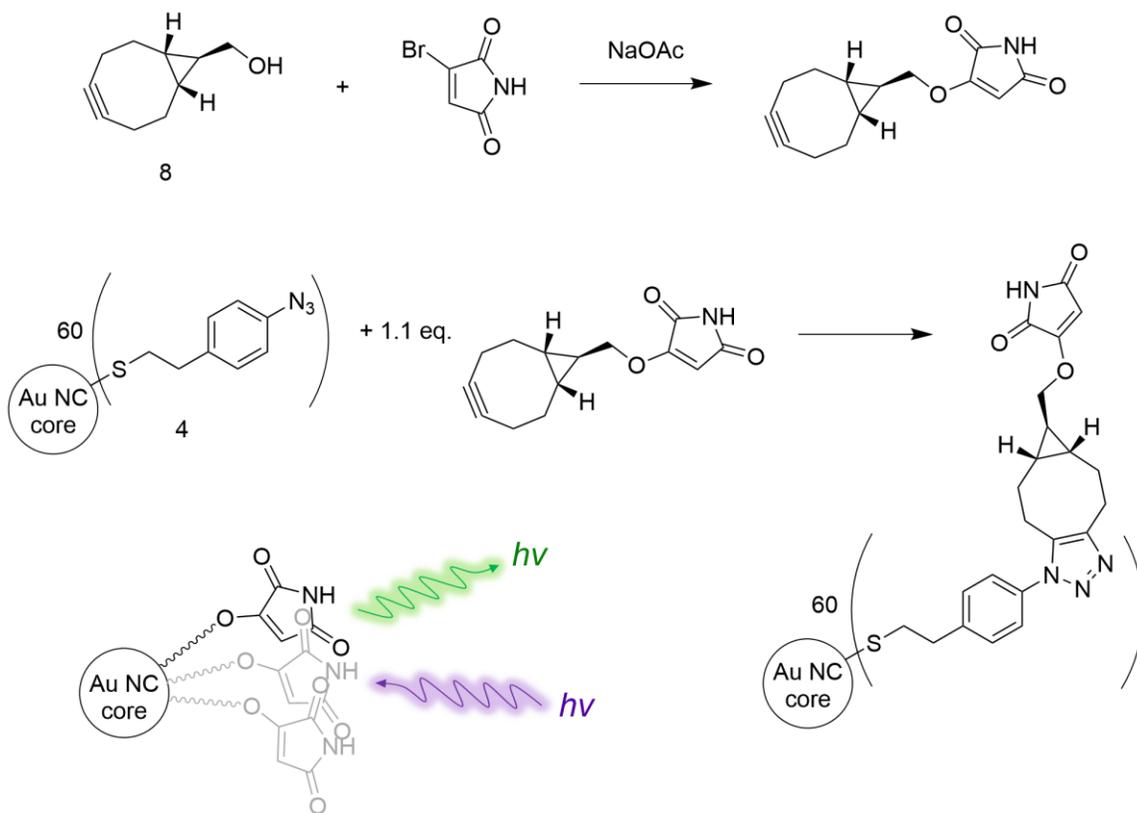


Scheme 11. Alternative post-synthesis modifications of *p*-Au₁₄₄-N₃ NCs. (a) Staudinger reduction reaction, (b) CuAAC click reaction.

In addition, if the CuAAC reaction could be successfully conducted on the Au₁₄₄-N₃ NCs, it would allow for considerably smaller alkynes to be clicked on to their surface. Using a small alkyne, the zwitterionic nature of the azide group could be quenched without introducing significant steric bulk to the NCs. If the electrochemical features of the Au₁₄₄(SR)₆₀ NCs were restored via the CuAAC reaction, it would present an opportunity to take advantage of core electrochemical features and ligand features simultaneously. The ability to conduct CuAAC reactions on the surface of NCs would also greatly improve the breadth and ease by which functionality could be introduced to clusters, as the CuAAC reaction employs conventional linear rather than strained alkynes.

Lastly, despite the click reactions showcased in this thesis, the world of function that could be introduced to Au NCs via the CS-SPAAC reaction remains uncharted. A

component of this research that could be explored is the interaction of the ligands on the surface of the NCs with each other. For instance, aggregation-induced emission (AIE) and p-band intermediate-state- (PBIS) tailored photoluminescence have been examined previously on the surface of NCs but never with the degree of flexibility and versatility that click chemistry affords.^{151, 152} Clicking such molecules on to the surface of Au NCs could potentially result in tunable photoluminescence dependent on cluster size and structure. One could take advantage of molecules that are not emissive on their own but become luminescent in specific orientations or concentrations. For example, maleimide and succinimide derivatives have been reported to exhibit clusteroluminescence from $n-\pi^*$ transitions in high concentrations¹⁵³. By simply coupling a strained alkyne with a maleimide derivative, one could click maleimides to the surface of azide functionalized $\text{Au}_{25}(\text{SR})_{18}$ and $\text{Au}_{144}(\text{SR})_{60}$ NCs and investigate the ability of these types of interligand interactions to generate luminescence (**Scheme 12**).



Scheme 12. Synthesis of Maleimide-functionalized $\text{Au}_{144}(\text{SR})_{60}$ NCs.

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Appendices

Appendix A: Supporting Information for Chapter 2

Density Functional Theory (DFT) Calculations of phenylethane thiol versus their azido analogs

Geometry of all the structures at the ground state were optimized using CAM-B3LYP/6-31G basis set. The final orbital energies were calculated using the same basis set for both p and d orbitals.

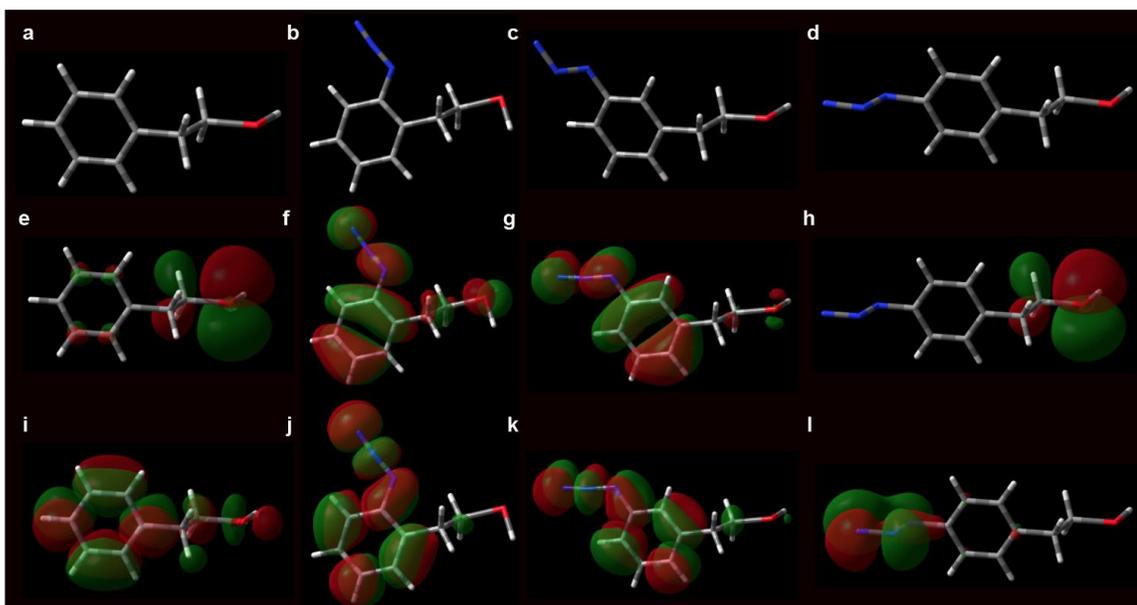


Figure S1. Density functional theory calculation of phenylethanethiol and azido-phenylethanethiol derivatives. Molecular structures of **a**, phenylethanethiol, **b**, *ortho*-azido-phenylethanethiol, **c**, *meta*-azido-phenylethanethiol, and **d**, *para*-azido-phenylethanethiol. (**e-f**) Highest occupied molecular orbital (HOMO) isopotential plots, and (**i-l**) lowest unoccupied molecular orbital (LUMO) isopotential plots of **a-d**. Nitrogen atom; blue, sulphur; red, carbon; gray and hydrogen; white.

^1H NMR spectroscopy of $\text{Au}_{144}(\text{SR})_{60}$ NCs

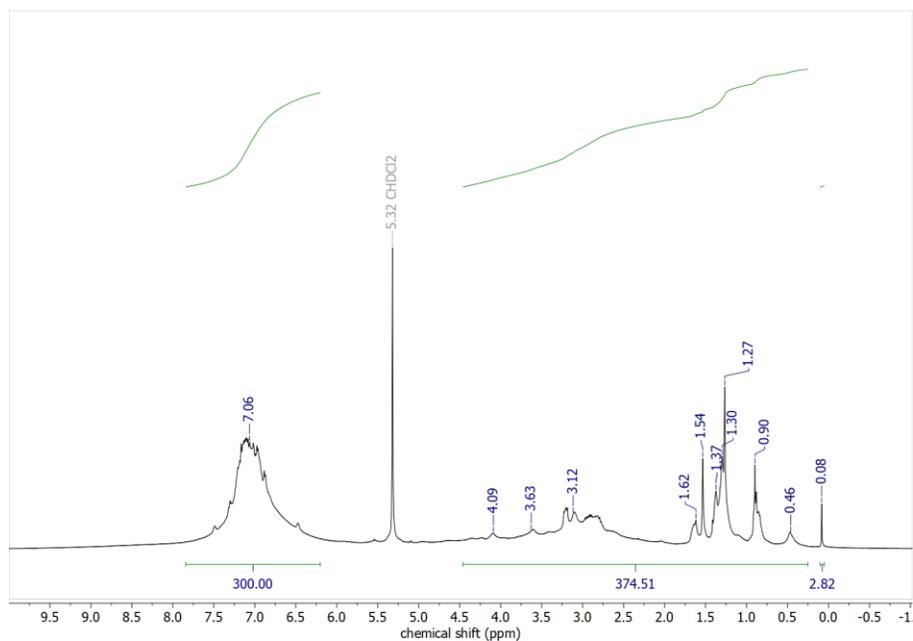


Figure S2. ^1H NMR spectrum of $\text{Au}_{144}(\text{S-C}_2\text{H}_4\text{-Ph})_{60}$ NCs (Au_{144} NCs) in deuterated dichloromethane.

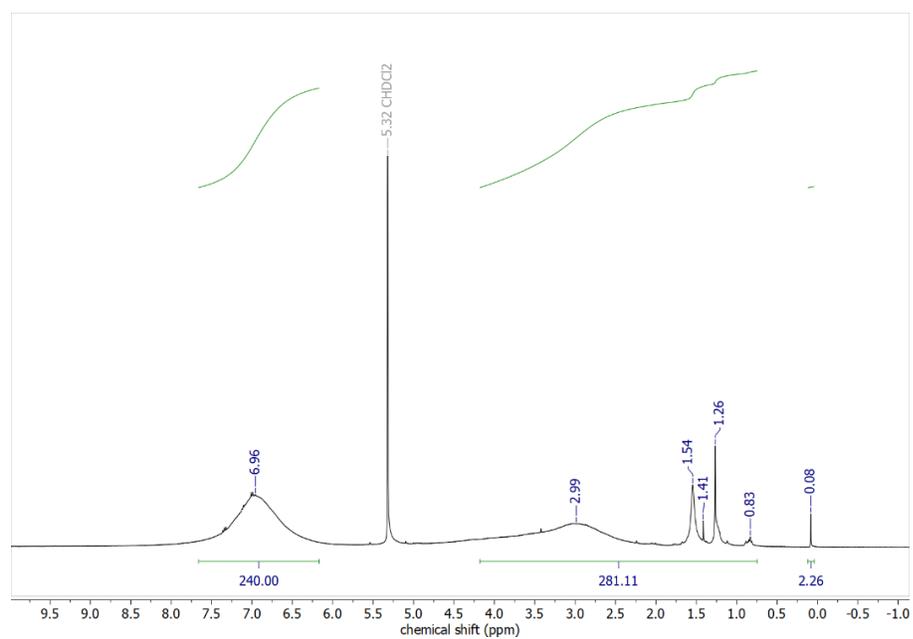


Figure S3. ^1H NMR spectrum of $\text{Au}_{144}(\text{SC}_2\text{H}_4\text{-}o\text{-C}_6\text{H}_4\text{-N}_3)_{60}$ NCs ($o\text{-Au}_{144}\text{-N}_3$ NCs) in deuterated dichloromethane.

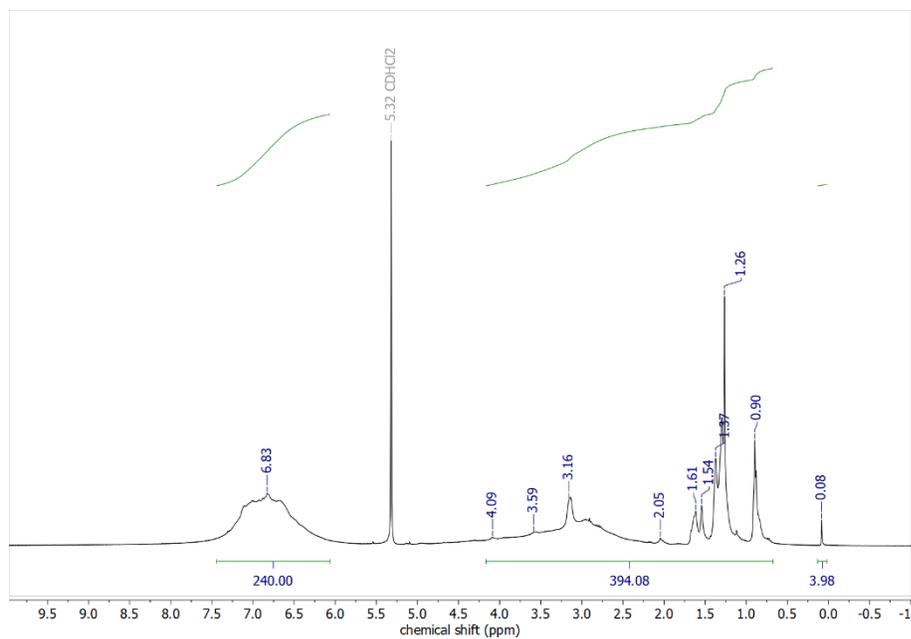


Figure S4. ^1H NMR spectrum of $\text{Au}_{144}(\text{SC}_2\text{H}_4\text{-}m\text{-C}_6\text{H}_4\text{-N}_3)_{60}$ NCs ($m\text{-Au}_{144}\text{-N}_3$ NCs) in deuterated dichloromethane.

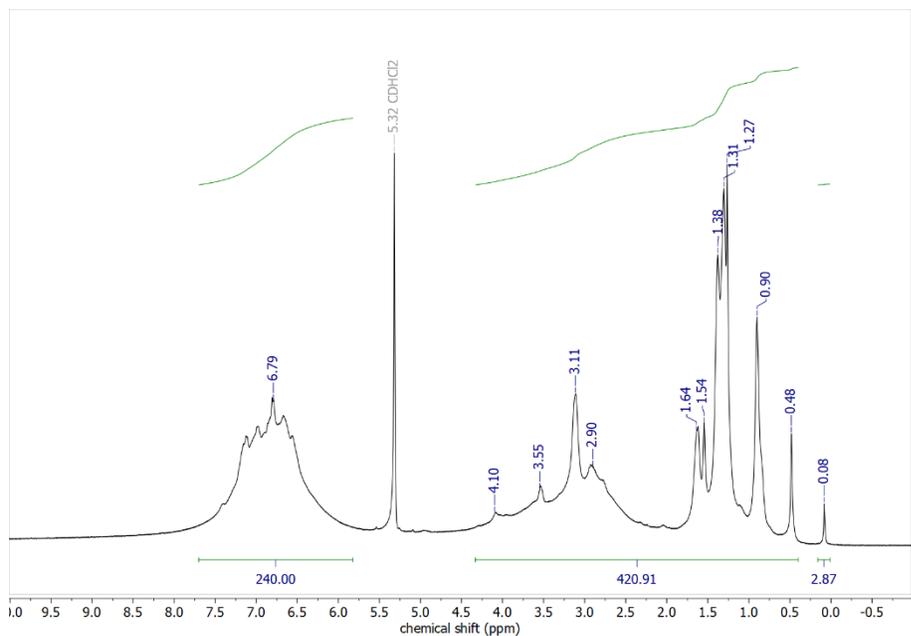


Figure S5. ^1H NMR spectrum of $\text{Au}_{144}(\text{SC}_2\text{H}_4\text{-}p\text{-C}_6\text{H}_4\text{-N}_3)_{60}$ NCs ($p\text{-Au}_{144}\text{-N}_3$ NCs) in deuterated dichloromethane.

Electrochemistry of *p*-azidophenylethanethioester

To understand the electrochemical properties of *p*-azido-phenylethanethiol, a protected analogue, *p*-azido-phenylethanethioester, was investigated using differential pulse voltammetry (DPV). A solution of azido-phenylethanethioester (5 mM) in dichloromethane with 0.1 M tetra-*n*-butylammonium hexafluorophosphate (TBAPF₆) was prepared and a 2mm-Pt disk served as a working electrode.

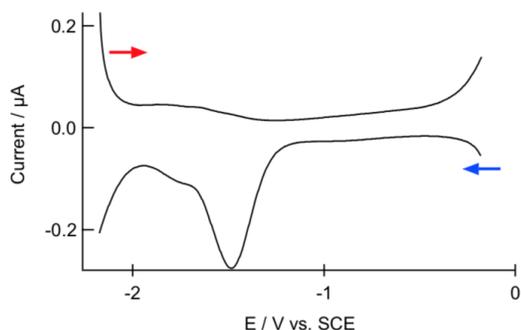
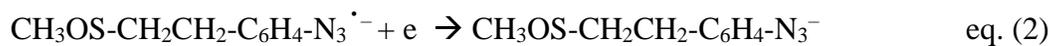


Figure S6. Electrochemistry of *p*-azidophenylethanethioester. DPV of 5 mM *p*-azidophenylethanethioester in dichloromethane containing 0.1 M tetra-*n*-butylammonium hexafluorophosphate (TBAPF₆) using a 2-mm Pt disk electrode with peak amplitude of 50 mV, a pulse width of 0.05 s, 4 mV increment per cycle, and a pulse period of 0.2 s were applied to obtain DPVs. The arrows indicate potential scan directions.

There is no oxidation peak when WE potential scans towards positive values. Instead, in the reverse scan a peak corresponding to reduction of azide to radical anion ($-\text{PhN}_3^{\cdot-}$) (eq. 1) at -1.5 V vs. SCE (**Figure S6**) is observed. At more negative potential a small shoulder at -1.8 V is also occurred. The small shoulder could be evidence of second reduction and injection of second electron to the radical anion to generate anionic species (eq. 2).



It is worth mentioning that Hawley and co-workers investigated electroreduction of *p*-nitrophenyl azide in various organic solvents.¹⁵⁴ They reported a one-electron reduction of *p*-nitrophenyl azide to anion radical intermediate with a short life-time. They also proposed a mechanism for *p*-O₂NC₆H₄NH⁻ that further reacts with *p*-O₂NC₆H₄N₃ in DMF and forms 4,4'-O₂NC₆H₄NHNHN=NC₆H₄NO₂, the product of dimerization.

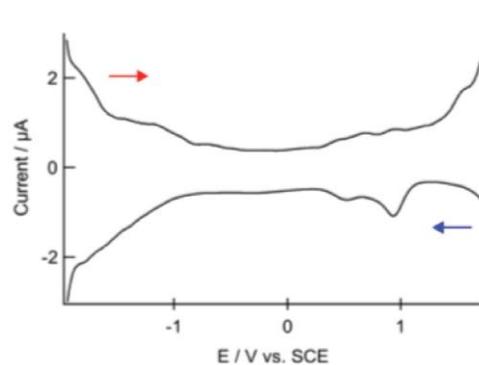


Figure S7. Extended electrochemistry of Au₁₄₄(SR)₆₀ NCs. DPV of 0.25 mM *o*-Au₁₄₄-N₃ in dichloromethane containing 0.1 M tetra-*n*-butylammonium hexafluorophosphate (TBAPF₆) using a 2-mm Pt disk electrode with peak amplitude of 50 mV, a pulse width of 0.05 s, 4 mV increment per cycle, and a pulse period of 0.2 s were applied to obtain DPVs. The arrows indicate potential scan directions.

Appendix B: Supporting Information for Chapter 3

Thermogravimetric Analysis (TGA) of Au₁₄₄(SR)₆₀ NCs

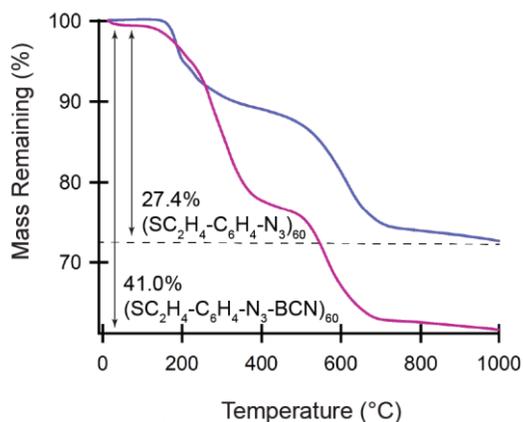


Figure S8. TGA of *p*-Au₁₄₄-N₃ NCs (purple) and *p*-Au₁₄₄-BCN NCs (pink).

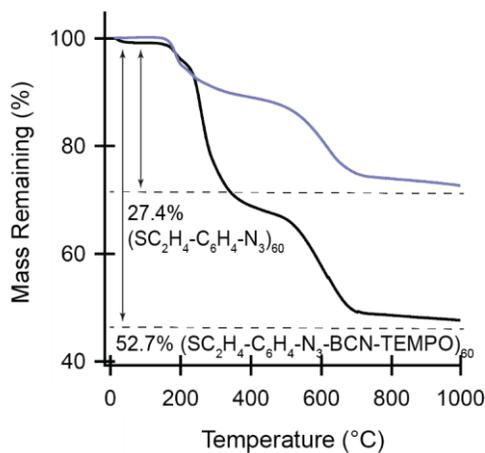


Figure S9. TGA of *p*-Au₁₄₄-N₃ NCs (purple) and *p*-Au₁₄₄-TEMPO NCs (black).

Table S1. Theoretical and Experimental TGA Mass Percentages.

Sample	Ligand Molecular Weight (g/mol)	Theoretical Residual Mass Percentage for $\text{Au}_{144}(\text{SR})_{60}^0 \text{ NC}$	Theoretical Residual Mass Percentage for $[\text{Au}_{144}(\text{SR})_{60}^{-1}][\text{TOA}^+]$ NC	Experimental Residual Mass Percentage
<i>p</i> - $\text{Au}_{144}\text{-N}_3$	178.23	72.62	71.76	72.68
<i>p</i> - $\text{Au}_{144}\text{-BCN}$	328.45	59.00	58.44	61.67
<i>p</i> - $\text{Au}_{144}\text{-N}_3\text{-TEMPO}$	527.71	47.25	46.89	47.65

Electrochemistry of Click Products

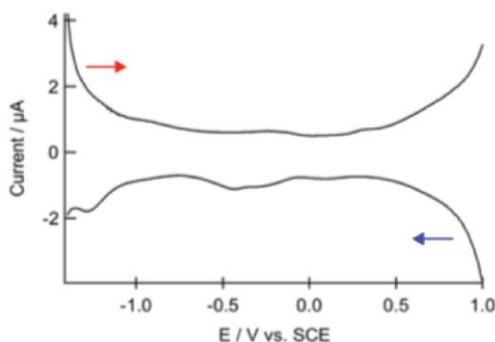


Figure S10. Electrochemistry of *p*- $\text{Au}_{144}\text{-BCN}$ NCs. DPV of 0.25 mM *p*- $\text{Au}_{144}\text{-BCN}_{60}$ in dichloromethane containing 0.1 M tetra-*n*-butylammonium hexafluorophosphate (TBAPF₆) using a 2-mm Pt disk electrode with peak amplitude of 50 mV, a pulse width of 0.05 s, 4 mV increment per cycle, and a pulse period of 0.2 s were applied to obtain DPVs. The arrows indicate the direction of potential scans.

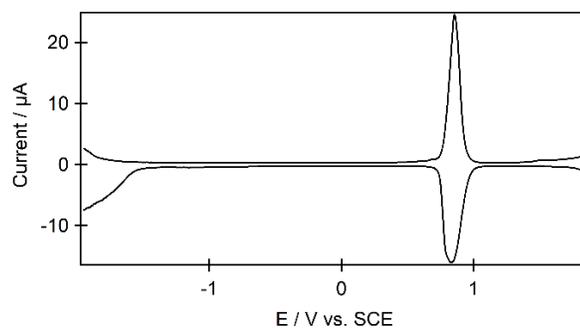


Figure S11. DPV of p -Au₁₄₄-TEMPO NCs. 0.15 mM p -Au₁₄₄-TEMPO in DCM/0.1 M TBAPF₆ using a 2-mm Pt disk working electrode

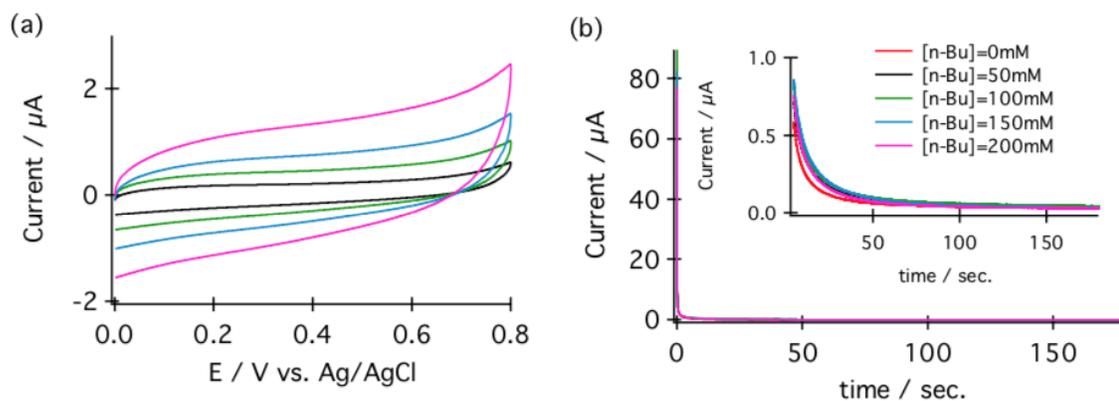


Figure S12. Extended electrocatalysis of Au₁₄₄ NC. Cyclic voltammograms (CVs) of Au₁₄₄-modified glassy carbon electrode in 0.5 M bicarbonate solution at 10 (black), 25 (green), 50 (blue) and 100 (pink) mV/s. (b) Chronoamperograms of (a) in the same solution in the absence (red) and presence of 50, 100, 150, and 200 mM of n-butanol at $E_{app}=0.6$ V vs. Ag/AgCl.

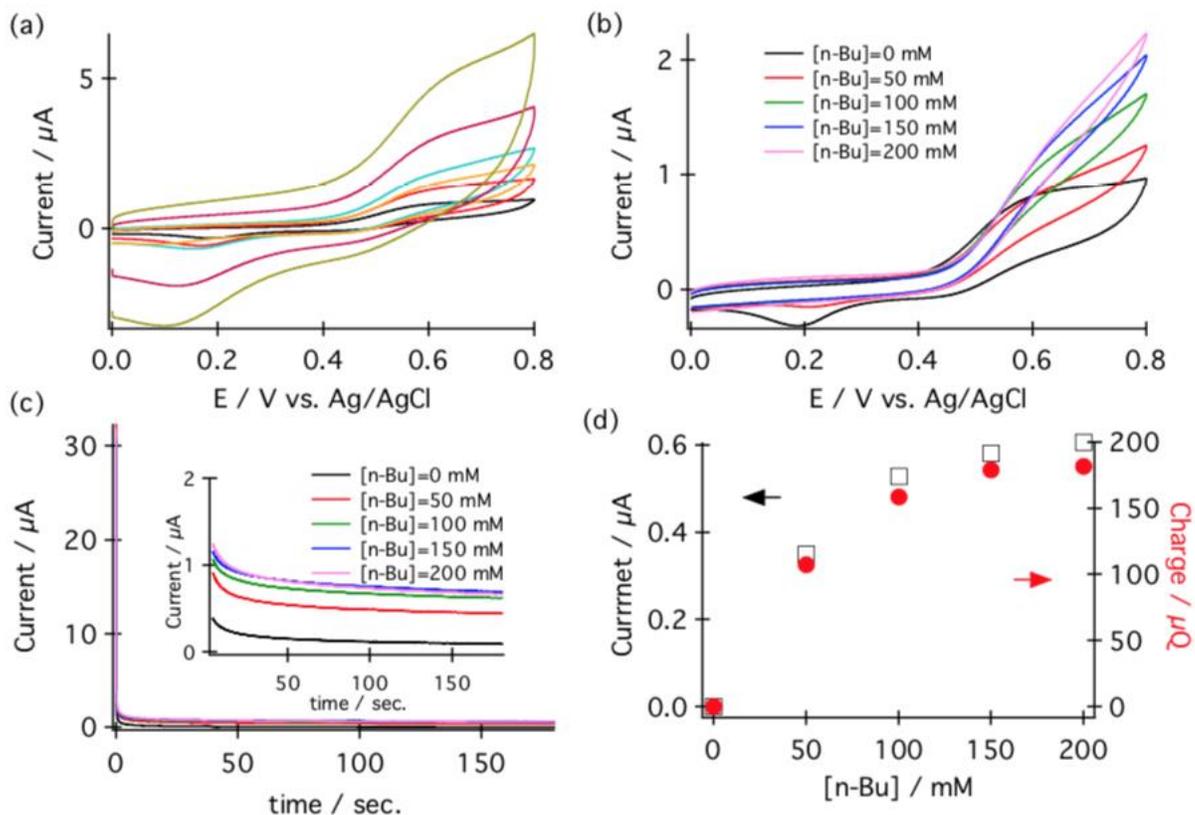


Figure S13. Extended electrocatalysis of *p*-Au₁₄₄-TEMPO. (a) Cyclic voltammograms (CVs) of dropcasted *p*-Au₁₄₄-TEMPO on a 2-mm GC electrode at various scan rates; 10 mV (red), 25 mV (green), 50 mV (purple), and 100 mV/s in 0.13 M Na₂CO₃/0.02 M NaHCO₃, pH 11. (b) CVs of GC-modified *p*-Au₁₄₄-TEMPO in the absence (black) and presence of 50 mM (red), 100 mM (green), 150 mM (blue), and 200 mM (pink) of *n*-butanol in 0.13 M Na₂CO₃/0.02 M NaHCO₃, pH 11 at scan rate of 10 mV/s. (c) Chronoamperometry (CA) curves of electro-oxidation of *n*-butanol on *p*-Au₁₄₄-TEMPO-modified GC electrode in the absence (black) and presence of 50 mM (red), 100 mM (green), 150 mM (blue), and 200 mM (pink) of *n*-butanol in 0.13 M Na₂CO₃/0.02 M NaHCO₃, pH 11 at $E_{app}=0.6$ V vs. Ag/AgCl. (d) Current and charge vs. *n*-butanol concentrations. Data are taken from CA curves in panel (c).

Curriculum Vitae

EDUCATION

MSc Organic Chemistry Candidate, Workentin Group **September 2020 – 2022**

University of Western Ontario, London, ON

Grade Report:

CHEM 9507Q	ADVANCED CHEM COMMUNICATIONS	0.25	089
CHEM 9603R	ADV NMR SPEC II	0.25	088
CHEM 9555T	ORGANIC PHOTOCHEMISTRY	0.25	090
CHEM 9663S	ADVANCED POLYMER CHEMISTRY II	0.25	090

- TA Experience:
 - Resource room and forum for Chem 2213A
 - Filmed, edited, and voiced over Chem 2213A laboratory videos
 - Resource room and forum for Chem 2223B

BSc Honors Specialization in Chemistry

September 2016 – April 2020

University of Western Ontario, London, ON

- Clubs and Organizations: Western Chem Club (2018 -2020), Medway-Sydenham Hall Residence Council (2017), Girls' Novice Rowing Team (2017)

PUBLICATIONS

Wu, J.; Winiarz, P.; Patel, D.; de Jong, J.; Tong, D.; Chidley, T.; Vemula, N.; Pagenkopf, B. L., Synthesis of Hexahydropyridazines by [4 + 2] Cycloaddition of Donor–Acceptor Cyclobutanes and cis-Diazenes. *Org. Lett.* **2020**, 22 (8), 3140-3144.

RESEARCH AND WORK EXPERIENCE

NSERC USRA, Workentin Group **May 2020 – September 2020**

4491 (Thesis) Student, Workentin and Corrigan Groups **September 2019 – April 2020**

Synthesized and characterized silver thiolate nanoclusters, a joint project between the Workentin Group and the Corrigan Group

NSERC USRA, Pagenkopf Group **May 2019 – September 2019**

Synthesized range of organic compounds, specifically 4-phenyl-1,2,4-triazole-3,5-dione, aryl- and methoxy- activated cyclobutanes, and hexahydropyridizene derivatives

NSERC USRA, Noel Group **May 2018 –August 2018**

Trained in radiation safety and conducted electrochemical experiments

Field Crop Research Assistant **May 2017 – September 2018**

University of Guelph and the Ontario Ministry of Agriculture, Food and Rural Affairs, Guelph, ON

Gathered soil samples and measured their nitrate content throughout the growing season

Assistant Naturalist and Interpreter

June 2016-September 2016

Murphy's Point Provincial Park, Tay Valley, ON

Hosted Visitors' Center and educated campers on various native species, ran the Discovery Program within the park, conducted snake monitoring and odonate surveys

Stewardship Youth Ranger

June 2015 – September 2015

Ministry of Natural Resources and Forestry, Guelph, ON

Conducted plant identification surveys, aided in fish identification, water quality assessment, and stream restoration

Lifeguard and Water Safety Instructor

2013-2016

City of Guelph, ON

CONFERENCE PARTICIPATION

CCCE Calgary 2022 Presenter

June 2022

Title: The Pursuit of Functionality: Equipping Au₁₄₄ Nanoclusters with Click Chemistry

CCCE 2021 Virtual Presenter

June 2021

Title: Customizable Nanoclusters: Tuning the Properties of Noble Metal Nanoclusters via Interfacial Click Chemistry

CCCE Quebec 2019 Volunteer and Poster Presenter

June 2019

Created and presented a poster about the formation of hexahydroxypyridazine derivatives from the [4+2] cycloaddition reaction of donor-acceptor cyclobutanes with cis-diazenes

VOLUNTEER ACTIVITIES

Let's Talk Science Volunteer

September 2020-2022

Ran workshops for elementary school classes to educate and spark their interest in science

SCHOLARSHIP AND ACADEMIC HONOURS

Lipson-Baines Award	2021-2022
NSERC CGSM	2021-2022
Dean's Honour List	2016-2020
Western Continuing Admission Scholarship	2016-2020

CERTIFICATION AND TRAINING

Liquid Nitrogen Dispensary and NMR training	2019
Workplace Hazardous Material Information System (WHMIS)	2019
Laboratory Safety & Hazardous Waste Management	2018
Safe Campus Community	2018
Nuclear Safety and Radiation Training	2018
Standard First Aid with CPR-C with AED	2016
Lifesaving Society Swimming Instructor	2015
Water Safety Instructor	2015

