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Characterization of the Role of miR156-SPL12 Regulatory Module in Root Architecture and Stress Response in Medicago sativa (alfalfa)

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Abstract

The highly conserved plant microRNA156 (miR156) regulates various aspects of plant development and stress response by silencing a group of SQUAMOSA-PROMOTER BINDING PROTEIN-LIKE (SPL) transcription factors. The Hannoufa lab previously showed that transgenic alfalfa (*Medicago sativa* L.) plants overexpressing *miR156* display increased nodulation, nitrogen fixation, and root regenerative capacity during vegetative propagation. In alfalfa, transcripts of 11 *SPL*s, including *SPL12*, are targeted by miR156. Our understanding of the functions of SPLs and their mode of action in alfalfa's nodulation and nitrogen fixation is still elusive, and thus this study was aimed at filling this gap in knowledge.

Here, I carried out a functional characterization of SPL12 by investigating the transcriptomic and phenotypic changes associated with altered transcript levels of *SPL12*, and by determining SPL12 regulatory targets using *SPL12-*silencing and -overexpressing alfalfa plants. Phenotypic analyses showed that silencing of *SPL12* in alfalfa caused an increase in root regeneration, nodulation, and nitrogen fixation. In addition, *AGL6* and *AGL21* that encode respective AGAMOUS-like MADS box transcription factors were identified as being directly targeted for silencing by SPL12, based on Next Generation Sequencing-mediated transcriptome analysis and chromatin immunoprecipitation assays. Phenotypic and molecular analysis showed that silencing *AGL6* also increased nodulation in alfalfa.

The role of SPL12 and AGL6 in nodulation was also investigated under osmotic stress using *SPL12*-RNAi and *AGL6*-RNAi plants, where the SPL12/AGL6 module appears to have a negative role in maintaining nodulation. Additionally, examination of the role of SPL12 in nodulation under nitrate treatment, suggested that SPL12 may regulate nodulation under nitrate treatment in alfalfa by targeting *AGL21*. Moreover, I also investigated the role of the alfalfa SPL12 homolog, *Lj*SPL12, in the model legume *Lotus japonicus* for nodulation and found that *Lj*SPL12 negatively affects the nodulation in *spl12* mutant plants. Taken together, these results suggest that SPL12, AGL6 and AGL21 form a genetic module that regulates root development and nodulation in alfalfa.

Considering the important role already shown for another SPL, SPL13, in vegetative state transition and abiotic stress tolerance in alfalfa, I also successfully applied the CRISPR/Cas9 technique to edit the *SPL13* gene in alfalfa, however, the overall efficiency was low.

Keywords

Medicago sativa L*.*, nodule organogenesis, nitrogen fixation, alfalfa, miR156, SPL, AGL, CRISPR/Cas9

Summary for lay audience

With an increasing global population that is projected to reach nine billion people by 2050, demand for more resource-intensive foods is predicted to rise even faster than it currently is. In addition, agricultural production is predicted to be severely affected by climate change, resulting in major challenges for crop production and food security. The availability of major nutrients in the plant rhizosphere is critical for sustainable crop production, including nitrogen a major limiting factor in crop growth and productivity. Leguminous plants, including alfalfa (*Medicago sativa* L.), can withstand nitrogen scarcity to a certain extent due to their ability to host nitrogen-fixing bacteria in root nodules. At the molecular level, the highly conserved plant microRNA156 (miR156) affects plant growth and development, and is involved in regulating response to various stress conditions, including nutritional scarcity, drought and diseases, by silencing a group of SQUAMOSA-PROMOTER BINDING PROTEIN-LIKE (SPL) transcription factors. It is thus critical to determine if the miR156-SPL regulatory network plays a role in modulating alfalfa's root-related traits.

In the current study, the role of the transcription factor protein, SPL12, as well as downstream genes that are regulated by SPL12 was investigated to understand their potential roles in root-related traits, including root development, nodule formation and nitrogen assimilation. This study involved the phenotypic and molecular genetic characterization of alfalfa plants with increased and decreased levels of *SPL12* and other downstream genes. The analyses showed that SPL12 plays a negative role in root regeneration, nodulation and nitrogen fixation by regulating downstream target genes, such

as *AGL6* and *AGL21*. Phenotypic and molecular analyses further showed that silencing *AGL6* also increased nodulation in alfalfa. Analysis of plant-wide changes in gene expression revealed that at least 169 genes were affected by *SPL12* silencing in alfalfa. Alfalfa plants with reduced *SPL12* levels maintained nodulation under osmotic stress by partially regulating sulfate transportation.

Understanding the molecular function of miR156-targeted SPL12 and its targets in alfalfa root architecture and nodulation will provide an important molecular tool that can be used in marker-assisted improvements not only for alfalfa, but also potentially for other legume crops.

Co-Authorship Statements

The following thesis includes material from manuscripts under revision, and in preparation. For these manuscripts and my thesis, my supervisor, Dr. Abdelali Hannoufa, provided insight and strategic direction of the projects as well as editing. My co-supervisor, Dr. Susanne Kohalmi, provided insightful comments along with editing.

I performed all of the experiments described in the following thesis with the following exceptions:

A construct for *SPL12*-RNAi was made previously in the Hannoufa lab by Banyar Aung. The RNAi construct was used in *Agrobacterium*-mediated alfalfa transformation which I performed myself.

SPL12 overexpression (*35S::SPL12*) and *AGL6*-RNAi transgenic plants were generated by Banyar Aung in the Hannoufa lab and Qing Shi Mimmie Lu in the Lining Tian lab at AAFC-London, respectively.

Sections 3.1, 3.2, 4.1 and 4.2 contain material from an accepted article for publication with the following authors' contributions:

Nasrollahi, V., Z.-C. Yuan, Q. Lu, T. McDowell, S. E. Kohalmi and A. Hannoufa 2022. Deciphering the role of SPL12 and AGL6 from a genetic module that functions in nodulation and root regeneration in *Medicago sativa*. Manuscript accepted for publication in Plant Molecular Biology (2022-07-17; PLAN-D-22-00039R1).

AH conceived the research and secured the funding. VN and AH designed the experiments. VN performed the experiments, analyzed the data and drafted the manuscript. TM, QSML and ZCY assisted in generating the transgenic plants and in conducting nitrogen fixation experiments. SEK and AH supervised the project. ZCY, TM, QSML, SEK and AH edited the manuscript.

Sections 3.3, 4.5 and 4.6 contain material under preparation for possible publication with the following authors' contributions:

Nasrollahi, V., Z.-C. Yuan, S. E. Kohalmi and A. Hannoufa,. SPL12 regulates *AGL6* and *AGL21* to modulate nodulation and root regeneration under osmotic stress and nitrate sufficiency conditions in *Medicago sativa* (under preparation).

AH conceived the study and participated in its design as well as securing the funding. VN performed the experiments, analyzed the data and drafted the manuscript. SEK and AH supervised the project. VN drafted the manuscript. ZCY, SEK and AH edited the manuscript.

Dedication

To my brother Hamid, without whose help I would not be here!

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List of Abbreviations

- RT-qPCR reverse transcription-real time quantitative PCR SBD SPL binding domain SBP SQUAMOSA PROMOTOR BINDING PROTEIN SDS sodium dodecyl sulfate sgRNA single guide RNA SPL SQUAMOSA-PROMOTER BINDING PROTEIN-LIKE SULTRs SULFATE TRANSPORTERS SYM symbiotic signaling T7 EXONUCLEASE I v/v volume per volume w/v weight per volume WT wild-type
- W/m2 watts over a square meter surface

Chapter 1

1 Introduction

1.1 The importance and benefits of alfalfa and leguminous crops

Legume crops, including soybean, pea, clover, chickpea, and alfalfa represent the second most important crop in terms of global economy, just after cereals (Ferguson et al. 2010). They are nutritionally important and economically significant, as they are cultivated globally on an area of 201,728 thousand ha, and are responsible for more than 25% of the world's primary crop production (Mahmood et al. 2018). These plants are rich in oils, fiber, micronutrients, minerals, and proteins suitable for livestock feed and human consumption (Kamboj and Nanda 2018). Furthermore, legumes are more agronomically sustainable than other crops, as they require less chemical fertilizer (Stagnari et al. 2017). Legume crops can enrich soil nitrogen by supplying nitrogen to agro-ecosystems via beneficial symbioses with soil rhizobia that can fix atmospheric nitrogen to convert it into ammonia (Oldroyd et al. 2011). As such, legumes are considered keystone species for agricultural and natural ecosystems due to their natural ability to release fixed nitrogen into soils (Canfield et al. 2010). It is estimated that leguminous plants convert 40 to 60 million metric tons of nitrogen from the atmosphere annually (Graham and Vance 2003).

Nitrogen-fixing symbioses between plants and bacteria can be divided into two main classes: plant-cyanobacteria symbiosis and root nodule symbiosis (RNS) (Delaux et al. 2015). Plants that possess the nitrogen-fixing nodulation trait are distributed across species belonging to four orders of flowering plants, namely Fabales, Cucurbitales, Fagales, and Rosales (Sprent 2007). Although these orders are known as the nitrogen-fixing clade, there are many non-nodulating species in this clade, with the majority of nodulating species belonging to the Leguminosae (Fabaceae) within the order Fabales (Soltis et al. 1995; van Velzen et al. 2019). The symbiotic relationship between legumes and their rhizobial partners is mutually beneficial, since the host legume provides the rhizobia carbon and energy in exchange for an essential nutrient, nitrogen (Prell and Poole 2006).

Of the nitrogen fixing forage crops, alfalfa (*Medicago sativa* L.) is the most widely cultivated around the world (Annicchiarico et al. 2015), grown on about 30 million ha (Annicchiarico et al. 2015; Rozema and Flowers 2008). Due to its being the highest-yielding perennial forage crop with relatively high protein content compared to other forage legumes, alfalfa can be grown alone or in combination with different grass species. Well-managed alfalfa can be grown for three or more successive years (Bélanger et al. 2006; Sheaffer and Seguin 2003).

Alfalfa has a long taproot system ranging on average from 1.5 to 2.1 m in length (Abdul-Jabbar et al. 1983), which penetrates more deeply into the soil than the roots of various common temperate crops including wheat, corn, various beans, cereals, and oilseeds (Fan et al. 2016). A deep rooting system helps plants to access water and nutrients stored deep in the soil, and hence helps ensure plant production and survival under drought and nutrient stress (Comas et al. 2013). While alfalfa is used mainly as a feed for livestock, it is also used for crop rotations and soil improvement, because of its ability to form a symbiotic relationship with rhizobium bacteria, which improve soil nitrogen balance and quality

through nitrogen fixation (Ferguson et al. 2010; Sheaffer and Seguin 2003). Although alfalfa's relationship with these bacteria is one of the most efficient relationships between rhizobia and legume plants, the amount of fixed nitrogen is variable in different planting areas and crop management systems. It is estimated that alfalfa can fix about 200-400 kg/ha/year of nitrogen, depending on the area and environment (Angus and Peoples 2012; Issah et al. 2020). While breeding efforts have focused on improving other agronomically important traits such as abiotic stress tolerance and forage productivity (Jia et al. 2018; Kumar et al. 2018; Lei et al. 2017; Singer et al. 2018), nitrogen traits have received little attention in alfalfa.

Classical breeding is generally challenging and time consuming, especially in alfalfa where it is made even more difficult by the plant's outcross-pollinating reproductive nature (Choi et al. 2004), and its large (800-1000 Mb) autotetraploid ($2n = 4x = 32$) genome (Blondon et al. 1994), further adding to its genomic diversity and complicating the use of conventional breeding approaches (Volenec et al. 2002). Given the difficulties associated with classical breeding in alfalfa, alternative approaches, such as the development and use of modern biotechnology tools need to be explored for genetic improvement of this crop. It should be noted that the full sequence of the cultivated alfalfa genome was only recently made public [\(https://figshare.com/articles/dataset/genome_fasta_sequence_and](https://figshare.com/articles/dataset/genome_fasta_sequence_and_annotation_files/12327602) [_annotation_files/12327602\)](https://figshare.com/articles/dataset/genome_fasta_sequence_and_annotation_files/12327602) (Chen et al. 2020). Prior to this, researchers had to rely on the genome sequence of the closely related species *Medicago truncatula* [\(http://www.medicagogenome.org/\)](http://www.medicagogenome.org/) to develop and expand alfalfa's genomic toolbox (Arshad et al. 2018; Gao et al. 2016).

1.2 Nodulation and nitrogen fixation in legume plants

Unlike animals, the vast majority of plants have to acquire nitrogen, usually in the form of nitrates and ammonium, from the soil. Although nitrogen gas (N_2) is plentiful in the atmosphere, the biologically active forms of nitrogen are often so limited that they can constrain plant growth. For nodule-forming plants, however, the limitation of nitrogen fixation can be overcome to some extent by acquiring nitrogen from the rhizosphere (Oldroyd et al. 2011). While some species-specific factors may be involved, in general, development of nitrogen-fixing root nodules is controlled by two parallel processes that are initiated by the host plant. First, nodule organogenesis, which is formed from the re-initiation of cell division in the root cortex (Madsen et al. 2010; Oldroyd et al. 2011); and second, rhizobia infect the inside of the root hair cells that curl around rhizobia to entrap bacteria, which eventually grow and form infection threads (ITs) (Oldroyd et al. 2011) **(Figure 1.1)**. ITs are plant-derived conduits that are capable of crossing cell boundaries to direct rhizobia into the root cortex targets, the site of developing primordia (Held et al. 2010; Madsen et al. 2010). Finally, the rhizobia are released from the ITs into the inner cells in the nodule while remaining encapsulated within a plant membrane. In these organelle-like structures, called symbiosomes, rhizobia are responsible for the reduction of atmospheric di-nitrogen to ammonia by expressing the nitrogenase enzyme (Oldroyd and Downie 2008).

As nitrogenase is exceptionally rich in sulfur (Becana et al. 2018; Heim et al. 2016; Scherer 2008), this element becomes limiting in symbiosis. There is a high demand for sulfur in nodulated legumes, and hence nitrogen fixation is more sensitive to sulfur deficiency than

Figure 1.1 The process of rhizobia infection and nodule development in legume roots

The release of flavonoids by the legume roots triggers the synthesis of rhizobial Nodulation Factors (Nod Factors) that are recognized by the plant and lead to the invasion of plant root cells by rhizobia through root hair cells. Infection threads are initiated at the site of root hair curls and extend through root hairs towards the cortical cells of the root. Pre-infection threads are formed in advance, and define the path of infection thread growth through the outer cortex. The infection thread grows towards the nodule primordia (which are formed by dividing cortical cells), ramifies and releases rhizobia into the cells.

Figure modified from Wang et al. (2018).

 σ

to nitrate uptake (Varin et al. 2010). Sulfur is an indispensable and limiting nutrient for plants because it is used for the formation of the sulfur-containing amino acids, cysteine (Cys) and methionine (Met), which are incorporated into protein synthesis, and also function as metal cofactors and coenzymes (Davidian and Kopriva 2010). An abundant supply of sulfur in plants markedly increases nodulation and nitrogen fixation (Anderson and Spencer 1950; Scherer and Lange 1996; Varin et al. 2010). Sulfur-deficiency in plants, on the other hand, leads to decreases in nodulation, nodule metabolism, and nitrogenase biosynthesis and activity, presumably due to the low-availability of Cys and Met (Becana et al. 2018). In addition, it has been reported that low nitrogen fixation observed in sulfur-deficient legumes is due to low leghemoglobin, glucose, ATP, and ferredoxin, which suggests a limitation in energy production for nitrogen fixation (Pacyna et al. 2006; Scherer 2008; Varin et al. 2010). Sulfur from the soil is taken up as sulfate by plant cells through several classes of sulfate transporters (SULTRs) (Takahashi et al. 2012). In *Lotus japonicus*, the *SYMBIOTIC SULFATE TRANSPORTER1* (*SST1*) gene encodes a sulfate transporter that is specifically and highly expressed in the nodules, suggesting a major role in the transport of sulfate from the plant to the bacteroids (Krusell et al. 2005). In *M. truncatula,* a Group 3 SULTR (*SULTR3.5*), homolog *LjSST1*, is strongly expressed in nodules (Roux et al. 2014). In addition, it has been shown that *MtSULTR3.5* expression is strongly up-regulated in roots subjected to salt stress (Gallardo et al. 2014). Members of the SULTR3 class of transporters have been less well studied, although the five *At*SULTR3 transporters in *Arabidopsis thaliana* were well characterized by Chen et al. (2019), who found that all of them are localized to the chloroplast membrane, and facilitate the import of sulfate to this organelle. Interestingly, the *SULTR3.1* and *SULTR3.4* genes are

up-regulated in roots of both Arabidopsis and *M. truncatula* plants subjected to drought stress (Gallardo et al. 2014). Cys, whose precursor is sulfate, induces abscisic acid (ABA) biosynthesis (Batool et al. 2018), which is a drought-induced messenger that coordinates rapid adaptive responses such as stomatal closure (Ernst et al. 2010). Sulfur and ABA metabolisms are co-regulated to control the environmental stresses in Arabidopsis (Cao et al. 2014). During drought, sulfate concentration increases quickly in the xylem sap. Subsequently, sulfate is transported to the green tissues and sequestered into the chloroplasts, where it undergoes reduction and is used for Cys biosynthesis (Malcheska et al. 2017), and stimulates the synthesis of the drought hormone ABA (Batool et al. 2018), which is a key regulator of response to abiotic stress (Cao et al. 2014) **(Figure 1.2)**. The rapid drought response in Arabidopsis was shown to depend on all five *At*SULTR3 transporters, since Cys and ABA contents were reduced to 67% and 20%, respectively, in the *AtSULTR3* quintuple mutant (lacking activities of all SULTR3 members), as compared to wild type plants (Chen et al. 2019).

Flavonoids as signals in plant-rhizobia interactions

Nodulation is initiated by plant root exudates containing phenolic flavonoid compounds, which act as chemotactic signals under low nitrogen conditions (Liu and Murray 2016) to attract symbiotic bacteria in the rhizosphere (Ferguson et al. 2010; Oldroyd et al. 2011). While leguminous plants produce an array of flavonoids, only specific subsets of these play a role in nodulation. For example, the chalcone-4, 40-dihydroxy-20-methoxychalcone (methoxychalcone) identified in root exudates of alfalfa and other *Medicago* spp. is the

Figure 1.2 Sulfate transporters are involved in the regulation of plant response to drought stress

Sulfate transporters facilitate sulfate $(SO₄²)$ uptake throughout the plant. Drought stress results in sulfate accumulation in the xylem and movement toward the green tissues. The Group3 SULTRs (SULTR3), localized in the plastid membrane, transfer the sulfate into the chloroplasts where sulfur is incorporated into Cys, which triggers ABA production, a hormone that regulates stomatal opening and closure.

Figure modified from Gommers (2019).

strongest inducer of *NOD* genes in compatible rhizobial symbionts, including *Sinorhizobium meliloti* (Dakora et al. 1993; Maxwell et al. 1989). The enzyme CHALCONE O-METHYLTRANSFERASE (ChOMT) is required for the biosynthesis of methoxychalcone from isoliquiritigenin (Maxwell et al. 1992). In *M. truncatula*, *MtChOMT1* and three other closely homologous genes (*MtChOMT2*, *MtChOMT3*, and *MtChOMT4*) were induced in root hairs inoculated with rhizobia (Breakspear et al. 2014), and two of these (*MtChOMT2*, *MtChOMT3*) were also detected in the infection zone of mature nodules of this plant (Chen et al. 2015; Roux et al. 2014).

The specific interaction between legumes and nitrogen-fixing rhizobia starts when host-specific flavonoids released by the plant into the rhizosphere are recognized by NodD. NodD induces the expression of *NOD* genes by binding to the nod box, the conserved sequences located upstream of *NOD* genes (Chen et al. 2005). The NOD proteins control the production of the rhizobial lipo-chito-oligosaccharide, also known as Nodulation Factors (NF) (Lerouge et al. 1990; Peters et al. 1986). The bacterial secreted NF are the key signal molecules that initiate nodule organogenesis (Lerouge et al. 1990). The perception of rhizobial NF is necessary and sufficient to induce nodule organogenesis (Truchet et al. 1991) through activation of the plant common symbiotic signaling (SYM) pathway (Oldroyd and Downie 2004). By analyzing a range of mutants, these processes have been intensively studied in the past two decades to help gain an understanding of the genetic elements of the pathway **(Figure 1.3)** in a number of leguminous species (Oldroyd 2013; Suzaki et al. 2015). Briefly, NFs are perceived by receptor-like kinases with extracellular Lysine Motif (LysM) domains (Limpens et al. 2003). In *M. truncatula*, NFs produced by *S. meliloti*, are recognized by LysM RECEPTOR KINASE3 (LYK3) and

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Figure 1.3 Symbiotic signaling pathway

Bacterial Nod factors are perceived by the receptors LYK3 and NFP at the plasma membrane of epidermal cells. Activation of these receptor complexes leads to depolarization of cell membranes and changes in ion fluxes which initiate calcium spiking, driven by proteins in the nuclear envelope. Calcium spiking is dependent on various nuclear envelope proteins including the calcium channels DMI1, CNGC15, and three nuclear pore proteins, NENA, NUP85, and NUP133. Calcium spiking is perceived by nuclear calcium-calmodulin kinase (DMI3). The activation of DMI3 results in the phosphorylation of IPD3 with the help of DELLA to regulate expression of NIN and its downstream genes NF-YA1, ERN1, and NPL, leading to nodulation. LYK3: LysM RECEPTOR KINASE 3; NFP: NOD FACTOR PERCEPTION; DMI1,2,3: DOES NOT MAKE INFECTIONS 1,2,3; CNGC15: CYCLIC NUCLEOTIDE-GATED CALCIUM; IPD3: INTERACTING PROTEIN OF DMI3; NIN: NODULE INCEPTION; NPL: NODULATION PECTATE LYASE; NFYA1: NUCLEAR FACTOR YA1; ERN: ERF REQUIRED FOR NODULATION.

Figure modified from Roy et al., (2020).

NOD FACTOR PERCEPTION (NFP) (Arrighi et al. 2006; Limpens et al. 2003). Recognition of NFs leads to the induction of a signaling pathway that activates a leucinerich repeat-RLK, known as DOES NOT MAKE INFECTIONS2 (DMI2) in *M. truncatula* (also known as SYMbiosis RK, SYMRK, in *L. japonicas*) (Bersoult et al. 2005). Secondary signals initiate calcium oscillation in the nuclear region, a process known as calcium spiking (Charpentier et al. 2016). Activation of this signaling pathway requires three components of the nuclear pore, NUP85, NUP133, and NENA (Groth et al. 2010; Kanamori et al. 2006; Saito et al. 2007), and the cation channels located on the nuclear envelope, encoded by a single inner-membrane-localized channel, DMI1, in *M. truncatula* (CASTOR-POLLUX in *L. japonicus*) (Ané et al. 2004; Capoen et al. 2011). The CYCLIC NUCLEOTIDE-GATED CALCIUM (*Mt*CNGC15) that interacts with *Mt*DMI1 was also shown to be required for nuclear calcium oscillations (Charpentier et al. 2016). Perception of the calcium spiking signature is decoded by a nuclear calcium/calmodulin-dependent protein kinase (*Lj*CCaMK, known as DMI3 in *M. truncatula*). *Mt*DMI3 interacts with and subsequently phosphorylates INTERACTING PROTEIN OF DMI3 (*Mt*IPD3) (known as CYCLOPS in *L. japonicus*) (Messinese et al. 2007; Yano et al. 2008). *Mt*DMI3 interacts with the nuclear protein *Mt*IPD3 and other downstream components, such as two GRAS family proteins, NODULATION SIGNALING PATHWAY1 (NSP1), and NSP2 to activate expression of NODULE INCEPTION (NIN) and its downstream genes that encode NUCLEAR FACTOR YA1 (NF-YA1)/YA2, and ERF REQUIRED FOR NODULATION2 (ERN2), which are essential for rhizobium infection and nodule organogenesis (Andriankaja et al. 2007; Hirsch et al. 2009; Marsh et al. 2007; Middleton et al. 2007; Schauser et al. 1999; Smit et al. 2005).

In *M. truncatula*, DELLA proteins were shown to promote the phosphorylation of *Mt*IPD3 in response to rhizobia, and consequently enhance its interaction with other transcriptional regulators such as *Mt*NSP1 and *Mt*NSP2 (Jin et al. 2016), which form a heterocomplex that associates with the promoter of Nod factor-inducible genes, such as *EARLY NODULIN11* (*MtENOD11)* and *MtERN1* (Hirsch et al. 2009).

Autoregulation of nodulation

Forming and maintaining nodules is an energy-demanding process, and consequently excessive nodulation (super-nodulation) can negatively affect plant growth and development (Matsunami et al. 2004). The host plant, therefore, tightly regulates the total root nodule number depending on the metabolic status of the shoot (carbon source) and root (nitrogen source) (Suzaki et al. 2015). To that end, legumes have evolved a negative regulatory pathway called autoregulation of nodulation (AON) **(Figure 1.4)** that functions systemically through the shoot to maintain an optimal number of nodules (Caetano-Anollés and Gresshoff 1991; Kosslak and Bohlool 1984; Reid et al. 2011b). The nitrogen regulation pathway is activated in root cortical cells during rhizobial infection and nodule development to inhibit nodulation under nitrogen‐rich conditions, helping the plant to conserve energy resources (Lim et al. 2014; Reid et al. 2011b). Following the initial rhizobial infection events, root-derived nodulation-specific CLAVATA3/EMBRYO SURROUNDING REGION (CLE) peptides, including CLE12 and CLE13 in *M. truncatula* (Mortier et al. 2010), CLE ROOT SIGNAL1 (CLE-RS1) and CLE-RS2 in *L. japonicus*, or RHIZOBIA-INDUCED CLE1 (RIC1) and RIC2 in soybean (*Glycine max*) (Magori and Kawaguch 2010; Reid et al. 2011a), are triggered to activate AON. Following

Figure 1.4 Autoregulation of Nodulation

Upon activation of the nod factor signaling pathway and perception of rhizobia, the expression of *CLE12* and *CLE13* is increased, and CLE12 and CLE13 are then transported through the xylem to the shoot. Perception of the peptides in the shoot requires the receptor kinase *Mt*SUNN in *M. truncatula* (*Lj*HAR1 in *L. japonicus* or *Gm*NARK in soybean). A second pathway is involved the transport of miR2111 to the root to affect *TML* expression. TML1 and TML2 inhibit the expression of NIN leading to suppression of the downstream genes that regulate nodulation. In soybean, *Gm*NIN activates the expression of miR172c, which in turn silences *GmNNC1*. *Gm*NIN and *Gm*NNC1 activate or repress the expression of *GmRIC1* and *GmRIC2,* respectively. CLE12/13: CLAVATA3/EMBRYO SURROUNDING REGION12/13; SUNN: SUPER NUMERIC NODULES; HAR1: HYPERNODULATION ABERRANT ROOT FORMATION1; NARK: NODULE AUTOREGULATION RECEPTOR KINASE; TML1/2: TOO MUCH LOVE1/2; NIN: NODULE INCEPTION; NNC1: NODULE NUMBER CONTROL1; CLE-RS1/2: CLE ROOT SIGNAL1/2; RIC1/2: RHIZOBIA-INDUCED CLE1/2.

Figure modified from Wang et al., (2020).

processing, these small functional CLE peptides translocate from the root to the shoot through the xylem (Okamoto et al. 2013), where they bind to a specific homodimeric or heterodimeric receptor complex that includes HYPERNODULATION ABERRANT ROOT FORMATION1 (HAR1) in *L. japonicus* (Krusell et al. 2002; Nishimura et al. 2002; Okamoto et al. 2013), SUPER NUMERIC NODULES (SUNN) in *M. truncatula* (Schnabel et al. 2005), or NODULE AUTOREGULATION RECEPTOR KINASE (NARK) in soybean (Searle et al. 2003). In *L. japonicus*, *Lj*CLE-RS2 binds to *Lj*HAR1, and the application of *Lj*CLE-RS2 peptide through the xylem was found to inhibit nodulation in wild-type but not in *har1* mutants, showing that the *Lj*HAR1 receptor kinase is required for regulating the AON pathway through *Lj*CLE peptide (Okamoto et al. 2013).

Recently, Gautrat et al. (2020) reported that the shoot-produced *Mt*miR2111 is involved in AON and negatively regulates its target genes, *TOO MUCH LOVE1* (*MtTML1*) and *MtTML2* to keep the plant susceptible to nodulation in *M. truncatula*. Moreover, *Gm*NIN was shown to directly target *GmRIC1* and *GmRIC2* to activate their expression, and NODULE NUMBER CONTROL1 (*Gm*NNC1) inhibits the expression of these two genes by interacting with *Gm*NIN. In addition, *Gm*NINa can also activate *GmRIC1* and *GmRIC2* by activating miR172c, which silences *GmNNC1* via transcript cleavage and reduces the suppressive effect of *Gm*NNC1 on *GmRIC1* and *GmRIC2* (Wang et al. 2019).

1.3 Root architecture

As the underground organ of terrestrial plants, roots are important living components that, in most cases act as an anchor that holds the plant upright, absorb water and minerals, and transport them to stems for plant growth and development. In addition, roots are a source of phytohormones, such as cytokinins, and specialized metabolites, such as flavonoids, terpenoids, and isoflavonoids, that are involved in various aspects of plant adaptation to the surrounding environment (Jogawat et al. 2021; Takahashi and Shinozaki 2019). Vigorous and deep rooting systems are in most cases important for plant productivity and survival, and therefore optimization of root system architecture can be important for plant survival, because of its potential to reduce soil erosion (Reubens et al. 2007), improve nutrient cycling, enhance water use efficiency (Lynch 2007), and improve resistance to stress (Castonguay et al. 2006; Khan et al. 2016). Root system architecture is controlled at the genetic level, differs across species, and is highly variable even within a species (Osmont et al. 2007).

While crop breeding programs have focused on increasing yield by improving aboveground plant traits, the roots ('the hidden half' of the plant) have fallen by the wayside (Den Herder et al. 2010). Given the fact that roots play an important role in the establishment and performance of plants, the second 'green revolution' has been focused on crop yield improvement through exploiting and modifying root architecture systems (Lynch 2007). Root system optimization in crops may enable plants to overcome the challenges posed by their sessile status, and to increase stress tolerance (Koevoets et al. 2016). A deep rooting system helps plants to access water and nutrients stored deep in the soil, and hence allowing for plant production and survival under unfavorable growth condition (Comas et al. 2013).

1.4 Regulation of root architecture and nodulation

In legumes, depending on the environmental conditions, two types of lateral organs determine root system architecture, lateral roots and nitrogen fixing root nodules. Both root nodule and lateral root organogenesis involve divisions of cells located close to the root apical meristem (Bensmihen 2015; Crespi and Frugier 2008; Herrbach et al. 2014). Nodules are induced by common environmental cues such as low nitrogen-availability conditions in the presence of the specific *Rhizobium* spp. in the rhizosphere (Reid et al. 2011b). In legumes, nitrogen is utilized through assimilation regardless of whether it enters the plant as nitrate and ammonium from soil, or by fixation of atmospheric nitrogen (Murray et al. 2017). Nitrate is absorbed by the root from the external environment using two nitrate transporters, NITRATE TRANSPORTER1 (NRT1) and NRT2, which function as low affinity and high affinity nitrate transporters, respectively (Tsay et al. 2007). The nitrate imported into the cells is sequentially reduced into nitrite by NITRATE REDUCTASE (NR) and into ammonium by NITRITE REDUCTASE (NiR) (Glass et al. 2002). Ammonium is assimilated into amino acids through the glutamine synthase (GS) and glutamine oxoglutarate aminotransferase (GOGAT) cycle (Potel et al. 2009).

Serving as an important signal to regulate gene expression, nitrate also impacts on root architecture, as the initiation, formation and development of lateral roots depend on nitrate availability (Sun et al. 2017). In addition, root architecture is the basis of plant growth as it controls the uptake and utilization of nutrients and affects the plant's growth and biomass (Zhao et al. 2018). In general, lateral root growth is dually regulated by nitrate availability, including stimulatory and inhibitory effects of nitrate on lateral root development. While nitrate stimulates lateral root growth (Linkohr et al. 2002; Zhang and Forde 1998), too high nitrate concentration has an inhibitory effect on lateral root growth (Tian et al. 2009; Zhang and Forde 1998). Factors that contribute to the regulation of lateral organ formation include mobile phytohormones (Fukaki and Tasaka 2009), microRNAs (miRNAs) (Chen 2012), and proteins (Murphy et al. 2012) .

1.5 The regulatory role of microRNAs in root development and nodulation

MicroRNAs (miRNAs) are small $\left(\sim 22$ nt in length), endogenous, non-coding RNAs that have a central role in regulating gene expression at the post-transcriptional level in a sequence-specific manner by either transcript cleavage or inhibition of mRNA translation (Sun 2012). miRNAs are processed primarily from larger precursor RNAs by endonuclease DICER-LIKE 1 (DCL1) (Bernstein et al. 2001; Rogers and Chen 2013). The mature miRNA/miRNA duplexes are processed with a 3' two-nucleotide overhang that are methylated by HUA ENHANCER 1 (HEN1) to prevent degradation (Yu et al. 2005). The processed miRNA/miRNA duplexes are then exported into the cytoplasm by EXPORTIN 5 (XPO5) (Muqbil et al. 2013) and recruited by a RNA-INDUCED SILENCING COMPLEX (RISC) in the cytoplasm. The miRNA duplex is then unwound and only the leading strand is kept to target genes in a sequence specific manner by transcript cleavage or by translation inhibition while the second strand is degraded in the cytoplasm (Felekkis et al. 2010; Yu et al. 2017) **(Figure 1.5)**. By targeting major transcription factors, miRNAs control essential processes, including stress responses, phytohormone regulation, organ morphogenesis, and developmental process (Liu et al. 2018; Ma et al. 2022). Regulatory

Figure 1.5 Mechanism of miR156 post-transcriptional gene regulation

The endonuclease DCL1 creates a short miRNA-duplex with two-nucleotide 3' overhangs that are exported to the cytoplasm via EXPORTIN 5. The miRNA/miRNA duplex binds to RISC endonucleases in the cytoplasm and the leading strand is used as a guide to target transcripts (including *SPLs*) in a sequence-specific manner, resulting in the silencing of downstream complementary mRNA targets through cleavage or translational repression. DCL1: DICER-LIKE1; RISC: RNA-INDUCED SILENCING COMPLEXES; SPL: SQUAMOSA-PROMOTER BINDING PROTEIN-LIKE; XPO5: EXPORTIN 5. *SPL* and SPL downstream target genes are indicated with green and purple boxes respectively. Diagram is created with BioRender.com.

miRNAs can influence nitrate-regulated root architecture. For example miR167 and its target AUXIN RESPONSE FACTOR 8 (ARF8) play an important role in controlling lateral root growth in response to nitrate in Arabidopsis (Gifford et al. 2008; Wu et al. 2006). In addition, miR172 positively regulates nodulation in legumes, as shown in soybean, whereas overexpression of miR172 resulted in plants with increased nodule number and nitrogen fixation (Yan et al. 2013). Nova-Franco et al. (2015) also showed similar results in common bean (*Phaseolus vulgaris*). The miR2111/TML module is also involved in regulating nodulation in legumes, as overexpression of miR2111 or mutations in *TML* caused hyper-nodulation in *L. japonicus* (Tsikou et al. 2018).

1.6 The role of miR156 in regulating root architecture, nodulation and nitrogen fixation

The miR156/SPL regulatory module plays a fundamental role in the regulation of a range of plant growth and development processes, such as transition from vegetative to reproductive stages, fertility, and response to stresses (Cardon et al. 1999; Wang and Wang 2015; Xu et al. 2016). Previously, it was shown that overexpression of *miR156* in alfalfa (miR156-OE) resulted in plants displaying delayed flowering, improved vegetative and root growth, enhanced branching, and caused an increase in number of nodes, collectively culminating in an overall improvement in biomass yield and quality (Aung et al. 2015). miR156-OE plants were also shown to have increased ability to survive heat (Matthews et al. 2019), salinity (Arshad et al. 2017b) and drought stress (Arshad et al. 2017a; Feyissa et al. 2019). Moreover, overexpression of *miR156* was shown to play a role in nodulation in legume plants. A previous study found that overexpression of miR156 enhanced nodule

numbers and nitrogenase activity in alfalfa (Aung et al. 2017), but miR156s appear to play species-specific roles in different leguminous plants, as a reduction in nodulation was reported in other studies for miR156 overexpression plants. For example, when *GmmiR156* was overexpressed in soybean , it repressed nodulation through its negative regulation of *Gm*miR172 (Yan et al. 2013). Similarly in *L. japonicus*, *LjmiR156* was found to reduce nodule numbers (Wang et al. 2015). More recently, Yun et al. (2022) reported that the miR156-SPL9 regulatory system in soybean acts as an upstream master regulator of nodulation by targeting and regulating the transcript levels of nodulation genes in soybean. It has been shown that overexpressed and reduced *GmmiR156* resulted in increased expression of *GmNINa* and *GmENOD40-1* (nodulation markers) (Yun et al. 2022).

1.7 SPL transcription factors and their role in the regulation of root architecture, nodulation and nitrogen fixation

miR156 targets a number of *SPL* genes for post-transcriptional silencing in various plant species (Feyissa et al. 2021; Gao et al. 2016; Preston and Hileman 2013). The SPLs constitute a diverse family of transcription factors characterized by a highly conserved SQUAMOSA PROMOTER BINDING PROTEIN (SBP) domain, which is typically 76 amino acids long (Klein et al. 1996; Yamasaki et al. 2004). SPLs are involved in binding to a consensus DNA binding site, known as the SPL Binding Domain (SBD), with a 'NNGTACR' core consensus sequence, where N is any nucleotide but identical sequentially, and R is either A or G. (Birkenbihl et al. 2005; Yamasaki et al. 2006). While 76 amino acid SBP domain is required for binding to the target sequences in downstream genes, this binding is also determined by other factors. In alfalfa, 11 out of 16 *SPLs* (*SPL2*,

SPL3, *SPL4*, *SPL6*, *SPL7a*, *SPL8*, *SPL9*, *SPL11*, *SPL12*, *SPL13* and *SPL13a*) are repressed by miR156 via transcript cleavage (Aung et al. 2015; Feyissa et al. 2021; Gao et al. 2016; Ma et al. 2021). Of the known SPLs in alfalfa, SPL13 has been well characterized, and has been shown to regulate flowering time and vegetative development, with increased lateral shoot branching in *SPL13*-silenced alfalfa plants (Gao et al. 2018b). SPL13 also negatively regulates alfalfa's tolerance to drought, heat and flooding (Arshad et al. 2017a; Feyissa et al. 2021; Matthews et al. 2019). Hanly et al. (2020) showed that SPL9 also is a negative regulator of drought stress in alfalfa. Downregulation of *SPL9* led to enhanced drought tolerance in transgenic alfalfa, as *SPL9*-RNAi alfalfa showed less leaf senescence and more relative water content under drought conditions compared to WT plants (Hanly et al. 2020). Furthermore, Gou et al. (2018) reported that SPL8 has a negative role in regulating salt and drought stress in alfalfa, as plants with downregulated *SPL8* showed enhanced salt and drought tolerance and increased biomass yield (Gou et al. 2018). Alfalfa plants with CRISPR knockdown *SPL8* also exhibited phenotypic changes and enhanced tolerance to drought (Singer et al. 2021). In Arabidopsis, SPL9 is a potential nitrate regulatory hub and may target the primary nitrate-responsive genes (Krouk et al. 2010). Transcript levels of nitrate-responsive genes, *AtNiR*, *AtNR2* and *AtNRT1*.*1* significantly increased in response to nitrate in *AtSPL9* overexpressing transgenic Arabidopsis plants (Krouk et al. 2010). In soybean, *GmSPL9* positively regulates nodulation by targeting the *GmNINa*, *GmENOD40-1* and *GmmiR172* during nodulation (Yun et al. 2022). In Arabidopsis, *AtSPL3*, *AtSPL9*, and *AtSPL10* are involved in the regulation of Arabidopsis lateral root development, with *At*SPL10 playing the most dominant role (Yu et al. 2015b). Gao et al.

(2018c) reported that *At*SPL10 directly regulates *AGAMOUS-like MADS box protein 79* (*AtAGL79*) expression by binding to its promoter.

1.8 Role of MADS box proteins in the regulation of root architecture

The MADS (MINICHROMOSOME MAINTENANCE1/AGAMOUS/DEFICIENS/ SERUM RESPONSE FACTOR) box proteins are a family of transcription factors that participate in many aspects of plant development and morphogenesis (Gramzow and Theissen 2010). Although MADS-box proteins were initially found to be involved in floral organ speciation (De Folter et al. 2006; Dong et al. 2013; Huang et al. 2017; Michaels et al. 2003), they recently became a focus of research into the genetic regulation of root development (reviewed by Alvarez‐Buylla et al. 2019). For example, ANR1 (ARABIDOPSIS NITRATE REGULATED1) was the first MADS-box transcription factor shown to stimulate lateral root development in the presence of high nitrate concentrations (Gan et al. 2012). *AGL21*, a MADS-box gene, which is highly expressed in lateral root primordia, was found to control lateral root development by regulating auxin biosynthesis genes in Arabidopsis (Yu et al. 2014). In rice, *OsMADS25*, an *ANR1*-like gene, positively regulates lateral and primary root development by promoting nitrate accumulation and increasing the expressions of nitrate transporter genes at high nitrate concentrations (Yu et al. 2015a). In common bean, *PvAGL21* is expressed in nodules, and its expression is higher in roots compared to pods, seeds and stems (Íñiguez et al. 2015). These observations link AGLs to nodulation- and root architecture-related traits in plants.

27 Collectively, while previous research has shown that miR156 regulates nodulation and nitrogen fixation in alfalfa (Aung et al. 2017); research has yet to be conducted to determine

the biochemical and molecular mechanisms underpinning these effects, or which of the SPL proteins regulate nitrogen traits in this plant.

1.9 Hypothesis and objectives of the study

I hypothesize that miR156 effects on root architecture, nodulation, nitrogen fixation and abiotic stress are mediated by specific SQUAMOSA-PROMOTER BINDING PROTEIN-LIKE (SPL) transcription factors, specifically SPL12, and other SPL-regulated downstream genes.

Objectives: The main purpose of this research was to investigate the role of SPL12 and its downstream target genes in root architecture, nodulation and nitrogen fixation. The specific objectives were:

Short-term objectives

- 1. Determining the role of *SPL12* in root architecture, nodulation, and nitrogen fixation.
- 2. Investigating whether *AGL6* and *AGL21* are downstream target genes of SPL12.
- 3. Investigating the role of SPL12 and AGL6 in nodulation under osmotic stress.
- 4. Investigating the role of SPL12 in nitrate inhibition of nodule formation.
- 5. Mutating *SPL13* by CRISPR-Cas9 editing to improve stress tolerance and increase forage yield in alfalfa.

Long-term objective

The long-term objective of this project is to make a significant contribution to our knowledge of the mechanisms of actions of miR156 and SPLs in root architecture, nodulation, nitrogen fixation activity, and stress tolerance in alfalfa, and to generate molecular tools for use in promoting resilience and productivity in this crop and potentially others.

Chapter 2

2 Materials and Methods

2.1 Plant material

Alfalfa plants

Alfalfa clone N4.4.2 (Badhan et al. 2014) was obtained from Daniel Brown (Agriculture and Agri-Food Canada, London, ON, Canada) and was used as the wild-type (WT) genotype. Plants overexpressing miR156 (miR156-OE) at different levels (A11, A11a and A17) were generated by Dr. Hannoufa's group in a previous study (Aung et al. 2015). WT and transgenic alfalfa plants were grown under greenhouse conditions at 21-23°C, 16 hrs light/8 hrs dark, light intensity of 380–450 W/m2 (approximately 500 W/m2 at high noon time), and a relative humidity of 56% for the duration of all experiments. Because of the obligate outcrossing nature of alfalfa, WT and transgenic alfalfa plants were propagated by rooted stem cuttings to maintain the genotype throughout the study. The stem cuttings, containing the same number of nodes, were grown in vermiculite for three weeks. Rooted cuttings were then inoculated and used in phenotypic characterization, osmotic stress and nitrate treatment experiments.

Lotus japonicus **plants**

Seeds of wild-type Gifu (Handberg and Stougaard 1992) and mutant *L. japonicus* plants were scarified using sand paper and surface-sterilized following the previously established methods (Szczyglowski et al. 1998). Briefly, seeds were subjected to two consecutive one-minute washes with 0.1% (w/v) sodium dodecyl sulfate (SDS) in 70% (v/v) ethanol and 0.1% (w/v) SDS in 20% (v/v) bleach. Sterilized seeds were then rinsed with sterile Milli-Q water 10 times and allowed to imbibe overnight. Imbibed seeds were transferred to Petri dishes containing six layers of sterilized Whatman filter paper moistened with sterilized Milli-Q water and allowed to germinate for seven days at 23°C, under 16 hrs /8 hrs light/dark regime.

2.2 Generation of vector constructs and plant transformation

*SPL12***-RNAi and** *AGL6***-RNAi**

SPL12-RNAi (RNAi12-7, RNAi12-24 and RNAi12-29), and *AGL6*-RNAi (L9, L13A and L13B) genotypes were generated to investigate the role of SPL12 and AGL6 in root architecture and nodulation. For *SPL12*-RNAi and *AGL6*-RNAi, 250 bp and 256 bp fragments, respectively, were amplified from alfalfa cDNA using primers RNAiMsSPL12-F2 and RNAiMsSPL12-R2 (*SPL12*-RNAi), and MsAGL6-RNAi-F2 and MsAGL6-RNAi-R2 (*AGL6*-RNAi) (**Table S1**) and cloned into pENTR entry vector (Invitrogen, Carlsbad, CA, USA). After PCR screening and analysis by Sanger sequencing, LR reactions were performed for RNAi constructs to recombine the fragments into the pHELLSGATE12 (RNAi) destination vector (Helliwell and Waterhouse 2003) using the Gateway cloning system (Thermo Fisher Scientific, Mississauga ON). The pHELLSGATE12 (RNAi) vectors were transferred into *E. coli* by the heat shock method (Froger and Hall 2007) and the presence of the insert was confirmed by Sanger sequencing of the plasmid DNA. Subsequently, RNAi constructs were transferred into *Agrobacterium tumefaciens* (LBA4404) by heat shock (Höfgen and Willmitzer 1988). *A. tumefaciens* strains were then used in the transformation of alfalfa N.4.4.2 germplasm as described below (see Section 2.3).

35S::SPL12 **and** *35S::SPL12m-GFP*

To generate *SPL12* overexpression constructs, the full-length coding region of *SPL12* (1314 bp) was amplified from alfalfa cDNA using primers OEMsSPL12 F and OEMsSPL12 R (**Table S1**), and then cloned into the pMDC32 (Curtis and Grossniklaus 2003) vector using Gateway cloning (Thermo Fisher Scientific, Mississauga ON). For *35S::SPL12m-GFP* construct, the *Mlu*I-SPL12-*Spe*I fragment was synthesized with a mutated miR156 recognition site based on Wei et al. (2012) (**Figure 2.1**). Each mutation changes a single nucleotide and causes no change in the SPL12 amino acid sequence, but introduces changes into the predicted miR156 binding site to prevent complementary binding and subsequent cleavage. The fragments were then cloned into the pGreen-GFP (Yu et al. 2004) vector using a T4 ligation method according to manufacturer's description (Thermo Fisher Scientific, Mississauga ON). The vectors were transferred into *E. coli* using the heat shock method (Froger and Hall 2007) and the presence of the insert was confirmed by Sanger sequencing of plasmid DNA. Subsequently, these overexpression constructs were transformed into *A. tumefaciens* (LBA4404 or EHA105) by heat shock (Höfgen and Willmitzer 1988), and the resulting strains were then used in the transformation of alfalfa N.4.4.2 germplasm as described below (see Section 2.3).

Figure 2.1 Mutagenesis of *SPL12* **to prevent miR156 complementarity**

The seven point mutations (red) were introduced into the *SPL12* coding sequence within the region complementary to miR156 to produce *SPL12m*. Asterisks indicate mismatches between miR156 and the mRNA sequence (red: between miR156 and *SPL12m* mRNA sequence; black: between miR156 and *SPL12m* and *SPL12* mRNA sequence).

sgRNA design and construction of sgRNA-Cas9 expression vector

The sgRNAs, 20 nt sequences that flank a protospacer-adjacent motif (PAM) sequence, were designed using the web-based tool CRISPR-P 2.0 (Liu et al. 2017) to target three specific sites in the exons of the *SPL13* gene in alfalfa (**Figure S1**). Based on the scoring system in the web application tool CRISPR-P (Liu et al. 2017), three sgRNAs were selected that possessed the highest ON-target scores (Doench et al. 2014), the lowest OFF-target scores and OFF-target numbers (Doench et al. 2016), and a GC content between 30 and 80% (Doench et al. 2014; Liang et al. 2016).

The chosen sgRNAs, considering the secondary structure, also had to have more criteria including no more than 12 total complementary base pairs with the scaffold sequence, no more than six internal base pairs, and an intact secondary structure (a repeat and anti-repeat region, a stem loop 2, and a stem loop 3) except for stem loop 1 (Liang et al. 2016). The three guide RNAs met these criteria. The *MtU6*:sgRNA fragments containing a *M. truncatula U6* promoter (*MtU6*) and each guide RNA, flanked by In-Fusion reaction adaptors were synthesized by Bio Basic Inc. and cloned into the linearized destination vector pFGC5941 (Meng et al. 2017) digested with *Xba*I, using the In-Fusion cloning system (Takara Bio Inc.) protocol. The pFGC5941 binary vector, which expresses Cas9 and guide RNA, was transferred to *E. coli* using the heat shock method (Froger and Hall 2007) and plasmid DNA was extracted from positive clones and sequenced to confirm the presence of the insert. Plasmid DNA was then introduced into *A. tumefaciens* (EHA 105) by heat shock (Höfgen and Willmitzer 1988), and the resulting *A. tumefaciens* strain was

then used in the transformation of alfalfa N.4.4.2 germplasm as described below (see Section 2.3).

2.3 Alfalfa transformation and screening for alfalfa transformants

Alfalfa transformation by *A. tumefaciens* was carried out according to Tian et al. (2002) with slight modifications. Tissue culture material was kept in a growth chamber at 26°C with a photoperiod of 16 hrs $/8$ hrs light/dark for all stages. Leaves and petioles (~ 0.8 cm) from *M. sativa* N4.2.2 plants were used in this study by first pre-culturing them for two days on basal SH2K medium in a growth chamber (the ingredients for all of media are listed in **Table S2**). For the co-cultivation stage the explant fragments were infected with *A. tumefaciens* cells suspended in liquid co-cultivation medium supplemented with 20 μM acetosyringone, by soaking the explant fragments in *A. tumefaciens* culture for 10 min. The explant fragments were then blot-dried on sterile filter paper, placed on Basal SH2K media supplemented with 20 μM acetosyringone, and incubated for five days in the dark to facilitate *A. tumefaciens* infection. After rinsing in Basal SH2K media, the infected tissues were transferred to callus induction medium (basal SH2K medium, 300 mg/L timentin) to induce callus formation for two weeks. The transformed calli were then selected by transferring calli to callus induction medium containing the appropriate antibiotics; 50 mg/L hygromycin B was used to select for *35S::SPL12*, 10 mg/L glufosinate ammonium for *SPL13*-CRISPR, and 50 mg/L kanamycin for *SPL12*-RNAi, *AGL6*-RNAi and *35S::SPL12m-GFP*, respectively. After 10 days, the antibiotic concentrations were increased to 75 mg/L for hygromycin B and kanamycin and to 15 mg/L for glufosinate ammonium. Embryo induction was then initiated by transferring calli to embryo induction

medium supplemented with the same antibiotic concentration that was used in the second callus selection phase, and incubated for 6-8 weeks. During these periods, the calli were transferred to fresh media every two weeks to ensure the media were fresh to facilitate embryo development. Green embryos were subsequently transferred to embryo germination and plant development media containing the same antibiotic concentration used in the second callus selection phase, and kept on embryo germination until the wellformed cotyledons were observed. Following development of plantlets, and when roots formed, excess media were rinsed and rooted plants were transferred to 10.2 cm square plastic pots filled with BX Mycorrhizae (PRO-MIX®, Smithers-Oasis North America) soil mix and covered with a magenta box for a week. These tissue culture plantlets were placed in the greenhouse (16 hrs light/8 hrs dark, 56 relative humidity, 23°C). Finally, acclimatized plants were transferred to 22.2 cm pots, and subsequently used to propagate alfalfa for different experiments.

Prior to characterization, regenerated alfalfa plants derived from transformation with overexpression and RNAi constructs were analyzed by PCR to determine the presence of respective transgenes in the genome. For that, genomic DNA (gDNA) from leaves of putative *SPL12*-RNAi, *AGL6*-RNAi, *35S::SPL12*, *35S::SPL12m-GFP* and *SPL13*-CRISPR alfalfa was extracted according to the ChargeSwitch gDNA Plant Kit (Thermo Fisher Scientific) protocol and used directly for PCR.

The presence of the transgene in *SPL12*-RNAi and *AGL6*-RNAi alfalfa genotypes was confirmed by PCR of gDNA using a *35S* promoter- and pHellgate12 intron-specific primers (pHELLGATE12intron) (**Table S1**). Similarly, *SPL12* overexpression alfalfa genotypes (*35S::SPL12* and *35S::SPL12m-GFP*) were screened by PCR using a *35S* promoter- and gene-specific primers (OEMsSPL12-R) (**Table S1**). Positive transgenic plants were then analyzed for *SPL12* and *AGL6* transcript abundance by RT-qPCR using primers LA-MsSPL12-F1 and LA-MsSPL12-R1 (*SPL12*), and qMsAGL6-1F and qMsAGL6-1R (*AGL6*) (**Table S1**).

The presence of the transgene in the transgenic *SPL13*-CRISPR alfalfa genotypes was confirmed by PCR amplification of genomic DNA using *SpCas9* gene primers LH_Cas9_F1 and LH_Cas9_R1 (**Table S1**).

2.4 Identification of *spl12* **mutant lines in** *L. japonicus*

The LORE1 insertional mutation alleles *spl12-1* (line no. 30088823) and *spl12-2* (line no. 30080688) were identified from the *L. japonicus* LORE1 retrotransposon mutant resource (https://lotus.au.dk/). For all the selected LORE1 insertion lines, the R3 generation seeds $(3rd$ generation of plants derived from tissue culture) were acquired from the Lotus Base. Seeds of the LORE1 insertion lines for each allele were germinated and the resulting plants were genotyped by PCR. PCR-based genotyping was used to identify homozygous and heterozygous plants for all LORE1 insertion lines. gDNA from leaves was isolated according to the ChargeSwitch gDNA Plant Kit (Thermo Fisher Scientific) protocol and used directly for PCR. PCR was performed using both the gene- and LORE1-specific primers (**Table S1**), following an established procedure (Urbański et al. 2012).

2.5 Nodulation test

Nodulation test in Alfalfa

Root development from the stems was determined for transgenic and WT alfalfa plants grown in vermiculite at 13 days after initiation of vegetative propagules by determining the number of main roots generated from stem cuttings.

For the nodulation test, the number of nodules was determined at 14 and 21 days after inoculation (dai) with *S. meliloti* Sm1021. To eliminate potential microbial contamination, equipment, vermiculite and water used in the experiment were all sterilized*. S. meliloti* Sm1021 strain was cultured on Yeast Extract Broth agar (Beringer 1974) for 2 days at 28°C. A single colony was then inoculated in liquid TY medium and incubated at 28°C to an optical density OD_{600} nm of 1.5. The 3-week-old rooted stems were inoculated by applying 5 mL of the bacterial suspension or sterilized water (non-inoculated control) into each pot containing rooted alfalfa stem. The plants were then kept on a bench in the greenhouse and watered with distilled water once a week. The total number of nodules from each stem was counted two and three weeks after inoculation with *S. meliloti*. At least 10 biological replicates per genotype were used, and the experiment was repeated three times.

Nodulation test in *Lotus japonicus*

Under sterile conditions, seven-day-old seedlings of WT and mutant *L. japonicus* were transplanted into 10.2 cm square plastic pots containing vermiculite that was supplemented

with Murashige & Skoog Modified Basal Salt Mixture without Nitrogen (PhytoTech) and allowed to grow under greenhouse conditions of 16 hrs light/8 hrs dark at 23°C with 56% humidity. Seven days after transplanting, the seedlings were inoculated using *Mesorhizobium loti* strain NZP2235. The seedlings were inoculated by applying 5 mL of the bacterial suspension or sterilized water (non-inoculated control) and allowing growth to proceed for two and three additional weeks. The total number of nodules from each seedling was counted two and three weeks after inoculation with the *M. loti*. Twenty biological replicates per genotype were used, and the experiment was repeated twice.

2.6 Evaluation of nitrogen fixation by nitrogenase activity assay

To determine the rate of nitrogen fixation activity in *SPL12*-RNAi and WT alfalfa plants, the nitrogenase activity was tested by measuring the conversion of acetylene to ethylene (Dilworth 1966; Aung et al. 2017). Nitrogenase activity was determined in nodulated roots at 14 dai. For this, three-week-old rooted stems were transplanted into 10.2 cm square plastic pots containing soil (three rooted plants per pot), followed by inoculation with *S. meliloti* as described in section 2.5.1. For the un-inoculated control, sterilized MilliQ water was used instead of rhizobia. Two weeks after inoculation, roots from *SPL12*-RNAi and WT alfalfa plants were harvested and the acetylene reduction assay (ARA) was conducted using a Hewlett Packard 5890 Series II gas chromatograph (GC) (Agilent Technologies) with flame ionization detection (FID). To measure the amount of ethylene, nodulated roots were sealed in 20 mL glass vials with rubber lids. Air $(10 \mu L)$ was then removed from the vial and replaced with 10 μL of acetylene gas to create an acetylene atmosphere in the vial. The vial was incubated for 1 hr at room temperature, and ethylene was quantified by GC

as described in Aung et al. (2017). At least 10 biological replicates per genotype were used, and the experiment was repeated twice. The amount of ethylene released from acetylene reduction was then calculated and expressed as nmol/plant per hr.

2.7 Nitrate treatment

To explore if SPL12-related regulation of nodulation is affected by nitrate, the nodulation test was performed upon treatment with this nutrient. WT and *SPL12*-RNAi alfalfa stem cuttings were grown on vermiculite for 21 days, inoculated with *S. meliloti* Sm1021 as described above (Section 2.5.1), and treated with KCl or $KNO₃$. For this, the 21-day-old inoculated transgenic and WT plants were watered with $3, 8$, or 20 mM KNO_3 or KCl twice a week for two and three weeks. The entire experiment was repeated twice under the same growth and nitrate treatment conditions to test the reproducibility of the results. Effects on nodulation were studied by counting the number of active (pink) nodules as described in Section 2.5.1.

To investigate whether treatment with KNO³ affects expression of *SPL12* and *AGL21* genes, WT and *SPL12*-RNAi alfalfa plants were grown on vermiculite for 21 days, then the plants were transferred to Murashige & Skoog Modified Basal Salt Mixture without Nitrogen (PhytoTech) liquid media and left overnight under room temperature. For the nitrate signaling test, the samples were treated with 20 mM KNO₃ for 0, 5, and 24 hrs, then roots were collected and flash frozen in liquid nitrogen and stored at -80°C for later transcript analysis of *SPL12* and *AGL21*.

2.8 Mannitol treatment

To investigate whether SPL12 affects nodulation when plants are grown under osmotic stress, WT, *SPL12*-RNAi and *AGL6*-RNAi alfalfa plants were grown on vermiculite for 21 days, and then inoculated with *S. meliloti* Sm1021 for two days, followed by treatment with mannitol (to mimic osmotic stress) (Vera-Estrella et al. 2004). For the mannitol treatment, 23-day-old inoculated WT and transgenic plants were watered with 400 mM mannitol or distilled water once a week for two and three weeks. The below ground phenotypic parameters were measured according to Aung et al. (2017). The phenotypes included in the characterization were the number of main roots, lateral roots, and root length. The roots directly emerging from the stem were considered as main roots while those that emerged from the main roots were counted as lateral roots. Root length was determined as the length of the longest root. The entire experiment was repeated twice under the same growth and osmotic stress conditions to test the reproducibility of the results. Root samples were harvested from *SPL12*-RNAi and WT plants under osmotic and control conditions and were flash frozen in liquid nitrogen and kept at -80°C for later transcript analysis of *SPL12*, *AGL21*, *AGL6*, *CLE13*, *SULTR3.4*, *SULTR3.5*, *GSH* and *WD40-1* (**Table S1**).

2.9 RNA extraction, reverse transcription-real time quantitative PCR

Transcript levels of different genes of interest in alfalfa tissues were determined by reverse transcription-real time quantitative PCR (RT‑qPCR). For that, different alfalfa tissues, such as stems, leaves and roots were collected and flash frozen in liquid nitrogen and stored in a -80°C freezer until used for RNA extraction. Approximately 100 mg fresh weight was

used for total RNA extraction using the RNeasy Plant Mini-prep Kit (Qiagen, Hilden, Germany, Cat # 1708891) for leaf and stem tissues, and the Total RNA Purification Kit (Norgen Biotek, Canada, Thorold, Cat # 25800) for root tissues. Tissue was homogenized using a PowerLyzer®24 bench top bead-based homogenizer (Cat # 13155) according to the manufacturer's manual. Approximately 500 ng of Turbo DNase (Invitrogen, Cat # AM1907)-treated RNA was used to generate cDNA using the iScript cDNA synthesis kit (Bio-Rad, Cat # 1708891). Transcript levels of the target genes were analyzed by RT-qPCR using a CFX96 TouchTM Real-Time PCR Detection System (Bio-Rad) and SsoFast™ EvaGreen® Supermixes (Bio-Rad Cat # 1725204). Each reaction consisted of 2 μL of cDNA template, 0.5 μL forward and reverse gene-specific primers (10 μM each) (**Table S1**), 5 μL SsoFast Eva green Supermix and topped with to 10 μL ddH2O. Each sample was analyzed in three or four biological replicates, and each biological replicate was tested using three technical replicates. Transcript levels were analyzed relative to three reference genes: *CYCLOPHILIN* (Cyclo) (Guerriero et al. 2014), *β-actin* (*ACTB*) (Castonguay et al. 2015) and *ACTIN DEPOLYMERIZING FACTOR* (*ADF*) (Castonguay et al. 2015; Guerriero et al. 2014) (**Table S1)**.

2.10 Next Generation RNA sequencing transcriptome analysis

To determine global changes in gene transcript levels due to *SPL12* silencing, about 5 cm of root tips from WT and two *SPL12*-RNAi genotypes (RNAi12-24 and RNAi12-29) were used for Next Generation RNA sequencing. Total RNA was extracted using the RNeasy PowerPlant Kit (Qiagen, Cat # 13500-50) and quantified using a NanoDrop 2000C (Thermo Scientific). RNA quality was assessed with the Agilent Bioanalyzer 2100 RNA Nano chip (Agilent Technologies). Three biological replicates were used, and RNA libraries were constructed and sequenced on an Illumina NovaSeq6000 with 100 bp fragment pair end reads at Genome Quebec (Montreal, Canada) through a fee-for-service contract. RNA-seq raw data can be accessed from the National Center for Biotechnology Information, NCBI, BioProject PRJNA818300.

2.11 Analysis of differentially expressed genes and GO enrichment

RNAseq data were analyzed using published protocols (Trapnell et al. 2012) on Biocluster with Linux shell scripts. The published *M. truncatula* Mt4.0 V2 sequence (http://www.medicagogenome.org/downloads) was used as a reference genome as the full genome sequence of alfalfa had not been published by the time this research was carried out. Firstly, the Quality Control (QC) analyses were performed for all Raw Illumina pairend reads using FastQC program (Andrews 2010). Raw sequence reads were then trimmed to obtain high quality reads $(Q > 30)$, adapter sequences were removed and short reads dropped using custom Perl scripts. These high-quality reads were then mapped to the *M. truncatula* genome using TopHat (v2.0.10). TopHat output was then used as input files for Cufflink (v2.2.1) to detect differentially expressed genes (DEGs) between WT and *SPL12*- RNAi (Aung et al. 2017). Subsequently, DEGs were annotated and assigned to three major functional categories (biological process, molecular function, and cell component) using Reduced Visualization Gene Ontology (REVIGO) software [\(http://revigo.irb.hr/\)](http://revigo.irb.hr/) as described in Supek et al. (2011). Venn diagrams were generated using the Venny tool (Oliveros 2007).

2.12 Phylogenetic tree construction

The phylogenetic tree was constructed based on an alignment of the MADS-box domain and using publicly available sequences of *M. sativa*, *M. truncatula* and Arabidopsis. Amino acids were aligned by visualization and nucleotides were subjected to ClustalW alignment analysis. The Phylogenetic tree was constructed using the neighbor-joining method of phylogenetic tree construction using MEGA7 (Kumar et al. 2016).

2.13 Southern blot analysis

To investigate the T-DNA insertion profiles in the *SPL12*-RNAi plants, Southern blot analysis was carried out using total genomic DNA. For that, genomic DNA was isolated using the CTAB method according to Murray and Thompson (1980). For Southern blot analysis, the method of Wang et al. (2015) was followed, in which about 20 μ g of genomic DNA was digested overnight with *EcoR* I (Fermentas), size-separated on a 0.8% agarose gel, and transferred to a nylon membrane (ROCHE). A 250 bp fragment encompassing the *35S* promoter amplified from the *SPL12*-RANi construct using SPL12i-35S-F and SPL12i-35S-R primers (**Table S1**) was used as probe. The probe was labelled with digoxigenin (DIG) using a PCR DIG Probe Synthesis Kit (Roche, Mannheim, Germany)**.** Following cross-linking the DNA to the membrane, pre-hybridization was performed with incubation of the membrane in pre-hybridization buffer for 3-4 hrs at 65°C (all buffers are listed in **Table S3**). The membrane was then incubated with the probe in hybridization buffer overnight at 65°C with gentle shaking. After hybridization, the membrane was washed four times with wash buffer (**Table S3**), each time for 20-30 min to remove the unhybridized probe. After incubation in blocking buffer overnight, the membrane was incubated with 5 μL Anti-DIG antibody (Roche) in blocking buffer for 45 min to 1 hr with gentle agitation at room temperature. After washing with antibody wash buffer at room temperature, detection was performed according to manufacturer's instructions (CDPStar; Roche).

2.14 Extraction of SPL12-GFP fusion protein and Western blot analysis

To investigate the expression of SPL12-GFP at the protein level, Western blot analysis was carried out on crude protein extracted from fresh leaves of 30-day-old of *35S::SPL12m-GFP* alfalfa plants. The plant material was homogenized in 0.2 mL of protein extraction buffer (0.125 mM Tris, pH 6.8, 4% w/v SDS, 18% glycerol, 0.024% w/v bromophenol-blue, 1.43 M β-mercaptoethanol, 0.2% protease inhibitor). After centrifugation at 16,000 *g* for 15 min, the insoluble fraction was removed, and the supernatant (denatured protein) was separated on a 12% SDS PAGE gel. Separated proteins were then transferred onto a nitrocellulose membrane, which was then incubated with primary anti-GFP antibody (Abcam, ab290, Cambridge, MA) and secondary horseradish peroxidase (HRP)-conjugated goat antirabbit IgG (Abcam) antibody. The signals were developed using the Pierce ECL Western Blotting Substrate (Thermo Fisher Scientific, Waltham, MA).

2.15 ChIP‑qPCR analysis

ChIP-qPCR analysis was used to determine the occupancy of SPL12 on promoters of candidate downstream genes that may be regulated by SPL12 to control nodulation. Shoot

tips of alfalfa plants overexpressing *SPL12* tagged with *GFP* driven by the *35S* promoter (*35S::SPL12m-GFP*) were used as materials for ChIP-qPCR analysis, which was performed based on a previously described protocol (Gendrel et al. 2005), with minor modifications, using the Chromatin Immunoprecipitation Assay kit (Lot:2382621, Millipore, Billerica, MS). Briefly, 1 g of shoot tips from WT and *35S::SPL12m-GFP* plants were collected and fixed with 1% formaldehyde under vacuum for 20 min. The reaction was stopped by adding 0.125 M glycine, and the fixed tissues were ground in liquid nitrogen. Powdered tissues were homogenized with 30 mL of pre-chilled Extraction Buffer 1 (Extraction reagents and buffers are listed in **Table S4**) and incubated for 10 min on ice, then the crude extract was filtered through two layers of Miracloth (Millipore, Canada). The filtrate was centrifuged at 3000*g* for 20 min and the supernatant was discarded while the pellets were re-suspended in 1 mL of pre-chilled Extraction Buffer 2. After centrifugation at 12000*g* for 10 min, the pellets were re-suspended in 300 μL pre-chilled Extraction Buffer 3 and centrifuged at 16000*g* for 1 hr. The supernatant was removed, and chromatin pellets were re-suspended in 300 μL of Nuclei Lysis Buffer by gentle pipetting. The chromatin solution was then sonicated twice at power 3 for 15 sec on ice into 500-1,000 bp fragments using a Sonic Dismembrator (Fisher Scientific). A 15 μL aliquot of the supernatant was removed to use as the Input DNA control. A total of 30 μL of protein A-agarose beads (Millipore, Canada) was added to the Chromatin Solution that was brought to 1.5 mL using ChIP Dilution Buffer, and this mixture was incubated with rotation for 1 hr at 4°C. Subsequently, the mixture was gently agitated, centrifuged (3500*g*) for 1 min, and the supernatant was transferred for immunoprecipitation while discarding the beads. A total of 5 μL of Ab290 GFP antibody was added to the Chromatin Solution and

the mixture was incubated with overnight gentle agitation at 4° C. After 12 hrs, 50 μ L of protein A-agarose beads was added to each tube and immune complexes were collected by incubation at 4° C for at least 1 hr with gentle agitation and then centrifugation. After washing with a cycle of low normality salt, high salt, LiCl and TE (Tris-EDTA) buffer, the immunoprecipitate was eluted with 250 μL of Elution Buffer. The DNA reverse crosslinking procedure was performed with 20 μL of 5 M NaCl incubated at 65°C for 5 hrs. To each sample 10 μL 0.5 M EDTA, 20 μL 1 M Tris-HCl (pH 6.5) and 2 μL of 10 mg/mL proteinase K (Sigma- Aldrich, Canada) was added. DNA was extracted using phenol: chloroform $(1:1, v:v)$, recovered by ethanol precipitation in the presence of 0.3 M sodium acetate (pH = 5.2) and 2 μ L glycogen carrier 10 mg/mL (Sigma-Aldrich, Canada) after overnight incubation at -20°C. The DNA pellets were washed with 70% ethanol and each pellet was re-suspended in 16 μL of distilled water to be used for ChIP-qPCR analysis using qnMsAGL6 and qnMsAGL21 primers (**Table S1**). SPL12 occupancy on *AGL6* and *AGL21* was tested by comparing the fold enrichment in *35S::SPL12m-GFP* and WT plants. A DNA fragment containing a SBP binding consensus-like sequence was amplified from *LATERAL ORGAN BOUNDARES-1* (*LOB1*) (Shuai et al. 2002) and was used as a negative control.

2.16 T7 Exonuclease 1 Assay

To detect mismatch mutations by T7 exonuclease 1 (T7E1) assay at the *SPL13* locus of putative CRISPR-Ca9 transgenic plants, the genomic region encompassing the targeted *SPL13* gRNA sites was amplified using Phusion High Fidelity DNA Polymerase (Thermo Fisher Scientific). The primers CRISPR-SPL13g1-F and CRISPR-SPL13g1-R were used

for the gRNA1 site; CRISPR-SPL13g2-F and CRISPR-SPL13g2-R for the gRNA2 site; and CRISPR-SPL13g3-F and CRISPR-SPL13g3-R for the gRNA3 (**Table S1**). The PCR amplicons were purified using GeneJET PCR Purification Kit (Thermo Fisher Scientific). The purified PCR products were denatured and annealed in NEBuffer 2 (New England Biolabs) using a thermocycler under the following condition: 95°C for 10 min, ramp down to 85°C at 2°C/s and finally to 25°C at 0.3°C/s. The annealed DNA was then treated with 1 μL T7E1 (New England Biolabs) at 37°C for 15 min and then analyzed by 2% agarose gel electrophoresis.

2.17 Microscopy

All microscopic observations were performed under a stereo microscope (Nikon SMZ1500, Japan) using 1 mm magnification. The microscope was integrated with a DsRi2 digital camera (Nikon, Japan) and the magnification scope varied between 3.15x and 78.75x. All images captured were taken in a JPG format.

2.18 Statistical analysis

Statistical analyses were performed using Microsoft Excel software. Pairwise comparisons were made using a Student's t-test, which was the proper statistical test in this case, as I was comparing each of the transgenic plants with WT. The significant differences between sample means for three or more data sets were calculated using the one-way analysis of variance (ANOVA) where appropriate. A *P* value of 0.05 or less was used as a statistically significant difference.
Chapter 3

3 Results

3.1 Generating alfalfa *spl13* **mutants by CRISPR-Cas9 editing**

SPL13 is one of the *SPL* genes that are targeted for transcript cleavage by miR156 in alfalfa (Aung et al. 2015). As this transcription factor was shown to play a significant role in alfalfa's response to abiotic stress, including heat, drought and flooding (Arshad et al. 2017a; Feyissa et al. 2021; Matthews et al. 2019), as well as in flowering time and biomass yield (Gao et al. 2018b), I attempted to generate knock-out lines using CRISPR-Cas9 gene editing technology, with the long term aim of generating transgene-free mutants for inclusion in alfalfa breeding.

Designing sgRNA for editing *SPL13* **in alfalfa**

In an attempt to knock-out *SPL13*, I first designed three gRNAs using the online tool CRISPR-P 2.0 (Liu et al. 2017). For this, I analyzed all the putative sgRNAs in *SPL13* based on the reference genome of *M. truncatula,* a close relative of *M. sativa, as* the CRISPR-P database does not include *M. sativa* genome sequences (**Figure 3.1A**). Three sgRNAs with the highest scores were selected and separately cloned into the vector pFGC5941-Cas9 (Meng et al. 2017), which expresses *SpCas9* under the *35S* promoter, sgRNA under *MtU6* promoter, and *Basta* gene (selectable marker) under *Bar* promoter (**Figure 3.1B**). The three constructs were used in alfalfa *A. tumefaciens*-mediated

Figure 3.1 CRISPR/Cas9 mutagenesis of *SPL13* **in alfalfa**

A) Schematic drawing showing the three sgRNA targets relative to the *SPL13* intron-exon structure. **B)** A schematic drawing of the construct used to target *SPL13* using CRISPR/Cas9 system. **C)** PCR analysis of genomic DNA of transgenic alfalfa plants using primers designed to amplify fragments of *SpCas9* (984 bp) from genomic DNA. Each 13-CR number indicates the callus from which each plant was taken. *Bar*, *Bar* resistance gene; sgRNA: single guide RNA; LB, T-DNA left border; M: DNA ladder Marker; *MtU6*: *M. truncatula U6 polymerase III* promotor; *p35S*: constitutive promotor; RB: T- DNA right border; WT: Wild Type.

transformation (Section 2.3) at the same time, and potential transgenic plants harboring the T-DNA inserts were identified by selectable marker screening, and were further analyzed by PCR to confirm the presence of *SpCas9* transgene.

A single expected band of 984 bp was observed after amplification of genomic DNA from 18 different transgenic plants using primers specific to *SpCas9* gene (**Figure 3.1C**).

Screening of CRISPR‑modified alfalfa plants by T7 endonuclease 1 digestion

T7 endonuclease I (T7E1) assay (Kim et al. 2009) was used to detect putative mutations in all three targeted *SPL13* sites in the transgenic alfalfa plants. In this assay, fragments containing targeted sites were amplified from genomic DNA, and the amplicons were subjected to the mismatch-sensitive T7E1 digestion after melting and annealing. Cleaved DNA fragments are visible if amplified products contained mutated (mismatched) DNA sequences. DNA extracted from each of the 18 transgenic plants were subjected to the PCR three times in order to amplify the specific gRNA content of each fragment. As shown in **Figure 3.2A**, PCR products including gRNA1 from 13-CR-6 transgenic plant (only one out of 18 plants) yielded two extra bands in addition to the universal band generated from all other samples (data are not shown for other 17 transgenic plants), indicating that a genomic fragment was modified. For gRNA2 and gRNA3, T7E1-digested fragments were detected in all of the samples except in 13-CR-1, 13-CR-17 (gRNA2) and 13-CR-17, 13-CR-26, 13-CR-28 and 13-CR-30 (gRNA3) (**Figure 3.2B,C**).

Figure 3.2 Detection and molecular analysis of CRISPR/Cas9-modified alfalfa plants by T7E1 assay

The DNA regions spanning the gRNA target sites were PCR amplified for the T7E1 assay. PCR amplification was used to screen alfalfa plants containing putative CRISPR/Cas9-mediated genomic modification for **A)** gRNA1 **B)** gRNA2 and **C)** gRNA3 using T7E1 assay.

Validation of edited *SPL13* **locus by Sanger Sequencing**

To further confirm the CRISPR/Cas9 editing of *SPL13*, the three fragments of the *SPL13* coding sequence containing each gRNA's complementary region were cloned into pJET1.2/blunt cloning vector. DNA was extracted from positive clones and subjected to Sanger sequencing. Relative to the WT sequence, sequence of the representative transgenic plant 13-CR-6 (gRNA1) showed a 3-bp deletion in *SPL13* locus corresponding to gRNA1 (**Figure 3.3A**), indicating the successful CRISPR-Cas9 editing of this gene in alfalfa. While the 3-bp deletions would not change the frameshift, it would result in missing proline amino acid just upstream of the SBP domain (**Figure 3.3B**). Given the limited editing frequency of the *SPL13* gene in this study, I decided to focus only on the characterization of SPL12 for the rest of my thesis as will be discussed in the following chapters.

3.2 SPL12 plays a role in root architecture, nodulation and nitrogen fixation

The involvement of miR156 in regulating nodulation and root architecture in alfalfa was previously reported, as overexpressing *miR156* resulted in increased nodulation, improved nitrogen fixation and enhanced root regenerative capacity during vegetative propagation (Aung et al. 2015). As *SPL12* is a target of transcript cleavage by miR156 in alfalfa (Aung et al. 2015), I hypothesized that miR156-mediated regulation of underground organs could be achieved by silencing *SPL12*. The current study aimed to investigate this hypothesis by analyzing transgenic plants with altered transcript levels of *SPL12* and putative downstream genes at the molecular and phenotypic levels.

Figure 3.3 Confirmation of *SPL13* **editing in 13-CR-6 genotype with gRNA1.**

A) Targeted genome editing of *SPL13* in CRISPR/Cas9-mediated transgenic alfalfa plant. PCR amplicons containing the sgRNA targeting sequence were sub-cloned and sequenced, and a mutation event was detected at the gRNA1 target site. The underlined sequences identify the PAM sequences and the red color letters show the gRNA1. Deletion is indicated by dashed lines. **B)** Amino acid sequences of SPL13 gRNA1 target region from untransformed (WT) and 13-CR-6 genotypes. Deletion is indicated by blue highlighted dashed line, and the red arrow shows the SBP domain.

A)

PAM

WT 5'...CAACAGCATCATTAGACAAAGGAAGTGGTGATTGAT...3' 13-CR 6 5'...CAACAGCATCATTAGACAA------AAGTGGTGATTGAT...3'

B)

Plants characterized within this study were RNAi-silenced *SPL12* (*SPL12*-RNAi), *SPl12* overexpression (*35S::SPL12*), GFP-tagged SPL12 and RNAi-silenced *AGL6* (*AGL6*-RNAi).

SPL12 **transcript levels in** *SPL12***-RNAi and** *35S::SPL12* **plants**

To study the role of SPL12 in various root traits within alfalfa, plants with altered expression of *SPL12* , including *SPL12* overexpression (*35S::SPL12*), *SPL12*-RNAi, and wild-type (WT) plants were used for analysis. First, I determined the relative transcript levels of *SPL12* in *35S::SPL12* genotypes, L1, L5, and L7, all of which were found to overexpress *SPL12* relative to WT (**Figure 3.4A**). As *SPL12* is one of the *SPL* genes that are silenced by miR156 in alfalfa (Aung et al. 2015; Gao et al. 2016), I generated RNAi-silenced *SPL12* (*SPL12*-RNAi) transgenic plants (see Section 2.2.1). Of the 33 plants harboring the *SPL12*-RNAi construct (**Figure 3.4B**), I chose three genotypes (RNAi12-7, RNAi12-24, and RNAi12-29) with the lowest *SPL12* transcript levels (43%, 36% and 32% of WT) (**Figure 3.4C**) for subsequent analyses.

Effect of *SPL12* **silencing on root regenerative capacity**

To assess root regeneration capacity, transgenic *SPL12*-RNAi genotypes and WT alfalfa were propagated by stem cuttings, and root regeneration from stem nodes was observed in one or more of the *SPL12*-RNAi genotypes as early as 10 days after vegetative propagation. Compared to WT plants, the number of rooted stem propagules was significantly higher in *SPL12*-RNAi transgenic alfalfa genotypes at 13 days post propagation (**Figure 3.5A,B**). Genotype RNAi12-29 showed an increase in root regeneration earlier than the other

Figure 3.4 Relative transcript levels of *SPL12* **in different genotypes of alfalfa plants**

A) Relative *SPL12* transcript levels in *35S::SPL12* plants. Relative *SPL12* transcript **B)** in all of the generated *SPL12*-RNAi plants, and **C)** in the three of the lowest *SPL12* expressing *SPL12*-RNAi plants. Transcript levels are relative to WT after being normalized to *Cyclo* and *ACTB* reference genes. * and ** indicate significant differences relative to WT using Student's t-test (n = 3) $p < 0.05$, $p < 0.01$, respectively. Error bars indicate standard deviation.

Figure 3.5 Effect of *SPL12* **silencing on root regeneration in alfalfa**

A) Typical root regeneration phenotype from stem cuttings at 13 days after vegetative propagation. **B)** Number of rooted stems arising from 14 stems (per replicate) at 13 days after vegetative propagation. * and ** indicate significant differences relative to WT using Student's t-test (n = 3) $p < 0.05$, $p < 0.01$, respectively. Error bars indicate standard deviation.

genotypes tested, but genotypes RNAi12-7 and RNAi12-24 still showed a significantly higher root generation compared to WT at 13 days.

Effect of inoculation with *Sinorhizobium meliloti* **on** *SPL12* **transcript levels**

To gain an insight into the role of the *SPL12* gene in the alfalfa-*S. meliloti* symbiosis, I determined *SPL12* transcript levels in inoculated roots of WT alfalfa (**Figure 3.6A**). To analyze *SPL12* regulation at different stages of the symbiosis process, rooted alfalfa WT plants (21 days after cutting) were inoculated with *S. meliloti* Sm1021, and RNA transcript analysis was carried out at 0, 7, 14 and 21 days after inoculation (dai). As shown in **Figure 3.6A**, the relative transcript levels of *SPL12* gradually decreased, with the lowest transcript levels detected at 21 dai.

To investigate if *SPL12* transcript levels correlate with events associated with the rhizobial infection process, I analyzed the RNA transcript levels of some early nodulation genes in inoculated roots (**Figure 3.6B-J**). These genes are *NIN* (Marsh et al. 2007), *NSP2* (Kaló et al. 2005), *IPD3* (Messinese et al. 2007), *DMI1* (Ané et al. 2004), *DMI2* (Bersoult et al. 2005), *DMI3* (Messinese et al. 2007), *DELLA* (Jin et al. 2016), *LysM* (Arrighi et al. 2006), and *CLE13* (Mortier et al. 2010). In general, the transcript levels of all these genes gradually increased over the inoculation period compared to time 0 (**Fig. 3-6B-J**), indicating a clear correlation between *SPL12* and nodulation genes.

Figure 3.6 Relative transcript levels of *SPL12* **and early nodulation genes upon rhizobium infection**

Transcript levels of *SPL12* **A)**, and early nodulation genes **B-J)** were determined in roots inoculated with *S. meliloti* at the initial time (0), 7, 14 and 21 dai. The alfalfa early nodulation genes include **B)** *NIN*, **C)** *LysM*, **D)** *NSP2*, **E)** *IPD3*, **F)** *CLE13*, **G)** *DMI1*, **H)** *DMI2*, **I)** *DMI3*, and **J)** *DELLA.* Transcript levels are relative to 0 dai after being normalized to *Cyclo* and *ACTB* reference genes. *, ** and *** indicate significant differences relative to 0 dai using Student's t-test (n = 3) $p < 0.05$, $p < 0.01$ and $p < 0.001$ respectively. Error bars indicate standard deviation.

Role of SPL12 in nodulation

Overexpression of miR156 was previously reported to increase root length and enhance nodulation in transgenic alfalfa genotypes (Aung et al. 2015), so I investigated the root phenotypes in WT and *SPL12*-RNAi plants to determine if SPL12 is involved in root-related traits. To determine the ability of *SPL12*-RNAi transgenic plants to form symbiotic nodules, three-week-old (three weeks post cutting) rooted plants were inoculated with *S. meliloti* for a period of either two (14 dai) or three weeks (21 dai). At 14 dai, *SPL12*-RNAi plants showed an increase in nodulation of 2-, 2.6- and 2.4-fold in RNAi12-7, RNAi12-24 and RNAi12-29, respectively, compared to WT (**Figure 3.7A,B**), however, no significant differences in nodule numbers were observed between *SPL12*-RNAi genotypes and WT at 21 dai (**Figure 3.7C**).

To further investigate the role of SPL12 in nodulation and root regeneration, I analyzed these traits in transgenic alfalfa plants overexpressing *SPL12*. The number of rooted stem propagules was decreased by more than 5.75-fold in *35S::SPL12* plants compared to WT control (**Figure 3.8A**).

To determine the ability of *35S::SPL12* transgenic plants to form symbiotic nodules, three-week-old rooted plants were inoculated with *S. meliloti* for 14 days. Among the *35S::SPL12* genotypes, L7 and L5 had lower total nodule number compared to WT control at this stage (**Figure 3.8B**). These results suggest that the transcript levels of *SPL12* are negatively correlated to nodulation and root regeneration in alfalfa.

Figure 3.7 The effect of *SPL12* **silencing on nodulation**

A) Nodule phenotypes of WT, and the *SPL12*-RNAi genotypes at 14 dai. **B)** The number of nodules in WT and the *SPL12*-RNAi at 14 dai, and **C**) 21 dai (n = 10-14). ** and *** indicate significant differences relative to WT using Student's t-test $p < 0.01$ and $p < 0.001$ respectively. Error bars indicate standard deviation.

Figure 3.8 Effect of *SPL12* **overexpressing on root regeneration and nodule numbers in alfalfa**

A) Number of rooting stems arising from 12 stems (per replicate) at 13 days after vegetative propagation. **B**) The number of nodules in WT and $35S::SPL12$ at 14 dai (n = 9-12 plants). * and ** indicate significant differences relative to WT using Student's t-test $p < 0.05$, p < 0.01, respectively. Error bars indicate standard deviation.

Silencing of *SPL12* **enhances nitrogen fixation**

To investigate the role of SPL12 in nitrogen fixation, I analyzed the effect of *SPL12* silencing on nitrogenase activity in *S. meliloti-*inoculated roots of alfalfa genotypes. Three-week-old *SPL12*-RNAi plants derived from stem cuttings were inoculated with *S. meliloti* and allowed to grow in the absence of nitrate for an additional two weeks. During this time the mature nodules formed, and I had observed a significant increase in nodulation in genotypes RNAi12-7, RNAi12-24 and RNAi12-29 relative to WT (**Figure 3.7B**). The nitrogenase activity in the nodules was determined using the acetylene reduction assay (ARA; Section 2.6). The nitrogenase activity of the nodulated roots of transgenic alfalfa genotypes RNAi12-7 and RNAi12-29 was significantly higher than that of WT plants (**Figure 3.9A**). The level of ethylene production was the highest from roots of genotype RNAi12-29 (4.64 nmol/plant), whereas the WT control plant showed the lowest level (2.8 nmol/plant). Furthermore, given the increased nirogenase activity of nodules in the *SPL12*-RNAi genotypes, the transcript levels of several rhizobial genes, including *FixK* (induces the expression of genes involved in nodule respiration), *NifA* (induces the expression of genes involved in nitrogen fixation) and *RpoH* (sigma 32 factor for effective nodulation) (Defez et al. 2016; Fischer 1994) were also investigated in alfalfa roots inoculated with *S. meliloti*.

Compared to WT, *SPL12*-RNAi showed increased transcript levels of *NifA*, *FixK* and *RpoH* genes (**Figure 3.9B-D**). Taken together, these findings suggest that *SPL12* silencing enhances nodulation and nitrogen fixation in alfalfa.

Figure 3.9 Analysis of nitrogen fixation activity in alfalfa

A) Nitrogenase activity (ARA; nmol ethylene/hr/plant root) in *SPL12*-RNAi and WT alfalfa plants at two weeks after inoculation with *S. meliloti* (n = 8). Transcript levels of *S. meliloti* **B)** *NifA*, **C)** *FixK* and **D)** *RpoH* genes in alfalfa roots inoculated with *S. meliloti*. Transcript levels in '**B**', '**C**', and '**D**' are shown relative to WT after being normalized to *Cyclo* and *ACTB* reference genes. * and ** indicate significant differences relative to wild type using Student's t-test $p < 0.05$, $p < 0.01$, respectively. Error bars indicate standard deviation.

SPL12 **silencing affects nodulation-related genes**

Given the aforementioned finding that *SPL12*-RNAi alfalfa plants had enhanced nodulation at 14 dai (Section 3.1.4), I examined the transcript levels of several nodulation-related genes in alfalfa plants at 14 dai and 21 dai. I found that *SPL12* silencing differentially regulated the transcript levels of *IPD3* (Messinese et al. 2007), *LysM* (Arrighi et al. 2006), *NOOT1*, *NOOT2* (Magne et al. 2018)*, CLE13* (Mortier et al. 2010)*, miR172* (Gao et al. 2016; Wang et al. 2019), *NIN* (Marsh et al. 2007), and *ChOMT* (Breakspear et al. 2014; Maxwell et al. 1992) genes in roots of alfalfa at the two time points (**Figure 3.10**). Of the tested genes, *IPD3*, *NOOT1* and *NOOT2* were significantly higher in all of the *SPL12*-RNAi genotypes (RNAi12-7, RNAi12-24 and RNAi12-29) at 14 dai (**Figure 3.10A-C**), but these genes were only higher in two of the lines (RNAi12-24 and RNAi12-29) at 21 dai (**Figure 3.10I-K**). *LysM* was at a lower level in all of *SPL12*-RNAi plants at 14 dai compared to WT (**Figure 3.10D**), but no significant changes were observed at 21 dai (**Figure 3.10L**).

Consistent with the differential nodulation responses at 14 dai and at 21 dai, *SPL12*-RNAi plants showed reduced transcript levels of *CLE13* (**Figure 3.10E**) with enhanced transcript levels of *miR172* in only two of *SPL12*-RNAi plants (RNAi12-7 and RNAi12-24) at 14 dai (**Figure 3.10F**). However, at 21 dai, *CLE13* was significantly upregulated in the three *SPL12*-RNAi plants, whereas *miR172* did not show any significant difference (**Figure 3.10M,N**). Moreover, significant effects of *SPL12* silencing on *NIN* and *ChOMT* transcript levels were observed in all of the *SPL12*-RNAi roots at 14 dai (**Figure 3.10G,H**), but were

Figure 3.10 Effect of *SPL12* **silencing on the nodulation-related gene transcription level**

Relative transcript levels of nodulation-related genes in *SPL12*-RNAi genotypes at 14 dai (**A**-**H**) and 21 dai (**I**-**P**). **A**) *IPD3,* **B**) *NOOT1,* **C**) *NOOT2,* **D**) *LysM,* **E**) *CLE13,* **F**) *miR172,* **G**) *NIN,* **H**) *ChOMT,* **I**) *IPD3,* **J**) *NOOT1,* **K**) *NOOT2,* **L**) *LysM,* **M**) *CLE13,* **N**) *miR172,* **O**) *NIN,* and **P**) *ChOMT*. Transcript levels are shown relative to WT after being normalized to *Cyclo* and *ACTB* reference genes. *, ** and *** indicate significant differences relative to WT using Student's t-test ($n = 3$) $p < 0.05$, $p < 0.01$ and $p < 0.001$ respectively. Error bars indicate standard deviation.

14 dai

higher in only RNAi12-29 at 21 dai (**Figure 3.10O-P**). These findings suggest a potential role for SPL12 in autoregulation of nodulation (AON) in alfalfa symbiosis.

3.3 Effect of *SPL12* **silencing on root transcriptome**

Given the potential role of SPL12 in regulating nodulation and root emergence capacity, Next Generation Sequencing (NGS)-based transcriptomic analysis (RNA-Seq) was carried out on the root tissues of WT and *SPL12-*RNAi (RNAi12-24 and RNAi12-29) alfalfa plants to identify genes that are potentially differentially regulated by SPL12.

Differentially expressed genes between *SPL12***-RNAi and WT alfalfa plants**

Root architecture is the basis of plant growth as it controls the uptake and utilization of nutrients, and affects the plant's growth and biomass (Zhao et al. 2018). Investigating the molecular mechanism underpinning the role of SPL12 in this trait is important as this knowledge can be useful in marker-assisted breeding programs for crop improvement. In the earlier sections (3.1.2 - 3.1.5), I investigated the role of the miR156/SPL12 module in alfalfa root architecture and nodulation. Here, I compared the global transcriptomic profiles of root tissues of RNAi-silenced *SPL12* and WT plants. The observed phenotypic traits in *SPL12*-RNAi plants in root emergence capacity, nodulation and nitrogen fixation were investigated to determine if they can be linked to differential gene expression. A total of 1710 and 840 DEGs were found in RNAi12-29 and RNAi12-24, respectively, relative to WT (**Table S5, S6**). Of these DEGs, 84 transcripts were commonly increased in root tissues of both *SPL12*-RNAi lines, while 85 transcripts were commonly decreased (**Table S7, S8**).

Among the genes increased in both *SPL12*-RNAi lines, genes related to nodulation, nitrogen uptake and assimilation, root development, and stress response were observed, indicating these DEGs may be regulated by SPL12 to affect root architecture and nodulation under different conditions. The nodulation- and nitrogen-related genes such as leguminosin group 485 secreted peptide (Medtr2g009450), a receptor-like kinase (Medtr3g102450), oxidoreductase/ferric-chelate reductase (Medtr8g028780), a caffeic acid O-methyltransferase (Medtr3g021430), nitrate reductase NADH-like protein 1 (Medtr5g059820), nitrate reductase NADH-like protein 2 (Medtr3g073180), peptide/nitrate transporter (Medtr7g065080), and component of high affinity nitrate transporter (Medtr4g104700) were upregulated in *SPL12*-RNAi genotypes compared to WT (**Table S7**). Of the commonly increased root development-related genes in *SPL12*-RNAi plants, transcripts encoding a KDEL-tailed cysteine endopeptidase CEP1 (Medtr3g075390), a FAD-binding berberine family protein (Medtr4g091150), and extensin-like region protein (Medtr4g065113) showed increased levels (**Table S7**).

Moreover, transcript analysis showed higher levels of several abiotic stress-related genes such as a cytochrome P450 family 94 protein (Medtr8g030590), a peroxidase family protein (Medtr5g049280), a transducin/WD40 repeat protein (Medtr3g074070), F-box plant-like protein (Medtr7g089640), and WRKY family transcription factor (Medtr7g079010) (**Table S7**). These findings indicate that SPL12 may be involved in the regulation of these DEGs to control root architecture and nodulation in alfalfa.

Gene ontology enrichment analysis of DEGs

Gene ontology (GO) enrichment analysis of DEGs was carried out to identify pathways that may be affected in *SPL12*-RNAi plants. This analysis revealed that DEGs belong to these categories, molecular function (65%), biological process (26%), and cellular components (9%) (**Figure 3.11A**). Graphical representation of the components of GO-term analysis is provided in **Figure 3.11B-D**. In the molecular function category, catalytic activity, hydrolase activity, nucleotide binding, metal ion binding, and oxidoreductase activity are highly represented (**Figure 3.11B; Table S9**). Among the 40 functions classified as biological processes, metabolic processes, primary metabolic processes, cellular biosynthetic processes, and cellular aromatic compound metabolic processes are the major representation of GO-terms (**Figure 3.11C; Table S9**). The full list of the components for the three fractions (molecular function, biological process, and cellular component) is shown in **Table S9**.

RNA-Seq data validation by quantitative real time PCR

To validate the findings of the RNA-Seq data using RT-qPCR, a total of 14 genes (upregulated and downregulated) were randomly selected and analyzed by RT-qPCR (**Table 3.1**). For most of the genes, the trends between the RNA-seq and RT-qPCR analyses were similar. A total of 13 of the 14 transcripts (92%) showed similar levels of transcript change (**Table 3.1**), suggesting the reproducibility of the RNA-Seq results.

Figure 3.11 Gene Ontology enrichment analysis of DEGs between *SPL12***-RNAi and WT**

A) Gene Ontology (GO-term) –based percent representation of DEGs in cellular components, biological process, and molecular functions between WT and *SPL12*-RNAi in alfalfa roots. Go frequency of **B)** Molecular function, **C)** Biological process **D)** Cellular component and.

Table 3. 1 Validation of RNA-Seq data using RT-qPCR.

* Fold change (*SPL12*-RNAi/WT)

Comparison of differentially expressed genes between the two *SPL12***-RNAi genotypes**

Analysis of RNA-seq data revealed a total of 1710 and 840 DEGs detected in RNAi12-29 and RNAi12-24 genotypes, respectively, relative to WT (**Figure 3.12A; Table S5, S6**). Of the total DEGs in RNAi12-29, 1032 genes were upregulated and 678 were downregulated. RNAi12-24, on the other hand, had a total of 274 upregulated genes and 566 downregulated. Of the total DEGs, only 169 were differentially expressed in both RNAi12-29 and RNAi12-24 genotypes (**Figure 3.12A**), indicating that these genes may be specifically regulated by SPL12, while others might be the result of secondary effects due to copy number in transgenic plants, gene positional effects, and gene insertion effects of T-DNA in the genome.

To investigate if there is a variation in the T-DNA insertion profiles, I carried out a Southern blot analysis using genomic DNA from RNAi12-24 and RNAi12-29 and a T-DNA specific probe. The analysis revealed that these two lines are the result of two independent transgenic events with distinct T-DNA insertion profiles (**Figure 3.12E**), resulting in different DEG profiles.

SPL12 regulation of *AGL6* **and** *AGL21*

Previous transcriptomic analysis of miR156-OE plant A17 (Aung et al. 2017), revealed 8373 differentially expressed genes between roots of WT and miR156-OE. Of the many genes differentially expressed in miR156-OE plant A17 relative to WT, *AGL6* (MS.gene052964, MS.gene071001 and MS.gene34431), a gene that encodes a yet to be

Figure 3.12 Numbers of DEGs based on RNA-Seq of WT and *SPL12***-RNAi plants**

The Venn diagrams show statistically significant DEGs in **(A)** total, **(B)** upregulated, and **(C)** downregulated, in RNAi12-29 and RNAi12-24 compared to WT. **D)** Southern blot analysis of transgenic and WT plants. Total DNA was prepared from the leaf tissues of two *SPL12*-RNAi plants (RNAi12-24 and RNAi12-29) and WT. Genomic DNA was digested with the restriction enzyme *Eco*RI and probed using a labeled *35S*-specific promotor sequence.

characterized alfalfa MADS box protein, was significantly downregulated in A17 (Aung et al. 2017). This gene is closely related to the Arabidopsis *AtAGL79* gene that is regulated by *At*SPL10. In Arabidopsis, miR156/SPL10 regulatory pathway targets *AGL79* to regulate plant lateral root development (Gao et al. 2018c). Another uncharacterized transcription factor gene, *AGL21* (MS.gene069166, MS.gene068633, MS.gene70086 and MS.gene027842); a MADS-box gene closely related to the Arabidopsis *NITRATE REGULATED1* (*ANR1*) clade, was significantly upregulated in *SPL12*-RNAi (**Figure 3.13A,B**) and as it was already shown in miR156-OE plants (Aung et al. 2017).

Expression profiles of *SPL12***,** *AGL6* **and** *AGL21* **genes in alfalfa**

To investigate the expression profile of *SPL12* in alfalfa, I measured its transcript levels in various organs of 21-day-old WT alfalfa plants (leaf, stem, and root). The transcript levels of *SPL12* were detected at similar levels in all three tissues (**Figure 3.14A**). The transcript levels of *AGL6* and *AGL21* were also determined in the same tissues (**Figure 3.14B,C**). *AGL6* transcripts were detected in all the tissues (**Figure 3.14B**), and were highly expressed in roots with much lower levels in leaves. Transcript analysis of *AGL21* revealed that it was nearly undetectable in leaf and stem tissues and highly expressed in roots (**Figure 3.14C**). This low leaf transcript levels of *AGL21* is consistent with previous reports which found that the Arabidopsis *ANR1-like* genes were expressed primarily in roots (Burgeff et al. 2002). *AGL79* expression was also nearly undetectable in leaf tissues in Arabidopsis (Gao et al. 2018c). In roots, *AGL6* transcript levels were higher in *SPL12* overexpressing genotypes (**Figure 3.14D**), and lower in miR156-OE genotypes (A11 and

Figure 3.13 SPL12 regulation of *AGL21* **in alfalfa**

Relative *AGL21* expression in roots of WT and *SPL12*-RNAi alfalfa plants by **A)** RT-qPCR and **B)** NGS. Transcript abundance in '**B**' is relative to WT after being normalized to *Cyclo* and *ACTB* reference genes. * and ** indicate significant differences relative to WT using Student's t-test (n = 3) $p < 0.05$, $p < 0.01$, respectively. Error bars indicate standard deviation.

RT-qPCR

NGS

Figure 3.14 Tissue-specific transcript profiles of *SPL12***,** *AGL6***, and** *AGL21*

Relative transcript levels of **A)** *SPL12*, **B)** *AGL6*, and **C)** *AGL2*1 in leaf, stem and root of WT plants. Transcript levels in '**A**', '**B**' and '**C**' are normalized to *Cyclo* and *ACTB* reference genes. Significant difference from ANOVA was followed by *Post hoc* Tukey (P<0.05) multiple comparisons test indicated with different letters. *AGL6* transcript analysis in **D)** *35S::SPL12* and **E)** miR156-OE relative to WT. Transcript levels in **'D'** and '**E**' are shown relative to WT after being normalized to *Cyclo* and *ACTB* reference genes. * and ** indicate significant differences relative to WT using Student's t-test (n = 3) p < 0.05, $p < 0.01$, respectively. Error bars indicate standard deviation.

A11a and A17) compared to WT (**Figure 3.14E**), suggesting that *AGL6* is positively regulated by SPL12.

SPL12 is a direct regulator of *AGL6*

In this study, *AGL6* was found to be expressed significantly at higher levels under *SPL12* overexpression in L7, L1 and L5 plants (**Figure 3.14D**). Given the fact that *AGL6* was also expressed at lower levels in miR156-OE alfalfa (**Figure 3.14E**), further characterization was carried out using alfalfa plants expressing the SPL12-GFP fusion protein to determine if *AGL6* is a direct target of SPL12. For that, plants expressing the SPL12m-GFP fusion protein (*35S::SPL12m-GFP*) were analyzed by Western blotting, where a band (~75 kDa) corresponding to SPL12-GFP fusion was detected in *35S::SPL12m-GFP* plants, but not in WT (**Figure 3.15A**). There are at least five core GTAC sequences in three sites (I, II, and III) within 2000 bp upstream of the translation start codon of *AGL6* (**Figure S2**), which could act as potential SPL12 binding sites (**Figure 3.15B**). These three sites (I, II, and III) were tested for SPL12 occupancy on *AGL6* promoter. A relatively strong binding capacity of SPL12 to the *AGL6* promoter at all three sites was detected by ChIP-qPCR in the *35S::SPL12m-GFP* transgenic alfalfa plants (**Figure 3.15C**).

Occupancy in these three sites was significantly higher than that in the WT and *LOB1* (Shuai et al. 2002) controls, indicating that SPL12 protein could bind directly to multiple sites in *AGL6* promoter to regulate its expression.

Figure 3.15 Detection of SPL12 binding to *AGL6* **promoter**

A) Detection of SPL12m-GFP fusion protein (~75 kDa) in transgenic alfalfa plants using Western blotting. **B)** Schematic representation of the promoter region of *AGL6*. Black box: coding sequences; asterisks: locations of putative SPL binding elements within *AGL6* promoter. Roman numerals (I, II and III): sites that were tested by qPCR. **C)** Chromatin Immunoprecipitation-qPCR (ChIP-qPCR) based fold enrichment analysis of SPL12 in *35S::SPL12m-GFP* and WT plants from means of n = three individual plants where *LATERAL ORGAN BOUNDARES-1, LOB1*, is used as a negative control. * and ** indicate significant differences relative to WT in each potential SPL12 binding sites (I, II and III) using Student's t-test ($n = 3$) $p < 0.05$, $p < 0.01$, respectively. Error bars indicate standard deviation.

AGL6 **silencing enhances nodulation**

Given the role of SPL12 in nodulation and in regulating *AGL6*, I used *AGL6*-RNAi transgenic alfalfa plants to further investigate the role of *AGL6* in nodulation traits in this plant. Of the 19 transgenic plants harboring the *AGL6*-RNAi construct I selected three genotypes (L9, L13A and L13B) that exhibited the lowest *AGL6* transcript levels (**Figure 3.16A**) for phenotypic comparison following inoculation with *S. meliloti*.

At 14 dai, the three *AGL6*-RNAi plants had approximately double the number of nodules compared to WT (**Figure 3.16B,C**), thus confirming the likely involvement of *AGL6* in regulating nodulation in alfalfa.

3.4 SPL12 and AGL6 affect nodulation in alfalfa under osmotic stress and nitrate application

The involvement of miR156 in regulating drought responses was previously demonstrated in alfalfa (Arshad et al. 2017a; Feyissa et al. 2019). Given the finding that *AGL6* is a direct target of SPL12 (**Figure 3.15**), a confirmed target of miR156 (Aung et al. 2015), I used *SPL12*-RNAi and *AGL6*-RNAi plants in subsequent experiments to determine if SPL12 and AGL6 affect nodulation under osmotic stress and nitrate treatment.

Effect of *SPL12* **silencing on response to osmotic stress**

To determine whether *SPL12* is regulated in response to osmotic stress, the *SPL12* transcript levels were assessed in six-week-old WT alfalfa plants treated with 400mM mannitol (to mimic osmotic stress) (Vera-Estrella et al. 2004) for threeweeks. The transcript

Figure 3.16 Effect of the *AGL6* **silencing on nodulation**

A) Relative *AGL6* transcript levels in *AGL6*-RNAi plants. Transcript levels are relative to WT after being normalized to *Cyclo* and *ADF* reference genes. **B)** Nodule phenotypes of WT and *AGL6*-RNAi genotypes at 14 dai. **C)** The number of nodules in WT and *AGL6*- RNAi at 14 dai ($n = 9-11$). * and ** indicate significant differences relative to WT using Student's t-test $p < 0.05$, $p < 0.01$, respectively. Error bars indicate standard deviation.

abundance of *SPL12* was significantly increased (1.4 fold) under osmotic stress compared to well-watered control treatment (**Figure 3.17A**). *SPL12*-RNAi plants appeared to tolerate stress better than WT plants because, after three weeks of stress, viable green leaves were observed in *SPL12*-RNAi plants but not in WT plants (**Figure 3.17B**).

To understand the role of *SPL12* in osmotic tolerance response, additional experiments were performed on *SPL12*-RNAi and WT alfalfa plants, where phenotypic parameters of plants were recorded. After three weeks of osmotic treatment, *SPL12*-RNAi root length, and both lateral and main root numbers were affected by osmotic stress to various degrees depending on the genotype (**Figure 3.17C,D,E**). Only WT showed a decrease in root length due to osmotic stress, whereas the *SPL12*-RNAi plants maintained root growth (**Figure 3.17C**). Maintenance of root growth by *SPL12*-RNAi also included the number of adventitious roots regenerated from the stems under osmotic stress, while WT plants showed a reduction over the three weeks of stress (**Figure 3.17D**). Furthermore, an increase in lateral root numbers was observed in one of the *SPL12*-RNAi genotypes (RNAi12-7) relative to WT under control condition, and in all of the *SPL12*-RNAi transgenic plants under stress conditions (**Figure 3.17E**).

SPL12 **silencing mitigates nodulation inhibition under osmotic stress**

To gain an insight into the function of SPL12 in nodulation under osmotic stress, three weeks after cutting, the rooted *SPL12*-RNAi transgenic plants were inoculated with *S. meliloti* and also treated with mannitol (400 mM) for three weeks(21 dai).

Figure 3.17 Effect of *SPL12* **silencing on response to osmotic stress**

A) Relative *SPL12* transcript levels in WT alfalfa exposed to control and osmotic stress (400 mM mannitol) conditions after normalizing to *Cyclo* and *ACTB* reference genes. * indicates significant differences between conditions using Student's t-test $p < 0.05$. **B**) Representative WT and *SPL12*-RNAi plants that were exposed to osmotic stress (400 mM mannitol) for three weeks $(n = 11-14)$. **C**) Root length; **D**) Number of main roots; and **E**) Number of lateral roots of WT and *SPL12*-RNAi alfalfa under control and osmotic stress (400 mM mannitol) conditions ($n = 11-14$). * and ** indicate significant differences within conditions between WT and *SPL12*-RNAi plants and bars indicate significant differences between conditions using Student's t-test $p < 0.05$, $p < 0.01$, respectively. Error bars indicate standard deviation.

 $C)$

 $\pmb{0}$

 \blacksquare WT \blacksquare RNAi12-7 \blacksquare RNAi12-24 \blacksquare RNAi12-29 35 30 Post and the 25

Rod 15

10 25 5

Control Osmotic stress

 $B)$

When comparing the number of nodules in well-watered (treated with distilled water) and mannitol-treated plants (**Figure 3.18A**), WT plants showed a decrease in nodulation, while *SPL12*-RNAi genotypes maintained nodulation after three weeks of osmotic stress (**Figure 3.18B**). Considering the increased nodule numbers in *SPL12*-RNAi plants at 14 dai (**Section 3.2.4, Figure 3.7B**), I also tested the nodulation capacity of *SPL12*-RNAi plants at 14 dai under osmotic stress (**Figure 3.18**). In line with this, under well-watered conditions, *SPL12*-RNAi transgenic plants produced significantly more nodules compared to WT. Following 400 mM mannitol treatment, the nodule number was reduced in WT compared to well-watered condition at 14 dai (**Figure 3.18C**), but the transgenic *SPL12*- RNAi plants maintained nodulation after two weeks of osmotic stress (**Figure 3.18C**).

Changes in the transcript levels of *AGL21* **and** *AGL6* **in** *SPL12***-RNAi alfalfa under osmotic stress**

To shed light on the molecular events associated with SPL12 function under osmotic stress conditions, I investigated the effect of mannitol treatment on the transcript levels of *AGL6, AGL21* (regulated by SPL12) and *CLE13* (which negatively regulates nodulation) in WT and *SPL12*-RNAi alfalfa. The results showed that there were significant differences of transcript levels between plants under stress and control conditions (**Figure 3.19**). As expected, the transcript level of *AGL21* was significantly higher in all of the *SPL12*-RNAi plants compared to WT under control condition (**Figure 3.19A**). Under stress*, AGL21* was also significantly higher in *SPL12*-RNAi genotypes compared to WT, except for RNAi12-7, but was downregulated in WT. Two of the *SPL12*-RNAi genotypes (RNAi12-24 and RNAi12-29) showed no significant differences between the two

Figure 3.18 Effect of *SPL12* **silencing on nodulation under osmotic stress**

A) Phenotypes of nodules of WT and *SPL12*-RNAi plants that were exposed to osmotic stress (400 mM mannitol) at 21 dai. **B)** The number of nodules in WT and *SPL12*-RNAi alfalfa plants under control and osmotic stress $(400 \text{ mM}$ mannitol) conditions $(n = 12-14)$ at 21 dai and \bf{C}) at 14 dai (n = 10-12 plants). * and ** indicate significant differences within conditions between WT and *SPL12*-RNAi plants and bars indicate significant differences between conditions using Student's t-test $p < 0.05$, $p < 0.01$, respectively. Error bars indicate standard deviation.

Figure 3.19 Transcript levels of *AGL21***,** *AGL6* **and** *CLE13* **in** *SPL12***-RNAi and WT alfalfa under osmotic stress**

Relative transcript levels of **A)** *AGL21*, **B)** *AGL6* and **C)** *CLE13* in WT and *SPL12*-RNAi alfalfa exposed to three weeks of osmotic stress (400 mM mannitol). Transcript levels are shown relative to WT after being normalized to *Cyclo* and *ACTB* reference genes. * and ** indicate significant differences within conditions between WT and *SPL12*-RNAi plants and bars indicate significant differences between conditions using Student's t-test $p < 0.05$, $p < 0.01$, respectively and $n = 3$. Error bars indicate standard deviation.

A) AGL21

 \blacksquare WT \blacksquare RNAi12-7 \blacksquare RNAi12-24 \square RNAi12-29

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conditions (**Figure 3.19A**). For *AGL6*, significantly lower transcript levels were detected in WT and *SPL12*-RNAi transgenic plants under stress condition compared to counterpart plants grown under control condition (**Figure 3.19B**). However, no significant changes in *AGL6* transcript levels were detected in *SPL12*-RNAi genotypes compared to WT under neither control nor osmotic stress conditions except for RNAi12-24 under osmotic stress (**Figure 3.19B**).

Given that, under osmotic stress, *SPL12*-RNAi plants at 21 dai produced more nodules compared to WT, I analyzed the transcript levels of *CLE13* (which inhibits nodulation), and found a decrease in transcript levels under osmotic stress in all genotypes relative to control condition (**Figure 3.19C**). Under control condition, *CLE13* transcript levels were higher in *SPL12*-RNAi plants relative to WT, while under stress condition there was no significant change (**Figure 3.19C**), which is consistent with results of nodulation in *SPL12*-RNAi and WT at 21 dai (**Figure 3.7C**).

Sulfate transporters are enhanced in *SPL12***-silenced plants**

106 There is a high demand for sulfur in nodulating legumes, and nitrogen fixation is more sensitive to sulfur deficiency than to nitrate uptake (Varin et al. 2010). A good supply of sulfur enhances nodulation and nitrogen fixation (Anderson and Spencer 1950; Varin et al. 2010). RNA-seq analysis in RNAi12-24 and RNAi12-29 revealed that two Group3 *SULTR* genes, *SULTR3.4* and *SULTR3.5*, were significantly upregulated in *SPL12*-RNAi plants (**Figure 3.20A,B**); a finding that was confirmed by RT-qPCR (**Figure 3.20C,D**). Since, *SULTR3.4* and *SULTR3.5* are members of Group3 *SULTRs* which are strongly regulated by abiotic stress in plant roots (Gallardo et al. 2014), I decided to investigate their transcript

Figure 3.20 Relative transcript levels of sulfate transporter genes based on NGS and RT-qPCR in WT and *SPL12***-RNAi alfalfa plants**

Relative transcript levels of **A)** *SULTR3.4* and **B)** *SULTR3.5* in WT and *SPL12-*RNAi plants as determined by NGS. ** indicates a significant difference between WT and *SPL12*-RNAi plants. Relative transcript levels of **C)** *SULTR3.4* and **D)** *SULTR3.5* in WT and *SPL12-*RNAi plants as determined by RT-qPCR. In '**C**' and '**D**' transcript levels are shown relative to WT after being normalized to *Cyclo* and *ACTB* reference genes, and * and ** indicate significant differences between WT and *SPL12*-RNAi plants using Student's t-test $p < 0.05$, $p < 0.01$, respectively and $n = 3$. Relative transcript levels of **E**) *SULTR3.4* and **F)** *SULTR3.5* in WT and *SPL12*-RNAi alfalfa exposed to three weeks of osmotic stress. Transcript levels in '**E**' and '**F**' are shown relative to WT after being normalized to *Cyclo* and *ACTB* reference genes. * and ** indicate significant differences within conditions between WT and *SPL12*-RNAi plants and bars indicate significant differences between conditions using Student's t-test $p < 0.05$, $p < 0.01$, respectively and $n = 3$. Error bars indicate standard deviation.

RT-qPCR

levels under osmotic stress. WT alfalfa plants had higher *SULTR3.4* levels under osmotic stress compared to WT plant under control condition, but *SULTR3.4* abundance in *SPL12*-RNAi plants did not change between treatments (**Figure 3.20E**). It was noted that *SULTR3.4* expression in RNAi12-7 and RNAi12-29 was higher than in WT under control conditions. WT and RNAi12-29 plants showed a decrease in *SULTR3.5* abundance in response to osmotic stress, whereas RNAi12-7 and RNAi12-24 plants were able to maintain their levels of *SULTR3.5* (**Figure 3.20F**).

When considering the plants under the stress condition only, RNAi12-7 and RNAi12-24 had an enhanced *SULTR3.5* transcript level compared to WT. *SULTR3.5* expression in well-watered *SPL12*-RNAi plants was higher than in WT (**Figure 3.20F**).

Effect of *SPL12* **silencing on expression of stress-related genes under mannitol treatment**

The effect of drought on expression of antioxidant-related *glutathione synthase* (*GSH*) (Innocenti et al. 2007) and the stress responsive transcription factor *WD40–1* (Pang et al. 2009) was previously reported in alfalfa. Enhanced levels of *GSH* and *WD40-1* in miR156- OE alfalfa under drought stress in leaves and roots, respectively, were also reported by Arshad et al. (2017a) and Feyissa et al. (2019). In the current study, I examined the transcript abundance of *GSH* and *WD40–1* to determine whether SPL12 serves to maintain the transcript levels of these genes in alfalfa exposed to osmotic stress. While the transcript levels of *GSH* increased in well-watered RNAi12-7 and RNAi12-24 compared to WT plants (**Figure 3.21A**), it did not show a change in *SPL12*-RNAi and WT plants between

Figure 3.21 Relative transcript levels of stress-related genes in response to osmotic stress

Transcript levels of **A)** *GSH* and **B)** *WD40-1* in WT and *SPL12*-RNAi roots under osmotic and control conditions. Transcript levels are shown relative to WT after being normalized to *Cyclo* and *ACTB* reference genes. * and ** indicate significant differences within conditions between WT and *SPL12*-RNAi plants and bars indicate significant differences between conditions using Student's t-test $p < 0.05$, $p < 0.01$, respectively and $n = 3$. Error bars indicate standard deviation.

the two conditions. In fact, *GSH* was decreased in RNAi12-7 under stress relative to control (**Figure 3.21A**). Similarly, for *WD40-1* transcript levels, RNAi12-7 and RNAi12-29 showed an increase under control treatment compared to WT plants (**Figure 3.21B**), but there was no change between *SPL12*-RNAi and WT plants under osmotic stress, with *WD40-1* showing even a decrease in RNAi12-7 and RNAi12-29 under stress relative to control (**Figure 3.21B**).

AGL6 **silencing maintains nodulation under osmotic stress**

With the observed lower transcript levels of *AGL6* in WT and *SPL12*-RNAi plants during osmotic stress (**Figure 3.22B**), and considering the direct regulation of *AGL6* by SPL12 (**Figure 3.15**), I set out to investigate the potential role of AGL6 in alfalfa's response to this stress. Three-week-old rooted *AGL6*-RNAi and WT plants were inoculated with *S. meliloti* and treated with mannitol (400 mM) for two weeks (14 dai) or three weeks (21 dai). The number of nodules was compared in control and mannitol-treated plants 21 dai (**Figure 3.22A**). At 14 dai, *AGL6*-RNAi transgenic plants produced significantly more nodules compared to WT under well-watered condition (**Figure 3.22B**). Upon treatment with 400 mM mannitol, the nodule number was reduced in WT, but there was no change in nodule numbers in *AGL6*-RNAi between the two conditions, showing that *AGL6*-RNAi plants maintained nodulation after two weeks of osmotic stress (**Figure 3.22B**). At 21 dai, stressed WT plants had a lower nodule number when compared to well-watered WT and stressed *AGL6*-RNAi plants, while *AGL6*-RNAi genotypes maintained nodulation after three weeks of stress (**Figure 3.22C**), thus confirming the likely involvement of *AGL6* in regulating nodulation under osmotic stress.

Figure 3.22 The effect of *AGL6* **silencing on nodulation under osmotic stress**

A) Nodule phenotypes of WT and *AGL6*-RNAi genotypes that were exposed to osmotic stress at 21 dai. **B)** Number of nodules in WT and the *AGL6*-RNAi alfalfa under control and osmotic stress (400 mM mannitol) conditions (n = 12-15) at 14 dai, and **C)** 21 dai $(n = 8-11$ plants). * and ** indicate significant differences relative to WT within conditions and bars indicate significant differences between conditions using Student's t-test $p < 0.05$, p < 0.01, respectively. Error bars indicate standard deviation.

14 dai

SPL12 **silencing reduces effect of nitrate on nodulation**

Nitrogen abundance in the soil inhibits nodulation, and this regulatory process is a part of the AON pathway (Moreau et al. 2021; Streeter and Wong 1988). Given the effects of SPL12 on nodulation (**Figure 3.7**), I assessed if the number of nodules in *SPL12*-RNAi plants was affected by nitrate treatment. The number of nodules was compared between WT and *SPL12*-RNAi plants treated with 3 mM, 8 mM and 20 mM KNO₃ or KCl, at 21 dai. There were no significant changes in nodulation between the plants that were watered with 3 mM KCl or $KNO₃$ (Figure S3). All plants that were watered with KCl (8 mM and 20 mM) formed active nitrogen-fixing nodules that were pink-colored (containing leghaemoglobin) (**Figure 3.23A; Figure 3.24A**), with no significant difference in the number of either white (nodules not active in fixing nitrogen) or pink nodules between *SPL12*-RNAi and WT plants (**Figure 3.23B; Figure 3.24B**). When watered with 8 mM KNO3, all *SPL12*-RNAi plants formed significantly more mature pink nodules relative to WT (**Figure 3.23C**). When treating with 20 mM KNO3, WT plants formed only small white nodules, while RNAi12-24 and RNAi12-29 plants produced significantly more pink nodules (**Figure 3.24C**). These results suggest that SPL12 may be involved in preventing nitrate inhibition of nodulation in alfalfa.

Effect of nitrate on expression of *SPL12* **and** *AGL21*

To shed light on the possible role of SPL12 in nitrate inhibition of nodulation, I determined whether the transcript levels of *SPL12* and *AGL21* were regulated by nitrate. *AGL21* in alfalfa is closely related to ANR1 clade in Arabidopsis (**Figure 3.25A**). *At*ANR1, a

Figure 3.23 Effect of 8 mM nitrate on nodulation phenotype in *SPL12***-RNAi roots**

A) Nodule phenotypes in WT and *SPL12*-RNAi genotypes at 21 dai growing in nitrate-starved media and watered with 8 mM KCl or KNO₃. The average numbers of pink and white nodules in WT and the *SPL12*-RNAi at 21 dai $(n = 15-22 \text{ plants})$ under 8 mM **B**) KCl and **C**) KNO₃. * indicates significant differences in the number of pink nodules (active nodules) in *SPL12*-RNAi plants relative to WT using Student's t-test p < 0.05. Error bars indicate standard deviation.

Figure 3.24 Effect of 20 mM nitrate on nodulation phenotype in *SPL12***-RNAi roots**

A) Nodule phenotypes of WT and the *SPL12*-RNAi genotypes at 21 dai growing in nitrate-starved substrate and watered with 20 mM KCl or KNO3,. The average numbers of pink and white nodules in WT and the *SPL12*-RNAi at 21 dai $(n = 14-25 \text{ plants})$ under 20 mM **B**) KCl and **C**) KNO₃. * indicates significant differences in the number of pink nodules (active nodules) in *SPL12*-RNAi plants relative to WT using Student's t-test p < 0.05. Error bars indicate standard deviation.

Figure 3.25 Phylogenetic tree of *M. truncatula* **and Arabidopsis MADS-box proteins**

A) Phylogenetic tree based on an alignment of the MADS-box domain and using publicly available sequences of *M. sativa*, *M. truncatula* and Arabidopsis. **B)** Relative transcript levels of *SPL12* and *AGL21* were analyzed in WT by RT-qPCR at 0, 5 and 24 hrs after 20 mM nitrate treatment. Transcript levels are normalized to *Cyclo* and *ACTB* reference genes. Significant difference from ANOVA was followed by *Post hoc* Tukey (P<0.05) multiple comparisons test indicated with different letters, and have been determined separately for *SPL12* and *AGL21* transcriptome abundance. Green box: ANR1 clade; Pink box: AGL21 in alfalfa.

member of ANR1 clade, plays a role in the nitrate regulation of root development in Arabidopsis (Gan et al. 2012; Zhang and Forde 1998). Also, *AtAGL21*, another member of this clade is upregulated by nitrogen deprivation in Arabidopsis (Yu et al. 2014). Based on these findings, I hypothesized that AGL21 might be involved in the nitrate regulation of nodulation in alfalfa. To test this hypothesis, I investigated changes in the transcript levels of *AGL21* and *SPL12* under nitrate treatment (**Figure 3.25B**). The results showed that *AGL21* was increased at 5 hrs and 24 hrs of nitrate treatment in WT plants, but *SPL12* level did not change in response to KNO₃ treatment. Given that *AGL21* was increased in *SPL12*-RNAi plants (**Figure 3.13**), I propose that SPL12 is involved in regulating nitrate inhibition of nodulation in alfalfa by targeting *AGL21*.

SPL12 is a direct regulator of *AGL21*

As the results in section 3.2.5 suggested that *AGL21* might be regulated by SPL12, further characterization was carried out by ChIP-qPCR to determine if *AGL21* is a direct target of SPL12. The promoter region (2000 bp) of alfalfa *AGL21* has four putative SPL binding sequences with the core GTAC SBP binding consensus sequence that are distributed in three sites (I, II, III) (**Figure 3.26A**), and three of them (in sites I and III) possess the typical NNGTACR SBP binding consensus sequence (**Figure S4**). I tested these three sites for SPL12 occupancy using ChIP-qPCR analysis of *35S::SPL12m-GFP* plants. Compared to WT, 35S::SPL12m-GFP plants showed significantly higher SPL12 binding at the listed sites (**Figure 3.26B**), and occupancy at the three sites was substantially higher than that in the negative control *LOB1* (**Figure 3.26B**), indicating that SPL12 is able to bind to multiple sites in the *AGL21* promoter to regulate its expression.

Figure 3.26 Detection of *SPL12* **binding to** *AGL21* **promoter**

A) Schematic representation of the promoter region of *AGL21*. Black box: coding sequences; asterisks: locations of putative SPL binding sites on *AGL21* promoter (amplified sites). Roman numerals (I, II and III): sites that were tested by qPCR. **B)** ChIP-qPCR-based fold enrichment analysis of SPL12 in *35S::SPL12m-GFP* and WT plants from means of n = three individual plants where *LATERAL ORGAN BOUNDARES-1* (*LOB1*) is used as a negative control. * and ** indicate significant differences relative to WT in each potential SPL12 binding sites $(I, II, and III)$ using Student's t-test $(n = 3)$ $p < 0.05$, $p < 0.01$, respectively. Error bars indicate standard deviation.

A)

3.5 Characterization of *Lotus japonicus spl12* **mutants**

To determine whether the role of SPL12 in nodulation in alfalfa is conserved in other legume species, I also investigated the function of its ortholog, *Lj*SPL12, in the model legume *L. japonicus*. For that, I made use of the *L. japonicus* retrotransposon (LORE1) mutation tool (Madsen et al. 2005). LORE1 is a long terminal repeat retrotransposon that amplifies in the *L. japonicus* genome by a copy-and-paste mechanism (Małolepszy et al. 2016). The presence of the 5.041-kb LORE1 sequence in coding (exonic) regions introduces multiple premature, translational stop codons (Urbański et al. 2012), which in many cases inactivate the genes and generate strong, null mutant alleles (Hossain et al. 2016; Madsen et al. 2005).

To begin addressing the functional relevance of *Lj*SPL12 during nodulation, the LORE1 retrotransposon insertion population (Małolepszy et al. 2016; Mun et al. 2016) was surveyed to identify mutant *spl12* alleles. Screening of the LORE1 insertion population [\(http://users-mb.au.dk/pmgrp/\)](http://usersmb.au.dk/pmgrp/) allowed for the isolation of two candidate lines carrying insertions of LORE1 in exonic regions that were identified to disrupt *SPL12* by genotyping. The alleles were designated as *spl12-1* and *spl12-2* (**Figure 3.27A**). The genotype of the seedlings was confirmed using PCR-based genotyping (see section 2.4) for LORE1 insertion and the homozygous plants were identified. The genotyping results proved that the *spl12-1* and *spl12-2* seedlings were homozygous for both mutant alleles **(Figure 3.27B)**.

Figure 3.27 PCR genotyping of LORE1 insertions in *Lotus japonicus*

A) The intron-exon structure of the *spl12* gene is shown. Blue boxes represent predicted exons while lines denote 5' and 3' UTRs and introns. Red boxes show *spl12 L. japonicu*s LORE1 retrotransposon insertion with allele identification. The black arrows represent forward, reverse and P2 primer used for genotyping. **B)** Two combinations of primers were used to characterize a locus for WT or LORE1 insertion alleles. Primers "Forward" and "Reverse" are used to detect WT alleles, whereas "Forward" and "P2" for alleles with an insertion (Heterozygote and Homozygote).

Nodulation is enhanced in *spl12* **mutant of** *L. japonicus*

The progeny of the *L. japonicus* plants homozygous for the LORE1-containing alleles (*spl12-1* and s*pl12-2*) were used to evaluate the symbiotic relationship with *M. loti,* namely the number of mature nodules at 14, 21 and 30 dai. As shown in **Figure 3.28**, nodulation was significantly higher in *spl12-1* and *spl12-2* relative to WT at 14 dai (**Figure 3.28A**). At 21 and 30 dai, on the other hand, no significant differences in nodule numbers could be observed between WT and *spl12* mutants (**Figure 3.28B,C**). These results are consistent with findings on SPL12 function in alfalfa, where *SPL12*-RNAi plants showed more nodules compared to WT at 14 dai but not at 21 dai (**Figure 3.7**), and suggests that SPL12 function in nodulation may be maintained in other leguminous plants as well.

Figure 3.28 Analysis of nodulation in spl12 *L. japonicus* **mutant**

Number of nodules in *spl12* mutant lines was scored at **A)** 14 dai, **B)** 21 dai and **C)** 30 dai $(n = 20)$. * and ** indicate significant differences relative to WT using Student's t-test $p < 0.05$, $p < 0.01$, respectively. Error bars indicate standard deviation.

Chapter 4

4 Discussion

The small RNA, miR156, is a master regulator of plant development, playing a fundamental role in the regulation of a range of plant growth and development processes, such as transition from vegetative to reproductive stages, fertility, and response to stress (Cardon et al. 1999; Wang and Wang 2015; Xu et al. 2016). Previously, it was shown that overexpression of *miR156* in alfalfa (miR156-OE) resulted in increased nodulation, improved nitrogen fixation and enhanced root regenerative capacity during vegetative propagation (Aung et al. 2017). It was also reported that miR156 targets 11 *SPL* genes, including *SPL12*, for silencing by transcript cleavage (Aung et al. 2015; Feyissa et al. 2021; Gao et al. 2016). Whereas the role of some of the targeted SPL transcription factors, such as SPL13 and SPL9, have been well characterized in alfalfa (Arshad et al. 2017a; Feyissa et al. 2019; Gao et al. 2018b; Hanly et al. 2020; Matthews et al. 2019), the specific functions of SPL12 remain elusive, as no functional studies have been conducted for this transcription factor in alfalfa. In the present study, I analyzed transgenic plants with altered expression of *SPL12* and *AGL6*, including *SPL12*-RNAi, *35S::SPL12*, GFP-tagged SPL12 and *AGL6*-RNAi to investigate the role of SPL12 in root architecture.

4.1 Role of SPL12 in root regeneration capacity

Whereas alfalfa plants with reduced *SPL12* transcript levels (*SPL12*-RNAi) showed an enhanced root regenerative capacity during vegetative propagation (**Figure 3.2**), the

number of rooted stem propagules was significantly decreased in *35S::SPL12* plants compared with the WT control (**Figure 3.5A**). The increase in root emergence in *SPL12*-RNAi was observed as early as 13 days after initiation of vegetative propagation from stem nodes, but no significant improvement in root length or root biomass were observed in *SPL12*-RNAi genotypes at the early stages of root development (3-week-old roots). Aung et al. (2015) previously reported that while overexpression of miR156 significantly increased root regenerative capacity in alfalfa, the root biomass was not significantly changed during the early stages of root development (3-week-old roots). In Arabidopsis, it was suggested that at least one group of SPLs (SPL3, SPL9, and SPL10) are involved in the regulation of Arabidopsis lateral root development, with SPL10 playing the most dominant role (Yu et al. 2015b). Moreover, the miR156/SPL module has been shown to play a role in lateral root development through its response to growth hormone signals, and that plants with reduced miR156 levels exhibited fewer lateral and adventitious roots (Yu et al. 2015b). Taken together, these findings corroborate the results that the miR156-SPL12 module regulates root regeneration capacity at least during the early stages of plant development.

4.2 Role of SPL12 in nodulation and nitrogen fixation

Symbiotic nodulation is a complex process that governs the mutually beneficial relationship between leguminous plants and their compatible rhizobia, and includes the downstream components of signaling pathways that trigger changes in gene expression in both partners. The signals that provide bacterial access to the plant and eventually nodule organogenesis have been well studied in legume species (Mergaert et al. 2020; Roy et al. 2020). miR156/SPL was shown to play a role in nodulation in legume plants, including alfalfa, where overexpression of miR156 increased the number of root nodules (Aung et al. 2015). However, the role of miR156/SPL in nodulation may be species-specific, as a reduction in nodulation was reported in other studies involving miR156 overexpression in plants. For example, when *Lj*miR156 was overexpressed in *L. japonicus* it reduced nodule numbers (Wang et al. 2015). Similarly, in soybean, *Gm*miR156 was found to inhibit nodulation through its negative regulation of miR172 (Yan et al. 2013). More recently, Yun et al. (2022) reported that the miR156-SPL9 regulatory system in soybean acts as an upstream master regulator of nodulation by targeting and regulating the expression of nodulation genes in soybean. *Gm*SPL9 is a positive regulator of soybean nodulation which directly binds to the miR172c promoter and activates its expression (Yun et al. 2022). *Gm*SPL9 also directly targets *GmNINa* and *GmENOD40*, which are the nodulation master regulator and nodulation marker genes, respectively, during nodule formation and development (Yun et al. 2022).

In the current study, SPL12 was demonstrated to have a negative effect on nodulation in alfalfa, as the expression level of *SPL12* decreased gradually after 7, 14 and 21 days (a nodulation period) in *S. meliloti*-inoculated roots (**Figure 3.3A**). Decreasing of *SPL12* was concomitant with increasing of genes known for their involvement in nodulation, including *NIN*, *NSP2*, *IPD3*, *DMI1*, *DMI2*, *DMI3*, *DELLA*, *LysM*, and *CLE13*. The expression of these genes was increased after the rhizobial inoculation of alfalfa roots, indicating a possible function for *SPL12* in nodulation. While overexpression of *SPL12* in alfalfa resulted in reduced nodulation in at least two genotypes (L7 and L5) (**Figure 3.5B**), silencing of this gene produced plants (*SPL12*-RNAi) with increased nodulation at 14 dai, but the exponential increase in the number of nodules in these plants ceased to occur by 21 dai (**Figure 3.4**).

To balance the costs and benefits associated with root nodule symbiosis and to maintain an optimal number of nodules, plants use the AON pathway; a systemic long-range signaling pathway between roots and shoots. Once nodulation is initiated, two nodulation-inhibiting peptides of the CLE family, *Mt*CLE12 and *Mt*CLE13, are normally produced in nodulated roots (Mortier et al. 2010). These peptides are likely translocated to the shoot (Okamoto et al. 2013), and act through the SUNN receptor, where shoot-derived inhibitors are delivered to the roots to inhibit nodulation (Mortier et al. 2012). It has been reported that the negative effect of these CLE peptides on nodulation is due to the downregulation of *ENOD11*, an early epidermal infection marker, and NF perception genes (Gautrat et al. 2019; Mortier et al. 2010). Here I show that the expression of *CLE13* was reduced in *SPL12*-RNAi plants at 14 dai compared to WT, while at 21 dai, *CLE13* was significantly upregulated in the three *SPL12*-RNAi plants (**Figure 3.7E,M**). This is consistent with the increased number of nodules at 14 dai and no change at 21 dai, suggesting the potential existence of a regulatory relationship between *SPL12* and *CLE13*, and the involvement of SPL12 in regulating nodulation.

To show whether the function of SPL12 is conserved in other legume species, I set out to investigate the role of a *L. japonicus* homolog of alfalfa SPL12 (*Lj*SPL12) in nodulation in this model legume. In *L. japonicus spl12-1* and *spl12-2* mutants, nodulation was significantly increased at 14 dai, whereas no significant differences in nodulation between WT and the *spl12* mutant lines was found at 21 dai (**Figure 3.25**). These results are

consistent with the nodulation test results in *SPL12*-RNAi and *AGL6*-RNAi plants in alfalfa, indicating that SPL12 may perform similar functions in both alfalfa and *L. japonicus*. However, further studies are required to understand exactly how *SPL12* is involved in nodulation in alfalfa and *L. japonicus*. It has been shown that *Lj*miR156 and *Gm*miR156 both negatively regulate nodulation in *L. japonicus* and soybean, respectively (Wang et al. 2015; Yan et al. 2013), whereas another conserved miRNA, miR172, positively regulates nodulation in both soybean and common bean (Wang et al. 2014; Yan et al. 2013).

Aung et al. (2017) reported that overexpression of miR156 increased nodule numbers, nitrogenase activity, and the transcript levels of bacterial genes *FixK* (induces the expression of genes involved in nodule respiration), *NifA* (induces the expression of genes involved in nitrogen fixation) and *RpoH* (sigma 32 factor for effective nodulation) (Defez et al. 2016; Fischer 1994) in alfalfa roots inoculated with *S. meliloti*. Similarly, our study indicated that at 14 dai, silencing *SPL12* stimulates nitrogenase activity in RNAi12-7 and RNAi12-29 (**Figure 3.6A**). RT-qPCR expression analysis also showed that silencing of *SPL12* enhanced the expression of *S. meliloti*'s *RpoH*, *FixK* and *nifA* in alfalfa (**Figure 3.6B-D**). Although, it is estimated that mature alfalfa plants can obtain up to 80% of their total nitrogen requirements through biological nitrogen fixation (Provorov and Tikhonovich 2003), emerging seedlings and those grown under abiotic stress (e.g. cold, drought and salinity) still require nitrogen fertilizers, and thus enhancing nodulation and nitrogen fixation at the early stages of plant development should have agronomic and economic benefits to farmers.

4.3 Genes and pathways affected by *SPL12* **silencing**

To identify genes that may be regulated by SPL12, two *SPL12*-RNAi genotypes, RNAi12-24 and RNAi12-29, were used for transcriptomic analysis using RNA-Seq. This analysis revealed that SPL12 affects expression of a range of genes in both genotypes, as well as in a genotype-specific manner. In this study, there was a total of 169 DEGs between WT and both *SPL12*-RNAi genotypes (RNAi12-24 and RNAi12-29), whereas the rest were genotype-specific (**Figure 3.9A**). Southern blot analysis revealed that RNAi12-24 and RNAi12-29 are independent transgenic lines containing different T-DNA insertion sites in the genome. This suggests that the 169 common DEGs are due to silencing of SPL12, whereas other DEGs may be the result of differing insertion events leading to other transcriptomic effects triggered by disruption of different genomic sites or genes.

Based on the DEG list, GO-enrichment analysis revealed a number of pathways that are affected in *SPL12*-RNAi plants. These pathways can be classified in three functional categories; biological process, molecular function and cellular component. The GO terms such as effect of metal ion binding, oxidoreductase activity, intracellular signal transduction, and sulfur compound metabolic process are related to *SPL12*-RNAi alfalfa phenotypes, such as increased nodule number and nitrogen fixation (Zou et al. 2020; Fonseca-García et al. 2022; Popp and Ott 2011; Kalloniati et al. 2015), which involve a large number of biological pathways. For example, metallothioneins (MTs), the metal ion binding proteins, are involved in symbiotic associations in legume. Downregulation of *PvMT1A* reduces the number of infection events, nodules and nitrogen fixation rate in common bean (Fonseca-García et al. 2022).

Moreover, oxidoreductases are a large class of enzymes catalyzing biological oxidation/reduction reactions, which are important in redox processes, transferring electrons from a reductant to an oxidant (Hollmann and Schmid 2004; Jeelani et al. 2010). *M. loti*, the *L. japonicus* symbiosis partner, expresses a malate oxidoreductase, and it was reported that the nodules induced by *M. loti* mutants deficient in malate oxidoreductase were unable to fix nitrogen (Thapanapongworakul et al. 2010). Zou et al. (2020) showed the role of an oxidoreductase, GMCA, in symbiotic nitrogen fixation, whereby the *gmcA* mutant of *Rhizobium leguminosarum*, the *Pisum sativum* (pea) symbiotic partner, induced an abnormal nodulation phenotype in pea with reduced nitrogen fixation capacity.

In addition, the symbiotic relationship between the plant and rhizobia is regulated through signal transduction pathways, including the intracellular signaling cascade in the nucleus that results in the initiation of calcium oscillation (reviewed by Roy et al. 2020). Simultaneously, calcium spiking activates expression of *NIN* and subsequently *ENOD* to facilitate nodule infection thread formation (reviewed by Chaulagain and Frugoli 2021). In nodulated legumes, sulfur supply plays an important role in symbiotic nitrogen fixation, as the key symbiotic nitrogen fixation enzyme, nitrogenase, is exceptionally rich in sulfur, which suggests that sulfur may become limiting in nitrogen fixation (Becana et al. 2018). This is corroborated by the finding that sulfur uptake, assimilation, and metabolism were enhanced in both symbiotic partners during nitrogen fixation in *L. japonicus* (Kalloniati et al. 2015).

An increased number of genes belonging to the metal ion binding, oxidoreductase activity, intracellular signal transduction, and sulfur compound metabolic process, in both *SPL12*-RNAi genotypes (RNAi12-24 and RNAi12-29) suggests a pronounced role for SPL12 in alfalfa nodulation and nitrogen fixation.

4.4 Direct regulatory interaction between SPL12 and *AGL6* **to control nodulation**

Among the differentially expressed genes, I hypothesized that AGL6, an ortholog of the Arabidopsis AGL79 (*At*AGL79), performs similar functions in alfalfa to the latter's in Arabidopsis, where the miR156/SPL10 module targets *AtAGL79* to regulate plant lateral root development (Gao et al. 2018c). In a previous transcriptomic study, both *SPL12* and *AGL6* were shown to be downregulated in roots of miR156-OE alfalfa (Aung et al. 2017; Gao et al. 2016). In the current study, the highest *AGL6* transcript levels were detected in roots of *SPL12* overexpression genotypes, and further analysis revealed that *AGL6* was under the regulation of SPL12 (**Figure 3.12**). *AGL6* belongs to the MADS-box protein family of transcription factors that has a conserved MADS-box domain (Shore and Sharrocks 1995; Theißen and Gramzow 2016). In Arabidopsis, *AtAGL79* is regulated by *At*SPL10 and is involved in regulating lateral root development through the miR156-SPL pathway (Gao et al. 2018c). Although the MADS-box proteins have been well characterized in many plants (Puig et al. 2013; Schilling et al. 2018; Zhang et al. 2019), information on their role in regulating legume-rhizobia interactions is still in its infancy. In soybean, the MADS-box protein, *Gm*NMHC5, positively regulates root development and nodulation (Liu et al. 2015), while *Gm*NMH7 is a negative regulator of nodulation (Wei et al. 2019). In common bean, AGLs have been proposed as new protagonists in the regulation of nodulation (Íñiguez et al. 2015). Here, the finding that *SPL12*-RNAi and *AGL*6-RNAi

plants have increased nodulation suggests that SPL12 controls nodulation in alfalfa by regulating *AGL*6.

4.5 The role of SPL12 and AGL6 in regulating nodulation under osmotic stress in alfalfa

Legume crops can adjust their root architecture in response to environmental conditions, not only by branching out, but also by forming a symbiosis with rhizobial bacteria to form nitrogen-fixing nodules (De Zélicourt et al. 2012). Drought is a major abiotic stress that causes nutrients to be unavailable to plants and it leads to a nutrient-deprived situation or nutrient stress, affecting plant yield and root growth (reviewed by Zia et al. 2021). Not only does miR156 regulate nodulation in alfalfa, its role in plant response to abiotic stress (e.g. drought, heat, and salinity) was previously demonstrated in alfalfa (Arshad et al. 2017a; Feyissa et al. 2019; Matthews et al. 2019). miR156 targets a number of *SPL* genes for silencing by transcript cleavage in alfalfa (Aung et al. 2015; Feyissa et al. 2021; Gao et al. 2016). Specifically, *SPL13*, *SPL9*, and *SPL8* have been investigated for their role in drought tolerance in alfalfa (Arshad et al. 2017a; Feyissa et al. 2019; Gou et al. 2018; Hanly et al. 2020). Down-regulating *SPL13*, *SPL9* and *SPL8* in transgenic plants resulted in alfalfa plants that were less susceptible to drought (Arshad et al. 2017a; Feyissa et al. 2019; Gou et al. 2018; Hanly et al. 2020). *SPL12* was shown to be upregulated in response to mild and severe salinity stress conditions in alfalfa, but was suppressed in all miR156-OE genotypes, compared to unstressed control (Arshad et al. 2017b). In the current study, I observed a significant increase in the transcript levels of *SPL12* in WT under osmotic stress as opposed to control conditions. The upregulation of *SPL12* under osmotic stress is consistent with a previous report that showed an increase in *SPL13* transcript levels in WT alfalfa plants under drought conditions (Arshad et al. 2017a).

The roots are the first plant organ to encounter changes in response to water deficit in the soil. Studies in Arabidopsis showed initiation and elongation of lateral roots in drought tolerant genotypes lead to improved water uptake and drought adaptation (Chen et al. 2012; Xiong et al. 2006). In this study, a significant increase in root length accompanied by higher lateral root numbers was observed in alfalfa *SPL12*-RNAi plants under osmotic stress (**Figure 3.14C,E**). Also in a previous study, Arshad et al. (2017a) showed increased root length in miR156-OE and *SPL13-*RNAi alfalfa genotypes under drought stress. Moreover, the miR156-SPL10 module was reported to be involved in root development by silencing *AtAGL79* to control root length and lateral root numbers in Arabidopsis (Gao et al. 2018c). Therefore, it appears that improved root architecture is regulated at least in part through the miR156-SPL network, and helps plants, including alfalfa, to better access water from deeper soil surface under water scarcity conditions.

The symbiotic interaction between legume plants and rhizobacteria can be negatively impacted by drought, resulting in reduced nodule numbers and diminished nitrogenase activity (Ashraf and Iram 2005; Kibido et al. 2020; Mouradi et al. 2018). Nitrogenase activity in root nodules of *M. truncatula* was decreased by 18% and 66% after two and four days of water withdrawal, respectively (Sańko-Sawczenko et al. 2019). It was shown that in *M. truncatula*, both symbiotic plant components and *S. meliloti* bacteria residing in the root nodules adjust their gene expression profiles in response to drought stress (Sańko-Sawczenko et al. 2019). My results showed a decrease in the nodule numbers in WT plants

under osmotic stress condition, while *SPL12*-RNAi genotypes maintained nodulation under this stress (**Figure 3.15**). The transcript levels of *CLE13* decreased under osmotic stress in all genotypes, while it increased in *SPL12*-RNAi plants under control conditions. This is consistent with increasing nodulation under osmotic stress in *SPL12*-RNAi genotypes. In addition, *AGL6* transcript levels were also lower under osmotic stress, and consequently *AGL6*-RNAi genotypes maintained nodulation under osmotic stress. These results showing that stressed *SPL12*-RNAi and *AGL*6-RNAi plants maintained nodulation suggest a role for SPL12 and AGL6 in the control of nodulation in alfalfa under osmotic stress.

141 In nodulating legumes, sulfur supply plays an important role in symbiotic nitrogen fixation, as sulfur deficiency causes a decrease in nodulation, inhibition of nitrogen fixation, and a slowing down of nodule metabolism (Becana et al. 2018). Accordingly, sulfate transport and metabolism also positively affect nitrogen fixation and nodulation (Becana et al. 2018). A sulfate transporter in the symbiosomal membrane of *L. japonicus*, *LjSST1*, was the first indication of sulfate exchange between the two symbiotic partners (Krusell et al. 2005). *LjSST1* is specifically and highly expressed in nodules, suggesting a crucial role for this protein in the transport of sulfate from the plant to the bacteroids (Krusell et al. 2005). The *sst1* mutants developed smaller nodules and displayed symptoms of nitrogen deficiency only under symbiotic conditions. The nodules of the *sst1* mutant plants showed a reduction of approximately 90% in the rate of nitrogen fixation (Krusell et al. 2005). In the current study, two of the Group3 *SULTR* genes, *SULTR3.4* and *SULTR3.5*, were significantly upregulated in roots of *SPL12*-RNAi plants (**Figure 3.17**). *MtSULTR3.5* in *M. truncatula*, a homolog of *LjSST1*, is strongly expressed in nodules (Roux et al. 2014).

Other studies showed that *MtSULTR3.5* expression is strongly up-regulated in *M. truncatu*la roots subjected to salt stress (Gallardo et al. 2014; Li et al. 2009). Of the sulfate transporters, Group3 *SULTRs* specifically operate under abiotic stress conditions, and they are responsive to salt and drought in both Arabidopsis and *M. truncatula* (Gallardo et al. 2014; Hyung et al. 2014). Interestingly, *SULTR3.1* and *SULTR3.4* are up-regulated in roots of both Arabidopsis and *M. truncatula* plants subjected to drought stress (Gallardo et al. 2014). Given the above findings, I measured the transcript levels of *SULTR3.4* and *SULTR3.5* in alfalfa root tissues under osmotic stress (**Figure 3.17E,F**). The maintenance of the transcript levels of these genes under osmotic and control conditions in *SPL12*-RNAi roots indicates that SPL12 must be involved in *SULTR3.4* and *SULTR3.5* regulation. Although, the five *At*SULTR3 transporters have been functionally characterized in Arabidopsis (Chen et al. 2019), an understanding of their contribution to salt and drought stress response in legumes remains elusive, and thus further studies are needed to address this gap in knowledge.

4.6 How nitrate availability affects nodulation through SPL12-*AGL21* **regulatory pathway**

To conserve energy, plants inhibit nodulation under conditions of nitrate abundance in the rhizosphere (Streeter and Wong 1988), resulting in a decrease in nodule numbers, nodule mass, and nitrogen fixation, as well as an acceleration of nodule senescence. This regulation of nodulation by nitrate is a part of the AON signaling pathway (Lin et al. 2018; Moreau et al. 2021). As the *SPL12*-RNAi and *AGL6*-RNAi plants showed an increase in nodulation, I tested the relationship between nitrate and the miR156/SPL12 regulatory

system. Under nitrate sufficient conditions, rhizobia-inoculated roots of *SPL12*-RNAi plants developed more active nodules relative to WT (**Figure 3.20; Figure 3.21**), demonstrating the role of miR156/SPL12-mediated system in controlling rhizobia-alfalfa symbiosis. In common bean, Nova-Franco et al. (2015) showed that miR172c is a signaling component of the nitrate-dependent AON, and that it decreased the sensitivity of nodulation to inhibition by nitrate. Common bean plants overexpressing miR172 showed more active nodules in the presence of nitrate (Nova-Franco et al. 2015). *At*SPL9 was shown to be a potential nitrate regulatory hub in Arabidopsis where it may target the primary nitrate-responsive genes (Krouk et al. 2010). *At*SPL9 expression is affected by nitrate, and the transcript levels of *AtNRT1*.*1*, *AtNR2*, and *AtNiR* significantly increased in response to nitrate in *AtSPL9* overexpression Arabidopsis plants (Krouk et al. 2010). In tomato (*Solanum lycopersicum*), it was reported that an SPL transcription factor, LeSPL-CNR, directly binds to the promoter of *SlNR* (nitrate reductase), resulting in repressing its expression and activity (Chen et al. 2018). It has been shown that LeSPL-CNR negatively regulates *SlNR* transcription levels in response to cadmium (cd) stress in tomato (Chen et al. 2018).

143 Based on the findings in the current research, I propose that SPL12 regulates nodulation under nitrate treatment in alfalfa by downregulating *AGL21*. Here, RNAseq followed by gene ontology analysis revealed that *AGL21* is upregulated in *SPL12*-RNAi alfalfa plants. *AGL21* is an ANR1 MADS box protein-coding gene. *At*ANR1 MADS box proteins were previously shown to mediate the effect of externally applied nitrate on lateral root development in Arabidopsis (Gan et al. 2012; Zhang and Forde 1998). In rice, two MADS box genes, *OsMADS25* and *OsMADS27*, are involved in the regulation of root development

in response to nitrate (Puig et al. 2013). In Arabidopsis, *AtAGL21* is expressed in different tissues, but most strongly in roots, where *At*AGL21 plays an important role in lateral root development under nitrogen deficiency conditions (Yu et al. 2014). In common bean, *PvAGL21* is expressed in nodules, and its expression is higher in roots compared to pods, seeds and stems (Íñiguez et al. 2015). These results are consistent with the finding that alfalfa *AGL21* is highly expressed in roots (**Figure 3.11C**) and that its expression is induced by nitrate (**Figure 3.22B**). Future research should focus on generating and analyzing *AGL21-*silencing and -overexpressing alfalfa plants to determine AGL21 effect on root architecture, nodulation and nitrogen fixation.

In the current research, the findings suggest that SPL12 differentially regulates *AGL6* and *AGL21* by activating the expression of *AGL6,* and inhibiting *AGL21* in alfalfa. Transcription factors performing dual roles have been reported in the literature. For example, in regulating anthocyanin biosynthesis in Arabidopsis, a ternary WD40-bHLH-MYB (WBM) transcription factor complex can bind to either positive (NAC, WRKY, MADS-box) or negative (MYB4, MYBL2, SPL) regulators, to activate or repress the expression of the late biosynthetic genes (*DFR*, *ANS*/*LDOX*, *UFGT*), respectively, in the anthocyanin biosynthesis pathway (Gonzalez et al. 2008; Shi and Xie 2014; Xu et al. 2015).

4.7 Efficiency of *SPL13* **mutagenesis by CRISPR-Cas9 in alfalfa**

144 Modern genome editing technologies use cutting-edge tools to edit the genetic sequence of an organism in a precise and predictable manner. These technologies which include meganucleases, zinc-finger nucleases (ZFNs), transcription activator–like effector nucleases (TALENs) and lately clustered regularly interspaced short palindromic repeats-

CRISPR associated 9 nuclease (CRISPR-Cas9), are important tools in plant research that allow for the development of crops to respond to future market demands and predicted climate changes (Sander and Joung 2014; Voytas and Gao 2014). CRISPR-Cas9 has become a leading-edge technology, providing an opportunity for genome editing in many important crops, including wheat (Wang et al. 2016), sorghum (Jiang et al. 2013), soybean (Cai et al. 2018; Duan et al. 2021) and maize (Jiang et al. 2020). Of the many genome editing technologies, application of the CRISPR-Cas9 system has increased rapidly, proving to be the most efficient genome editing platform of late. This novel editing platform has superseded previous editing tools with its reliance on an RNA-based approach, which is characterized by simple design of targeting multiple genes, high mutagenesis success rate, greater specificity, lower cost and the ability to generate genetically modified organism (GMO)-free edited plants (Deb et al. 2022; Zimny et al. 2019).

145 My results showed the successful *A. tumefaciens*-mediated transformation of alfalfa, as indicated by the presence of the exogenous *SpCas9* gene that was expected to be transferred as part of the single gRNA CRISPR/Cas9 construct to target *SPL13* for editing. T7E1 assay revealed potentially high mutagenesis frequency in all three gRNA target sites, but Sanger sequencing revealed otherwise, as only a single mutated plant using gRNA1 was confirmed (**Figure 3.28A**). The T7E1 nuclease is a structure-selective enzyme that is sensitive to the mismatch sequences of heteroduplexed DNA (Shan et al. 2014) and can be used to detect CRISPR-Cas9-mediated gene editing (Shan et al. 2020). T7E1 assay has been used to report genome editing frequencies in different plants such as tomato (Pan et al. 2016), wheat (Zong et al. 2017), rice (Zong et al. 2017), and Arabidopsis (Woo et al. 2015). T7E1

has also been used in alfalfa to provide further confirmation of gene editing introduced by CRISPR in *MsSPL8* alleles (Singer et al. 2021). While T7E1 digestion analysis can be used to detect CRISPR-Cas9 editing frequency, its reliability has been questioned (Sentmanat et al. 2018). In a study, Sentmanat et al. (2018) found that indel estimates with T7E1 assay were lower than the average activity of sgRNAs assayed by NGS, consequently this assay often does not accurately identify the actual sgRNA activities.

In the current study, Sanger sequencing revealed a mutagenesis efficiency of 5.5% for gRNA1 (only one plant out of eighteen), 0% for gRNA2 (no plants), and 0% for gRNA3 (no plants), which is relatively low compared to other plant species (Meng et al. 2017). Regardless of important successes in other crops, the application of the CRISPR/Cas9 system in alfalfa has been challenging. Previously, Gao et al. (2018a) used a single gRNA CRISPR/Cas9 to edit *SPL9* in alfalfa, but genome editing efficiency was low (2.2%), close to the mutagenesis efficiency of only 2.5% (34 out of 1531) in alfalfa, using single gRNA CRISPR/Cas9 (Wolabu et al. 2020). However, the single gRNA CRISPR/Cas9 system performed well in *M. truncatula* (Meng et al. 2017), and other monocot species, including rice and switchgrass (Park et al. 2017).

In this study, the alfalfa plants with silenced *SPL13* had no visible phenotype relative to WT control; a result similar to the report by Gao et al. (2018a) and Wolabu et al. (2020), where they used single gRNA CRISPR/Cas9, and in which no mutant phenotype was observed in the edited alfalfa plants. In the related species *M. truncatula,* Meng et al. (2017) successfully mutated target genes by using a modified CRISPR/Cas9 system where a *M. truncatula U6* (*MtU6*) promoter drove the expression of a specific gRNA, and a total of

10.4% (32 out of 309) of transgenic plants showed an obvious phenotypic change. Although, the *MtU6* promoter from the related *M. truncatula* species was used in the current study to improve the effectiveness of CRISPR/Cas9 genome editing system, the editing frequency was still low; observed in only for one of the gRNAs. This may be due to the presence of four allelic gene copies in alfalfa and incomplete knockout of the target gene, which results in the absence of a mutant phenotype. Most recently, it has been shown that, a modified editing system could successfully mutate target genes with an increased genome editing efficiency in alfalfa using a multiplex CRISPR/Cas9 system targeting different alleles of the gene (Wolabu et al. 2020). The mutated alfalfa plants showed the expected phenotype, indicating a complete knockout mutation, with 75% genotypic efficiency; which is 30 times more efficient than the single gRNA CRISPR/Cas9 system (Wolabu et al. 2020). In alfalfa, Singer et al. (2021) could also successfully mutate *MsSPL8* gene using the single gRNA CRISPR/Cas9 system. They were able to achieve high frequencies of indels in *MsSPL8* alleles, displaying transgenic plants with up to three of four alleles mutated. Moreover, Chen et al. (2020) also could mutate target genes in alfalfa by an efficient CRISPR-Cas9-based genome editing protocol, and a total of 0.57% (5 out of 880) of transgenic plants displayed tetra-allelic mutations into null mutants that showed the mutant phenotypes. Furthermore, multi-generation analysis revealed that the mutation and phenotypes of null alfalfa mutants were specifically inherited by the next generations in a transgene-free manner by cross-pollination (Chen et al. 2020). Production of transgene-free mutants in specifically targeted gene-edited plants is important for regulatory approval of the genetically modified plants. Additionally, with this type of approach further genome editing events will be minimized, and genetic heritability and trait stability will be properly assessed.

Compared to the various methods of gene modification, such as RNA interference (RNAi), the CRISPR system provides a platform to precisely edit a gene, without randomly disturbing the rest of the genome. This is perceived more positively by the public relative to the products of traditional genetic engineering technologies (Ahmad et al. 2021). Nevertheless, RNAi is a proven, efficient technique for gene silencing, and both RNAi and CRISPR have been used to knock-out or knock-down genes for functional characterization (Arshad et al. 2017a; Feyissa et al. 2019; Gao et al. 2018b).

In summary, while I successfully used CRISPR/Cas9 to elicit a mutation in *SPL13* gene in alfalfa, mutagenesis efficiency was low. In the future, the use of the three gRNAs in a multiplex CRISPR/Cas9 system may result in higher efficiency of *SPL13* editing in this plant.

Conclusion and future research directions

Understanding the molecular mechanisms underpinning the nodule symbiosis pathway in legumes is of great importance for both agricultural and environmental conservation. New plant improvement strategies use molecular marker-assisted breeding tools to produce cultivars of agriculturally significant traits, such as resistance to different biotic and abiotic stresses (Khan et al. 2017). As a potential molecular marker, miR156 has not only been demonstrated to play a role in the regulation of abiotic stress tolerance (Arshad et al. 2017a; Feyissa et al. 2019; Hanly et al. 2020; Matthews et al. 2019), but it has also been proven to increase nodulation, nitrogen fixation, and root regeneration capacity in alfalfa (Aung et al. 2017). miR156 functions by downregulating downstream genes including *SPLs* to control different plant growth and development processes (Cardon et al. 1999; Feyissa et al. 2021; Gao et al. 2016; Wang and Wang 2015; Xu et al. 2016; Yun et al. 2022). These downstream genes have not been fully characterized in alfalfa, and present an opportunity to determine the biochemical and molecular mechanisms underpinning these effects.

miR156 targets a number of *SPL* genes for post-transcriptional silencing in alfalfa (Aung et al. 2015; Feyissa et al. 2021; Gao et al. 2016) and some SPLs, like SPL12, are largely uncharacterized in this plant. In the current research, the role of miR156-targeted *SPL12* and its downstream targets, *AGL6* and *AGL21*, was investigated in alfalfa root architecture and nodulation.

Symbiotic nodulation is a complex process between legumes and compatible rhizobia, including the downstream components of signaling pathways that trigger changes in gene expression in both partners. The signals that provide bacterial access to the plant and eventually nodule organogenesis have been well studied in legume species (Mergaert et al. 2020; Roy et al. 2020). In this study, the impact of SPL12 on alfalfa root architecture and nodulation was assessed by comparing *SPL12*-RNAi with WT plants. Since *SPL12*-RNAi plants displayed an enhancement in alfalfa root regenerative capacity during vegetative propagation, it can be concluded that SPL12 plays a role in the negative regulation of root emergence from the stem cuttings. In addition, plants with silenced *SPL12* showed an increase in nodulation and nitrogen fixation, while overexpression of *SPL12* in alfalfa resulted in reduced nodulation, indicating the negative effect of SPL12 on nitrogen fixation and nodulation. miR156-OE plants also showed similar phenotypic changes according to Aung et al. (2015), establishing that silencing of *SPL12,* either alone or in combination with other SPLs, causes phenotypic changes related to plant root architecture and nodulation in alfalfa. Furthermore, I found that SPL12 directly binds to the promoter of *AGL6*, and since it was observed that plants with silenced *AGL6* improved nodulation, it could be concluded that the miR156/SPL12 regulatory pathway is involved in regulating nodulation by directly targeting and activating the expression of *AGL6*. Additionally, my finding that *L. japonicus spl12* mutant had similar nodulation traits as those of alfalfa *SPL12*-RNAi plants indicates that SPL12 may be functionally conserved in at least some other legume plants.

150 My investigation of the SPL12 function also revealed that SPL12 and its direct target, AGL6, regulate nodulation under osmotic stress, as plants with reduced *SPL12* and *AGL6* showed an enhanced number of nodules under osmotic stress. This resulted in the maintenance of nodulation in *SPL12*-RNAi and *AGL6*-RNAi plants despite the adverse

stress conditions. This study, combined with the previous investigations of miR156 that showed miR156-OE plants had increased tolerance to drought (Arshad et al. 2017a; Feyissa et al. 2019), and the observation by Aung et al. (2017) that showed miR156-OE plants improved nodulation and nitrogen fixation, provided evidence that miR156-targeted *SPL12* is a regulator of nodulation under osmotic stress in alfalfa. Moreover, maintenance of nodulation by *AGL*6-RNAi as well suggests a role for AGL6 in the control of nodulation in alfalfa under osmotic stress.

To conserve energy, plants inhibit nodulation when nitrogen is available in the rhizosphere (Streeter and Wong 1988) by activating the AON signaling pathway, resulting in a decrease in nodulation and nitrogen fixation (Lin et al. 2018; Moreau et al. 2021). My results showed that the role of SPL12 in alfalfa is not restricted to regulating nodulation under normal conditions, but also controls this process under nitrate sufficient conditions. Rhizobia-inoculated alfalfa roots with reduced levels of *SPL12* were found to develop more active nodules, relative to WT under nitrate sufficient conditions, demonstrating the role of the miR156/SPL12-mediated system in controlling rhizobia-alfalfa symbiosis. SPL12 regulates nodulation under nitrate treatment in alfalfa by targeting *AGL21*. *AGL21* is an ANR1 MADS box protein-coding gene. *At*ANR1 MADS box proteins were previously shown to mediate the effect of externally applied nitrate lateral root development in Arabidopsis (Gan et al. 2012; Zhang and Forde 1998). In the current experiment, RNAseq followed by gene ontology analysis showed *AGL21* is upregulated in *SPL12*-RNAi alfalfa roots, where its transcript levels were induced by nitrate. As a negative regulator of *AGL21*, SPL12 silencing upregulates *AGL21* and enhances the production of active nodules under sufficient nitrate conditions.

Taken together, my results suggest that SPL12 along with *AGL6* and *AGL21* modulate alfalfa nodulation. Here, I report that SPL12 negatively regulates nodulation in alfalfa at least partially by targeting *AGL6* and *AGL21*. However, it is unclear how AGL6 is involved in the nodulation pathway, and further research on AGL6 target genes, and specifically AGL6-regulated genes associated with stress response would provide a better understanding of the role of the miR156/*SP*L12/AGL6 network in regulation of nodulation. Examining phenotypic traits in transgenic alfalfa with increased *AGL6* (*AGL6*-OE) could further uncover the role of SPL12/AGL6 module in alfalfa nodulation. Future research should also focus on understanding AGL21 function by comparing the molecular and morphological characters among *AGL21*-RNAi and *AGL21* overexpression alfalfa. Furthermore, the identification of the potential existence of a regulatory relationship between *SPL12* and *CLE13* was exciting because it provided the possible involvement of SPL12 in the AON signaling pathway. However, additional work is needed to directly demonstrate that this relationship indeed exists. The regulation of nodulation may not be limited to the SPL12, as SPLs are known to work in a redundant manner (Schwarz et al. 2008; Shikata et al. 2009; Yu et al. 2015b). Thus, the role of other SPLs in the miR156 mediated regulatory system in nodulation should be evaluated.

While miR156 has been found to be involved in response to a number of different abiotic stresses in alfalfa, including drought, and heat (Arshad et al. 2017a; Feyissa et al. 2019; Matthews et al. 2019), this study determined the role of SPL12/miR156 in nodulation under osmotic stress as a mimic of drought, and hence the role of SPL12 in miR156-mediated nodulation and stress tolerance should next be evaluated under actual drought and other abiotic stress conditions.

In conclusion, understanding the molecular function of miR156-targeted SPL12 and its targets, *AGL6* and *AGL21,* in alfalfa root architecture and nodulation should provide an important molecular tool that can be used in marker-assisted improvements not only for alfalfa, but also potentially for other legume crops. Results described in this thesis provide an insight into these molecular mechanisms, but further studies are still needed to understand the potential of the miR156/SPL system in legume and non-legume crop improvement.

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Appendices

ATGGAGTGGAATTTGAAAGCACCTTCTTGGGATTTGGGGGTATAGAAGAGGCAACATTACCCAACATAGAAACAATGGAAGAAT CAAACAGATTTGGAGTTTATAAAATGAAAGGGGAGTTTTCTGTTGATTTGAAGCTTGGTCAGGTTGGGAACTCTGCCACTGATCA ATCA<mark>CCA</mark>CTTCCTTTGTCTAATGATGCTGTTGTTGTCCCAAAATTGCAACACCTACTTCTTCTTCAGGATCTTCTAAGAGAGCT GGCGTCATAAGGTTTGTGAACTTCATTCTAAGACTCCAGAGGTTACAATTTGTGGCCTTAAACAAAGGTTCTGCCAACAGTGTAG CAGGTTTCATTCGCTGGAGCAATTTGATGAAAGAAAAAGAAGCTGTAGGAAACGTTTAGATGGACACAACCGAAGAAGAAGAAAA CCACAGCCTGAACCTATCACGCGACCTGCTGGTAGTTTTTTGTCCAATTACCAAGGCACCCAGGTGCTACCCTTTTCAAGTTCTA AGTTCATGTGGTTGATAAACAAGATCATTTTCTTGGTTCTACTGCAACCACCTACGAAGAAGGGAAACAACTTCAATTCTTACAC AATGACAACAACAATCCCTCACTTCATAGCCAAACCACCCTCTTCTTAGGACAAGTAGCAAAATGTTCTGTGATAGTTTAGCAA CTTCAGTACACGAGTCACCTTGTGCTCTCTCTCTTCTGTCATCATCACAGACGCACACACCTGATAATGGTTTGAATCAAATGGT GCAGCAGCCTCATTCAATGTCTCTTATGCAGCCCTTGGGACTGAGTCTGCATGGTAACAATAGCTTTGAGTCCATGGAAGGAGTG TTGGTCCCAAATGGGAGTGAGAGTGATCATTGTTCCTCGTTGTATAACATGGGTTCTGATGGATCACAAGGCAATGATGCACCTC AACTATTTCCCTATCAATGGGAATAG

Figure S1 The original coding sequence of *SPL13* **in alfalfa and positions of different gRNAs selected for CRISPR experiments**

Different exons are indicated with bold and normal sequences. Sequences in green (gRNA1): GCATCATTAGACAAAGGAAG, sequences in red (gRNA2): GAACCTATCACGCGACCTGC and sequences in purple (gRNA3): GAAGGAGTGTTGGTCCCAAA.

CTTTCTGTGTTATGTGATGCTGAGGTTGCTCTTATCATTTTCTCCGGTCTTGGCAAGCTTTTTCAATACAGTACCACAGAGTGAGCAATTCATTTTCTTT TAATATATATATCTTTAAGCAATTTTTTATCTCTTCGTTAGTTTTTTAAATACAAGCTTGGTCATAATTAAAAATATTCTAGGGGTTGTAAAATTATCTGTT CCACTTGATAGTTCTAGCAACATTCACTCATCATTTGACTAAGTTATTCCTTGTCTTGGTTATAAGTAAACTAATTTCACACATTAAGAAATTCAGTA GATTGGAATTAGGGGTGTCAATTTCATCTCTGAATGGGGATCTAAGCGGGTACCATCAAATTTGAAGTTACGTAGACGGAGAATTTTTTTCTATTGG GGATGGGGGAGAAAGTATTCTCTGATAATAGTGCAGGGACACGATCATACCCCCCGATACATGGAGACTCGTCCCCGAGCAATTCAGTATAATATATT TTTAATGTTAAATTTTATTTTTAAAATAATATATATATTTTAGTTATTTTTACATGCCGATGGATCTCTGTGGGACCGTGGTGATGTGCGGGGGTGAGG ACAGGGAGGAAAAACTCTCCAAGACGGGGAATGGGGATGAGGGACAATTT<mark>AAGTGGCGGGAGAGGAAACA</mark>TAGAT<mark>GTAC</mark>TCCTTGTCTCCTCCC TGTTTGAGTTGTGTAAGTTTGAGGTGGATTTGTGGGAGTAACTTGATAGCGGTTATAAAATTAAATTTGAGTTTCTTACAAATTTGTCATTGGAGATG TTCTAAGGATCATACTAGCTTATATTCTAAGAGCACATGTTAAAGATTTTTACCAATAGTAATTATATATGAAAAAAGTAATTTTCAACTTTTTGAAGAA T<mark>GTAC</mark>AAAGTTTTCAATAAGAAATTTCTATATTTAATGCACTAACAAGTGCCTTAACTATAAGGGTGCATTTTAACATTCCTCTTGTTGTAATACAAACA TTAACTCAAGTCAAAATTATATG<mark>GTAC</mark>TAACCAATATAATTGATATACCTTGTTCATCTCTGATCAGCTTGAACAAAATCATTGAGAAGTATCGTCAATGTT GCTTCAACAATATGTCTGAGAATGGTGACTTAGGAGAACATGAGTCACAGG

Figure S2 Promoter sequence of the alfalfa *AGL6* **gene with putative SBD binding elements**

Nucleotides that are highlighted in yellow represent putative SPL binding elements with

'GTAC' core sequences, and those in blue represent forward and reverse primer sequences

used for ChIP-qPCR . The red text shows coding sequences of *AGL6*.

Figure S3 Effect of nitrate on nodulation in *SPL12***-RNAi plants**

The average numbers of pink and white nodules in WT and *SPL12*-RNAi at 21 dai (n = 15-22) under 3 Mm **A)** KCl and **B)** KNO3. Error bars indicate standard deviation.

TTCTTTTGGTGTTCCGCATATATACGACGTCTTTTGTTATGTTTATTCTTACCGGTCTCTAAAACATTACTCTTTAAAAAAATATGAAAGAT TTTTCAATTTCTCCTATATTTCTCACCCCTAATGTGTTCACCTAAACCTCTAAGATTCTAAAACATGAACTTTTTCTTTTTGGAGATACCCTTTT GTTAGAGTTTCCACATGTTTGTTGGGATTGTATTCCTGCACAATTAAAGTGTTTGCGTCAGGATCATCCTCTGCTAAGTCACCCCAATGTTCC TTAAGGAT<mark>TTGTACA</mark>CTCCTCTTACTAGCCTCTGT<mark>GGGTACA</mark>CTCCTCTTACAAGCCTCATCAAATTTAACAAAGTTTTTTCTTCTCAAACAA AAAAACTCTTATCCCTTTAAGCTTCTAGCTCCACTCACCCATTTTTCGAATGTAGATCTCTTCCTACTCGGATATTGCCAACAACCTAAGGAA AACTTGCTTAAGAGAGTAGACATCAATACATCTATCATGTCAAAATTGAATTCTCCCTTCATCTTCCATTTTTCACACGCTAAGCTCGTAAAT TGATCCCCTTTAACCCTTCACATACGGTCTAAACAACAACAAATTGTTCCATCACAAAGGAAGTTGATGACTCTGGAGAAGCCAAAGTGCTA ACACCATACTTGGTTGACAAGACGTTTATCCATTGAGACTACCTTTATTAATAACTGCCACCTCCATTTGGTTGAAATATATTGTCTACACATG TCCCCTAATGAATAAAGTATCAGTACGCACGCTCCCAGAAAGCGTGCGAGTGAGGACAAAACACGCTGAAAAGATTTGCGTTGATTTGTG GGGTCAGTCGGCAATCCTAAAAGCAGTCTGAGATGTTACATTGGGAGCCCTTCTGGAGGGCACCTAAGCCTCTCTAGAAGGCATTTGTTC CCTTAGGAATAGATTGACGATTCAATTATATTATTAAGTTTGTGTAAAATGACCAAAATGGTTTATGAGAAAGTACGTCTTGTGCAAAAATAC TTTTTTTAAGAGGCTAGACGTGTTATCGTCATGCCTTATATTGATAAGGCTTTTAATTTTTATATTCTTTTAGAATGGCAAATGTTATTAATGAA TTGTTAGAATAATTTCTCTCTTTATCCGAGTTTAAACTCAGGAACTTCAACTCCTTTATCTCTTGGCTCAAACCAGTTGAGTCATCCAACCTC GATTGAGAGATATAACATTTGCAAGGAAGACCAACAAGGGACAAATCCAGAATCTGAAGTCAAG

Figure S4 Promoter sequence of the alfalfa *AGL21* **gene with putative SBD binding elements**

Nucleotides highlighted with green/yellow represent putative SPL binding elements with

'GTAC' core sequences, those highlighted in blue represent forward and reverse primer

sequences used for ChIP-qPCR. The red text shows coding sequences of *AGL21*.

Component	Amount/Litre	Final concentration	
10x Schenk and Hildebrandt salt	3.2 g		
Nicotinic acid	5 mg		
Pyridoxine HCL	0.5 mg		
Thiamine HCL	5 mg		
Myo-inositol	200 mg		
Potassium sulfate	4.35 g	50 mM	
Proline	0.288 g	25 mM	
Kinentin (10 mg/mL)	$40 \mu L$	$2.14 \mu M$	
$2,4-D (100 mg/mL)$	$40 \mu L$	$18.12 \mu M$	
Sucrose,	30 _g	3% (w/v)	
Adjust pH to 5.8			
Plant tissue culture agar,	8g		
Thioproline (100 mg/L)	530 µL	53 mg/ L	
Co-cultivation medium			
Component	Amount/Litre	Final concentration	
Basal SH2K medium plus:			
Acetosyringone (10 mM)	2mL	20 Mm	
Callus Induction medium			
Component	Amount/Litre	Final concentration	
Basal SH2K medium plus:			
Timentin (300 mg/mL)	1 mlL	300 mg/L	

Table S2 Composition of alfalfa transformation media

Basal SH2K Medium

Callus Induction with antibiotics (RNAi constructs)

Callus Induction with antibiotics (Overexpression constructs)

Callus Induction with antibiotics (sgRNA-Cas9 constructs)

Embryo Development medium (BOi2Y)

Embryo Germination medium (½ MSO)

Plant development medium (MSO)

10x SH modified vitamins with myo-inositol

Component	Amount/2Litre	Final concentration	
$MgSO4-7H2O$	700 mg	35 mg/L	
$MnSO_4-H_2O$	88 mg	4.4 mg/L	
$Ca(NO3)2 - 4H2O$	6.94 g	347 mg/L	
NH ₄ NO ₃	20 g	1000 mg/L	
KNO ₃	20 g	1000 mg/L	
KH ₂ PO ₄	6g	300 mg/L	
KCl	1.3 _g	65 mg/L	
H_3BO_3	32 mg	1.6 mg/L	
$ZnSO4-7H20$	30 mg	1.5 mg/L	
KI	16 mg	0.8 mg/L	
Fe(III)EDTA	720 mg	3.6 mg/L	
VITAMINS:			
Nicotinic acid	10 mg	0.5 mg/L	
(B_6) pyridoxine HCl (10 mg/mL)	$200 \mu L$	0.1 mg/L	
(B_1) thiamine HCl (10 mg/mL)	$200 \mu L$	0.1 mg/L	
Glycine (10 mg/mL)	4 mL	2 mg/L	

10x SH modified vitamins with myo-inositol

10X MS-modified vitamins

Buffers	Chemicals	Concentration
hybridization buffer	$Na2HPO4$ (pH 7.2)	0.25M
	EDTA $(pH 8.0)$	1 mM
	BSA	1%
	SDS	20%
Post hybridization wash	$Na2HPO4$ (pH 7.2)	20 mM
buffer	EDTA $(pH 8.0)$	1 mM
	SDS	1%
Blocking buffer	Tris-HCl (pH 7.6)	100 mM
	NaCl	150 mM
	BSA	$0.1\% - 0.5\%$
	Skim Milk	$2 - 5%$
Antibody wash buffer	Tris-HCl $(\text{pH } 7.6)$	100 mM
	NaCl	150 mM
Activation buffer	Tris-HCl $(\text{pH } 9.5)$	100 mM
	NaCl	100 mM

Table S3 Buffers and extraction reagent used in Southern blot analysis and their components

Buffers	Chemicals	Concentration	
Extraction buffer 1	Sucrose	0.4 _M	
	Tris-HCl (pH=8)	$10\text{ }\mathrm{mM}$	
	MgCl ₂	10 mM	
	β -ME	5 mM	
	PMSF	0.1 mM	
	Protease inhibitor1	2 tablets/100 mL	
Extraction buffer 2 Sucrose		0.25 _M	
	Tris-HCl (pH=8)	10 mM	
	MgCl ₂	10 mM	
	Triton X-100	1%	
	β -ME	5 mM	
	PMSF	0.1 mM	
	Protease inhibitor1	1 tablet/10 mL	
Extraction buffer 3	Sucrose	1.7 _M	
	Tris-HCl (pH=8)	10 mM	
	MgCl ₂	2 mM	
	Triton X-100	0.15%	
	β -ME	5 mM	
	PMSF	0.1 mM	
	Protease inhibitor1	1 tablet/10 mL	
	Sucrose	1.7 _M	
Nuclei lysis buffer	Tris-HCl $(pH=8)$	50 mM	
	EDTA	10 mM	
	SDS	1%	
	Protease inhibitor1	1 tablet/10 mL	

Table S4 Buffers used in ChIP assay and their components

¹Obtained from Sigma-Aldrich, Canada

Table S5 Top 50 out of 1710 differentially expressed genes and their functions in RNAi12-29

No.	Gene	Function	No.	Gene	Function
	Medtr1g008740	NAC transcription factor-like protein	18	Medtr1g018750	carbohydrate esterase plant-like protein
$\overline{2}$	Medtr1g009200	peptide/nitrate transporter plant	19	Medtr1g019130	wuschel-related homeobox protein
3	Medtr1g009613	shikimate kinase-like protein,	20	Medtr1g019410	cytochrome P450 family ABA 8'-
		putative			hydroxylase
4	Medtr1g009720	plasma membrane H+-ATPase	21	Medtr1g019670	EF hand protein
5	Medtr1g010120	glucan endo-1,3-beta-glucosidase-	22	Medtr1g021642	cysteine-rich receptor-kinase-like protein
		like protein			
6	Medtr1g011580	gibberellin 2-beta-dioxygenase	23	Medtr1g023120	beta-like galactosidase
7	Medtr1g011800	plant/F18G18-200 protein	24	Medtr1g024095	filament-plant-like protein
8	Medtr1g013150	glycoside hydrolase family 18 protein	25	Medtr1g025950	cinnamyl alcohol dehydrogenase-like protein
9	Medtr1g014320	hypothetical protein	26	Medtr1g026110	syringolide-induced protein 14-1-1
10	Medtr1g015890	glutaredoxin-like protein, putative	27	Medtr1g027290	flavonol synthase/flavanone 3-
					hydroxylase
11	Medtr1g016780	vacuolar processing enzyme	28	Medtr1g027490	wall-associated receptor kinase-like
					protein
12	Medtr1g017500	hypothetical protein	29	Medtr1g028290	receptor-like kinase
13	Medtr1g017700	phytosulfokine precursor protein	30	Medtr1g028970	glycolipid transfer protein (GLTP) family
					protein
14	Medtr1g018200	TPR 7B-like protein	31	Medtr1g029600	receptor-like kinase plant, putative
15	Medtr1g018420	C2H2-type zinc finger protein	32	Medtr1g029610	receptor-like kinase plant-like protein,
					putative
16	Medtr1g018510	calcium-binding EF hand-like protein	33	Medtr1g030810	pathogenesis-related protein bet V I
					family protein
17	Medtr1g018640	gibberellin-regulated family protein	34	Medtr1g032290	nudix hydrolase-like protein

Table S6 Top 50 out of 840 differentially expressed genes and their functions in RNAi12-24

No.	Gene	Function	No.	Gene	Function
1	Medtr5g081030	leghemoglobin Lb120-1	18	Medtr7g103390	Myb/SANT-like DNA-binding domain
					protein
$\overline{2}$	Medtr4g085800	PLC-like phosphodiesterase	19	Medtr4g068000	lipid-binding protein
		superfamily protein			
3	Medtr3g087730	linoleate 13S-lipoxygenase 2-1,	20	Medtr5g084040	Nodule-specific Glycine Rich Peptide
		related protein			
4	Medtr1g069825	G1-like protein	21	Medtr2g087830	hypothetical protein
5	Medtr1g054635	fatty acyl-CoA reductase-like	22	Medtr2g076010	pathogenesis-like protein
		protein			
6	Medtr5g099060	nodule inception protein	23	Medtr3g069420	peptide/nitrate transporter
7	Medtr4g094812	caffeoyl-CoA 3-O-	24	Medtr8g059150	MADS-box transcription factor family
		methyltransferase			protein
8	Medtr7g114870	IQ calmodulin-binding motif	25	Medtr1g051120	hypothetical protein
		protein			
9	Medtr1g049330	leghemoglobin Lb120-1	26	Medtr2g438260	adenine nucleotide alpha hydrolase-like
					domain kinase
10	Medtr4g094338	hypothetical protein	27	Medtr8g006790	plasma membrane H+-ATPase
11	Medtr6g038390	oxidoreductase family, NAD-	28	Medtr1g101500	carbohydrate-binding X8 domain protein
		binding rossmann fold protein			
12	Medtr1g052840	hypothetical protein	29	Medtr3g073150	nitrate reductase NADH-like protein
13	Medtr4g081190	ABC transporter B family protein	30	Medtr7g089640	F-box plant-like protein
14	Medtr3g415610	histone deacetylase family protein	31	Medtr7g099870	ion channel regulatory protein UNC-93
15	Medtr5g018480	cytochrome P450 family protein	32	Medtr2g064310	ZIP zinc/iron transport family protein
16	Medtr3g078623	formin-like 2 domain protein	33	Medtr2g031750	transmembrane amino acid transporter
					family protein
17	Medtr6g093180	beta-amyrin synthase	34	Medtr1g067150	RabGAP/TBC domain protein

Curriculum Vitae

Nasrollahi, V, Yuan Z-C, Kohalmi SE and Hannoufa A. SPL12 directly regulates *AGL6* and *AGL21* in modulating nodulation and root regeneration under osmotic stress and nitrate sufficient conditions in *Medicago sativa.* Plants (submitted)

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Selected scientific presentation:

Nasrollahi V, Kohalmi SE, Hannoufa A,. (2021) miR156/SPL12 regulates nodulation and root regeneration in *Medicago sativa*. Plant Science and Genomics (Online). [Presentation].

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