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Imaging of Lung Function Using Hyperpolarized Helium-3 Magnetic Resonance Imaging: Review of Current and Emerging Translational Methods and Applications

Sean Fain, PhD, Mark L. Schiebler, MD, David G. McCormack, MD, and Grace Parraga, PhD

During the past several years, there has been extensive development and application of hyperpolarized helium-3 (HP 3He) magnetic resonance imaging (MRI) in clinical respiratory indications such as asthma, chronic obstructive pulmonary disease, cystic fibrosis, radiation-induced lung injury, and transplantation. This review focuses on the state-of-the-art of HP 3He MRI and its application to clinical pulmonary research. This is not an overview of the physics of the method, as this topic has been covered previously. We focus here on the potential of this imaging method and its challenges in demonstrating new types of information that has the potential to influence clinical research and decision making in pulmonary medicine. Particular attention is given to functional imaging approaches related to ventilation and diffusion-weighted imaging with applications in chronic obstructive pulmonary disease, cystic fibrosis, asthma, and radiation-induced lung injury. The strengths and challenges of the application of 3He MRI in these indications are discussed along with a comparison to established and emerging imaging techniques.

Key Words: pulmonary MRI; hyperpolarized noble gas; 3He MRI; COPD; cystic fibrosis; asthma


RATIONALE FOR FUNCTIONAL IMAGING OF THE LUNG

OVER THE PAST 25 YEARS, magnetic resonance imaging (MRI) has developed as a critical research and diagnostic tool. This is mainly due to the unique tissue contrast of water and fat protons (1H) in their local tissue environments provided by MRI, but MRI also readily provides relatively high 3D spatial and temporal resolution, especially in comparison to other functional imaging methods such as positron emission tomography (PET) and single photon emission computed tomography (SPECT) (1). However, until recently, MRI of low proton or 1H density regions of the lungs has been much more challenging than other body tissues because of the inherently low 1H abundance and corresponding low 1H signal. Furthermore, the multitude of air–tissue interfaces within the lung also create significant magnetic field distortions, or susceptibility artifacts, which further diminish the lung MR 1H signal. Moreover, respiratory and cardiac motion during image acquisition can further degrade pulmonary MR image quality. While respiratory gating and/or rapid breath-hold imaging methods substantially attenuate the effects of motion, low proton density and susceptibility effects together result in significant technological roadblocks that have hampered the clinical utility and use of pulmonary MRI.

The development of inhaled hyperpolarized (HP, or magnetized) helium-3 (3He) and xenon-129 (129Xe) contrast agents overcomes the low proton density issues related to normal and diseased lung tissues. Polarization is most commonly achieved using the spin exchange optical pumping (SEOP) method (2–4), although the metastability exchange process can also be used to polarize the 3He nucleus (5). Both processes increase nuclear polarization of the unpaired nuclear proton in these atoms of up to five orders of magnitude compared to the modest linear increase with field strength using thermal polarization (6–9). This increased nuclear polarization compensates for the low density of inhaled noble gas nuclei within the lung (as compared to the abundance of tissue-based...
Hyperlabeled HP 129Xe MRI is most commonly used in research even though the global quantities of 3He are very limited and expensive (10). Volatility in the market cost of 3He (e.g., $600–$1900/L in 2009) is partly due to government and political considerations, but the limited supply of this agent will likely restrict reimbursable clinical applications for the foreseeable future. For the other noble gas used in pulmonary imaging, 129Xe, the fractional solubility in the bloodstream (≈17% at equilibrium (11)) has additional applications for measuring parameters related to gas exchange. Nonetheless, the application of HP 129Xe MRI has lagged behind HP 3He MRI methods largely because 129Xe is more challenging to polarize (4), has a lower gyromagnetic ratio than 3He (11.8 MHz/T vs. 32.4 MHz/T), and as well, clinical and research protocols for its application are not as fully developed. Consequently, HP 129Xe MRI in human subjects is only superficially treated in this review, although there have been recent advances in both polarization physics (12,13) and application in human studies (14,15) that should encourage further translation of this technique given its more favorable cost and availability profile for research and clinical applications.

Several excellent reviews focusing on the MR physics and methodology of imaging with polarized gases are in the literature (13,16–19). The principal aim of this review is to focus on clinical and research applications of this imaging technology. In practice using the SEOP method, the 3He gas is polarized over a period of 12–14 hours (overnight) and inhaled by subjects from a bag mixed with medical nitrogen for immediate breath-hold imaging (8–16 sec). The method is safe, requires no ionizing radiation dose, and can be repeatedly inhaled facilitating longitudinal (20,21), interventional, (22), and pediatric (23) exams. There is now extensive experience using 3He MRI in human subjects and most typically no respiratory adverse events are reported although mild events in less than 10% of subjects (24,25) are not uncommon. These mild adverse events are primarily related to a temporary feeling of lightheadedness and are short-lived. Importantly, there is no trend towards increased adverse events in more severe disease, which is significant given that the primary safety concern is due to the anoxic He-Nitrogen gas mixture that replaces the air in the lungs during this test. Even extended breath-holds of 10–20 seconds rarely result in the measured pulse oximetry hemoglobin saturation falling below 90% for more than a few seconds (25). There are now a number of commonly used measurements derived from HP 3He MRI including the static airway functional measurement of 3He ventilation, the structural measurement of airspaces using the 3He apparent diffusion coefficient (ADC), and the dynamic measurement of 3He gas wash-in and wash-out characteristics. Here we review the important and relevant clinical research contributions of the 3He MRI measurements of the lung airspaces and airway structure and function for healthy subjects and in lung disease.

HYPERPOLARIZED 3HE MRI OF VENTILATION

Hyperlabeled 3He MRI provides an opportunity to visualize those areas of the lung that participate in ventilation and those that do not. This is particularly true for the terminal respiratory bronchioles and their adjacent alveoli that are only ventilated by diffusion. As shown in Fig. 1, in healthy young adults, a single inhalation of hyperpolarized 3He gas results in homogeneous signal, suggesting that all areas of the lung are participating equally in ventilation. In contrast, characteristic volumetric “focal” defects are observed in chronic obstructive pulmonary disease (COPD) and asthma, corresponding to areas of the lung that are not ventilated or are poorly ventilated within the time-course of a typical 8–16-second breath-hold scan. Focal defects (26) are identified as regions with no signal or reduced signal relative to surrounding areas (Fig. 1) that often create a pattern of spatially heterogeneous now recognized as a defining characteristic of both COPD (27,28) and asthma (29). All three major lung imaging platforms (CT, MRI, and PET) have documented surprising and large subsegmental and even segmental ventilation defects in asthmatics (30–32). For asthma specifically, the extent of heterogeneity revealed by HP 3He MRI is surprising because defects are observed even in asymptomatic patients.
and appear to involve the central airways, contradicting some conventional assumptions about obstructive lung diseases, previously thought to diffusely involve predominantly small airways with little or no change in the larger airways.

Ventilation defects in healthy normal subjects are relatively common, although these defects are typically small (<3 cm) and confined to the peripheral regions of the lungs (33,34). Consequently, there is substantial overlap between normal volunteers, patients with COPD, and patients with asthma with respect to the number and size of ventilation defects. However, on average the lungs of patients with obstructive disease have more numerous and larger defects that become more pronounced as disease becomes more severe (28,33). While it remains possible that some of these normal subjects have early-onset disease, (28) further study of the reproducibility and sensitivity of ventilation defect measures is required before this can be claimed definitively.

One of the main strengths of MRI using HP noble gases is in their ability to safely evaluate lung function longitudinally without ionizing radiation. This is of particular importance for younger individuals, where the risk of cancer induced by medical radiation is thought to be of importance (35). Important new observations about disease progression and persistence in asthma patients have shown that greater than half of defects are persistent over time periods of several days to over a year (20), further challenging the common perception of asthma as a dynamic disease with highly reversible sites of airway obstruction. A more systematic study found that 75% of defects were reproduceable day-to-day and that a similar number did not change in size (21). Moreover, the persistence of ventilation defects in these studies was observed to be independent of asthma severity and medication use, suggesting that these defects were refractory to therapy. The ultimate clinical significance of these fixed ventilation defects remains unknown and represents an area for further study.

The development of consistent protocols for gas inhalation that control for gas polarization and lung inflation volume are important for consistent interpretation of the clinical meaning of ventilation defect severity and pattern and for quantifying ventilation defect measures. Due to the fact that HP noble gases continue to be regulated as unapproved-for-marketing drug contrast agents by national drug agencies, calibration of polarized nuclei concentration in human subject studies has been well controlled to within 1%–2% using external low field (≤5–10 Gauss) calibration NMR systems. Typical doses are in the 5 ml/kg subject weight range; however, in recent studies the volume of He/N2 mixture used is individually adjusted to the subject’s total lung capacity to normalize the inflation volume across subjects (30,36) to total lung capacity (TLC). Novel approaches are needed to investigate the effects of inflation volume, compare results before and after respiratory maneuvers, such as forced expiration(s) (37) and deep inspiration(s) (22), that can readily be performed safely in conjunction with bronchodilation or other challenge interventions.

Ultimately, quantitative measures of ventilation and its spatial distribution are critical to the advance of HP noble gas MRI. The most common metric used in the early literature was the mean number of ventilation defects per slice (VDS). While this and similar scores are simple to implement and well suited to consensus evaluation in blinded studies (33), they typically condense the defect pattern into a single, whole lung metric, which does not capture regional information about the size and regional distribution of defects. Another approach is to sum the total defect volume observed in the lungs and normalize to total lung volume. In this way, focal 3He ventilation defects can be detected and directly quantified as the 3He MRI ventilation defect volume (VDV) or as a percent ventilation volume (PVV) (38). Van Beek and co-workers (39) showed that PVV was significantly different between healthy volunteers, healthy asymptomatic smokers, and subjects with COPD, which clearly shows the regional sensitivity of PVV to disease. In stage III COPD, 3He MRI VDV was also shown to be sensitive to small functional changes over short periods of time (40).

More quantitative regional measurement of defect volume better facilitates cross-modality comparisons to abnormalities observed using multidetector (MD)CT and bronchoscopy. These quantitative approaches normalize defect volume to both total lung volume and individual lung lobes, to account for both defect size and distribution (27,30). In cases of repeated studies, these measures can be normalized to baseline signal values to calculate fractional ventilated volume (22). Alternatively, a spatial coefficient of variation, or standard deviation kernel, can be used to measure signal heterogeneity regionally (22). This heterogeneity measure has been used effectively to measure persistence of ventilation defects after deep inspiration in subjects with asthma compared to normal volunteers after methacholine challenge (22). Importantly, when 3He MR ventilation images of a patient with stage 3 COPD are directly compared with CT (Fig. 2), there is no anatomical or tissue heterogeneity detected in the CT images that would be predictive of the functional ventilatory changes clearly revealed by HP 3He MRI.
$^3$He MRI DIFFUSION-WEIGHTED IMAGING

$^3$He is a low-density gas with a corresponding high free-diffusion constant (≈2 cm$^2$/sec) that is biologically inert and effectively insoluble in blood and tissues (11,41). Physical diffusion of the gas atoms due to random Brownian motion (as opposed to transmembrane gas diffusion) within the open airspaces of the lung parenchyma can be measured using similar diffusion-weighted imaging (DWI) to that used in DWI of water in conventional MRI (42). DWI of $^3$He gas provides a sensitive and rapid approach for evaluating the lung microstructures generally, including dimensions of the alveoli and acini that define the boundaries of the fundamental units for gas exchange (43).

Fick's law predicts that the mean displacement of the gas spins ($\ell$) measured over some time interval ($\Delta t$) is approximated by the standard deviation of a Gaussian function given by (44):

$$\ell \approx \sqrt{2\Delta D(44)}$$

When $^3$He gas is restricted by tissue boundaries, the diffusivity, $D$, is referred to as the apparent diffusion coefficient, ADC. Typically the diffusion weighting gradients for $^3$He MRI applications are short bipolar pulses for which the timing variable, $\Delta t$, represents the separation between the diffusion encoding gradient pulses is on the order of 1–2 msec. These short bipolar gradient pulses minimize the TE and breath-hold. For $\Delta t$'s of 1–2 msec, the average displacement of helium atoms is the same order of magnitude as alveolar diameters (a few hundred micrometers) and this so-called “short range ADC” measure is the one most widely used in patient studies.

In practice, at least two measurements are generally required: one with diffusion encoding gradients applied, $S$, and one without, $S_0$. A simple monoexponential model is used to obtain the ADC, where:

$$ADC = \frac{1}{b} \ln \left(\frac{S_0}{S}\right)$$

The ADC image can be interrogated on a pixel-by-pixel basis to provide a quantitative ADC map of surrogate airspace size measurements and accordingly of emphysematous damage (9,45). Parametric images of regional ADC changes in the lung are consistent with alveolar changes expected with increases in lung volume (36), gravity dependence (36,46), age (47), and etiology of emphysema, ie, COPD or alpha1-antitrypsin (28,48,49). Previous COPD studies have shown that ADC correlates with pulmonary function (46,48,50) and histological measurements of lung surface area (51) and is highly reproducible in COPD (36) and sensitive to subclinical disease (52) and potentially disease progression (49). Values for $^3$He ADC range from 0.8 cm$^2$/sec for unrestricted free space (akin to an infinitely large container) to 0.66 cm$^2$/sec for an elderly COPD patient (FEV1 26% predicted) and 0.16 cm$^2$/sec for a young nonsmoker (FEV1 130% predicted), as shown in Fig. 3. Although the free diffusion of $^{129}$Xe is much smaller (53) (0.06 vs. 1.8 cm$^2$/sec), recent advances in Xe-129 polarization (12) have encouraged preclinical studies (54–56) and several promising pilot studies in human subjects, including DWI to obtain measures of short-range ADC in the lungs of healthy normal subjects (Fig. 4), support the extension of DWI with $^{129}$Xe.

An important limitation of ADC is that it represents a relative measure that does not directly represent a quantitative structural dimension that can be related to a measure on histology, for example. The ADC measured on different platforms with different $b$-values and timing characteristics will necessarily yield different absolute values (57). This has motivated efforts to relate diffusion values to measurable histological features such as mean length and surface area to volume. In the short diffusion time regime, between 1 and 1.6 msec, workers (51,58,59) have exploited the known geometry of the pulmonary acinus, as first described by Bachofen and Weibel (60) to derive geometric parameters such as mean airspace chord length and surface area to volume ratio and related these to histology measurements in ex vivo human lungs.

LONG RANGE DIFFUSION

Novel approaches have also been used to measure diffusion of HP $^3$He in the long time regime, where $\Delta t$ is...
on the order of 0.5 to several seconds, which would normally be difficult to acquire in the lungs due to the short T2* and limited breath-hold. However, measures of long-range diffusion across multiple acini and airways have been achieved by storing the polarization along the longitudinal axis for extended times of 1–1.5 seconds followed by readout of a stimulated echo (61). Another approach for measuring long-range diffusion uses low spatial frequency (wavelengths 2–3 cm) sinusoidal spin tags applied during breath-hold and followed by serial images to monitor the tag decay due to depolarization and diffusion (62).

Long-range diffusion has enabled the exploration of communication and collateral ventilation within healthy and diseased (63,64). Initial results have found that long-range ADC is more sensitive to changes associated with COPD and asthma (Fig. 5) than short-range ADC (62,65,66), probably reflecting the fact that airway level changes are more pronounced in asthma. Much more restricted diffusion across acinar and airway branches might be expected and, in fact, long-range ADC is about 2 orders of magnitude smaller than short-range ADC (eg, 0.002 cm²/sec vs. 0.16 cm²/sec in healthy lungs). Simulations in generalized branching models predict even smaller long-range ADC than is measured (63), leading to speculation that collateral ventilation in the healthy lungs is higher than previously thought. However, simulations using more complete models have found predictions more in agreement with measured results (67,68), inspiring ongoing debate in the literature (69).

**DYNAMIC IMAGING**

Breath-hold images are limited to a binary interpretation, ie, presence or absence of a ventilation defect. Fast MRI techniques provide the potential for visualizing gas distribution over the full respiratory cycle. Fast MRI acquisitions for dynamic imaging typically employ non-Cartesian k-space trajectories including spiral and radial acquisition with SPGR sequences (70,71). Early work in COPD made use of interleaved spiral k-space acquisition to depict delayed flow-in and washout in a single coronal slice followed by extension of this approach to a multislice stack of spirals acquisition (70). More recently, undersampled radial MRI methods (37,71,72) and stack of spiral acquisition with parallel reconstruction along the slice dimension have been introduced that can provide 3D images at ≈1-second temporal resolutions.

Studies of dynamic inhalation and forced exhalation in human subjects have demonstrated spatial and temporal heterogeneity in the uptake and the washout of the HP ³He in asthma (Fig. 6) (37,72) and cystic fibrosis (CF) (73). Dynamic methods can also provide quantitative measures, such as arrival time, time to peak, and washout slope in regions with partial obstruction that demonstrate diminished but finite
Figure 6. Coronal maximum intensity projections of a 3D dynamic imaging study using HP \(^3\)He-MRI to assess ventilation and gas trapping using a forced exhalation maneuver in asthma. Breath-hold encompasses the time from 10–13 seconds followed by a forced exhalation maneuver showing gas trapping in the left lung most clearly visualized at 25 seconds (arrow). This patient’s FEV\(_1\) was normal, 94% predicted, before and after imaging suggesting significant subclinical heterogeneity and abnormalities of ventilation exist in this patient population.

Figure 7. Results from 3D dynamic MRI in a subclinical finding during inspiration, breath-hold, and forced expiration. MRI results (a,b) are compared to follow-up MDCT in the same subject in (c,d) showing hyperlucency in the RUL due to air trapping on MDCT (arrows c,d). Plots of signal time-course for dynamic MRI for the right upper lobe (yellow) compared with left upper lung (green) in the same case. Hyperintense signal on HP \(^3\)He was found to correspond to the second segment that was not blocked by a pulmonary aneurysm (magenta). Note delayed filling as evident by the later time-to-peak signal enhancement relative to the expected trapezoidal shaped enhancement curve in the contralateral left lung region.
gas uptake and/or delayed filling (Fig. 7) (39). Moreover, dynamic imaging of respiratory dynamics with whole lung coverage may be the only way to assess regional lung function in very sick and pediatric patients.

MECHANICAL DEFORMATION STUDIES

Changes in the mechanical properties of the lungs are associated with a variety of restrictive (74) and obstructive lung diseases (75). Finite element analysis can be used in conjunction with these dynamic images using proton or HP gas MRI to calculate regional stress and strain in healthy and diseased lungs. Moreover, these images can be acquired during spirometry maneuvers to further quantify regional lung stiffness and compliance in the context of whole lung function (76–78). However, mapping of lung elements over the multiple steps/time frames of the experiment is challenging and represents a serious limitation of conventional MRI in the relative absence of anatomic markers within the lungs. Spin tagging of hyperpolarized gases is an alternative for tracking lung compliance (79–81). Recent development of approaches for faster spin tagging sequences have improved temporal resolution, allowing more precise regional depiction of lung deformation during dynamic maneuvers (82). More work in this area is required in order to definitively relate these MRI-derived mechanical properties of the lung to established markers of airways disease and interstitial fibrosis. Therefore, lung compliance has not been measured noninvasively in vivo. These new measures of lung structure may provide a fertile area for further research in the large number of restrictive lung diseases and their progression, or after therapy.

EMERGING APPROACHES

Oxygen-weighted HP gas MRI was one of the earliest methods proposed and demonstrated in animal models (83). The gas dose is mixed with pure O2 immediately prior to inhalation to approximate normoxic concentrations (20% O2). The T1 decay of 3He and Xe-129 in normoxic mixtures (20% O2) are on the order of 30 seconds or greater for field strengths from 1.5–3 T. There is effectively no signal recovery and the T1 signal decay for HP gases is dominated by radiofrequency (RF)-saturation and the paramagnetic effects of residual oxygen in the lungs (83). Consequently, the paramagnetic effects of O2 that effectively decrease T1 of the polarized gas provide a quantitative estimate of the PO2 (83–85) that can potentially be used to calculate VA/Q (86). Typically, the same slice is imaged at multiple phases and at different delay times to separate RF-saturation of signal from signal loss due to PO2 concentration (86). Recent work has allowed measurement within a single breath-hold (85,87). The technique has potential application in pulmonary embolism (88) and in bronchiolitis obliterans after lung transplant (89).

AIRWAY MEASUREMENT

All of the aforementioned techniques and most of the previously published techniques focus on the parenchymal space in the lung rather than the large airways. Quantitative measures of airway lumen on MDCT (90) have shown changes in diseases such as asthma (91). However, significant ionizing radiation is associated with MDCT imaging of the lung spaces limiting its use for serial longitudinal and pediatric studies.

Several techniques using 3He MRI have evaluated early-filling timepoints from dynamic MRI (92,93) to isolate the large airways for quantitative measurement of the lumen. Direct measurement of the lumen (92,94) or after region growing segmentation of the airway tree (93) can be employed. These methods agree well with quantitative CT measures in phantom studies and in human subjects and may provide an alternative to CT for airway lumen measurement in longitudinal and pediatric studies.

IMAGE-GUIDED INTERVENTIONS

The spatial resolution of 3He MR images is an advantage for guiding the assessment or therapy of heterogeneous diseases of the lungs. For example, bronchoalveolar lavage at ventilation defect sites on HP 3He MRI show that neutrophil cell counts increase with extent of ventilation defect in asthma (30). A proposed example of treatment planning using 3He MRI includes recent use of 3He ventilation images to guide so-called “dose painting” in radiotherapy treatment planning (95–98). Response to therapy, including radiation-induced lung injury or inflammation (RILI) (99), can also be monitored. Similar applications of 3He MRI may increasingly be used to guide interventions such as stent placement in COPD (100) and smooth muscle ablation treatments in asthma (101).

DISCUSSION

It is important to note that 3He MRI is unique among pulmonary imaging methods because of its high spatial and temporal resolution of respiratory disease morphology (ADC) and function (ventilation volume) and its safe use across a wide variety of vulnerable pediatric, respiratory compromised, and elderly patients (25) to explore mechanisms of disease pathophysiology. Hence, a number of important respiratory diseases have been evaluated in some depth and breadth across different research sites including COPD, asthma, CF, and RILI.

As with other functional imaging methods that are yet in the “imaging physics” and “image processing” domain, there remain significant challenges to translating 3He MRI to clinical research and clinical care. The unique ability to measure disease morphological and functional consequences and explore mechanisms of disease pathophysiology does not necessarily directly translate to improved care unless alternative therapies exist that can benefit from the information
provided by HP He MRI. However, for the specific cases of asthma and COPD there is an increasing recognition that different phenotypes exist (40,102,103) and that these patient groups may have a differential response to therapy. Moreover, as therapies become more diverse and patient-specific, imaging with HP He MRI will likely be one of the only ways to verify response and efficacy for an individual patient or group of patients. Nonetheless, HP He MRI techniques need to become more quantitative, sensitive, and accessible to justify its current cost and complexity.

As with many functional imaging methods, there remain significant challenges to translating these methods to the clinic. It is also equally important to point out that currently respiratory diseases still have significant unmet treatment needs in terms of pharmaceutical and minimally invasive interventions; these disorders stand alone among the leading causes of death and disease. As the world becomes more industrialized and polluted, respiratory illnesses will continue to increase in prevalence, morbidity, and overall mortality. We believe this is largely the case because until recently lung imaging methods have been mainly restricted to x-ray-based methods and the lung is particularly radiation-sensitive, which diminishes the numbers and types of imaging sessions that are practical. While pulmonary function testing is quite reliable and inexpensive, there are many diseases that cannot be easily diagnosed by this type of functional lung testing. Imaging is often not used in the diagnosis of COPD, CF, asthma, or RILI in part because, unlike many organ systems, where early or sensitive diagnosis has lead to earlier and efficacious treatments, there is no such virtuous cycle in place for most respiratory diseases. As shown in Table 1, these current and emerging imaging methods for respiratory disease each have significant strengths and challenges. It is in this context or clinical reality that we continue to vigorously support the research and development of $^3$He MRI methods even in light of the increased costs, decreased availability, and access that are predicted for the near future.

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