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# Effects of Protein Deficiency on Perinatal and Postnatal Health Outcomes

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# Effects of Protein Deficiency on Perinatal and Postnatal Health Outcomes

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Shelby L. Oke and Daniel B. Hardy

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## Abstract

There are a variety of environmental insults that can occur during pregnancy which cause low birth weight and poor fetal health outcomes. One such insult is maternal malnutrition, which can be further narrowed down to a low protein diet during gestation. Studies show that perinatal protein deficiencies can impair proper organ growth and development, leading to long-term metabolic dysfunction. Understanding the molecular mechanisms that underlie how this deficiency leads to adverse developmental outcomes is essential for establishing better therapeutic strategies that may alleviate or prevent diseases in later life. This chapter reviews how perinatal protein restriction in humans and animals leads to metabolic disease, and it identifies the mechanisms that have been elucidated, to date. These include alterations in transcriptional and epigenetic mechanisms, as well as indirect means such as endoplasmic reticulum (ER) stress and oxidative stress. Furthermore, nutritional and pharmaceutical interventions are highlighted to illustrate that the plasticity of the underdeveloped organs during perinatal life can be exploited to prevent onset of long-term metabolic disease.

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## Keywords

DOHaD • Amino acids • Liver • Adipose • Pancreas • Maternal LP diet • Diabetes • Dyslipidemia • Longevity • Epigenetics • Posttranslational histone

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23 modifications • DNA methylation • Endoplasmic reticulum stress • MicroRNAs •  
 24 Taurine • Oxidative stress

### List of Abbreviations

25		
26	11 $\beta$ -HSD1	11 $\beta$ -Hydroxysteroid dehydrogenase type I
27	ADP	Adenosine diphosphate
28	Akt1	Protein kinase B
29	ALS	Amyotrophic lateral sclerosis
30	Cyp1A2	Cytochrome P450 1A2
31	Cyp2c11	Cytochrome P450 2c11
32	Cyp3a1	Cytochrome P450 3a1
33	Cyp7a1	Cholesterol 7 alpha-hydroxylase
34	DOHaD	Developmental origins of health and disease
35	ER stress	Endoplasmic reticulum stress
36	G6Pase	Glucose-6-phosphatase
37	GLUT4	Glucose transporter type 4
38	GR	Glucocorticoid receptor
39	GRP78	Glucose-regulated protein 78
40	IGF-1	Insulin growth factor 1
41	IRS-1	Insulin receptor substrate 1
42	IUGR	Intrauterine growth restriction
43	LDL	Low-density lipoprotein
44	LP	Low protein
45	LPL	Lipoprotein lipase
46	LXR	Liver X receptor
47	LXRE	LXR response element
48	MEF2	Myocyte enhancer factor-2
49	miRs	MicroRNAs
50	MPR	Maternal protein restriction
51	p-eIF2 $\alpha$	Phosphorylated eukaryotic translation initiation factor 2
52	PND	Postnatal day
53	PPAR $\alpha$	Peroxisome proliferator-activated receptor alpha
54	PPAR- $\gamma$	Peroxisome proliferator-activated receptor gamma
55	ROS	Reactive oxygen species
56	SAM	Severe acute malnutrition
57	SGA	Small for gestational age
58	SIRT1	Sirtuin 1
59	UPR	Unfolded protein response
60	XBP1	X-box binding protein 1

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## 78 Introduction

79 There are a variety of insults that can occur during pregnancy leading to intrauterine  
80 growth restriction (IUGR). IUGR is characterized by a delay in fetal growth rate;  
81 therefore, IUGR infants are often categorized as being small for gestational age  
82 (SGA) due to low birth weight. One of the most common insults that can prompt  
83 IUGR is maternal malnutrition, a global problem across all classes of socioeconomic  
84 status. Over the past half century, a sizable amount of evidence has revealed the  
85 important relationship between birth weight and postpartum development (Barker  
86 1994; Ong et al. 2000). One of the leading contributors to this finding was Dr. David  
87 Barker, an English epidemiologist who is well known for establishing the “Predictive  
88 Adaptive Response” hypothesis (Hales and Barker 2001). This hypothesis is  
89 highly supportive of the developmental origins of health and disease (DOHaD), as  
90 it suggests that unfavorable in utero events can permanently alter physiological pro-  
91 cesses that lead to the metabolic syndrome. The hypothesis states that fetal program-  
92 ming is altered in preparation of a nutritionally scarce postnatal environment, thereby  
93 producing a “thrifty” phenotype that is characterized by fetal energy conservation  
94 (Hales and Barker 2001). Unfortunately, these metabolic adaptations become harm-  
95 ful when the fetus is born into a nutritionally rich environment because the neonate is  
96 programmed to store energy rather than spend it. Individuals who are affected by this  
97 thrifty phenotype therefore tend to become obese early in life and have an increased  
98 risk for early-onset type II diabetes mellitus, cardiovascular disease, and stroke among  
99 other chronic conditions (Ravelli et al. 1998; Eriksson 2006; Barker et al. 2002).

100 The composition of maternal diet during pregnancy plays a large part in fetal  
101 development, as an absence or excess of nutrients can impact organ growth and  
102 development. Maternal malnutrition can exist in a variety of forms, including global  
103 nutrient abnormalities (i.e., high or low caloric intake) or atypical supplementation  
104 of specific macromolecules and nutrients. Regardless of the source, human and animal  
105 studies have demonstrated that maternal malnutrition in pregnancy also leads to  
106 placental insufficiency, an idiopathic condition by which reduced maternofetal nutrient  
107 transfer leads to IUGR (Ogata et al. 1986; Simmons et al. 1992). One such model is the  
108 maternal protein restriction (MPR) model of undernutrition, which investigates the  
109 impact of perinatal protein deficiency in IUGR offspring. Amino acids have been

110 shown to be critical for fetal growth and development, as they are the structural  
111 building blocks for all proteins (Crosby 1991; Petry et al. 2001). Inadequate sup-  
112 plementation of amino acids during pregnancy has been shown to cause asymmet-  
113 rical IUGR, as LP animal offspring have reduced growth of organs such as the liver,  
114 muscle, and pancreas at the expense of more essential organs like the brain (Desai  
115 and Hales 1997). These offspring consequently have impaired metabolic program-  
116 ming that persists into adulthood, and thus exhibit a phenotype that is characteris-  
117 tic of the metabolic syndrome. Moreover, as Barker's hypothesis would suggest, MPR  
118 offspring that are fed a normal protein diet after birth undergo rapid growth during  
119 early periods of life (Ozanne and Hales 2004). Moreover, postnatal catch-up growth  
120 exacerbates the symptoms and incidence of metabolic deficits (Sohi et al. 2011; Bol  
121 et al. 2009; Bieswal et al. 2006), and this dietary mismatch also appears to have  
122 significant effects on lifespan (Ozanne and Hales 2004). Considering these changes  
123 to metabolism and longevity, this review aims to show the importance of maternal  
124 protein during pregnancy on long-term outcomes of the offspring, with an emphasis  
125 on how postnatal catch-up growth can modify the mechanisms responsible for  
126 regulation of glucose, lipids, hormones, and lifespan.

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## 127 Protein Restriction and Long-Term Outcomes: Clinical Evidence

128 In 1986, Barker and his colleagues discovered birth records for over 15,000 English  
129 persons born prior to 1931. These records were collected by Miss Ethel Burnside,  
130 Lady Inspector of Midwives for Herfordshire, England, who documented birth  
131 weight and body weight at 1 year of age (Barker 2003). These follow-up records  
132 allowed Barker to assess the growth trajectory of individuals within the first year of  
133 life, and he was able to further inquire about adult health for those still living at the  
134 time. The data revealed that those who were born of low birth weight had dispro-  
135 portionately higher rates of coronary heart disease (Barker 2003; Ravelli et al. 1976),  
136 and these individuals also had impaired liver size and/or function at birth (Barker  
137 et al. 1993). This is not surprising, as IUGR often results in asymmetric organ  
138 development (Desai and Hales 1997). Furthermore, studies of individuals born  
139 around the time of the Dutch Hunger Winter reveal that prenatal exposure to famine  
140 confers increased risk for glucose intolerance in adulthood (Ravelli et al. 1998). This  
141 population also had high rates of obesity after exposure to famine during the first half  
142 of gestation (Ravelli et al. 1976), suggesting that timing of maternal malnutrition  
143 during pregnancy can influence long-term metabolic outcomes of offspring.

144 While the previously mentioned epidemiological studies are focused on caloric  
145 restriction, there is also evidence to support that protein deficiency during critical  
146 periods of development gives rise to poor metabolic outcomes. Populations of  
147 children with severe acute malnutrition (SAM) are often used to study the repercus-  
148 sions of malnutrition, as these individuals see the effects of a low calorie diet  
149 (marasmus) or a low protein, high carbohydrate diet (kwashiorkor; Forrester et al.  
150 2012; Spoelstra et al. 2012). In 1967, a study of Ugandan children revealed that  
151 individuals with kwashiorkor had low serum protein levels in comparison to those

152 with marasmus (Hadden 1967). These individuals also exhibited glucose intolerance  
153 and elevated plasma free fatty acids (Hadden 1967); however, children with kwashi-  
154 iorkor displayed normal glucose tolerance after a 2 week dietary intervention  
155 (Hadden 1967). It was proposed that the original impairment in glucose tolerance  
156 may be due to an inability to utilize free fatty acids as a substrate in the citric acid  
157 cycle, so adequate dietary protein may be essential for normal aerobic metabolism  
158 (Hadden 1967). More recent studies also show that children with kwashiorkor  
159 exhibit reduced lipolysis and fatty acid oxidation relative to children with marasmus  
160 (Badaloo et al. 2006), while children with kwashiorkor or marasmus have pancreatic  
161 beta cell dysfunction that contributes to glucose intolerance (Spoelstra et al. 2012).  
162 Studies have also established that SAM has early life origins, as low birth weight  
163 infants have high risk for exhibiting either marasmus or kwashiorkor when exposed  
164 to a nutrient-poor postnatal environment (Francis-Emmanuel et al. 2014). Interest-  
165 ingly, individuals who exhibit marasmus tend to be of lower birth weight than those  
166 who develop kwashiorkor; however, individuals from both groups of SAM tend to  
167 have poor metabolic outcomes as adults (Francis-Emmanuel et al. 2014). As men-  
168 tioned previously, nutrition-induced accelerated growth influences the onset of  
169 metabolic disease in low birth weight offspring (Eriksson 2006). Unfortunately,  
170 none of the discussed human SAM studies contained data on childhood growth  
171 rate, so it remains unknown as to whether catch-up growth is involved in metabolic  
172 outcomes of individuals who experienced SAM in early life. Furthermore, because a  
173 typical kwashiorkor diet has low protein and high carbohydrate content, it is not  
174 clear whether long-term metabolic dysfunction occurs in adulthood due to low  
175 dietary protein, high carbohydrates, or both for these individuals.

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## 176 **Is Veganism Safe in Pregnancy?**

177 Veganism and vegetarianism is also of interest when studying the effects of protein  
178 restriction, as a vegan/vegetarian diet relies solely on plant-sourced nutrients. Indi-  
179 viduals who practice veganism or vegetarianism must be careful to ensure that they  
180 ingest an adequate amount of protein, often in the form of legumes, lentils, grains,  
181 etc. There are mixed opinions on whether consumption of a vegan/vegetarian diet  
182 is safe during pregnancy, as observational human studies report conflicting data  
183 on both maternal and fetal outcomes. A literature review by Piccoli et al. (2015)  
184 revealed that multiple studies found infants of vegetarian mothers to be of lower  
185 birth weight than nonvegetarian mothers, while two different studies reported that  
186 infants of vegans/vegetarians actually have higher birth weight and length. Gesta-  
187 tional age was not disclosed for either of these studies; therefore, the association  
188 between a vegetarian/vegan diet and high birth weight is not necessarily meaningful  
189 (Piccoli et al. 2015). It was also noted that most studies did not report maternal  
190 protein intake levels, so it is hard to conclude whether there is a relationship between  
191 veganism/vegetarianism and fetal outcomes. Moreover, a case report by Mariani  
192 et al. (2009) revealed poor short-term outcomes of an infant born to a vegan mother.  
193 The infant had been breast-fed exclusively up until 10 months of age and showed

194 developmental delay, failure to thrive, and megaloblastic anemia among other  
195 conditions (Mariani et al. 2009). Furthermore, the infant exhibited major improve-  
196 ment with vitamin supplementation, so it may be that the health impairments were  
197 due to vitamin deficiencies rather than low protein (Mariani et al. 2009). Regardless  
198 of these reports, organizations such as the American Dietetic Association maintain  
199 that a vegan or vegetarian diet is safe during pregnancy (Craig and Mangels 2009).  
200 That said, physicians must assess protein intake of pregnant women who consume  
201 these diets, and future studies are warranted to determine any long-term detrimental  
202 effects on offspring.

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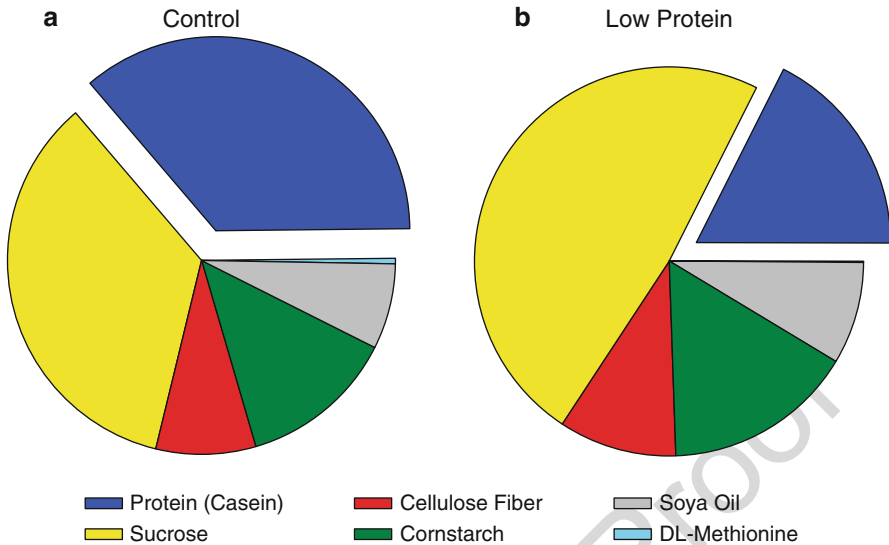
### 203 **Maternal Protein Restriction (MPR) Rodent Model: Relevance** 204 **to Human IUGR**

205 Protein and amino acids are an essential part of the human diet, and many studies  
206 have determined that amino acids have a key role in fetal growth and development  
207 (Crosby 1991; Petrik et al. 1999). An absence of amino acids is known to occur in  
208 cases of both maternal malnutrition and placental insufficiency, thereby leading to  
209 low birth weight and asymmetrical IUGR. It is for this reason that the MPR model  
210 can be used to study fetal undernutrition in response to maternal malnutrition or  
211 placental insufficiency. With the MPR model, pregnant rat dams are fed a diet of  
212 20% (control) or 8% protein. Offspring born to control diet-fed dams continue to  
213 have a 20% “normal” protein throughout life, while offspring born to LP dams are  
214 assigned to one of three groups: low protein 1 (LP1), low protein 2 (LP2), or low  
215 protein 3 (LP3). LP1 offspring are fed an 8% protein diet throughout life, while LP2  
216 offspring are fed an 8% protein diet until weaning (i.e., PND 21). Alternatively, LP3  
217 offspring are exposed to a LP environment exclusively during gestation – these pups  
218 are fed a 20% protein diet from birth through adulthood. It is also important to note  
219 that the reduction in calories in the 8% protein diet is compensated for by the  
220 addition of carbohydrates (Fig. 1). This makes each diet isocaloric with each other,  
221 thereby eliciting no maternal stress and no changes in maternal food intake or weight  
222 gain (Fig. 2). Furthermore, while there are no differences in postnatal food intake  
223 across all dietary groups of offspring, LP offspring were lower in body weight in  
224 postnatal life compared to control offspring (Fig. 2). It is also important to note that  
225 the MPR diet is not considered to be a “high carbohydrate” diet, as the slight percent  
226 increase in carbohydrates (13%) is negligible relative to the substantial decrease in  
227 protein content (greater than 50%).

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### 228 **Short-Term Outcomes I: Liver**

229 Studies involving the MPR model have demonstrated that mammalian fetal liver  
230 development is impaired due to the low protein insult. While there is an overall  
231 reduction in birth weight of LP offspring (Fig. 2), there is also a significant decrease  
232 in fetal liver to body weight ratio (i.e., the liver is proportionally small; Sohi et al. 2011).



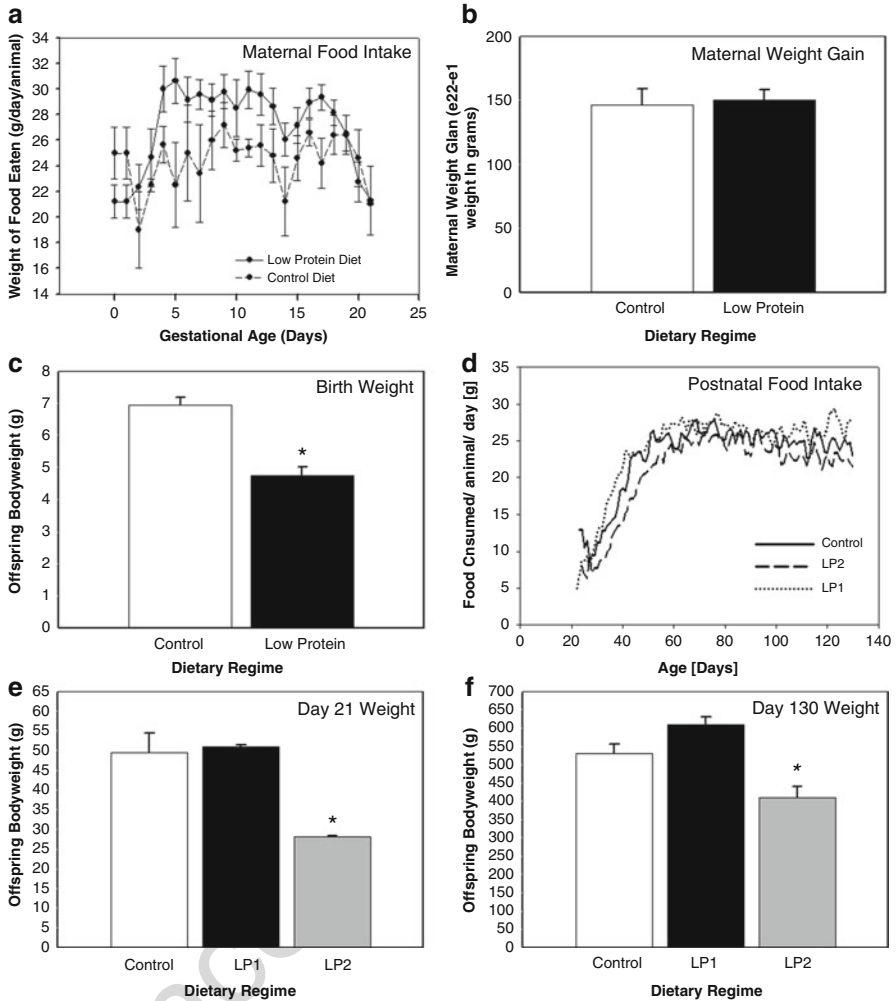
**Fig. 1 Overview of control and low protein rodent diets.** Composition of (a) Control (20% protein) and (b) Low protein diet (8% protein) are described. The low protein diet is attributed to decreased casein content but is made isocaloric by a slight increase (13%) in carbohydrates (i.e., sucrose)

233 This finding suggests that fetal liver growth is compromised at the expense of more  
 234 “vital” organs such as the heart and brain (Williams et al. 2005). Furthermore, the  
 235 timing of protein restoration appears to be significant during the neonatal period as  
 236 LP2 and LP3 offspring display liver and whole body postnatal catch-up growth  
 237 despite no differences in food intake (Sohi et al. 2011). Offspring having undergone  
 238 asymmetrical IUGR are believed to be prone to symptoms of the metabolic syn-  
 239 drome, and previous studies confirm that LP2 rat offspring exhibit glucose intoler-  
 240 erance at PND 130 due to altered hepatic gluconeogenesis (Vo et al. 2013). In addition,  
 241 adult male recuperated offspring have dyslipidemia and impaired drug metabolism  
 242 due to altered expression of various hepatic cytochrome P450 enzymes (Fig. 3; Sohi  
 243 et al. 2011, 2014).

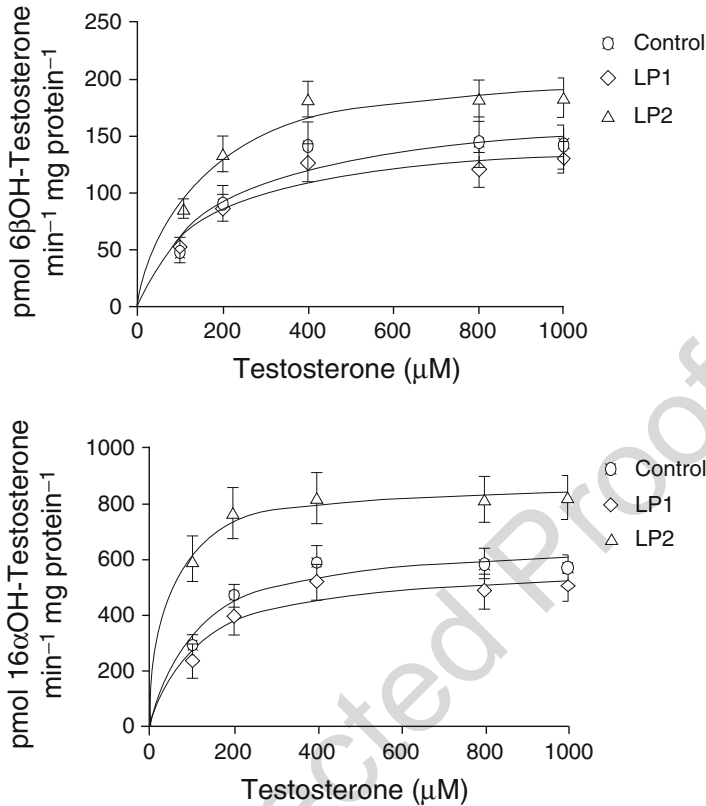
## 244 Short-Term Outcomes II: Other Organs

245 The effects of MPR are not exclusive to the liver. Epidemiological studies indicate  
 246 that there is an association between visceral obesity and poor fetal growth and this  
 247 has been further confirmed via the MPR rat model (Guan et al. 2005). The increase in  
 248 visceral adiposity occurs due to increased rates of preadipocyte proliferation, as  
 249 indicated by increased incorporation of [3H]-thymidine into the DNA of primary rat  
 250 preadipocytes (Zhang et al. 2007). It is also interesting that these studies showed  
 251 no apparent alteration in preadipocyte differentiation, as there were no significant





**Fig. 2** Effect of maternal low protein diet on (a) Maternal food intake, (b) maternal weight, (c) birth weight, (d) food intake of offspring, (e) weight of offspring at day 21, and (f) weight of offspring at day 130. Pregnant rats were given either a control diet (20% protein) or a low protein diet (8% protein) during gestation only (LP1) and lactation (LP2). Weight of food eaten in g/day/animal and maternal weight gain from gestation day 1 to gestation day 22 in grams were measured, respectively. Total maternal food intake, maternal weight gain, and birth weight results are expressed as the mean  $\pm$  SEM and significance was assessed using Student's unpaired t-test. For postnatal day 21 and 130 weight analysis, the dietary groups were compared by ANOVA and significant difference was determined by a Tukey HSD post hoc test for individual pairwise comparisons (\* $P < 0.05$ , indicates significance between both the control and LP1 group).  $n = 5-8$ /group, where each  $n$  represents an offspring derived from a different mother (Reprinted from "Higher Hepatic MiR-29 Expression in Undernourished Male Rats During the Postnatal Period Targets the Long-term Repression of Insulin-like Growth Factor 1", G Sohi et al., *Endocrinology* (2015) 156(9): 3069–3076, with permission from Oxford University Press)



**Fig. 3** Michaelis-Menten plots of (a) 6β-OH testosterone, (b) 16α-OH testosterone, and (c) 2α-OH testosterone after incubation of day 130 rat liver microsomes (Control, LP1, and LP2) with 1 mM NADPH and various concentrations of testosterone. Liver microsomes were extracted from control, LP1 (low protein all life), and LP2 (low protein diet during pregnancy and weaning) dietary regimes in postnatal day 130 offspring. Timed enzyme reactions were performed for testosterone metabolite analysis via solid-phase extraction followed by UPLC-PDA detection. Each data point on the curves were expressed as the mean ± SEM.  $n = 5-6$ /group, where each  $n$  represents an offspring derived from a different mother (Reprinted from “Protein Restoration in Low Birth Weight Rat Offspring Derived from Maternal Low Protein Diet Leads to Elevated Hepatic Cyp3a and Cyp2c Activity in Adulthood,” G Sohi et al., *Drug Metabolism and Disposition* (2014) 42: 221–228, with permission from The American Society for Pharmacology and Experimental Therapeutics (ASPET))

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252 differences in the expression of peroxisome proliferator-activated receptor gamma  
 253 (PPAR-γ) or lipoprotein lipase (LPL; Zhang et al. 2007). Early studies by Ozanne et al.  
 254 (1996b) also demonstrate that MPR leads to increased insulin sensitivity of muscle at  
 255 3 months of age, as LP offspring have increased glucose uptake into skeletal muscle  
 256 upon stimulation with low doses of insulin. This increased sensitivity is brought about  
 257 by increased expression of GLUT4 and insulin receptors in myocyte plasma mem-  
 258 branes (Ozanne et al. 1996a). While the mechanisms behind this are not well understood,

259 it is also known that this enhanced glucose tolerance is lost later in adult life due to  
260 insulin resistance (Hales et al. 1996).

261 Fetal brain development also appears to be compromised by protein restriction,  
262 as LP-born rat offspring exhibit changes in kynurenine metabolism in the brain.  
263 Kynurenine metabolites are involved in neuronal development (Honório de Melo  
264 Martimiano et al. 2017), so an imbalance of these compounds within fetal brain tissue is  
265 believed to contribute to an increased risk for mental health disorders. Additionally,  
266 there is an increase in reactive oxygen species (ROS) within the brainstem of LP male  
267 offspring at weaning, so neuronal mitochondrial function may be diminished (Ferreira  
268 et al. 2016). Based on the extensive amount of studies concerned with this particular  
269 diet, it is clear that LP-born offspring have gross organ impairment contributing not  
270 only to metabolic dysfunction, but to the onset of other adult diseases as well.

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## 271 Long-Term Outcomes I: Diabetes

272 Long-term effects to glucose homeostasis are highly promoted by maternal protein  
273 restriction, as demonstrated by glucose intolerance and insulin resistance in adult  
274 humans and adult rat offspring (Sohi et al. 2013; Chamson-Reig et al. 2009; Phipps  
275 et al. 1993). In the liver, MPR leads to hyperglycemia in 4 month offspring due  
276 augmented expression of gluconeogenic enzymes such as glucose-6-phosphatase  
277 (G6Pase) and 11 $\beta$ -hydroxysteroid dehydrogenase type I (11 $\beta$ -HSD1; Vo et al. 2013).  
278 Moreover, Burns et al. (1997) demonstrated that MPR adult rats have significantly  
279 reduced hepatic glucokinase expression, thus contributing to increased glucose  
280 output. Impaired liver function leading to insulin insensitivity is further evident in  
281 MPR offspring when examining both phosphorylated eukaryotic initiation factor 2  $\alpha$   
282 (p-eIF2 $\alpha$ ) and phosphorylation of Akt1 (Sohi et al. 2013). Adult MPR offspring with  
283 postnatal catch-up growth have increased p-eIF2 $\alpha$  [Ser51], a marker of protein  
284 translation attenuation and ER stress, and this is associated with a decrease in the  
285 phosphorylation of protein kinase B (Akt1) [Ser473], a marker of insulin resistance  
286 (Sohi et al. 2013). Interestingly, MPR offspring have unchanged levels of p-eIF2 $\alpha$  at  
287 embryonic day 19; therefore, the relationship between p-eIF2 $\alpha$  and insulin sensitiv-  
288 ity appears to be affected by postnatal catch-up growth rather than LP insult directly.  
289 This is in support of the predictive adaptive response hypothesis, as this molecular  
290 change occurs only in cases of a mismatched nutritional environment. Finally, expres-  
291 sion of hepatic glucagon receptors was reduced fivefold in studies of MPR offspring  
292 by Ozanne et al. (1996), along with a threefold increase in hepatic insulin receptors. AU7

293 These changes were reflected by reduced hepatic glucose output (relative to control  
294 animals) upon stimulation with glucagon, as well as increased glucose output with  
295 administration of insulin (Ozanne et al. 1996). These studies clearly verify the impor-  
296 tance of perinatal protein supplementation in fetal liver development, as the augmen-  
297 tation of many hepatic targets can negatively impact plasma glucose and insulin  
298 sensitivity.

299 In addition to poor outcomes seen in the developing liver, MPR appears to impact  
300 growth and function of other organs involved in glucose homeostasis, such as the

301 pancreas. Epidemiological studies of adults who suffered from SAM during child-  
302 hood have demonstrated that these individuals have glucose intolerance and poor  
303 insulin sensitivity later in life as a result of compromised beta cell development  
304 (Francis-Emmanuel et al. 2014). Similarly, the Preston and Hertfordshire studies by  
305 Barker and his colleagues revealed that there is an inverse relationship between birth  
306 weight, plasma glucose, and insulin concentrations of individuals exposed to famine  
307 during pregnancy (Hales et al. 1991; Phipps et al. 1993). Animal studies have since  
308 confirmed that this occurs because of reduced beta cell mass, increased islet cell  
309 apoptosis, altered beta cell cycle length and reduced pancreatic islet vascularization  
310 (Petrik et al. 1999; Boujendar et al. 2003). In cases of perinatal protein restriction,  
311 this phenotype can be rescued with administration of meat-sourced amino acids  
312 (e.g., taurine) during gestation and the first weeks of neonatal life (Boujendar et al.  
313 2002, 2003). Supplementation of a LP diet with 2.5% taurine leads to restoration of  
314 beta cell mass by PND 130 in vivo, and in vitro studies show that this is due to  
315 normalization of DNA synthesis, apoptosis, and fetal islet vasculogenesis (Boujendar  
316 et al. 2002, 2003). A study by Chamson-Reig et al. (2006) also determined that  
317 deficient beta cell development occurs in response to MPR during early, mid, and  
318 late gestation; however, males are more susceptible to this insult during late gestation  
319 and females during mid-gestation. Not only does this emphasize that there are  
320 sex-specific differences in organ development in response to MPR, but also that timing  
321 of perinatal protein deficiency plays a role in the severity of offspring outcomes.

322 Studies in humans and animals also support the idea that postnatal catch-up  
323 growth confers increased risk for diabetes later in life. A study of men and women  
324 in Helsinki demonstrated that individuals who developed type II diabetes mellitus in  
325 adulthood were of low birth weight but had also caught up to average weight and  
326 height by 7 years of age. Likewise, Blesson et al. (2017) showed that female rat MPR  
327 offspring have rapid catch-up growth in the first 4 weeks of life and exhibit elevated  
328 glucose at 3 months of age. Assessment of gastrocnemius muscle from these female  
329 offspring revealed that they express altered phosphorylation of molecules involved  
330 in insulin signaling, including insulin receptor substrate-1 (IRS-1), Akt-1, and gly-  
331 cogen synthase. This is again in support of the idea that postnatal catch-up growth  
332 is detrimental to metabolic organ function, as in utero adaptations are not conducive  
333 in a mismatched postnatal environment. In contrast with this, Zheng et al. (2012)  
334 demonstrated that female LP offspring have increased expression of Glucose Trans-  
335 porter Type 4 (GLUT4) mRNA and protein in skeletal muscle at PND 38. These  
336 offspring also have increased expression of myocyte enhancer factor 2A (MEF2A), a  
337 coactivator of *GLUT4* transcription, and increased glycogen synthase (Zheng et al.  
338 2012). The authors suggest that this may be an adaptive response to MPR during  
339 gestation, and it is possible that estrogen may be involved due to the apparent  
340 sex-specific differences (Zheng et al. 2012).

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## 341 Long-Term Outcomes II: Dyslipidemia

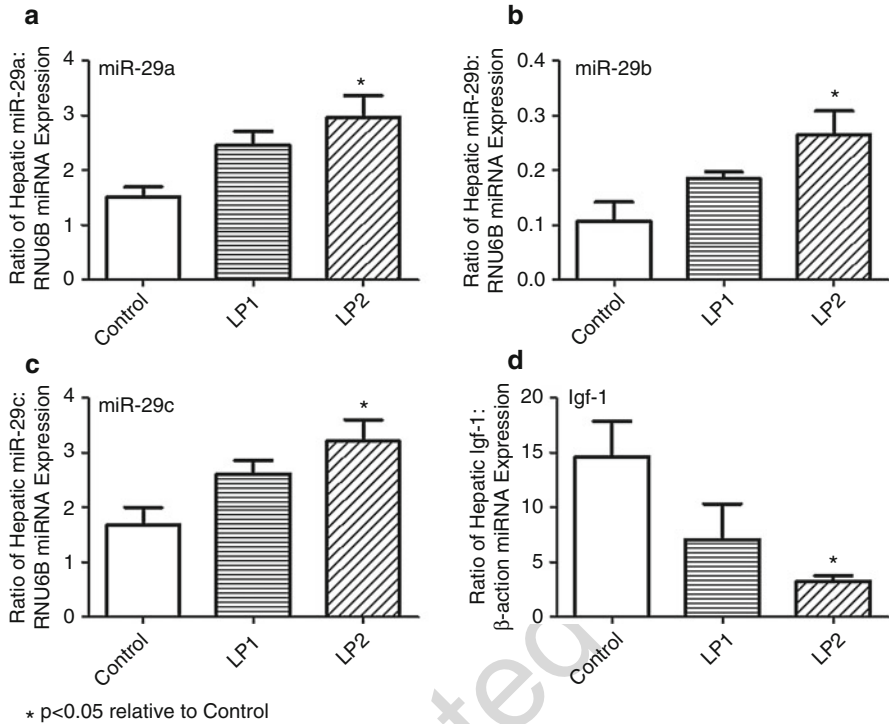
342 With respect to lipids, perinatal protein appears to play a role in the maintenance of  
343 healthy cholesterol levels in adult offspring. Male rats exposed to severe MPR (4%  
344 protein) during the last third of gestation exhibit elevated LDL and reduced high-  
345 density lipoprotein (HDL; de Oliveira et al. 2016). In addition, male rat MPR  
346 offspring with catch-up growth show increases in cholesterol due to decreased  
347 expression of Cyp7a1, the critical enzyme in cholesterol metabolism (Sohi et al.  
348 2011). While hepatic and circulating cholesterol was increased for both males and  
349 females at PND 21, there was an increase exclusively in males at PND 130 (Sohi  
350 et al. 2011). It is noteworthy that these adult offspring exclusively with catch-up  
351 growth (e.g., LP2 offspring) also have increased expression and activity of hepatic  
352 Cyp3a1 and Cyp2c11, which are involved in the catabolism of many drugs, includ-  
353 ing statins (Fig. 3, Sohi et al. 2014). Therefore, it is very conceivable that these  
354 animals that exhibit hypercholesterolemia also do not respond as well to cholesterol-  
355 controlling drugs. In addition, considering that testosterone is a major substrate for  
356 these particular Cyp enzymes, this may explain why MPR male offspring have lower  
357 circulating testosterone levels, and consequentially, the long-term sexual dimor-  
358 phism that exists in this model (Chamson-Reig et al. 2009).

359 Besides the changes seen in expression and function of hepatic Cyp enzymes,  
360 MPR also influences cholesterol levels by way of altered insulin growth factor-1  
361 (IGF-1). IGF-1 is a hormone that is known to play a large role in fetal and placental  
362 growth (Koutsaki et al. 2011), and its decreased expression has been proposed to  
363 induce dyslipidemia and hyperinsulinemia (García-Fernández et al. 2008). Admin-  
364 istration of exogenous IGF-1 leads to significantly reduced cholesterol levels in old  
365 mice relative to untreated old mice; however, cholesterol levels in treated mice still  
366 do not reach levels as low as those found in young, untreated mice (García-  
367 Fernández et al. 2008). Similar to uterine-ligated offspring, MPR offspring exhibit  
368 significantly reduced levels of *Igf-1* at PND 21 and 130 (Fig. 4; Sohi et al. 2015).  
369 These offspring consequentially have reduced growth rate in comparison to control  
370 offspring, as indicated by a significantly lower body weight at PND 21 and PND  
371 130 (Fig. 2). Given that this group of offspring also exhibits dyslipidemia in adult  
372 life (Sohi et al. 2011), it seems feasible that low levels of *Igf-1* contribute to  
373 abnormally high levels of cholesterol. It is noteworthy that offspring exposed to a  
374 LP diet exclusively during lactation exhibit an even greater reduction in expression  
375 of hepatic *Igf-1* (Sohi et al. 2015), which suggests that the neonatal window of  
376 development plays a significant role in the regulation of *Igf-1* expression.

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## 377 Long-Term Outcomes III: Premature Aging

378 Many studies have determined that there is an existing relationship between birth  
379 weight and longevity, and this is again due to alterations in fetal programming that  
380 underlie impeded fetal development. Lifespan becomes reduced when impaired fetal  
381 development is followed by postnatal catch-up growth, as demonstrated by studies



**Fig. 4 Quantitative RT-PCR microRNA analysis of (a) miR-29a, (b) miR-29b, (c) miR-29c, and (d) Igf1 mRNA in the livers of rat offspring (Control, LP1, and LP2) derived at postnatal d130.** Pregnant rats were given either a control diet (20% protein) or a low protein diet (8% protein) during gestation only (LP1) and lactation (LP2). The relative amounts of miR-29a, 29b, and 29c mRNA were normalized to that the expression of RNU6B. The relative expression of each Igf1 mRNA transcript was normalized to that of the each  $\beta$ -actin mRNA transcript. Results were expressed as the mean  $\pm$  SEM. The groups were compared by ANOVA and significant difference was determined by a Tukey HSD post hoc test for individual pairwise comparisons (\* $P < 0.05$ , indicates significance between control and LP2 cohort). For Fig. 2d, given the variances were not equal, the Tukey HSD post hoc test was performed on log-transformed data.  $n = 5$ –8/group, where each  $n$  represents an offspring derived from a different mother (Reprinted from “Higher Hepatic MiR-29 Expression in Undernourished Male Rats During the Postnatal Period Targets the Long-term Repression of Insulin-like Growth Factor 1,” G Sohi et al., *Endocrinology* (2015) 156(9): 3069–3076, with permission from Oxford University Press)

382 of the MPR diet by Ozanne and Hales (2004). Specifically, they demonstrated that  
 383 MPR offspring have reduced fetal growth and these offspring tend to have increased  
 384 lifespan when maintained on a LP diet (Hales et al. 1996). Conversely, MPR  
 385 offspring that undergo postnatal catch-up growth after birth have a significantly  
 386 reduced lifespan relative to their LP counterparts (16.3 vs. 13.1 months; Hales et al.  
 387 1996). Additionally, expression of sirtuin 1 (SIRT1) protein, a deacetylase enzyme  
 388 believed to play a role in regulation of lifespan and glucose homeostasis (Michan  
 389 and Sinclair 2007), was significantly decreased in skeletal muscle of MPR animals

with postnatal catch-up growth (Chen et al. 2009). These offspring also have significantly decreased levels of insulin signaling molecules such as IGF-1 and phosphorylated IRS-1, so the authors predicted that impairments to insulin sensitivity may contribute to regulation of lifespan (Chen et al. 2009). A similar model of MPR also demonstrated that markers of cell senescence (e.g., p21 and p16) are significantly upregulated in pancreatic islets of recuperated rat offspring, as well as significantly shorter telomere length (Tarry-Adkins et al. 2009). This further consolidates the relationship between glucose homeostasis and longevity, given the role of pancreatic islets in insulin and glucagon production. It also is noteworthy that offspring born to normal protein mothers and cross-fostered to LP-fed dams during lactation (i.e., MPR lactation only) have significantly increased lifespan in comparison to control offspring (17.0 vs. 15.1 months; Hales et al. 1996). The authors speculated that offspring might therefore benefit from slow postnatal growth; however, adequate dietary protein still remains essential during pregnancy (Hales et al. 1996).

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## Epigenetic Mechanisms Linking Protein Restriction and Adverse Metabolic Outcomes

It is well understood that transcriptional changes directly compromise fetal development in utero; however the role of epigenetic alterations in fetal metabolic programming has not been investigated to great extent. Epigenetic mechanisms act to influence long-term gene expression without altering the primary genetic sequence, often by modifying interactions between transcriptional and/or translational machinery with regulatory sequences. Mechanisms such as direct DNA methylation, post-translational histone modifications, and microRNAs (miRs) have been implicated in cases of fetal undernutrition, and LP-born offspring are no exception. In 2005, a study by Lillycrop et al. demonstrated that CpG island methylation status of hepatic glucocorticoid receptor (*GR*) and *PPAR $\alpha$*  promoters are significantly reduced in MPR offspring, and this hypomethylated state is associated with increased expression of these genes. Interestingly, feeding of a LP diet in combination with folic acid supplementation prevented these epigenetic changes, indicating that one-carbon metabolism is essential in preventing the effects of this maternal insult (Lillycrop et al. 2005). Further studies also confirmed that this alteration exemplifies trans-generational effects, as methylation status is decreased in the F2 generation at PND 80 (Burdge et al. 2007). This is characteristic of many epigenetic mechanisms, thereby illustrating relevance of perinatal insult to health outcomes of future generations.

Chromatic structure is also greatly affected by posttranslational histone modifications, including histone acetylation, methylation, ubiquitination, ADP-ribosylation, and phosphorylation. In MPR, the long-term expression of gluconeogenic enzymes (e.g., G6Pase and 11 $\beta$ -HSD1) is increased due to the histone-mediated silencing of hepatic liver X receptor alpha (*LXR $\alpha$* ) at 4 months (Vo et al. 2013). *LXR $\alpha$*  is a transcription factor involved in the silencing of genes associated with glucose production. Vo et al. (2013) demonstrated that there is a significant decrease in histone H3 acetylation [K9, 14] at the transcriptional start site of *Lxr $\alpha$*  in 4-month protein recuperated MPR

432 offspring. This is concomitant with decreased association of LXR $\alpha$  at the LXR  
433 response element (LXRE) of *G6Pase* and *11 $\beta$ -HSD1*, culminating in glucose intoler-  
434 erance (Vo et al. 2013). As mentioned previously, MPR offspring also exhibit decreased  
435 expression of hepatic *Cyp7a1* leading to hypercholesterolemia in male offspring at  
436 PND 21 and 130 (Sohi et al. 2011). This reduction in enzyme expression is due to  
437 epigenetic silencing at the *Cyp7a1* promoter region, as there is increased tri-methylation  
438 and decreased acetylation of histone H3 [K9, 14], markers of chromatin condensation.  
439 It is interesting that female MPR offspring from the same cohort are protected from  
440 these histone modifications in adulthood, as they show complete opposite trends in  
441 methylation and acetylation.

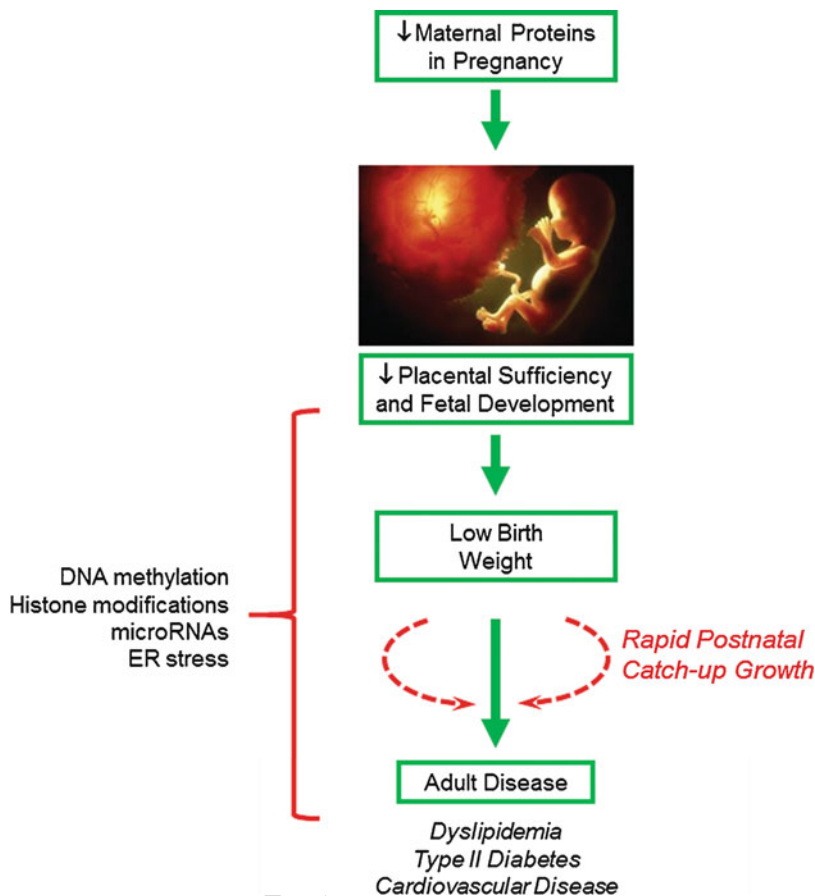
442 In addition to DNA methylation and histone modifications, miRs have also been  
443 demonstrated to influence long-term gene expression via epigenetic mechanisms.  
444 MiRs are short, noncoding RNA molecules that act to silence target genes via target  
445 mRNA degradation or translational repression. In 2016, Su et al. investigated the  
446 role of miR-15b in pancreatic beta cell proliferation of MPR-born mouse offspring. It  
447 was discovered that miR-15b is significantly increased in the pancreatic islets of  
448 MPR offspring, accompanied by reduced expression of cyclin D1 and D2 (Su et al.  
449 2016). Given the role of cyclins in progression through the cell cycle, it is believed  
450 that the downregulation of these molecules contributes to impaired beta cell function  
451 and thus glucose intolerance. As discussed earlier, administration of the MPR diet  
452 during pregnancy and lactation has been demonstrated to cause the upregulation of  
453 hepatic miR-29 expression in LP offspring with postnatal catch-up growth (Sohi  
454 et al. 2015). Each of miR-29a, miR-29b, and miR-29c were significantly increased in  
455 livers of 3 week and 4 month old offspring, and this further caused a reduction in  
456 expression of *Igf-1* (Fig. 4; Sohi et al. 2015). With that in mind, it is possible that  
457 timing of nutritional restoration for IUGR offspring may play a role in long-term  
458 disease via modulation of miRs. Given that miRs also circulate in the blood, these  
459 animal studies could lead to novel therapeutic interventions with the use of miR  
460 inhibitors in neonatal treatment of the metabolic syndrome. An overview of the  
461 molecular mechanisms underlying MPR-induced metabolic dysfunction is illustrated  
462 in Fig. 5.

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## 463 Other Mechanisms Linking Protein Restriction and Adverse 464 Metabolic Outcomes

465 As previously mentioned, the fetal liver is proportionally small in MPR offspring at  
466 birth and undergoes rapid postnatal catch-up growth with introduction of a normal  
467 protein diet (Sohi et al. 2011; Hales et al. 1996). During this period of growth,  
468 hepatocytes undergo rapid replication such that the neonatal liver becomes larger. It  
469 is therefore possible that ER stress may contribute to poor metabolic health outcomes  
470 in the recuperated adult MPR offspring. ER stress is a cellular event which ensues  
471 due to environmental insults leading to an increase in the presence of misfolded or  
472 unfolded proteins present within the ER (Sohi et al. 2013). In response to ER stress,  
473 the unfolded protein response (UPR) becomes activated in attempt to reverse this





**Fig. 5 Overview of the molecular mechanisms underlying how maternal protein restriction (MPR) during perinatal leads to long-term metabolic dysfunction in adulthood** Direct pathways altered by maternal protein restriction are indicated by *green solid arrows*, while direct and indirect molecular mechanisms are indicated by *red arrow*

474 stress by refolding those misfolded proteins and/or attenuating protein translation  
 475 through three signaling pathways (Sohi et al. 2013). In the case that the UPR cannot  
 476 alleviate ER stress, apoptosis may further occur. The MPR offspring with catch-up  
 477 growth undergo exhibit hepatic ER stress at 4 months of age as indicated by  
 478 increased hepatic p-eIF2 $\alpha$  [Ser51], Grp78, and spliced Xbp1 (Sohi et al. 2013).  
 479 P-eIF2 $\alpha$  [Ser51] is known to negatively regulate the initiation stage of protein  
 480 translation (Proud 2005). As with many other mechanisms discussed in this review,  
 481 the LP diet itself does not a play a direct role given embryonic day 19 low protein  
 482 fetuses do not exhibit ER stress (Sohi et al. 2013). In addition to affecting hepatic  
 483 function directly, ER stress may also be involved in the regulation of epigenetic  
 484 mechanisms such as miRs. ER stress has been shown to cause an increase in

485 miR-29a expression in a mouse model of amyotrophic lateral sclerosis (ALS; Nolan  
486 et al. 2014), and studies by Sohi et al. (2015) have implicated that MPR offspring  
487 with postnatal catch-up growth exhibit increased hepatic miR-29a and ER stress at  
488 4 months of age. Considering that miR-29 targets *Igf-1*, this further suggests that ER  
489 stress may play an important role in the etiology of the metabolic syndrome in these  
490 IUGR offspring.

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## 491 Conclusion

492 While maternal malnutrition exists in many forms, MPR has been shown to have  
493 major consequences for the long-term metabolic health of LP-exposed offspring.  
494 Epidemiological studies in humans have deduced that perinatal protein deficiency  
495 gives rise to low birth weight, and these individuals are at greater risk for develop-  
496 ment of the metabolic syndrome in adult life. Studies of individuals with SAM reveal  
497 that poor dietary protein can lead to glucose intolerance and abnormal plasma fatty  
498 acid levels. Moreover, animal studies of the MPR model have further established that  
499 LP-exposed offspring have low birth weight and asymmetrical IUGR, with liver  
500 growth and development taking a major hit relative to other organs. Additionally, the  
501 function of other organs such as the pancreas, muscle, and adipose becomes impaired,  
502 which further contributes to metabolic dysfunction. In adult life, these animals tend to  
503 have glucose intolerance, dyslipidemia, and increased visceral obesity. Onset of these  
504 deficits are further exacerbated by postnatal catch-up growth, as a nutrient-poor pre-  
505 natal environment gives rise to altered fetal programming that is not beneficial in a  
506 nutrient-rich postnatal environment. Furthermore, offspring with postnatal catch-up  
507 growth exhibit reduced lifespan relative to animals that are fed either a control or  
508 LP-exclusive diet. While the mechanisms behind these defects are not fully under-  
509 stood, it is widely accepted that epigenetic alterations such as DNA methylation,  
510 posttranslational histone modifications, and miRs can influence fetal gene expression.  
511 Animal models of MPR give us great insight into what might be occurring in humans,  
512 and so further investigation is required to better comprehend the molecular basis of  
513 the metabolic syndrome in response to perinatal protein restriction. Until then, nutri-  
514 tional intervention during pregnancy is necessary to ensure that mothers consume  
515 appropriate amounts of dietary protein such that there are no negative effects to fetal  
516 growth and development.

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## 517 Policies and Protocols

518 In this review, we have discussed the metabolic implications of perinatal protein  
519 restriction and postnatal catch-up growth in LP-born offspring. Models of protein  
520 restriction have confirmed that insufficient amino acids during pregnancy contribute  
521 to low birth weight, and this leads to the metabolic syndrome in adult life. Due to  
522 fetal adaptations that occur in utero, low birth weight offspring have rapid weight  
523 gain when presented with a mismatched postnatal environment (i.e., a “normal”

524 protein diet), exacerbating the risk for adult metabolic disease. It is critical that  
525 primary health-care workers are informed regarding this information related to  
526 postnatal catch-up growth. Physicians, nurses, and midwives should emphasize to  
527 patients that a balance between prenatal and postnatal diet with respect to protein  
528 intake is essential. In addition, it is recommended that pregnant women ingest  
529 protein in the form of animal-sourced amino acids rather than plant-based amino  
530 acids. As mentioned, studies have shown that poor fetal pancreatic development  
531 (due to perinatal protein restriction) can be rescued with administration of taurine, a  
532 meat-sourced amino acid (Boujendar et al. 2003). While the role of animal-based  
533 amino acids has been only investigated in pancreatic development, it is conceivable  
534 that this may be the case for other metabolic organs as well. As always, prevention is  
535 a more successful strategy than treatment; therefore, it is highly encouraged that  
536 health-care workers and pregnant mothers work together to prevent maternal mal-  
537 nutrition for the sake of the developing fetus.

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## 538 Dictionary of Terms

539 Asymmetrical intrauterine growth	A category of IUGR in which infants are
540 restriction (IUGR)	not only small for gestational age but
542	also exhibit disproportionately small
543	organ size.
544 Dyslipidemia	An increase in plasma cholesterol, tri-
545	glycerides, or both, leading to the devel-
546	opment of cardiovascular disease.
547 Epigenetics	The study of heritable changes in gene
548	expression without modification of the
549	primary gene sequence.
550 Endoplasmic reticulum stress	A cellular stress response characterized
551	by increased accumulation of misfolded
552	and/or unfolded polypeptides in the
553	lumen of the endoplasmic reticulum.
554 Gluconeogenesis	Production of de novo glucose mole-
555	cules from noncarbohydrate sources.
556 Glucose intolerance	A prediabetic condition in which
557	affected individuals exhibit elevated
558	blood glucose (i.e., hyperglycemia) in
559	the fasted and/or fed state. Glucose intol-
560	erance often precedes type II diabetes,
561	which occurs when individuals also
562	exhibit insulin resistance.
563 Heterochromatin	Repressed region of DNA leading to a
564	decrease in gene expression.
565 Malnutrition	Either an excess or deficiency in one or
566	more nutrients.

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567	Metabolic syndrome	A group of adverse metabolic symptoms that together confer increased risk for type II diabetes mellitus and cardiovascular disease.
568		
569		
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571	MicroRNAs	Endogenous, short, noncoding RNA molecules that posttranscriptionally regulate expression of target mRNA sequences.
572		
573		
574		
575	Placental insufficiency	An idiopathic condition occurring in 8% of pregnancies that leads to reduced maternofetal nutrient exchange due to inadequate placental blood flow.
576		
577		
578		
579	Postnatal catch-up growth	A period of growth after birth whereby low birth weight offspring exhibit rapid growth rate such that they “catch-up” to average body weight. Offspring that undergo postnatal catch-up growth are often referred to as “recuperated” offspring.
580		
581		
582		
583		
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586	Senescence	The process of biological aging due to loss of cellular division and function.
587		
588	Severe acute malnutrition (SAM)	An extreme form of undernutrition characterized by muscle atrophy and low body weight can be further categorized into cases of marasmus (extreme caloric restriction) or kwashiorkor (extreme protein deficiency).
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594	Telomere	A protective region of repetitive sequences at the end of a chromosome.
595		

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## 596 Summary Points

- 597 • In mammals, many organs are vulnerable to perinatal protein deficiency, which  
598 causes altered gene expression and leads to long-term metabolic effects in the  
599 offspring.
- 600 • Rat offspring exposed to maternal protein restriction have low birth weight and  
601 asymmetrical intrauterine growth restriction (i.e., many organs are proportionally  
602 small relative to the rest of the body).
- 603 • Given the role of the liver in glucose homeostasis, as well as the metabolism of  
604 cholesterol and a variety of drugs, impaired liver growth and development by  
605 maternal protein restriction leads to abnormal regulation of plasma glucose levels  
606 and hepatic enzymes.
- 607 • Adipose tissue plays an important role in lipid storage and insulin signaling.

- 608 • Altered hepatic, pancreatic, or adipose function leads to dyslipidemia, obesity,  
609 glucose intolerance, and coronary artery disease.
- 610 • Transcriptional and epigenetic mechanisms (e.g., DNA methylation, posttransla-  
611 tional histone modifications, microRNAs) facilitate adaptation of developing  
612 organs to amino acid deficiencies in utero; however, this can have dire conse-  
613 quences long-term and may have transgenerational effects.
- 614 • Endoplasmic reticulum stress is present in offspring with postnatal catch-up  
615 growth due to rapid growth of metabolic organs, and activation of the UPR  
616 further increases risk for the metabolic syndrome in adult life.

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[AU9](#)

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Uncorrected Proof