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Linking energetics and overwintering in temperate insects.

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Abstract

Overwintering insects cannot feed, and energy they take into winter must therefore fuel energy demands during autumn, overwintering, warm periods prior to resumption of development in spring, and subsequent activity. Insects primarily consume lipids during winter, but may also use carbohydrate and proteins as fuel. Because they are ectotherms, the metabolic rate of insects is temperature-dependent, and the curvilinear nature of the temperature-metabolic rate relationship means that warm temperatures are disproportionately important to overwinter energy use. This energy use may be reduced physiologically, by reducing the slope or elevation of the temperature-metabolic rate relationship, or because of threshold changes, such as metabolic suppression upon freezing. Insects may also choose microhabitats or life history stages that reduce the impact of overwinter energy drain. There is considerable capacity for overwinter energy drain to affect insect survival and performance both directly (via starvation) or indirectly (for example, through a trade-off with cryoprotection), but this has not been well-explored. Likewise, the impact of overwinter energy drain on growing-season performance is not well understood. I conclude that overwinter energetics provides a useful lens through which to link physiology and ecology and winter and summer in studies of insect responses to their environment.

Keywords: Cold tolerance, Jensen's inequality, fat reserves, triglycerides, metabolic suppression, diapause

1. Introduction

Insects and other ectotherms that overwinter in temperate environments must overcome multiple challenges including low temperatures, water deficit, and energetic drain (Williams et al., in press). Because they are inactive and generally do not feed, the energy stores with which insects enter dormancy must fuel the mechanisms that protect against cold and desiccation, as well as provide for basal metabolism throughout the period when the insect is dormant – which may include parts of autumn and spring, as well as winter (Fig. 1). In the case of insects that metamorphose or develop prior to feeding in the spring, the energy stores remaining after winter must also fuel that development. If the adult stage does not feed (or has restricted nutrition), remaining energy stores may represent all, or the majority of, the energy available for reproduction. Because ectotherm metabolism is temperature-dependent, the energy remaining at the end of the dormant period is determined by the thermal conditions experienced during winter (and the adjacent portions of autumn and spring), and energy conservation is thus a general requirement of overwintering that transcends variation in microhabitat, cold tolerance strategy and developmental stage. In this mini-review, I will explore the factors that affect overwinter energy use and conservation by temperate insects, with a view to exploring how growing-season fitness might be determined by dormant-season energy use and conservation.

2. Overwinter energy stores

53 The juvenile stages of many insects have been selected to maximise growth, energy acquisition, 54 and storage to maximise the success of the adult stage (Boggs, 2009). Indeed, pupal mass is 55 often used as a proxy for fitness because larger females are generally more fecund (Honek,

1993). In preparation for winter dormancy, many insects utilise specific energy storage strategies, reviewed in detail elsewhere (Hahn and Denlinger, 2007, 2011). The stores used to fuel metabolism are generally divided between lipid (usually fats in the form of triglycerides) and carbohydrate (often as glycogen, but also as smaller molecules such as the disaccharide trehalose). Many insects also utilise storage proteins (Burmester, 1999); however, although storage proteins do have a role in diapause (Hahn and Denlinger, 2007), they do not appear to be a primary source of energy for overwinter metabolism, and may instead be a route for transfer of amino acids between larval and adult stages (e.g. O'Brien et al., 2002).

Lipids offer the most energy-dense storage, and empirical measurements of body composition support the assumption that most non-feeding insects are consuming lipid (Sinclair et al., 2011). However, insects that are frozen, encased in ice, or in an otherwise hypoxic environment will likely rely on carbohydrate-fuelled anaerobic metabolism (Storey and Storey, 1986). Some species, such as the spruce budworm *Choristoneura fumiferana* rely on carbohydrates over the winter even when unfrozen (Han and Bauce, 1993), while others switch from lipids to other energy sources mid-winter, possibly cued by diapause cessation (e.g. Adedokun and Denlinger, 1985; Yocum et al., 2005). Insects may shift their fuel use for other reasons; overwintering insects are also water-stressed (Danks, 2000), and could therefore shift to carbohydrate metabolism to liberate water hydrogen-bound to glycogen, as is seen in desiccation-tolerant Drosophila (Marron et al., 2003).

3. How do winter conditions drive energy use?

Below the thermal optimum, there is usually a curvilinear relationship between enzyme-mediated biological reactions and temperature (Schulte et al., 2011). Thus, warmer temperatures yield higher rates of activity, development, growth, and metabolism (Fig. 2). During the winter, when temperate insects generally are not actively growing, feeding, or developing, energy stores will be consumed faster at higher temperatures. Consequently, winter energy use will be determined by the mean temperature, the temperature variability, the length of winter, and the ability to mitigate overwinter energy drain (Williams et al., in press).

Overwintering temperatures are modified by microhabitat. Persistent snow cover will usually increase the mean temperature (potentially increasing energy use), but reduce the variability of those temperatures (Pauli et al., 2013). Thermal variability is particularly important in determining overwinter energy use because of the effect of Jensen's inequality (Fig. 3), whereby an accelerating temperature-metabolic rate relationship results in an increased mean metabolic rate (and energy consumption), because the high temperature portions of thermal cycles increase metabolic rate more than the low temperature portions compensate by reducing energy consumption (Ruel and Ayres, 1999; Williams et al., 2012b). Thus, thermal fluctuations, especially to high temperatures, or about a warm mean, (e.g. during the autumn or spring) can account for the majority of cumulative energy use during the dormant period (Sgolastra et al., 2011; Williams et al., 2012b; Fig. 3).

There are other potential forms of the relationship between temperature and energy consumption, which may interact to produce a complex relationship (Fig. 2). In particular, there may be

threshold changes in metabolic rate, as observed in freeze-tolerant caterpillars (Fig. 2B; Sinclair et al., 2004; discussed further in section 4.1), or transient cost of crossing thresholds, such as the increased metabolic rate associated with recovery from chill coma (Macmillan et al., 2012b; Fig. 2C). These different forms can all be incorporated into models to estimate overwinter energy costs from microclimate temperature data (e.g. Sinclair et al., 2013). Costs associated with threshold-crossing, such as in Fig. 2C, could be a one-time cost, or accumulate, such that the energetic cost over a winter is a function of the number of threshold-crossing events, as is hypothesised for repeated cold exposure (Marshall and Sinclair, 2010; Fig. 2D).

In the context of climate change, overwinter energetics can therefore be affected by changes in 30 110 the mean temperature, in thermal variability, and by snow cover (which will determine thermal variability and exposure to thermal extremes). Current climate change predictions do a poor job of predicting extreme temperatures and variability, and the scale of climate documentation is **112** inappropriate for insects (Potter et al., 2013). Furthermore, snow cover is a combination of local and regional patterns of precipitation (Henry, 2008; Kreyling and Henry, 2011), in combination with localised topography that influences snow drift formation and therefore both snow depth and persistence (e.g. Scott et al., 2008). These microtopographical influences are hard to predict (Scherrer and Körner, 2010) and may mean that small changes in microhabitat selection could have a big influence on exposure to overwinter energy drain, just as behaviour is a key determinant of climate change impacts in the summer (Sunday et al., 2014).

4. Mitigating overwinter energy drain

4.1 Physiological mitigation of overwinter energy drain

Insects can reduce overwinter energy drain by altering their temperature-energy use relationship through modified thermal sensitivity and/or suppressed metabolic rate, either of which reduces the rate of energy consumption (Fig. 4). Metabolic suppression can result from a temperatureindependent decrease in metabolic rate (decreasing the elevation of the temperature-metabolic rate relationship; Fig. 4B), or through temperature-dependent threshold decreases in metabolism, such as those observed upon freezing (Fig. 2B; Sinclair et al., 2004).

Temperature-independent metabolic suppression is a defining feature of diapause (Hahn and Denlinger, 2011; Tauber et al., 1986). Metabolic suppression reduces overall energy use, and insects with extreme metabolic suppression and deep diapause may therefore have relatively little energy use over the duration of a winter, and be robust to increased winter temperatures (e.g. Fründ et al., 2013; Williams et al., 2012a). Indeed, diapause-associated metabolic suppression is such an effective energy conservation strategy that tropical insects can diapause at high temperatures during the dry season with almost no reduction in fat content (Nedvěd and Windsor, 1994). The mechanisms underlying metabolic suppression in diapausing insects are beginning to be understood from a genetic level (Denlinger and Armbruster, 2014; Hahn and **139** Denlinger, 2011), but the metabolic biochemistry is not as well-explored as in some other taxa (see Storey and Storey, 2004; Storey and Storey, 2007).

Temperature-metabolic rate relationships can change abruptly with temperature, such that
metabolic suppression is temperature-dependent (Fig. 2B). There are many potential causes of

such thresholds; for example, Sinclair et al. (2004) suggest that failure of transmembrane ion pumps at low temperature accounts for a threshold decrease in carbon dioxide emission when Pringleophaga marioni caterpillars are cooled. The best-understood low temperature threshold shift in insects is probably the very clear physiological and biological threshold associated with ice formation in freeze-tolerant insects. While ice formation is usually restricted to extracellular spaces (but see Sinclair and Renault, 2010), the dehydration and changes in pH and oxygen delivery associated with being frozen lead to metabolic rate suppression, and there is often a consequent switch to carbohydrate-fuelled anaerobic metabolism (Storey and Storey, 2013). By contrast, insects that remain unfrozen in the cold do not experience such a threshold change in metabolic rate. Voituron et al. (2002) argued that this metabolic suppression could therefore provide a selective advantage for freeze tolerance. In vertebrates, the energetic costs of active responses to freezing and thawing do not support energy conservation when frozen (Sinclair et al., 2013). However, the duration of time spent frozen does appear to account for reduced overwinter energy use by Pyrrharctia isabella larvae overwintering in exposed microhabitats compared to thermally-buffered subnivean microhabitats (Marshall and Sinclair, 2012). Thus, this temperature-dependent metabolic suppression could be important for energy conservation by overwintering insects more generally.

Altered thermal sensitivity of metabolism changes the curvature of the temperature-metabolic rate relationship (Fig. 4). Both increased and decreased thermal sensitivity could be associated with overwintering. Increased thermal sensitivity would increase the energy savings at low temperatures, but carry the penalty of increased energy drain under variable conditions (Fig. 3). Increased thermal sensitivity could also be advantageous if it allows an overwintering insect to

replenish energy stores during warm periods mid-winter, as is hypothesised for many insects in the Southern Hemisphere (Sinclair et al., 2003). To my knowledge, there are no reports of increased thermal sensitivity in overwintering insects (although few studies compare thermal sensitivity among seasons), but this hypothesis could be tested through seasonal comparisons of any species that exhibits winter activity and feeding, such as many New Zealand alpine insects (Wharton, 2011). By contrast, decreased thermal sensitivity reduces the impact of Jensen's inequality by decreasing the influence of high temperatures on metabolic rate (Fig. 3). For example, overwintering larvae of *Erynnis propertius* (Lepidoptera: Hesperiidae) reared under variable conditions decreased their thermal sensitivity of metabolic rate (Williams et al., 2012b). This suppressed thermal sensitivity was fixed in populations from variable environments, but phenotypically plastic in populations from stable environments, which suggests that thermal sensitivity is under selection in direct response to the thermal variability of the environment. However, modeling of energy consumption based on field temperatures showed that decreased thermal sensitivity did not sufficiently compensate for high thermal variability, and that populations from variable habitats had also accumulated more energy stores prior to overwintering.

4.2 Life history and behavioural mitigation of overwinter energy drain

Changes to the life cycle, behaviour, and phenology are key means by which animals avoid or mitigate exposure to abiotic challenges (Huey and Tewksbury, 2009; Sunday et al., 2014; Tauber et al., 1986). Overwintering at life stages that permit replenishment of energy reserves therefore reduces the fitness effects of energy drain. For example, an insect that overwinters as an egg or early-instar larva can compensate for energetic drain over the winter by feeding in the growing

season, whereas insects that overwinter as pupae or that pupate at the end of winter may have limited opportunity to offset energetic drain. Similarly, insects such as mosquitoes, which feed as adults to provision eggs, have opportunity to compensate for energy drain.

Overwinter phenology is an important determinant of overwinter energetics, particularly because it determines exposure to warm temperatures in the autumn and spring. For example, models of metabolic rate indicate that the bulk of energy used by diapausing *E. propertius* caterpillars is consumed during high temperatures experienced in the autumn (Williams et al., 2012b). Thus, shifting phenology such that diapause occurs later in the autumn could mitigate the effect of warmer or more variable autumns. However, in this example, the larvae are apparently constrained by the externalities of host plant quality and insufficient growing season to complete another flight (Prior et al., 2009), such that compensation for autumn phenology is achieved through a combination of decreased thermal sensitivity of metabolism and increased pre-winter energy stores (Williams et al., 2012b). Nevertheless, autumn phenology is clearly under selection: pitcher plant mosquitoes in North America have modified their autumn phenology in response to climate change (Bradshaw and Holzapfel, 2001), and delayed entry into winter diapause consumes energy reserves and decreases overwinter survival of Osmia lignaria bees (Sgolastra et al., 2011). Longer winters would also be expected to lead to increased energetic drain (Morris and Fulton, 1970).

Finally, selection of colder or less-variable overwintering microhabitats can mitigate energy drain associated with the thermal sensitivity of metabolic rate. For example, exposed habitats

lead to energy savings in freeze-tolerant caterpillars (Marshall and Sinclair, 2012), and although being buried in the soil leads to buffered, warm temperatures (e.g. Clarke et al., 2013), it also prevents exposure to extreme high temperatures in autumn. However, insects cannot always control the microclimate to which they are exposed. For example, *Eurosta solidaginis* (Diptera: Tephritidae) larvae that overwinter above the snow use less energy than their below-snow counterparts (Irwin and Lee, 2003), but wintering above or below snow is dependent on stochastic events (whether or not the host plant falls over), rather than by any choice on the part of the insect. In addition, microclimate temperatures can be variable over a short distance, and variation in snow cover or snow drifting could modify the success of microhabitat selection. For example, low altitude populations of the montane beetle *Chrysomela aeneicollis* are extirpated in years with reduced snow cover, possibly due to extreme low temperatures (Rank and Dahlhoff, 2002).

5. Do energetics determine insect overwinter survival?

Energetics takes a back seat to the abiotic stresses associated with exposure to low temperatures in most considerations of insect overwintering (e.g. Denlinger and Lee, 2010; Leather et al., 1993). However, energetics could determine overwinter survival directly, because long winters without resource depletion could lead to starvation, and indirectly, through a trade-off with cryoprotection or because of the costs of repair of winter-associated damage. Overwinter energetics could also affect development and reproduction at the conclusion of winter; these effects of winter on growing-season performance are discussed in Section 6.

The extent of overwinter energy drain can be driven by autumn energy use, winter energy use, and also the duration of winter. In some cases, the duration of this winter energy drain does directly limit survival and fitness; insects starve to death when they run out of resources. For example, Morris and Fulton (1970) describe an 'optimal' length of winter for preserving energy reserves in pupae of the fall webworm Hyphantria cunea, and there is some evidence that overwintering C. fumiferana larvae die during extended winters when their carbohydrate reserves have been consumed (Han and Bauce, 1998; Regniere et al., 2012). In these cases, strategies that increase energy reserves at the start of overwintering, or that reduce depletion during winter, will have a direct impact on survival (see sections 4.1 and 4.2).

The majority of insects accumulate low molecular weight cryoprotectants, usually polyols such as glycerol, prior to overwintering (Storey, 1997); thus, any trade-off between energy supply and cryoprotection may already have been decided at the beginning of winter. However, that trade-off has the potential to be important: reduced cryoprotection could reduce probability of survival. For example, populations of the goldenrod gall fly E. solidaginis with lower haemolymph glycerol concentrations are also less cold-hardy (Williams and Lee, 2008). Some insects modify cryoprotectants in response to winter conditions. For example, E. solidaginis accumulates a full complement of glycerol (its primary cryoprotectant) prior to winter, but accumulates further sorbitol (as a secondary cryoprotectant) in response to cold stress (Storey and Storey, 1983). In this case, freezing leads to both cryoprotectant synthesis and carbohydrate metabolism, meaning that thermal stress is placing a dual load on the energy reserve. This competition between cryoprotection and metabolic fuel has been best-explored in freeze-tolerant wood frogs. In frogs, carbohydrate stores fuel metabolism during freezing, thawing, and while frozen, but also

(through conversion to glucose) constitute the main cryoprotectant (Storey and Storey, 1985). Thus, metabolic depletion of the carbohydrate pool could potentially compromise cryoprotection, leading to a trade-off in fuel use (Sinclair et al., 2013) that could also underlie geographic variation in the quantity of glycogen accumulated pre-winter in these frogs (Costanzo et al., 2013). Perhaps because insects usually rely on lipid reserves for the bulk of their overwinter energy demands, this potential cryoprotection-metabolism trade-off has received little attention in insects.

Overwinter mortality from extreme cold events is usually fairly clear-cut (Bentz et al., 2010; Virtanen et al., 1998), and aside from cryoprotection (see above), is likely unrelated to energetics. However, the mechanisms underlying mortality during long, milder, winters have not been well-explored (Sømme, 1996). Under these conditions, longer winters not only lead to energy store depletion (Sgolastra et al., 2011), but also to the accumulation of chilling injuries (Koštál et al., 2006). It is not clear whether long winters lead to mortality solely because of resource depletion, entirely through accumulation of cold injuries, or perhaps due to an interaction. Interactions could be mediated in at least three ways. First, through a cryoprotectant-fuel trade-off (see above). Second, because energy depletion reduces cold tolerance at the end of winter via some other pathway, such as insulin signaling, which links energetics and physiological responses over winter (Sim and Denlinger, 2008). Third, because accumulated cold injuries demand additional energy for repair that is not available in energydepleted individuals. I suggest that these hypotheses could be readily tested in both field and laboratory settings, and that they could apply to insects utilising any cold tolerance strategy.

6. How are winter energetics and summer performance linked?

With the exception of a few species that reproduce in the winter, the fitness consequences of winter are relevant only in the context of their impacts on summer performance (Williams et al., in press). Throughout this review, I have assumed that more energy stores upon resumption of activity, growth and/or development equates with greater fitness, because larger individuals are more fecund (see also Honek, 1993). It is also possible that winter energetics may affect summer performance indirectly, and I develop an argument to this effect below.

Metabolic rate and energy stores are useful proxies for fitness because they can be used to represent the costs associated with an organism's maintenance and development, and the energy available for fitness-related activities. Thus, the argument goes: overwinter energy use, especially in species that have limited ability to replenish reserves post-winter, is a proxy for energy available for reproduction (which is a determinant of fitness). In some species, the relationship between energy use and reproductive output is easy to establish. For example, adults of the goldenrod gallfly E. solidaginis do not feed, and their oocytes can be easily counted (= potential fecundity), allowing a clear link to be made between energy use and fecundity (Irwin and Lee, 2000). Similarly, overwinter fat body depletion in the solitary bee O. lignaria is associated with decreased post-emergence longevity, and likely delayed egg maturation and reduced provisioning of offspring (Bosch et al., 2010). In other species, the assumptions have been less well-justified. For example, in my own work, we often measure pupal mass as a fitness proxy for Lepidoptera at the end of winter (e.g. Williams et al., 2012a; Williams et al., 2012b). However, with some exceptions (e.g. spruce budworm; K.E. Marshall & B.J. Sinclair, in review) this proxy is based on relationships for other, distantly related species measured only during the **302**

growing season (e.g. Boggs and Freeman, 2005). Note that the majority of studies ignore the impact of winter on male condition, although male reproductive performance is important, and can be condition-dependent (e.g. Blanckenhorn and Hosken, 2003). Assuming a direct relationship between resource availability and reproductive output, longer, warmer, and more variable winters (all of which are potential consequences of climate change) will lead to increased energy use, and thus decreased fitness.

For many species, however, energy reserves at the conclusion of dormancy are not directly linked to fitness via resources because of the possibility of post-winter feeding. For example, C. fumiferana overwinters as a pre-feeding larva (Royama, 1984), many species overwinter as eggs (Danks, 2002), and Fründ et al. (2013) show that bees suffer more energy drain if they overwinter as adults than as larvae. Any links between winter and summer are generally then assumed to be driven by other sub-lethal impacts, for example via cold injury, perhaps to tissues associate with feeding (such as the gut), or because of the costs of damage repair (e.g. Izumi et al., 2005; Sinclair and Chown, 2005; Teets et al., 2011). However, because energy state is an integrator of winter conditions (Fig. 1), and energy balance and cryoprotectant management are closely associated with energy signaling pathways (Teets et al., 2012), one might also hypothesise that energy state could provide information about the nature of the winter experienced. This information could be transduced via insulin signaling, which can determine behaviour (e.g. Wigby et al., 2011), and is involved with regulation of diapause in various insects (e.g. Ragland et al., 2010). In addition, developmental conditions can determine adult metabolic rate and performance. For example, Le Lann et al. (2011) show an increased fecundity and metabolic rate (measured under common conditions) in parasitoid wasps reared at lower

temperatures. This dependence of adult performance (and especially metabolism) on developmental conditions provides another potential mechanism by which winter conditions could drive summer performance, although the explicit role of overwinter energetics in determining this relationship is not clear. In the context of closely connected ecosystems and tight insect-host relationships, such information transfer between seasons could allow insects to predict the performance of other species, and thus inform life-history decisions; to my knowledge, this has not yet been investigated.

334 7. Conclusions

In conclusion, energy use is an important component of insect overwintering biology, and is particularly relevant to understanding the potential impacts of changing thermal conditions with climate change. There are a number of strategies insects can use to reduce overwinter energy use, but little is known about the relative importance or phylogenetic distribution of these strategies. Because the majority of overwinter energy consumption occurs in the warm periods in autumn and spring when insects are dormant, this makes energy use particularly susceptible to mismatches between (photoperiod-controlled) phenology and (temperature-controlled) winter length. The links between winter energetics and mortality or summer performance have not been well-established, and indirect relationships between energy use and fitness are particularly poorly known. In sum, this speaks to a need to more rigorously involve physiology in ecological studies, to do field studies on overwinter biology that can help to determine the proximate causes of winter mortality, and to expand ecological studies to better understand links between winter and the growing season.

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558 Figure Captions

559 Figure 1

Schematic of sources and sinks of energy before, during, and after wintering in insects, and how they might link to summer performance (which encompasses reproductive output and fitness).Winter-specific processes are outlined with a dotted box; the dashed arrow from cryoprotection to energy remaining reflects the use of cryoprotectants as a fuel at the end of winter.

Figure 2

Potential forms of relationships between Metabolic rate (MR) and temperature in insects. For overwintering insects, metabolic rate determines energy consumption, so this is indicated as an alternative quantity on the vertical axis. A) A 'normal' exponential relationship between temperature and metabolic rate. B) A threshold (step) decrease in metabolic rate at a threshold temperature, similar to that expected when an insect freezes. C) A transient energetic cost of crossing a temperature threshold (T_{thresh}), in this case during rewarming. Note that this cost may be present in one direction but not the other; e.g. there is no energetic cost to cooling the fall field cricket Gryllus veletis, while recovery from chill coma carries an energetic cost (MacMillan et al., 2012a, b). D) A cumulative relationship between the number of threshold-crossing events and energy use.

Figure 3

Thermal sensitivity of the metabolic rate-temperature relationship determines instantaneous
metabolic rate and daily energy use in ectotherms because of the disproportionate impact of
variability at high temperatures (Jensen's inequality). A) High (black), medium (grey) and low
(dotted) thermal sensitivities of the temperature-metabolic rate relationship. µ indicates the mean

temperature (also on B). B) A daily thermal cycle. C) Instantaneous metabolic rates for the thermal sensitivities in A across the daily cycle depicted in B. μ indicates the metabolic rate for a constant temperature. D) Cumulative daily energy use for the instantaneous metabolic rates shown in C, with μ indicating the daily energy use from a constant temperature. The discrepancy between the μ bar and the others indicates the impact of Jensen's inequality, while the differences among the other bars indicates the impact of changing thermal sensitivity of metabolic rate.

587 Figure 4

Modification of the metabolic rate (MR)-temperature relationship by insects. Metabolic rate determines energy consumption in overwintering insects, so this is provided as an alternative vertical axis. The normal metabolic rate-temperature relationship (black line, A) can be suppressed by a simple change in elevation (grey line, B), be made more thermally sensitive (C), which allows for a steeper decline in metabolic rate when temperature declines from the mean (but increases cost of fluctuations to high temperatures), or be less thermally-sensitive (D), reducing the impact of high temperatures on energy consumption.

Picture to go with Vita









