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# Changes in cognition and brain function following 26 weeks of progressive resistance training in older adults at risk for diabetes: A pilot randomized controlled trial

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## **Title:**

Changes in cognition and brain function following 26 weeks of progressive resistance training in older adults at risk for diabetes: A pilot randomized controlled trial

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**Key Messages:**

- Older adults with prediabetes and/or obesity experience neurocognitive deficits and are at risk of further decline associated with type 2 diabetes. ssages:<br>
Older adults with prediabetes and/or obesity experience neurocognitive defurther decline associated with type 2 diabetes.<br>
In our pilot study, six months of resistance training improved multiple contain function i
- In our pilot study, six months of resistance training improved multiple cognitive abilities and

## **Abstract**



## **Introduction**

 Globally, over 55 million people suffer from dementia including Alzheimer's disease (AD) [1]. One of the largest modifiable risk factors for dementia is type 2 diabetes (T2D), defined as having elevated blood glucose as a result of insulin resistance and dysregulation. Individuals with T2D are known to experience both cognitive and functional brain abnormalities. A meta-analysis found that those with T2D have deficits across multiple cognitive domains (e.g., attention, memory, processing speed) when compared to diabetes-free individuals [2]. Further, functional magnetic resonance imaging (fMRI) work shows that people with T2D have reduced neural activation (i.e., blood-oxygen-level dependent (BOLD) signalling) in task-related brain areas compared to healthy controls, correlating with worse cognitive performance [3]. While the relationship between T2D and neurocognitive dysfunction is complex, it may be explained by cardiovascular-related abnormalities seen in T2D, including hypertension, atherosclerosis, and systemic inflammation [4]. Moreover, there is evidence showing that those with T2D exhibit white matter hyperintensities, which are associated with the buildup of amyloid on the arterial walls of the brain [5]. Such hyperintensities may contribute to hyperglycemia in T2D and are linked to cognitive impairments in many domains [5]. by orocessing speed) when compared to diabetes-free indi-<br>resonance imaging (fMRI) work shows that people wi<br>e., blood-oxygen-level dependent (BOLD) signalling) i<br>ealthy controls, correlating with worse cognitive perfor-<br>i

 Two interrelated diseases that are major risk factors for T2D are obesity and prediabetes; the former is defined as having abnormal fat levels with an associated health risk, while the latter occurs when one's blood glucose is above normal but below the diabetes level. These comorbidities occur frequently in old age when the body undergoes physiological declines due to aging. Older adults experience a natural decline in both cardiovascular abilities and neurocognition with age that can be exacerbated by T2D. Most T2D cases, in fact, occur in older

 adults aged 60+ compared to other ages [6]. Given the evidence demonstrating that cognitive and brain deficits occur in T2D, people with obesity and prediabetes, especially late in life, are at high risk of such impairment. Indeed, these at-risk individuals are known to already experience some deficits in neurocognition. For example, a review found that those with obesity have impaired executive function (e.g., response inhibition, working memory) [7]. In a separate study, obesity was associated with atrophy of the hippocampus and frontal lobes [8]. Systemic inflammation and impairments in cerebral metabolism common in obesity may underlie these brain deficits [9], [10]. Similarly, van Bussel and colleagues showed that those with prediabetes display altered functional brain networks [11], and other work demonstrates that cognitive functions including global cognitive ability are disrupted in prediabetes [12]. These deficits may be the result of disruptions in cerebral glucose metabolism seen in diabetes [13]. In addition, studies have shown that the brains of people at risk for diabetes appear older, suggesting that these diseases accelerate age-related decline [14]. measurements in cerebral metabolism common in obesity<br>
10]. Similarly, van Bussel and colleagues showed that the<br>
101 ional brain networks [11], and other work demonstrate<br>
11 global cognitive ability are disrupted in pred

 Evidently, there is a need for targeted lifestyle interventions to improve cognitive function and brain health in older adults at risk for diabetes. A previous study found that 6 months of aerobic exercise (which is exercise aimed at improving cardiovascular function) resulted in improvements in cognitive function including selective attention among older adults at risk for T2D [15]. However, whether resistance training (RT), defined as exercise intended to increase muscle mass and endurance, would have similar effects in this population, and whether these individuals would improve in brain health as well, is unknown. Nevertheless, RT has been shown to have positive effects among other populations of older adults. For example, a study in older adults with mild cognitive impairment (MCI) found that 6 months of twice-weekly RT

 improves memory [16]. In this study, RT also led to increased brain activation in regions involved in memorizing associations (lingual and occipital-fusiform gyri, frontal pole). [16]. In a systematic review of RT interventions, all included studies had improvements in at least one or more cognitive or neurophysiological domain [17]. In these studies, RT led to considerable positive functional brain changes accompanied by improvements in cognition in both healthy and cognitively impaired older adults [17]. These positive changes in neurocognition may be from the release of insulin-like growth factor-1 (IGF-1) from exercise which promotes the growth and survival of neurons [18]. Importantly, RT has also been shown to improve diabetes-related health outcomes for those with T2D, including insulin action and blood glucose regulation [19]. This may be due to increased muscle mass as a result of RT leading to improved glucose uptake in T2D [20]. 1-like growth factor-1 (IGF-1) from exercise which pro<br>
18]. Importantly, RT has also been shown to improve<br>
18]. Importantly, RT has also been shown to improve<br>
1820 with T2D, including insulin action and blood glucose re

 Despite evidence that RT has positive effects on the brain in older adult populations, research has not yet examined whether this type of exercise can improve cognition and brain function in older adults at risk for T2D. As such, we conducted a pilot randomized controlled trial (RCT) to evaluate the preliminary efficacy of an RT program in this at-risk population. Feasibility outcomes were also of interest in this study to inform future trials and have been reported elsewhere [21].

### **Methods**

*Study overview*

 Details of our study design and sample (including full inclusion and exclusion criteria) have been described previously [21], [22]. Briefly, this study was a 6-month RCT in older adults



 The 60-minute exercise sessions (both RT and BAT) were instructor-led and held in small groups in-person on campus (2-5 participants). Participants in the RT group performed 2 sets of 6-8 repetitions of exercises (e.g., leg press, chest press, bicep curl) targeting major muscle groups (e.g., quadriceps, pectoralis major, biceps) and loading was increased over time when exercises were performed with correct form and without discomfort; all participants reached 80% of their predicted 1-repetition maximum (1RM; maximum amount of load that can be lifted). Predicted 1RM, which takes into account the weight being applied and number of repetitions that can be done comfortably for the participant [25], was calculated in place of true 1RM, as measuring the latter in older adults who do not exercise regularly may be unsafe due to fragility. For this, participants used a weight that they felt comfortable with and were guided by research

## **Example 2018** Journal Pre-proof



## **Example 2018** Journal Pre-proof



 spatially smoothed with a Gaussian kernel of 5.0 mm full width at half maximum). During first- level analysis, each functional block for each participant was analyzed using only correct response data. Next, second-level fixed-effects analysis was performed to combine all blocks for each participant. Any blocks with head motion > 3 mm were excluded from analysis. This threshold was chosen in order to exclude participants with the greatest amount of motion while ensuring that we maintained the largest sample size possible; we also manually inspected all data to ensure motion during scans did not impact image quality. For the third-level fixed-effects analysis, changes from baseline to trial completion were examined for each participant. Finally, 170 during the 4<sup>th</sup>-level mixed-effects analysis using FLAME (FMRIB's Local Analysis of Mixed Effects), data from all participants were combined at the group level. Significant clusters of 172 activation were identified using a cluster threshold of  $Z > 1.65$ , p = 0.05. For all levels of analysis, signal contrasts of interest were as follows: item (face or scene) encoding, item recognition, associative (face-scene) encoding, associative recognition, associative > item encoding, associative > item recognition. Regions of interest were created based on identified clusters with significant activation, and percent signal change (PSC) by group across the trial was calculated using FSL's Featquery. ring scans did not impact image quality. For the third-1-<br>bm baseline to trial completion were examined for each<br>mixed-effects analysis using FLAME (FMRIB's Local<br>lll participants were combined at the group level. Signi<br>t

### **Results**

180 Baseline characteristics of participants (mean age  $= 68.7$  years  $\pm 5.7$ ; 50% female) are shown in Table 1. All participants were overweight or obese at baseline, 4 of whom also had prediabetes (2 in each experimental group). Thirteen participants were randomized to the RT group and 11 to the BAT group. All participants completed the full intervention, apart from 1

 BAT participant who dropped out at week 10 (thus did not complete midpoint or trial completion assessments).

 At baseline, 2 participants had 1 of 3 associative memory task blocks excluded and 1 participant had 2 of 3 blocks excluded, all due to excess head motion. Similarly, at trial completion, 1 participant had 2 of 3 blocks excluded due to motion. One additional participant was excluded from both timepoint analyses as a result of motion. Altogether, we analyzed fMRI data from 23 participants at baseline (20 with all 3 blocks, 3 with 1-2 blocks) and 22 at trial completion (21 with all 3 blocks, 1 with 1-2 blocks). Within each usable block, some additional task data was missing due to task error or premature withdrawal from the MRI scanner (due to participant discomfort). As a result, 9 participants at baseline and 7 at trial completion were missing small amounts of data from usable blocks. Finally, some cognitive and physical data were also missing due to assessor errors, or were purposely not collected (e.g., 1RM due to participant discomfort) (see Table 2). both timepoint analyses as a result of motion. Altogethen pants at baseline (20 with all 3 blocks, 3 with 1-2 block all 3 blocks, 1 with 1-2 blocks). Within each usable blag due to task error or premature withdrawal from t

 Changes in cognitive and physical outcomes over time are presented in Table 2. Participants in the RT group improved in task-switching (TMT) ability compared to the BAT group. Contrarily, those in the BAT group improved in long-term auditory-verbal memory (RAVLT) and working memory (Digit Span Test). Both groups had comparable improvements in selective attention and response inhibition (Stroop Test) at midpoint relative to baseline, however the RT group had greater improvements at trial completion. Additionally, both groups slightly improved on the ADAS-Cog 12 over time, but these changes are not considered clinically significant in the literature [39]. Participants in the RT group saw very small



**Discussion**

 The current pilot study assessed the preliminary effects of 6 months of thrice-weekly RT on cognition and brain function in older adults at risk for T2D. Cognitive results suggest that RT may benefit certain cognitive domains over others in this population. The largest improvement in





 While RT did lead to some improvements in cognition, changes in BMI or FBG were not seen. It has been suggested that high-intensity RT, which results in glucose uptake in skeletal muscle, is needed to see such changes. This is important as the majority of insulin-stimulated glucose uptake, which reduces blood glucose levels, occurs in skeletal muscle [43]. Based on this, it is possible that our RT group did not exercise at a high enough intensity to allow for increased glucose uptake over time. Although participants did exercise at 80% of their predicted 1RM, it has been shown that predicted 1RM may underestimate true 1RM values in older adults [44], and thus participants may have been exercising at a lower intensity than intended. Nevertheless, participants in the RT group did improve in aerobic function. Future trials with additional tests of aerobic capacity may help explain this potential relationship. Exercises can in fact improve muscle strength the 141], [42]. As such, future trials may benefit from include.g., lean body mass measures) and further exploring thay have in older adults at risk for diabetes.<br>All lead to s



 There are several limitations to the current study. Firstly, given that this was an underpowered pilot study, results should be interpreted with caution. Furthermore, as we did not use inferential statistics, we cannot conclude that trends (both between-group and across timepoints) are significant, and it remains possible that no effects are present. Additionally, we were unable to control for variables that may affect results. For example, our PASE data suggests that those in the RT group may have benefitted from additional physical activity outside of the exercise program, which may have affected results. We also did not measure diet which could have an effect on cognitive and brain health overtime. A large limitation to our study is that there were missing data (e.g., due to errors in assessment delivery; the use of computerized cognitive tests or additional quality checks may help prevent this in a future large-scale trial). Since we did not use a BMI cut-off that is more inclusive of varying cultures (e.g., Asian cultures in which there is a lower threshold for being overweight or obesity), we may have inadvertently excluded individuals who would classify as being overweight or obese. In addition, we cannot conclude whether our changes in cognition and functional activity are clinically significant, given that a threshold for this (e.g., minimum clinically important difference) is currently unknown. Finally, since we were only able to recruit a very limited number of people with prediabetes (who were all also overweight or obese), we were unable to examine potential differences between these 2 diseases in response to RT. We also acknowledge that there are multiple risk factors for T2D and in this study we primarily focused on obesity. Future research should examine additional risk factors including differences between prediabetes and obesity. hich may have affected results. We also did not measu gnitive and brain health overtime. A large limitation to e.g., due to errors in assessment delivery; the use of cornality checks may help prevent this in a future large

 In the future, research should also assess the underlying neurophysiological mechanisms that may explain the benefits of RT on cognition and brain function. For example, at the





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 Journal Pre

# 521 **Tables**

Table 1: Baseline characteristics of participants.



523 *Note*. All data expressed as mean ± standard deviation unless otherwise indicated.

524 FBG = fasting blood glucose; BMI = body mass index;  $RT$  = resistance training group; BAT =

525 balance and tone group.<br>Journal Pre-proof of the proof of the proof of the pre-proof of the proof of



Table 2: Cognitive and physical outcomes by group.



Stroop (C-B) = Stroop Test condition C minus condition B; TMT (B-A) = Trail Making Test part B minus part A; Digit Span (F-B) = Digit Span Test Forward minus Backward; RAVLT (20-minute delay) = Rey Auditory Verbal Learning Test 20-minute delay condition; ADAS-Cog 12 = Alzheimer's Disease Assessment Scale–Cognitive 12; 1RM = one repetition maximum (predicted) – quadricep; FBG = fasting blood glucose; BMI = body mass index; PASE = Physical Activity Scale for the Elderly; RT = resistance training group; BAT = balance and tone group;  $SE =$  standard error;  $CI =$  confidence interval. <sup>b</sup>480.0 474.4 484.5 (21.7)<br>
(21.7)<br>
(21.7)<br>
(12.0)<br>
lition B; TMT (B-A) = Trail Making Test<br>
minute delay) = Rey Auditory Verbal Lear<br>
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<sup>a</sup> Missing data for  $n = 1$ .

<sup>b</sup> Missing data for  $n = 2$ .

 $\textdegree$  Missing data for n = 3.



Table 3: Percent change in d prime by group.

 $RT$  = resistance training group;  $BAT$  = balance and tone group;  $SE$  = standard error;  $CI$  =

 $RT = resistance training group; BAT = balance and tone group; SE = s$ <br>confidence interval.

## **Figures**



Figure 1: Significant changes in activation during the associative memory task (trial completion > baseline).

a. Resistance training group, associative > item encoding; main activation is found in the left postcentral gyrus (arrow).

b. Resistance training group, associative > item recognition; main activation is found in the left precentral gyrus. (arrow).

c. Balance and tone group, associative > item encoding; main activation is found in the left anterior supramarginal gyrus (arrow).