Mapping the Impact and Plasticity of Cortical-Cardiovascular Interactions in Vascular Disease Using Structural and Functional MRI

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A thesis submitted in partial fulfillment of the requirements for the degree in Doctor of Philosophy

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MAPPING THE IMPACT AND PLASTICITY OF CORTICAL-CARDIOVASCULAR INTERACTIONS IN VASCULAR DISEASE USING STRUCTURAL AND FUNCTIONAL MRI

(Thesis format: Integrated Article)

by

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Graduate Program in Medical Biophysics

A thesis submitted in partial fulfillment of the requirements for the degree of Doctor of Philosophy

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Abstract

There is growing interest in the role of vascular disease in accelerating age-related decline in cerebrovascular structural and functional integrity. Since an increased number of older adults are surviving chronic diseases, of which cardiovascular disease (CVD) is prevalent, there is an urgent need to understand relationships between cardiovascular dysfunction and brain health. It is unclear if CVD puts the brains of older adults, already experiencing natural brain aging, at greater risk for degeneration. In this thesis, the role of CVD in accelerating brain aging is explored. Because physical activity is known to provide neuroprotective benefits to brains of older adults, the role of physical activity in mediating disease effects were also explored.

Using novel neuroimaging techniques, measures of gray matter volume and cerebrovascular hemodynamics were compared between groups of coronary artery disease patients and age-matched controls, to describe regional effects of CVD on the brain. In a sub-set of patients, imaging measures were repeated after completion of a 6-month exercise training, part of a cardiac rehabilitation program, to examine exercise effects. Differences in cerebrovascular hemodynamics were measured as changes in resting cerebral blood flow (CBF) and changes in cerebrovascular reactivity (CVR) to hypercapnia (6% CO₂) using a non-invasive perfusion magnetic resonance imaging technique, arterial spin labelling (ASL). We found decreased brain volume, CBF and CVR in several regions of the brains of coronary artery disease patients compared to age-matched healthy controls. The reductions in CBF and CVR
were independent of underlying brain atrophy, suggesting that changes in cerebrovascular function could precede changes in brain structure. In addition, increase in brain volume and CBF were observed in some regions of the brain after exercise training, indicating that cardiac rehabilitation programs may have neurorehabilitation effects as well.

Since, CBF measured with ASL is not the [gold] standard measure of functional brain activity, we examined the regional correlation of ASL-CBF to glucose consumption rates (CMRglc) measured with positron emission tomography (PET), a widely acceptable marker of brain functional activity. Simultaneous measurements of ASL-CBF and PET-CMRglc were performed in a separate study in a group of older adults with no neurological impairment. Across brain regions, ASL-CBF correlated well with PET-CMRglc, but variations in regional coupling were found and demonstrate the role of certain brain regions in maintaining higher level of functional organization compared to other regions.

In general, the results of the thesis demonstrate the impact of CVD on brain health, and the neurorehabilitation capacity of cardiac rehabilitation. The work presented also highlights the ability of novel non-invasive neuroimaging techniques in detecting and monitoring subtle but robust changes in the aging human brain.
Keywords

Cardiovascular disease, cardiac rehabilitation, aerobic exercise training, arterial spin labelling, cerebral blood flow, cerebrovascular reactivity, voxel-based morphometry, PET-MRI.
Co-Authorship Statement

The work presented in Chapter two was previously published in *NeuroImage: Clinical* 2013 Oct 6; 3:388-395, by: Udunna C Anazodo, J Kevin Shoemaker, Nevin Suskin, and Keith S St. Lawrence. JK Shoemaker and KS St. Lawrence contributed to the study design, provided supervision and reviewed the manuscript. N Suskin assisted in participant recruitment, provided supervision, and reviewed the manuscript. I contributed to study design, recruited study participants, performed all neuroimaging data collection and analysis, and was responsible for writing the manuscript.

Chapter three is from a manuscript submitted in June 2015 and under review at *Journal of Cerebral Blood Flow and Metabolism* [Manuscript ID: JCBFM-0365-15-ORIG]. The authors are Udunna C Anazodo, J Kevin Shoemaker, Nevin Suskin, Tracy Ssali, Danny JJ Wang, and Keith S St. Lawrence. JK Shoemaker and KS St Lawrence contributed to the study design, provided supervision and reviewed the manuscript. N Suskin assisted in participant recruitment and provided supervision. T Ssali assisted with data analysis. DJJ Wang developed the magnetic resonance imaging pulse sequence used for brain perfusion imaging and reviewed the manuscript. I contributed to study design, recruited study participants, performed all neuroimaging data collection and analysis, and was responsible for writing the manuscript.

Chapter four has been adapted from the paper entitled "Feasibility of simultaneous whole-brain imaging on an integrated PET-MRI system using an enhanced 2-point Dixon attenuation correction method", published in *Frontiers in Neuroscience* 2015 (8):434 by Udunna C Anazodo, Jonathan D Thiessen, Tracy Ssali, Jonathan Mandel, Matthias Günther, John Butler, William Pavlosky, Frank S Prato, R Terry Thompson, and Keith St Lawrence. KS St Lawrence contributed to study design, provided supervision and reviewed manuscript. JD Thiessen assisted with data analysis and reviewed the manuscript. M Günther developed the magnetic resonance imaging pulse sequence used for brain perfusion imaging and reviewed the manuscript. I designed the study, recruited study participants, performed the experiments and analyzed the data,
and was responsible for writing the manuscript. The remaining authors helped with data collection and reviewed the manuscript.
Acknowledgments

"It takes a village to raise a child" Igbo(Nigeria) Proverb

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mentor. You taught me the dedication to the pursuit of knowledge - perseverance, self-
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<th>Description</th>
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<tbody>
<tr>
<td>α</td>
<td>labelling efficiency</td>
</tr>
<tr>
<td>λ</td>
<td>blood/tissue water partition coefficient</td>
</tr>
<tr>
<td>τ</td>
<td>label duration</td>
</tr>
<tr>
<td>ω</td>
<td>PLD = post labelling delay</td>
</tr>
<tr>
<td>ΔM</td>
<td>Perfusion-weighted</td>
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<tr>
<td>2/3D</td>
<td>two/three-dimension</td>
</tr>
<tr>
<td>ACE</td>
<td>angiotensin-converting enzyme</td>
</tr>
<tr>
<td>AD</td>
<td>Alzheimer's disease</td>
</tr>
<tr>
<td>ANCOVA</td>
<td>Analysis of covariance</td>
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<tr>
<td>ASL</td>
<td>Arterial spin labelling</td>
</tr>
<tr>
<td>BDNF</td>
<td>Brain-derived neurotrophic factor</td>
</tr>
<tr>
<td>BMI</td>
<td>Body mass index</td>
</tr>
<tr>
<td>BOLD</td>
<td>Blood-oxygen-level-dependent</td>
</tr>
<tr>
<td>BPM</td>
<td>Biological parametric mapping</td>
</tr>
<tr>
<td>BR</td>
<td>breathing rate</td>
</tr>
<tr>
<td>CABG</td>
<td>coronary artery bypass graft</td>
</tr>
<tr>
<td>CAD</td>
<td>coronary artery disease</td>
</tr>
<tr>
<td>CASL</td>
<td>Continuous arterial spin labelling</td>
</tr>
<tr>
<td>CBF</td>
<td>Cerebral blood flow</td>
</tr>
<tr>
<td>CBV</td>
<td>Cerebral blood volume</td>
</tr>
<tr>
<td>CIMT</td>
<td>Common carotid intima media thickness</td>
</tr>
<tr>
<td>CMRglc</td>
<td>Glucose consumption</td>
</tr>
</tbody>
</table>
CMRO₂ - Cerebral metabolic rate of oxygen
CO₂ - Carbon dioxide
CR - Cardiac rehabilitation
CSF - Cerebrospinal fluid
CT - Computed tomography
CTAC - Computed tomography-based attenuation correction
CVD - Cardiovascular disease
CVR - Cardiovascular reactivity
DTI - Diffusion tensor imaging
DARTEL - diffeomorphic anatomical registration using exponentiated Lie
EF - Ejection fraction
EPI - Echo-planar imaging
ETCO₂ - end tidal carbon dioxide
F - Flow
FA - Fractional anisotropy
FDG - ¹⁸F-labeled fluorodeoxyglucose
FDR - False discovery rate
FOV - Field of view
FWE - Family-wise error
FWHM - Full-width-half-maximum
GRASE - Gradient-and-spin-echo imaging
GM - Gray matter
GMV - Gray matter volume
H$_2^{15}$O - Radioactive water
HbA1c - glycated hemoglobin
HDL - High density lipoprotein
hs-CRP - high-sensitive C-reactive protein
ICBM - International consortium on brain mapping
LCA - Left coronary artery
LDL - Low density lipoprotein
M$_0$ - Net equilibrium magnetization
MoCA - Montreal Cognitive Assessment
MCI - Mild cognitive impairment
METs - Metabolic equivalent of tasks
MI - Myocardial infarction
MNI - Montreal Neurological Institute
MPRAGE - Magnetization Prepared Rapid Acquisition gradient-echo sequence
MRAC - MRI-derived attenuation correction
MRI - Magnetic resonance imaging
NO - Nitric oxide
P$_1$ - inflow pressure
P$_2$ - outflow pressure
P$_a$CO$_2$ - Partial pressure of carbon dioxide
pCASL - Pseudocontinuous arterial spin labelling
PASL - Pulsed arterial spin labelling
PET - Positron emission tomography
PET<sub>dx</sub> - PET data attenuation corrected using Dixon sequence
PET<sub>dxbone</sub> - PET data attenuation corrected using enhanced Dixon method
PCI - Percutaneous coronary intervention
RCA - Right coronary artery
RD - Relative density
RF - Radiofrequency
SNR - signal to noise ratio
SPM - Statistical Parametric Mapping
tSNR - Temporal signal-to-noise ratio
TA - total acquisition time
TE - Echo time
TR - Repetition time
TI - Inversion time
T1 - Longitudinal relaxation time constant
T1<sub>b</sub> - T1 of blood
TCD - transcranial Doppler ultrasound
UTE - Ultra-short echo
VBM - voxel-based morphometry
VO<sub>2</sub>max - maximal oxygen consumption
WM - White matter
WMH - White matter hyperintensities
1 CHAPTER 1

"A good head and a good heart are always a formidable combination" Nelson Mandela

1.1 Clinical Relevance

Cardiovascular disease is one of the most prevalent chronic diseases among older adults\(^1\), accounting for the second highest healthcare cost in Canada\(^2\). One in five Canadians over the age of 65 has a history of coronary artery disease (CAD), which is the most prevalent form of cardiovascular disease\(^3\). As the population of older adults continues to climb exponentially\(^4\) and the survival rate for CAD continue to increase, an overwhelming need to understand disease effects and improve quality of life in the elderly are imperative. At the societal level, age-related chronic diseases such as CAD pose an obvious financial and social burden, while at the individual level, the gradual loss of function from chronic diseases pose a threat to successful aging.

Rowe and Kahn defined successful aging as a combination of the ability to maintain a low risk for disease and disease-related disability, preserve a high mental and physical functional capacity and continue an active engagement in life\(^5\). Considerable efforts are being made to achieve successful aging in older adults with CAD, including primary and secondary interventions aimed at minimizing risks of recurrence and improving overall cardiovascular function\(^3\). However, recent evidence\(^6\) points to the possibility of comorbid neurological impairments that could threaten the mental capacity of CAD patients and curtail their active engagement in life.

It is well known that brain atrophy, cerebral hypoperfusion, white matter disease and impairments in cognitive function are associated with risk factors for CAD in asymptomatic older adults\(^6\). Longitudinal and epidemiological studies in individuals with
increased risk factors for CAD have concluded that risk factors such as hypertension, diabetes and high blood cholesterol advance age-related changes in the structure and function of the brain\textsuperscript{7-12}. Some researchers have gone as far as suggesting that dementia, including Alzheimer's disease, could be associated with vascular disease, since chronic vascular dysfunction can limit adequate blood supply to the brain and in doing so trigger a cascade of events that eventually lead to neurodegeneration\textsuperscript{13,14}. However, the \textit{direct} impact of CAD on the structure and function of the aging brain has not yet been characterized. Identifying the impact of CAD on the brain could aid in devising strategies that facilitate successful aging in these individuals such as cognitive remediation and physical activity.

The interaction of CAD, age and cerebrovascular degeneration is complex. Non-invasive neuroimaging techniques are crucial not only in studying this complex interaction, but they are becoming clinically relevant for identifying, monitoring and assessing clinical outcomes of CAD effects on the brain. This introductory chapter will define CAD, discuss concepts of normal brain aging, review premature brain aging associated with CAD risk factors, and discuss the role of physical activity in mediating successful aging. A section is also dedicated to the discussion of the brain imaging techniques used in this thesis to characterize CAD effects on the brain.

1.2 Coronary Artery Disease

1.2.1 Cardiovascular disease defined

The World Health Organisation defines cardiovascular disease as a class of diseases that affect the heart and blood vessels within the heart, within the brain and
around the body (www.who.int, Fact sheet 317). In 2009 an estimated 1.6 million Canadians had heart disease or stroke and 1 in 3 adults over the age of 65 were diagnosed with heart disease\textsuperscript{2}. The cost of care for cardiovascular diseases in Canada was over $22.2 billion in 2000\textsuperscript{2} and is projected to rise as the number of older adults continue to increase\textsuperscript{15}. Similar enormous economic burden for cardiovascular disease has been reported for other industrialized countries such as the United States\textsuperscript{15,16}, Europe\textsuperscript{15,16}, Australia\textsuperscript{15} and Japan\textsuperscript{16}. Cardiovascular disease can be grouped into two types;

I. Diseases due to atherosclerosis, namely, coronary artery diseases including myocardial infarction, cerebrovascular diseases such as stroke, and vessel diseases such as hypertension and peripheral vascular disease.

II. Diseases due to damage to the heart or disorder of the heart, namely: cardiac arrhythmias, congestive heart failure, cardiomyopathy meaning abnormal heart muscle, congenital heart disease, which refers to malformation of the heart from birth defect, and rheumatic heart disease, which is due to damage to the heart muscle/valve from streptococcal bacteria\textsuperscript{4}.

Atherosclerotic diseases are the most common form of cardiovascular disease, affecting mostly adults over the age of 65 and contributing to almost 80\% of the entire cardiovascular disease burden\textsuperscript{4}. Coronary artery disease, being the most prevalent form is an inflammatory response to atherosclerotic lesions in coronary arteries\textsuperscript{17}. The rest of this section will focus on CAD and discuss the pathogenesis, risk factors, clinical presentation and current therapeutic interventions for CAD. First, a brief review of coronary circulation is presented, because abnormal circulation is a significant part of CAD pathophysiology.
1.2.2 Review of coronary circulation

This review is culled from Principles of Anatomy and Physiology textbook by Tortora and Derrickson\textsuperscript{18} and review articles by Ramanathan and Skinner\textsuperscript{19}, Feliciano and Henning\textsuperscript{20} and by Muller-Delp\textsuperscript{21}.

All circulation to the heart comes from the aortic root, which branches out to the right coronary artery (RCA) and left coronary artery (LCA). The RCA and LCA run on the surface of the myocardium and are referred to as the epicardial or conduit vessels, as they offer very little resistance (5\%)\textsuperscript{20} to coronary flow. The RCA and LCA branch out to more epicardial vessels that divide further into smaller branches as the vessels travel deeper to supply the transmural myocardium. These subendocardial vessels are the resistance vessels that dilate during increased myocardial oxygen demand to increase coronary blood flow. The RCA and branches from the RCA supply blood to the right atrium, right ventricle, posterior wall of left ventricle, and posterior portion of the interventricular septum. On the other hand, the LCA and branches from the LCA supply blood to the left atrium, lateral and anterior wall of left ventricle, and reminder of the interventricular septum. The junction at the aortic root where the coronary arteries arise are controlled by the aortic value which open during systole to allow blood flow to the systemic and central arteries, and close during diastole to allow blood flow to the coronary arteries. Therefore unlike all arteries in the body, which are perfused during systole, the coronary arteries are mostly perfused during diastole. This is because, during systole the contraction of heart muscles compresses the cardiac tissue including the subendocardial coronary arteries, and only when the heart muscle is relaxed at diastole is the myocardium perfused by the coronary arteries. The increased left intraventricular
pressure during systole forces the aortic valve to open to the aorta while closing to the coronary arteries, and when the pressure in the left ventricle rapidly drops during diastole, the aortic valve closes to the aorta and opens to the coronary arteries. The back pressure from this pushes blood into the coronary arteries, perfusing the myocardium.

At rest, coronary blood flow is approximately 250 ml/min\textsuperscript{19} or 0.8ml/min/g of heart muscle\textsuperscript{19}, which can increase up to fivefold during exercise. The heart extracts up to 80\% of the oxygen in arterial blood, nearly three times the amount consumed by the rest of the body\textsuperscript{19}. Hence, the energy demand of the myocardium is met primarily by increased blood supply via vasodilation of resistance coronary vessels. The magnitude of the increase in coronary blood flow to accommodate increased demand is often referred to as coronary reserve. Coronary reserve is governed primarily by coronary vascular tone, which in turn is regulated by local metabolic demand, neuronal influences (sympathetic and parasympathetic stimulation) and flow-dependent endothelial control (figure 1.1). For instance, hypoxia directly triggers vasodilation of coronary arteries and also the accumulation of adenosine, a potent vasodilator. At rest, autoregulatory mechanisms maintain a constant coronary blood flow over a range of mean arterial pressures from 45 to 150 mmHg\textsuperscript{20} and is essentially mediated by the same factors mentioned above that mediate coronary reserve. If pressure changes beyond this range, coronary blood flow becomes directly dependent on perfusion pressure. In general, coronary vascular tone is determined by the coordination of all three control mechanisms (figure 1.1 and 1.2) and the influence of each mechanism varies along the vascular tree. Aging can modify the regulation of coronary circulation inducing gradual reduction in blood flow at rest and with increased demand. Endothelial dysfunction, arterial stiffness and left ventricular
hypertrophy are some of the age-related changes that can affect coronary circulation\textsuperscript{22,23}. CAD, on the other hand, exacerbates age-related dysfunction in regulation of coronary circulation.

**Figure 1.1: Vascular disease modulation of vasodilation mechanisms of coronary arteries.**

EDRF = Endothelium-derived relaxing factor. Solid lines indicate direct influence, broken lines indicate secondary influence and red diagonal crosses indicates impairment of normal regulation.

*Adapted from Ramanathan and Skinner\textsuperscript{19}.*
1.2.3 CAD impairment to coronary perfusion

The impact of CAD on coronary circulation is significant to the function of the heart and equally important to the supply of blood to the brain. The brain, much like the heart, does not maintain a reservoir of energy substrates to help meet its energy demand. Hence, an increase in local metabolic demand will result in rapid hyperaemia to the corresponding tissue. As such, the brain and the heart are highly sensitive to insufficient supply of blood flow, that is, ischemia. In the heart, ischemia can be a consequence of narrowed vessels, referred to as coronary stenosis, or impairment in vasodilatory mechanisms due to atherosclerosis, diabetes or hypertension\textsuperscript{24}. Vascular disease processes affect not only the vasodilation mechanisms, but they can impair the normal regulation of coronary circulation at rest and modulate vasoconstriction factors, as shown in figures 1.1 and 1.2. Atherosclerosis facilitates endothelial dysfunction by inhibiting the release of nitric oxide (NO)\textsuperscript{20,21}, blunting vasodilation, and by increasing the production of vasoconstrictor factors such as endothelin\textsuperscript{20,21}. Furthermore atherosclerosis can cause transient narrowing of coronary arteries, known as coronary spasm, by abolishing NO-mediated vasodilation and by increasing vasomotor tone at rest\textsuperscript{20}. 
Figure 1.2: Vascular disease modulation of vasoconstriction mechanisms of coronary arteries

ET-1 = Endothelin-1. Solid lines indicate direct influence, broken lines indicate secondary influence and red diagonal crosses indicates impairment of normal regulation.

Adapted from Ramanathan and Skinner

1.2.4 Pathogenesis of coronary artery disease

Atherosclerosis is the primary cause of CAD. It often occurs within the epicardial coronary arteries and is viewed as an inflammatory process in response to vascular injury. Since the mid-19th century, the accumulation of lipids in arterial wall was known to produce atherosclerotic lesions, but the notion of vascular injury was only proposed in the mid 1980's. Atherosclerosis is a progressive syndrome that starts off
with infiltration of lipoproteins in the intima of coronary arteries called fatty streaks, advancing to build up of lipids that adhere to smooth muscle lining forming plaques. The plaques disrupt the endothelium, finally triggering an inflammatory response to the fibrous caps of the plaques which then rupture and occlude vessels. This process is complex and beyond the scope of this thesis. Below, a schematic of the simplified atherosclerotic process is shown in figure 1.3.

The common clinical outcomes of atherosclerosis in the heart include ischemia, tissue necrosis or myocardial infarction (MI), unstable angina, acute coronary syndrome and sudden death, to name a few, all of which are symptoms associated with CAD. Typical markers of atherosclerosis include measures that detect downstream effects of atherosclerosis-mediated inflammation/injury such as plasma high-sensitive C-reactive protein (hs-CRP), interleukin-6 (due to increased level of cytokines) and tumor necrosis factor-α. In addition, non-inflammatory markers associated with arterial stiffness such as aortic pulse wave velocity, ankle-arm index, arterial (brachial) intima media thickness are also usually used in assessing atherosclerosis risk and burden.
Figure 1.3: A concise description of the pathogenesis of atherosclerosis

- **Hypercholesterolemia**: ↑ plasma LDL
  - **Lesion formation**: Deposition in arterial walls as fatty streaks
    - Trapped and accumulate in the arterial wall
  - **Oxidization**: → modified LDL
    - **Inflammation**: Activates the secretion and proliferation of macrophages
      - Increase the binding of LDL to endothelial and smooth muscle cells.
      - Weakening the extracellular matrix of the intima media → fibrotic lesion.
    - **Vascular Remodelling**: Arterial intima media layer thickens as the plaque becomes bigger.
    - **Thrombosis**: Rupture of plaques occluding the vessel.
  - **CAD**: Coronary spasm, Myocardial Infarct and Acute Coronary Syndrome.

For detailed description consult the following references 17, 25-27.

These markers have been shown to be effective predictors of CAD\textsuperscript{29}. Interestingly, recent evidence have also shown that the common carotid intima media thickness (CIMT) can be used as surrogate marker of generalized atherosclerosis\textsuperscript{31,32}, and adding CIMT measurements to typical risk predictors can improve 10-year CAD risk stratification\textsuperscript{33}. Atherosclerosis is not only significant in pathology of CAD but it is associated with abnormal changes in the structure and function of the brain, and will be discussed further in section 1.5.
1.2.5 Risk factors for coronary artery disease

Age is the most important predictor of atherosclerotic diseases. Other well known risk factors attributed to CAD are listed in the table 1.1\textsuperscript{34–38}. These risk factors are used in identifying individuals at increased risk for CAD. In Canada, a comprehensive program for diagnosis and treatment of atherosclerosis disease, and prevention of cardiovascular disease, based on these risk factors is managed by the Canadian Cardiovascular society\textsuperscript{34}. It is important to note that these risk factors are also associated with structural and functional impairments in brains of older adults, as will be discussed further in section 1.5.

Table 1.1: Major Risk Factors for Coronary Artery Disease

<table>
<thead>
<tr>
<th>Non-modifiable</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age and Gender: Men ≥ 40 years old or women ≥ 50 years old</td>
</tr>
<tr>
<td>Genetics: Family history of premature CAD in first-degree relative</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Modifiable</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hyperlipidemia : LDL &gt;5.0mmol/L, total cholesterol to HDL ratio &gt; 5</td>
</tr>
<tr>
<td>Hypertension: systolic &gt; 140 mmHg or diastolic &gt; 90mm Hg</td>
</tr>
<tr>
<td>Diabetes mellitus</td>
</tr>
<tr>
<td>Obesity and physical inactivity: increased waist circumference or BMI &gt;27 kg/m(^2)</td>
</tr>
<tr>
<td>Evidence of atherosclerosis: hs-CRP &gt; 2.0 mg/L</td>
</tr>
<tr>
<td>Smoking</td>
</tr>
</tbody>
</table>

Compiled from references\textsuperscript{34–38}
1.2.6 Current therapeutic interventions

Interventions for CAD can be classified as either primary therapeutic and/or secondary preventative interventions. A list of the main current interventions and promising therapeutic options are listed in Table 1.2. The majority of primary interventions are geared towards improving or restoring coronary blood flow, while secondary interventions are employed to minimize risks and maintain coronary function. A number of these interventions have been shown to interact with cerebral blood flow regulation or transiently affect brain functions such as cognitive processing (Table 1.2).

<table>
<thead>
<tr>
<th>Table 1.2: Interventions employed in management of CAD</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Pharmacotherapy</strong>: can be both primary and preventative</td>
</tr>
</tbody>
</table>

1. **Lipid lowering and thrombolytic therapy**
   a) Statins:
      - Reduction of CAD risk\(^{39}\) by inhibiting hepatic production of cholesterol, thereby reducing further thickening of vessel wall diameter\(^{40,41}\).
      - Reverse endothelial dysfunction in coronary vessels\(^{40}\).
      - Lower levels of anti-inflammatory markers such as hs-CRP\(^{40,41}\).
      - Associated with increase in cerebral blood flow\(^{41}\) and lower risk for vascular dementia\(^{41,42}\).
   b) Anti-inflammatory:
      - Include antiplatelets and anticoagulants.
      - Inhibit the formation of occlusive thrombus by preventing aggregation of platelets\(^{40}\).
      - A notable example is salicylates such as aspirin.
      - The effect on CAD risk management is still unclear\(^{40}\).
      - Evidence of less cognitive decline in high-risk CAD patients\(^{43}\) although contradictory.

2. **Nitrates**: Mediate release of NO and cause vasodilation, improving coronary blood flow\(^{44}\).
3. Antihypertensive
   a) Calcium channel blockers:
      - Used in secondary prevention of CAD\textsuperscript{45}
      - Cause vasodilation and lower ventricular pressure load\textsuperscript{45}
   b) Beta-blockers:
      - Used in treatment of CAD symptoms including acute coronary syndrome and stable angina
      - Block SNS receptors, produce positive inotropic effects (increase myocardial contractility) and prevent left ventricular dysfunction\textsuperscript{46}.
   c) ACE inhibitors:
      - Reduction of MI and left ventricular dysfunction\textsuperscript{47}.
      - Blocks angiotensin-converting enzyme (ACE) action in the renin-angiotensin-aldosterone system\textsuperscript{47}.
      - Treatment in hypertensive with ACE inhibitors or similar acting angiotensin receptor blockers have been associated with less decline in cerebral blood flow over time\textsuperscript{48} and less decline in cognitive function\textsuperscript{49}.

Coronary revascularization techniques

- Includes percutaneous coronary intervention (PCI) and coronary artery bypass graft (CABG).
- Used in patients with unstable angina or acute MI as primary intervention to reduce the death or MI\textsuperscript{50}.
- Transient memory loss and reduction in psychomotor speed have been reported after first few weeks of CABG and resolves 1-3 months after surgery\textsuperscript{51,52}.

Cardiac rehabilitation (CR): secondary prevention programs aimed at minimizing CAD risks include\textsuperscript{34,53}.
1. Smoking cessation
2. Low sodium and sugar diet
3. Caloric restriction
4. Regular moderate-intensity physical activity and low-impact aerobic exercise (30-60 minutes most days of the week)\textsuperscript{54}.
Prescribed exercise training interventions: combine moderate-to-vigorous intensity aerobic exercise and resistance training as recommended by the American College of Sports Medicine and American Heart Association for older adults\(^5^5\).

1. **Aerobic exercise:** at a frequency of 3-5 days/week and an intensity of 50-80% of exercise capacity lasting for a duration of 20 - 60 minutes using modalities such as walking, treadmill or cycling exercises\(^5^4\).

2. **Resistance exercise:** at a frequency of 2-3 days/week and an intensity of 10-15 repetitions per set lasting a duration of 1-3 sets of 8-10 different upper and lower body exercises using modalities that include various forms of weight lifting and strength training\(^5^4\).

**Promising future interventions**

1. **Genomics-based therapy:** Molecular targeting of pharmacological agents to pathways associated with proteins that encode genes important for lipid production, to improve responsiveness. For instance clopidogrel is an antiplatelet being investigated in patients with genetic variants in cytochrome P-450, an enzyme required for metabolism of clopidogrel\(^5^6\). In these patients the antiplatelet effect of the drug is reduced.

2. **Stem Cell therapies:** preclinical studies and clinical trials are ongoing to determine the benefit of stem cell therapies in improvement of cardiovascular function by replacement or repair of damaged cardiac tissue with progenitor cells derived from various embryonic sources\(^5^7\). Clinical trials have shown some reduction in death and recurrence of MI in stem cell transplants\(^5^7\). However there are several challenges to this form of therapy including the potential malignant transformation of the cells\(^5^7\).

Cardiac rehabilitation (CR) programs are central to the management of CAD and involve multifaceted behavioural modification interventions aimed at reducing the risk of new MI or recurrence of MI after a coronary event\(^5^8\). In Canada, CR programs are largely hospital-based with a median duration of 5 months although home-based programs are also offered as an alternative. Studies have shown that the use of CR led to reductions of 15%\(^5^8\) to 50%\(^5^9\) in mortality rates associated with cardiovascular disease. The core components of CR include nutritional counselling, risk factors management, psychosocial
interventions and exercise training. Moderate intensity exercise training is a key component of CR programs, and the singular effect of exercise on CAD includes, reduction in cardiac mortality and morbidity, reduced risk for CAD and increased myocardial function. Even, small gains in exercise capacity of 1 ml/kg/min peak oxygen consumption or 1 metabolic equivalent are associated with 10% reduction in cardiac mortality. Furthermore there is strong evidence that exercise training can reduce arterial stiffness in patients with CAD. A review of 5 studies relating exercise training to arterial stiffness in CAD patients, reported consistent findings of associations of improved cardiorespiratory fitness and reduced pulse wave velocity and augmentation index, another measure of arterial stiffness, in CAD patients after completion of exercise training programmes. Table 1.3 adapted from the Mayo Clinic proceedings editorial by Franklin and McCullough, highlights the therapeutic effects of regular physical activity on cardiovascular disease. These effect are potentially the results of improved endothelial function, angiogenesis, proliferation of collateral vessels and regression of coronary atherosclerosis following repeated bouts of exercise. There is increasing evidence that suggests that moderate exercise could have neurorehabilitation effects as well. Section 1.6 will discuss the neuroplastic effects of aerobic exercise training in older adults, and in adults with increased risks for CAD. In chapters 2 and 3, the potential capacity of CR-based exercise programs in reversing brain abnormalities observed in CAD patients are demonstrated.
Table 1.3: Potential benefits of regular exercise for cardiovascular disease patients.

<table>
<thead>
<tr>
<th>Anti-atherosclerotic</th>
<th>Antithrombotic</th>
<th>Anti-ischemic</th>
<th>Antiarrhythmic</th>
</tr>
</thead>
<tbody>
<tr>
<td>Improve lipids</td>
<td>↓ Platelet aggregation</td>
<td>↓ Myocardial oxygen demand</td>
<td>↑ Vagal tone</td>
</tr>
<tr>
<td>↓ Blood pressure</td>
<td>↓ Fibrinogen</td>
<td>↑ Coronary flow</td>
<td>↓ Adrenergic activity</td>
</tr>
<tr>
<td>↓ Adiposity</td>
<td>↑ Fibrinolysis</td>
<td>↓ Endothelial dysfunction</td>
<td>↑ HR variability</td>
</tr>
<tr>
<td>↓ Inflammation</td>
<td>↓ Blood viscosity</td>
<td>↑ Nitric oxide release</td>
<td></td>
</tr>
</tbody>
</table>

Adapted from reference\(^6^3\)

1.3 Cerebral hemodynamics

Brain perfusion is tightly regulated by several complex mechanisms that ensure constant supply of oxygenated blood to meet the brain’s energy demand. These mechanisms dynamically adjust cerebral blood flow (CBF) to match changes in neuronal activity (metabolic regulation), cerebral perfusion pressure (autoregulation), sympathetic nerve activation (neurogenic regulation) and/or vasoactive factors (chemoreceptor regulation)\(^6^7\). Under normal conditions, the resultant flow (F) is determined by the differential perfusion pressure (ΔP) and resistance (R) to flow in accordance with Poiseuille’s law\(^6^8\) (Eq. 1):

\[
F = \frac{(P_1 - P_2) \pi r^4}{8 \mu L} = \frac{\Delta P}{R} \tag{1}
\]

Where \(P_1\) is the inflow pressure or mean arterial blood pressure, \(P_2\) is outflow pressure or intracranial pressure which includes central venous pressure and CSF pressure, while \(r, L\)
and \( \mu \) describe the vessel's resistance to flow and are the radius and length of the vessel, and the viscosity of the fluid within the vessel, respectively. It follows that CBF is determined by the difference in cerebral perfusion pressure and cerebrovascular resistance. Such that alterations to cerebral perfusion pressure or vascular resistance outside the normal basal range will alter CBF levels and activate regulatory mechanisms to preserve constant flow. For instance, cerebral autoregulation maintains a relatively constant CBF over a range of mean arterial pressures from 60 to 150 mm Hg\(^{69}\). If perfusion pressure drops beyond the lower limit or increases above the upper limit, CBF will increase or decrease accordingly. All regulatory mechanisms ultimately exert their influence on vascular smooth muscles of the intracranial arterioles, to increase CBF by vasodilation or decrease CBF by vasoconstriction. The magnitude of changes in CBF, and to a lesser extent the corresponding blood volume, is greater during vasodilation than vasoconstriction\(^{70}\). As such, regional measurements of basal levels of CBF and vascular resistance of cerebral vessels to a vasodilatory stimuli provide crucial markers of regional cerebrovascular health\(^{71-73}\).

One of the most potent vasoactive stimuli is CO\(_2\). Increased concentration of blood CO\(_2\) increases partial pressure of arterial CO\(_2\) (P\(_a\)CO\(_2\)) and changes blood tissue pH, inducing relaxation of vascular smooth muscles directly or via secondary mechanisms that release vasoactive agents such as prostaglandins and nitric oxide\(^{67}\). Inhalation of CO\(_2\) can trigger changes in pH and vessel diameter within 10s and subsequent changes in CBF within 30s of the beginning of inhalation, with CBF reaching peak values around 2 min\(^{67}\). The relative increase in CBF per mm Hg increase in P\(_a\)CO\(_2\) is around 5%/mm Hg\(^{74}\). This is illustrated in figure 1.4 showing the time courses of end-
tidal CO$_2$ (ETCO$_2$), a surrogate marker of P$_a$CO$_2$, and CBF for a 60-year-old male inhaling 6% CO$_2$ for 5 min.

**Figure 1.4: Time courses of CBF and ETCO2 during hypercapnia.**

Time courses of ETCO$_2$, total gray matter CBF and breathing rate (BR) measurements in a 60 year old male acquired every 15s over 13 min. Hypercapnia was induced using 6% CO$_2$+ 21% O$_2$+ 73% N$_2$ for a duration of 5 min. Time-averaged ETCO$_2$ for normocapnia and hypercapnia were 37 ± 0.8 and 51 ± 3.8 mm Hg, respectively, corresponding to 37% increase in ETCO$_2$ and 78% increase in CBF relative to baseline. Breathing rate was maintained at around 15 breaths per minute (BPM) during both capnia conditions using paced-breathing (metronome) to prevent hyperventilation.

This rapid response of CBF to CO$_2$ makes cerebrovascular CO$_2$ reactivity an excellent means of probing cerebral hemodynamic alterations. Cerebrovascular CO$_2$ reactivity (CVR) can be described as a measure of the response of the brain's microvasculature to CO$_2$ stimulus, typically an elevation of arterial CO$_2$ tension (i.e., hypercapnia). Hypercapnia manipulations using CO$_2$ inhaled concentrations of 5-8% - resulting in an P$_a$CO$_2$ increase of up to 15 mm Hg - are considered safe and severe adverse respiratory, cardiovascular or neurological effects to short-term exposure are
observed at concentrations ≥10%\textsuperscript{75}. Furthermore, mild hypercapnia manipulations are low cost, easy to implement, relatively reproducible and highly sensitive to hemodynamic impairments by 'steal phenomenon', where vessels that are maximally dilated will have reduced capacity to increase CBF\textsuperscript{76}. However, it should be noted that the acute effect of mild hypercapnia on brain oxygen metabolism\textsuperscript{77} and neuronal activity\textsuperscript{67} is unclear and being investigated by various groups.

1.4 Brain changes associated with normal aging

Aldred Scott Warthin (1928) described normal aging as a 'syndrome' - a complex period of retrogression that "consists, therefore, of a combination of organ - and tissue - involutions, shown histologically by well-defined tissue-lesions and manifested clinically by descending function-curves."\textsuperscript{78} This process he argues, starts gradually after maturation, develops throughout mid-life, accelerates by age 70 at which time tissue involutions start to become 'clinically apparent', and terminates in biological or pathological death\textsuperscript{78}. In the brain, various studies using tissue histology and morphometric (CT and MRI) techniques, support Warthin's assertion of age-related tissue involution\textsuperscript{79}. All structures in the brain\textsuperscript{80}, with the exception of the brainstem\textsuperscript{80-82} undergo consistent progressive age-related involution\textsuperscript{80}. Decline in total and regional volume have been reported for gray matter (GM)\textsuperscript{83} and white matter (WM)\textsuperscript{79} following maturation around the age of 30 years old, as well as impaired CBF\textsuperscript{84-86}, cerebral metabolic rates of glucose (CMRglc)\textsuperscript{85,87} and oxygen (CMRO\textsubscript{2})\textsuperscript{85,86}. The pattern of age-related brain changes vary across the brain with some regions showing a linear trend, while others show a non-linear or curvilinear pattern, and some show preservation or delayed age
effect. For instance, the frontal regions particularly the prefrontal, show the earliest and greatest loss of volume with age, while the hippocampus and cerebral WM show an initial increase in volume prior to an accelerated decline much later in life, which could signify compensation, while the limbic structures show significant GM preservation relative to other areas. This reflects the selective vulnerability of certain brain regions to aging, and possibly pathological effects.

It is widely accepted that the topological pattern of normal aging follows an anterior-to-posterior gradient, affecting first, regions that mature last during postnatal brain development. Myelination, for instance, occurs in the cerebellum prior to the cerebral lobes and in the occipital prior to the frontal lobes, continuing up to fifth decade of life. While loss of myelin sheath have been found predominantly in the anterior regions of the brain of older subjects compared to younger ones. This 'last-in-first-out' principle, also referred to as the 'retrogenesis hypothesis' is observed in normal aging, as alterations in GM and WM structure and impairments in selective domains of cognitive function. This topological pattern of normal aging is what differentiates it from pathological aging processes such as dementia. The following sections will outline evidence of age-related retrogenesis in the brain, describe possible neurobiological contributions to normal brain aging, and outline effects of aging on brain function. It is important to note that given the large variation in study samples (age range, exclusion/inclusion criteria, sample size), methodology (i.e. cross-sectional or longitudinal), image acquisition, and image analysis techniques, comparison of observations among studies and overall generalization of results are limited.
Figure 1.5: Illustration of overt age-related brain changes seen on structural MR images.

T1-weighted images of a young (left, 24 years old male) and older (right, 68 years old male) healthy participants, illustrates common differences in brain structures typically attributed to normal aging. Brain atrophy, sulcal widening and enlarged ventricles (shown in red arrows) are evident in the older brain. Images were derived from data collected as part of my thesis project.

1.4.1 Evidence of age-related changes in the brain

Brain atrophy\textsuperscript{100}, ventricular enlargement\textsuperscript{102–105} and increase in white matter hyperintensity\textsuperscript{91} are overt appearances of normal aging easily detectable in structural MR images, as exemplified in figure 1.5, in a young and older subject randomly selected from data acquired during my thesis project. On average, the total GM volume (GMV) decays by 2-3\% per decade\textsuperscript{105,106}, where the frontal lobe being one of the highest, decreases at a rate of around 5.5\% per decade\textsuperscript{107}. Reductions in GMV\textsuperscript{87,89,90,103,104,108–110} and cortical thinning\textsuperscript{93,111,112} related to aging are found more often in the frontal and parietal regions\textsuperscript{113}, with minimal involvement of the cerebellum\textsuperscript{82,114}, temporal lobe\textsuperscript{113} and subcortical regions including the limbic\textsuperscript{89} structures, and general preservation of the brainstem\textsuperscript{81,82} and occipital lobe\textsuperscript{115}.

The hippocampus and entorhinal cortex known for their significance in memory processing\textsuperscript{116,117} and vulnerability to Alzheimer's disease (AD), also experience age-
related brain atrophy\textsuperscript{80,93,100,105,118}. However, normal aging of these medial temporal lobe structures are more subtle compared to the drastic changes seen in AD\textsuperscript{93}. Their volumes are relatively stable throughout young adult and midlife, after which they decline exponentially from an annual rate of atrophy of \textasciitilde0.05\% in midlife to \textasciitilde2\% after age 60\textsuperscript{93}. For comparison, the annual rate of atrophy reported for MCI and AD subjects in the hippocampus and entorhinal cortex are 2.39\% and 3.64\%, respectively\textsuperscript{93}.

Likewise, deficits in WM volume also seem to appear much later in life, after the age of 70 and decline more rapidly than GMV\textsuperscript{88,119,120}, following similar spatial pattern to GMV loss\textsuperscript{119,121}. In healthy elderly adults, loss of WM volume is more prominent in prefrontal regions\textsuperscript{118,121–123}, followed by regions within the parietal cortex\textsuperscript{118,121} and inferior temporal regions\textsuperscript{121,123}. Furthermore, the presence of focal areas of increased signal intensity on T2-weighted MR images, known as white matter hyperintensities (WMH), are common in deep WM and periventricular WM regions of older adult brains\textsuperscript{91,119,124,125}. Subcortical and periventricular WMH are prevalent after the age of 60 years, occurring in nearly 90\% and over 60\% of individuals, respectively\textsuperscript{124,125}. Although, the severity and extent of WMH lesions are associated with increased age\textsuperscript{124}, and linked to cognitive impairments in memory\textsuperscript{125,126} and processing speed\textsuperscript{126,127}, the increased risks for vascular disease associated with aging such as hypertension, are greater indicators of WMH than aging alone\textsuperscript{125}.

On the other hand, results of WM microstructural integrity assessed using MRI measurement of WM diffusivity, such as diffusion tensor imaging (DTI), offer more consistent findings of age differences in WM\textsuperscript{119} and provide better support of the retrogenesis hypothesis\textsuperscript{91,119}. Diffusion-weighted indices including fractional anisotropy
(FA), radial diffusivity and axial diffusivity have been used in numerous studies to quantify white matter structural integrity during brain development, normal aging, and in various disease states\textsuperscript{128–130}. Reductions in FA could signify degradation of WM structure, inflammation, or loss of glial cells, while increased radial and axial diffusivities suggests demyelination and axonal loss or damage\textsuperscript{128–130}. Decreased FA in older adults compared to younger adults have been reported primarily in anterior WM including uncinate fasciculus bundles of frontal WM, cingulum bundle in parietal, WM tracts in anterior portion of temporal lobe, but not in the inferior longitudinal fasciculus of the posterior temporal lobe connecting to the occipital\textsuperscript{101,131–136}. Increased radial and axial diffusivities have also been found in the anterior portion of the corpus callosum (genu)\textsuperscript{134,135,137}. Hasan et al. measured diffusivity in 24 WM fiber tracts in 119 healthy subjects from 6 to 68 years old and demonstrated a U-curve relationship between increasing age and radial diffusivity\textsuperscript{138}. An initial decline in diffusivity was observed post-adolescence up to the age of 40, which the authors suggested was reflective of sustained "progressive" myelination, followed by rapid increase in diffusivity with advancing age, which was attributed to "regressive" and "degenerative" natural aging\textsuperscript{138}. A similar but inverted U-curve was also observed in tracts measured by FA\textsuperscript{138}. These diffusion-weighted MRI observations are supported by histological examinations that have shown myelin and axonal loss in various areas of the brains after midlife, in both human\textsuperscript{95,96,139} and animal\textsuperscript{140} studies. When taken together, measurements of WM macro- and micro-structure suggest that brain regions with recent myelination following maturation are more prone to age-related myelin degeneration.
Similar to GM and WM structural deficits, an anterior-to-posterior gradient in GM hypoperfusion have been found with advancing age, starting as early as 20 years old and progressing steadily through middle and old age, at an annual global rate of nearly -0.4%. The greatest association with normal aging were found in the frontal and parietal lobes, followed by the temporal cortex, while minimal relative changes are seen in the occipital or portions of the cerebellum, even after correction for signal dilution from partial volume effects. These age-related changes in GM CBF are usually independent of underlying brain atrophy or cortical thinning, suggesting that separate neurobiological mechanisms could mediate brain atrophy and cortical hypoperfusion, such as vascular aging. Aging affects not only resting GM CBF, it can impair the brain's ability to respond rapidly to an increase in task-demand. This is evident in reduced CVR, decreased CMRglc, and increased oxygen extraction with advanced age. Lu et al demonstrated that age-related decline in CVR observed in the prefrontal cortex, appeared earlier and at a much greater rate of decay compared to CBF. These age-related impairments in cerebrovascular hemodynamics and in metabolism of brain energy substrates could explain associations of aging to cognitive decline in domains of memory and attention. However, age-related changes in CBF, CVR or CMRglc are controversial given that some studies have reported no change (after partial volume correction), or an increase with increase in age. Investigations of subtle change in CBF or CMRglc related to aging are largely limited by the ability of current imaging techniques to spatially resolve GM/WM-specific voxel signals and minimize partial volume effects. In general, structural and functional brain changes associated with...
normal aging are likely restricted to anterior portions of brain, areas that are involved in memory, executive functioning and attention.

1.4.2 Neurobiology of normal brain aging

The neurobiological processes that mediate the structural and functional changes associated with age are not fully understood. Aldred Scott Warthin (1928) postulated that age-related changes are "...due to a loss of growth-energy, so that the cell loss exceeds the regenerative powers, and numerical and quantitative atrophies ensue." This speaks not only to changes in the brain’s energy demand and supply with age, but also to the restorative or plastic capacity of the brain following age-related tissue involution, as will be described in section 1.6. Earlier propositions suggested that normal brain aging was likely a result of neuronal loss secondary to cerebral hypoperfusion and subsequent hypometabolism. However, recent evidence demonstrates that normal brain aging is more likely to arise from loss of dendrites (spine and aborization), decrease in synaptic pruning and alterations in neurotransmitter response over time as the brain struggles to maintain energy demands in the face of shrinking supply (for review see Dickstein et al). Significant reductions in synaptic density and the number of synapses have been reported in the prefrontal, superior temporal and precentral cortices in older humans compared to young, and in aged nonhuman primates, canines and rats. In addition, age-related reductions in glutamatergic, serotonergic and cholinergic neuroreceptor density and binding sites of frontal regions, along with reductions in myelination, contribute to disruptions in brain signalling pathways, which are observed as alterations in functional connectivity of frontal networks such as
the default mode network\textsuperscript{179–183}. Furthermore, molecular and microvascular changes associated with aging including, increased oxidative stress, atherosclerotic plaque formation, vessel stiffness, increased arterial blood pressure, inflammation and decreased capillary diameter and density, contribute to the attenuation of resting CBF, and create impairments in cerebrovascular tone\textsuperscript{23,148}, further limiting the brain's ability to meet energy demands in task-intensive regions such as in the frontal cortex.

1.4.3 Effect of age on brain function

The cumulative effect of various changes in brain structure, metabolism and cerebrovascular function resulting from normal aging can impact the performance of cognitive function\textsuperscript{184,185}. Poorer performance on tests for verbal fluency, visuospatial function, working memory, executive function and selective attention are associated with increased age\textsuperscript{186,187}. Although brain regions (prefrontal, medial temporal and parietal) negatively associated with aging are also associated with cognitive control, evidence correlating cognitive decline to structural and functional decline in the these brain regions is limited\textsuperscript{188}. Recent meta-analyses of 80 studies, in which brain activity was measured in young and old healthy adults during performance of cognitive tasks, provide a clearer understanding of the brain's response to normal aging\textsuperscript{189,190}. Both reviews point to the compensatory mechanisms employed by the brain of older adults when engaged in cognitive processing\textsuperscript{189,190}, which could be through modes of bilateral prefrontal cortex activation (i.e. Hemispheric Asymmetry Reduction in OLDer Adults, HAROLD) or a combined increase in anterior brain activity and decrease in posterior regions (i.e. Posterior-Anterior Shift in Aging, PASA)\textsuperscript{185}. Compared to young adults, older adults
have increased activity largely in the prefrontal cortex, less activity in the occipital during perceptual tasks and no difference in the parietal lobe, even when performance was equal between groups\textsuperscript{190}. In addition, older adults that performed better tend to have an increase in the left prefrontal activity while those who performed poorly activated only the right prefrontal\textsuperscript{190}. These patterns of cognitive activation in old adults support views of increased recruitment of brain resources (HAROLD or PASA) during demanding tasks to maintain normal performance in the presence of structural and functional deficits\textsuperscript{185,189}. It remains to be seen if over-recruitment of frontal regions in older adults is indicative of successful cognitive aging or a mark of inefficient activation of specialized brain networks (dedifferentiation)\textsuperscript{185}. It is quite possible that compensatory activations are attempts at re-organizing frontal brain networks that are structurally\textsuperscript{191} and functionally\textsuperscript{192} altered during aging.

1.5 Brain changes associated with CAD and increased risk for CAD

"The secondary pathologic conditions that are made possible and favoured by primary involution process are many and of great clinical importance, because it is usually through some one of these that the senile individual is kept from achieving a biological death. They fall into several well-defined group: 1) secondary to vascular changes...." A S Warthin, 1928\textsuperscript{78}.

The decline in regional brain structure and function in older adults with risks factors for CAD is greater than the decline attributed to normal aging\textsuperscript{6}, often affecting
posterior brain regions and cognitive domains that are relatively stable during normal aging. A systematic review of neuroimaging findings from 77 studies that investigated brain changes related to CAD risk factors in asymptomatic individuals, concluded that risk factors of hypertension, type II diabetes, obesity and hyperlipidemia, independently contribute to adverse changes in the structure and function of the brain\(^6\). More importantly, an increase in the number of risk factors appears to increase the magnitude of brain changes\(^6\). The impact of CAD risk factors appear to be more regional than global\(^6\). In this section, evidence of regional brain atrophy, impaired hemodynamics and abnormal brain metabolism related to CAD and disease risk factors will be briefly presented.

### 1.5.1 Changes in brain structure

Regional brain atrophy in the frontal, temporal and parietal gyri are associated with hypertension\(^{193,194}\), type II diabetes\(^{195-197}\) and obesity\(^6\). A voxel-based morphometry (VBM) study of 478 adults aged 60-64 years found an association between hypertension and decreased GMV in the medial frontal, superior frontal and superior temporal regions of male subjects, after controlling for effects of age and other vascular disease risk factors\(^{194}\). In another study of 134 adults from 50-70 years old, higher systolic blood pressure was associated with decrease in GMV in the supplementary motor area, superior frontal gyrus, anterior cingulate and middle temporal regions in male subjects\(^{193}\). No association between hypertension and brain volume were found among women subjects in both studies. Novak et al\(^{197}\) and Last et al\(^{195}\) reported lower GMV in the parietal and
occipital lobes of type II diabetics compared to non-diabetics, and more recently a VBM study[^198] found decreased GMV in the temporal and precentral gyri as well.

An increase in white matter lesions and a decrease in regional WM volume are associated with hypertension[^199-202] and diabetes[^195,197,198,200,203]. Ventricular widening have also been observed in hypertensive[^204,205] and diabetic[^206] patients compared to age-matched controls. Even in earlier on in life, higher systolic blood pressure have been linked to white matter injury and decreased regional GMV in individuals under the age of 50[^207]. However, it appears that higher systolic blood pressure may not be associated with longitudinal changes in brain volume[^199,208] or in the frequency and severity of white matter lesions[^200,202,203]. Rather, higher diastolic blood pressure in midlife are linked to greater longitudinal decline in GMV[^208] and increased frequency and severity of white matter lesions[^200,202].

### 1.5.2 Changes in brain function

Individuals with uncontrolled or untreated hypertension have been shown to have regional hypoperfusion in the superior, inferior[^209] or and orbito-frontal cortices, anterior cingulate, and temporal and occipital regions compared to normotensive controls[^210-213]. Accelerated decline in regional CBF over time has also been observed in hypertensive adults compared to older normotensive adults[^211] and could be linked to uncontrolled increases in blood pressure[^48] and increased arterial stiffness[^209,214]. One study of type II diabetics reported reductions in CBF under resting conditions and during hypercapnia in the temporal and parieto-occipital regions. Although in the diabetic group, hypertension
was associated with lower CBF including during hypercapnia. Another recent study in a larger cohort (541) of middle aged adults, found lower CVR in the cingulate and medial prefrontal lobe in individuals with elevated blood pressure compared to normotensive subjects, but no difference in regional CVR in individuals with elevated blood glucose or blood cholesterol compared to subjects with normal levels. Hypertension is also associated with significant reductions in CMRglc in the striatum and with increased insulin resistance in the frontal, cingulate, parietal and temporal lobes.

This abnormality in glucose metabolism in diabetics was linked to poor performance on memory tasks and an activation pattern that seem to demonstrate compensatory attempts during delayed recall tests. Impairments in memory as well as in executive control, psychomotor speed and attention are reported in hypertensive adults and in individuals diagnosed with cardiovascular disease. In cardiovascular disease patients, one study demonstrated accelerated decline over time in visuospatial skills, memory and overall cognitive function, and a linear decline in language, attention and psychomotor speed. It is quite possible that cognitive dysfunctions associated with vascular disease are related to cerebral hypoperfusion and abnormal distribution of CBF during increased demand. For instance, in hypertensive subjects, decreased CBF in the superior frontal, anterior parietal and temporal was associated with decreased performance in attention and psychomotor processing. In addition, during working memory tasks, hypertensive compared to normotensive subjects had lower increase in posterior parietal CBF, displayed attempts at recruitment of other cortical regions, and increased contralateral flow. These regional flow alterations could be mediated by vascular aging that are exacerbated by cardiovascular disease. Cerebral
hypoperfusion is linked to increased arterial stiffness\textsuperscript{221}, and increased evidence of atherosclerosis\textsuperscript{214}, and is associated with comprised neuronal viability\textsuperscript{222}. In addition, higher carotid intima media thickness and increased pulse wave velocity are linked to poorer memory performance and lower scores on processing speed and executive function\textsuperscript{218,223}. However, the pathogenesis of brain alterations associated with cardiovascular disease and disease risk factor are not well known. Equally, it is unclear what impact cardiovascular disease, specifically diseases of atherosclerotic origin such as CAD; have on the structural integrity and functional capacity of aging brains.

1.6 The potential neuroplastic effects of aerobic exercise training

1.6.1 Defining physical fitness training

Physical activity contributes to lower risk for CAD\textsuperscript{53} and improves brain health even in late adulthood and in chronic sedentary individuals\textsuperscript{224}. Physical activity can be defined as movements of the body produced by skeletal muscles that results in expenditure of energy\textsuperscript{225}. Physical exercise is purposeful physical activity that is planned and repetitive\textsuperscript{225}. While, physical fitness "is a set of attributes that people have or achieve" (Caspersen et al\textsuperscript{225}, page 128) and can be defined as "the ability to carry out daily tasks with vigor and alertness, without undue fatigue and with ample energy to enjoy leisure-time pursuits and to meet unforeseen emergencies" (Caspersen et al\textsuperscript{225}, page 128). Cardiorespiratory fitness is a health-related component of physical fitness defined as the ability of the circulatory and respiratory systems to supply oxygen during sustained physical activity\textsuperscript{225}. Individual exercise capacity and fitness level are determined
primarily by age, sex, exercise habit and cardiovascular health status\textsuperscript{226}. Capacity and fitness level are measured using graded exercise tests such as treadmill or cycle ergometer, in absolute terms as metabolic equivalent of tasks (METs) meaning the amount of oxygen consumed at rest, where 1 METs approximates 3.5 mL of oxygen per kilogram of body weight per minute\textsuperscript{226}. However, the gold standard measure of exercise capacity and fitness is the relative measurement of maximal oxygen consumption (VO\textsubscript{2}max) during dynamic exercise, a level where the greatest amount of oxygen is consumed despite an increasing workload\textsuperscript{226}. For example, normal VO\textsubscript{2}max of a 50-59 year old male is roughly 36 mL/kg/min or 10 METs\textsuperscript{226}. The amount of VO\textsubscript{2}max in healthy humans is governed largely by the amount of systemic oxygen delivery to skeletal muscles, which is in turn, determined by the maximal cardiac output\textsuperscript{227}.

The recommended form of physical fitness in older adults and adults with cardiovascular disease are moderate-to-vigorous intensity aerobic exercise and muscle-strength training activities\textsuperscript{55}. In absolute and relative terms, moderate-to-vigorous intensity exercises are between 3 to 6 METs\textsuperscript{55} or 50 to 80% of VO\textsubscript{2}max\textsuperscript{55} or a score of 12-16 on the 20-scale Borg rating scale of relative perceived exertion\textsuperscript{226}. An improvement in cardiorespiratory fitness by 5.4 \pm 1.2 ml/kg/min\textsuperscript{61} or an increase of 15 to 20%\textsuperscript{228} in peak oxygen consumption can be expected by cardiac patients enrolled in CR programs. However, perceived improvements in fitness level reported in various studies are difficult to compare, limited by heterogeneities in training intensities (low-moderate, vs. moderate-high), programme duration (4 -52 weeks), number of exercise sessions (36 or > 36 sessions), exercise modalities (aerobic vs. mixed of aerobics and strength training), patient fitness level on entry and modality of fitness testing (maximal treadmill
or cycle ergometer vs. predictive submaximal testing including modified treadmill tests, or 1-mile track walk test vs. self-reported physical activity questionnaires). These variations in aerobic training regimen and fitness testing also limit comparisons of neurovascular benefits associated with aerobic exercise training. In general, improvements are associated with higher exercise intensity and with programs consisting of 36 or more sessions of aerobic-based exercise or a combination of aerobic and resistance training. Majority of the gains in cardiorespiratory fitness are achieved in the first 4-12 weeks of training and little or no further increase are observed after initial gain. Submaximal testing using graded exercise protocols with shorter stages and smaller transitions between workloads are preferred for testing fitness level in cardiac disease patients.

1.6.2 Effects of moderate intensity aerobic fitness training on brains of older adults

A review of studies examining the relationship between physical activity and brain volume in older adults outlined 9 studies where higher fitness level was associated with greater gray matter volumes in the anterior cingulate cortex, prefrontal, parietal and temporal regions, as well as the hippocampus. Notably, Colcombe et al (2003) showed that age-related decline in the prefrontal, parietal and temporal gray and white matter regions were moderated by higher aerobic fitness level. Compared to other brain areas, these regions also showed greater tissue preservation with increasing fitness level, consistent with findings from other studies. It appears that regions that show the greatest rate of age-related decline in brain volume discussed earlier are also the
regions that are highly plastic and respond more readily to modifying effects of exercise. In the hippocampus, for instance, a study found an annual increase in volume of 2% in the anterior hippocampus of aerobically trained older adults compared to an annual decline of 1.5% in a stretching-toning control group. The increase in volume was associated with increased levels of serum brain-derived neurotrophic factor (BDNF) and improved performance in spatial memory tasks. In another study, peak VO$_2$ was positively associated with fractional anisotropy in the middle and posterior cingulum bundle and with fractional anisotropy and radial diffusivity in the body and genu of the corpus callosum of brains of previously sedentary older adults.

Likewise, increases in CBF have been found in the hippocampus, anterior cingulate cortex and posterior cingulate/precuneus of aerobically trained older adults. Four months of aerobic exercise showed increased connectivity between the hippocampus and anterior cingulate cortex in the trained group compared to healthy controls. Increased brain network connectivity and improved network efficiency between fronto-temporal regions and within the default mode network and frontal executive networks are also demonstrated in aerobically trained older adults. In addition, positive correlation between aerobic fitness and task-induced activation in the anterior cingulate, lateral prefrontal and lateral parietal regions are evident across various tasks for executive function and semantic memory retrieval, potentially mediated by increased regional brain volume. However, Colcombe et al. reported decreased activity in the anterior cingulate, left medial frontal and middle superior temporal in aerobically trained older adults performing conflicting response tasks, a probe of the attention-driven network. This finding was attributed to improved adaptations in the
attention network following exercise training\textsuperscript{238}. It is quite clear that most domains of cognitive control in healthy older adults benefit from physical activity, with the greatest improvements seen in domains of executive function, while modest improvements are seen on tasks of attention and spatial memory, and limited effect on tasks for working memory\textsuperscript{214}.

In cardiovascular disease, 12-to-18 weeks of cardiac rehabilitation were associated with improved performance on executive function, psychomotor speed, attention and episodic memory tasks, and these improvements were preserved for up to 9 months after completion of a 12-week CR program\textsuperscript{239}. Although, there is overwhelming evidence of the neuro-protective and neuroplastic effects of aerobic exercise in healthy older adults, the benefits of aerobic exercise, alone or as part of a CR program on brain structure and function in cardiovascular disease patients are not well known. In fact, only one study to date has linked aerobic exercise-based CR programs to regional changes in CBF and GMV in brains of cardiovascular disease patients. In that study, MacIntosh et al\textsuperscript{240}, associated gains in fitness level (VO\textsubscript{2} peak) after 6-month CR program with baseline CBF in the left postcentral gyrus and baseline GM density in the right putamen. The effects of exercise training were not explicitly investigated. This highlights the need for research to investigate the neuroplastic efficacy of exercise-based CR programs.

It is not surprising that exercise can modify regional brain structure and cause selective changes in function as well. A number of studies have shown that skill training and learning modify structures within the adult brain\textsuperscript{241}. Learning-induced plasticity, for instance, has been shown in the occipito-temporal cortex of individuals trained to juggle\textsuperscript{242}. London taxi drivers were found to have larger posterior hippocampus volume
compared to controls and the enlargement was correlated to length of time spent driving a taxi cab, demonstrating the effect of navigational skill experience\textsuperscript{243}. Interestingly, the effects of exercise are not only limited to motor areas, but also effects are significant in cognitive regions of the brain, signifying the potential salutary effects of exercise training in remediaying pathological brain aging. It can be said that aerobic exercise training could potentially offer long-term neuroprotective benefits. This was demonstrated in a recent study where walking 72 blocks per week, equivalent to 9-15 km per week, was associated with greater GM volume in the inferior frontal, hippocampus and supplementary motor area nine years after exercise cessation\textsuperscript{244}, and a reduced risk for developing cognitive impairments\textsuperscript{244}. Meta-analyses of exercise training in older adults concluded that short-term exercise training when used as an intervention, offered cognitive benefits in individuals with dementia or cognitive impairments\textsuperscript{245} but not in older adults free from cognitive impairments\textsuperscript{246}. In all, moderate intensity aerobic exercise is sufficient to elicit significant changes in the structure and function of the adult brain\textsuperscript{224}.

\subsection*{1.6.3 Plausible neurobiological mechanisms}

The neurobiological mechanisms of exercise-induced neuroplasticity or influence of exercise on cognition are unclear. Animal studies points to neurogenesis, angiogenesis, synaptic plasticity and upregulation of neurotrophins\textsuperscript{247} as possible neurobiological mechanisms. Rodent studies have shown that animals exposed to voluntary running have increased number of new neurons, spine density and higher levels of neurotophin in the hippocampus compared to sedentary controls, which translated into enhanced learning and memory for Morris water maze tasks and improved performance on avoidance
tasks\textsuperscript{247}. It is more likely that exercise induces synaptogenesis rather than neurogenesis or true increase in neuronal volume\textsuperscript{241}. Increased GM volumes observed post exercise training are likely due to expansion of the soma and nucleus of neurons and glial cells as well as increased synaptic density, dendritic branching and dendrite spine proliferation\textsuperscript{241}. These microstructural changes are potentially mediated by upregulation of neurotrophins that stimulate cell turnover and neuron proliferation including signal proteins such as BDNF, vascular endothelial growth factor (VEGF) and insulin-like growth factor 1 (IGF-1)\textsuperscript{247}. Increased concentration and expression of BDNF in the hippocampus, frontal cortex, striatum and cerebellum after exercise training have been observed in mice\textsuperscript{248}. When BDNF binding is blocked in mice performing water maze tasks, the effect of exercise is abolished\textsuperscript{249}. Higher serum concentration of BDNF as a function of short-term exercise have been observed in a few studies of young adults\textsuperscript{250}. Increased serum levels of BDNF have been observed in older adults after 1 year of exercise training and was associated with increased hippocampal volume\textsuperscript{231}. However, there is no clear indication that high-intensity exercise or long-term chronic exercise have positive effects on BDNF levels\textsuperscript{250}.

A more immediate effect of exercise is angiogenesis. Exercise is associated with proliferation of new vessels and increase expression of signal proteins such as VEGF and IGF-1 involved in production of new vessels. Exercise-induced increases in capillary density and VEGF transcription have been observed in the motor cortex, hippocampus, striatum and substantia nigra of mice brains\textsuperscript{163}. Using magnetic resonance angiography, Bullitt et al\textsuperscript{251} demonstrated that highly fit healthy older adults had an increased number of small vessels compared to low fit individuals. Another recent study found increased
cerebral blood volume (CBV) in the dentate gyrus of the hippocampus of young-to-middle-aged adults after 3 months of exercise training. Individuals with higher VO$_2$max had higher dentate gyrus CBV, but no change in performance of verbal learning (all-trial) or delayed recall memory tests. These observations corroborated observations of increased CBV in dentate gyrus of adult mice that performed 2 weeks of voluntary wheel running compared to non-exercising controls. Subsequent post-mortem studies found positive correlation between CBV and bromodeoxyuridine, a marker of newly born cells, in the dentate gyrus.

In general, there is substantial evidence supporting the notion of exercise-induced proliferation of neuronal cells and increased capillary density, synaptic density and expression of neurotrophic factors, all of which contribute to enhanced structural integrity and improved brain network connectivity/function.

1.7 Quantification of regional brain changes using MRI

This section describes the brain imaging techniques used in this thesis to measure differences in regional brain structure and function between coronary artery disease patients and age-matched controls. Regional differences in brain structure were measured on a voxel-by-voxel basis as local differences in gray matter density using a voxel-based morphometry (VBM) approach implemented in the Statistical Parametric Mapping (SPM) software (http://www.fil.ion.ucl.ac.uk/spm/software/spm8/), which is described in the first portion of this section. Next, arterial spin labelling (ASL), a non-invasive perfusion MRI technique for assessment of regional differences in CBF and CVR, is discussed. The last portion of this section presents the rationale for the use of ASL-based
hemodynamic measures as suitable substitutes for established markers of brain function such as glucose metabolism, and introduces a study performed to investigate the regional correlation of CBF to cerebral glucose metabolism, which is described in detail in chapter 4.

1.7.1 VBM measurements of gray matter volume changes

VBM is an automated technique for brain segmentation and voxel-by-voxel comparison of local tissue (typically gray matter) concentration/volume between groups of subjects or against a known parameter of interest. It has been widely used in studies of brain atrophy in normal aging\textsuperscript{90} and neurodegenerative conditions\textsuperscript{253}, as well as in investigations of neuroplasticity\textsuperscript{224}. Data for VBM analysis are typically high-resolution (1-mm isotropic) three-dimensional T1-weighted anatomical MR images. T1-weighted imaging, which refers to sensitizing MR pulse sequences to the longitudinal relaxation time of water, is used as it is one of the primary means of generating MR contrast in different tissue types. In brain, good soft tissue contrast is achieved because of differences in the T1 values of GM, WM and CSF, which enables classification of the signal intensities of the various tissue types in each voxel. At a magnetic field strength of 3T, the T1 values of GM, WM and CSF are 1445 ± 119, 791 ± 27, and 4163 ± 263 ms, respectively\textsuperscript{254}.

At the basic level, VBM involves the registration of individual T1-weighted images to a common space or template, an approach known as spatial normalization, followed by segmentation into GM, WM and CSF tissue classes, and spatial smoothing of GM segments prior to voxel-wise statistical analysis\textsuperscript{255}. The optimized VBM method available in SPM8 employs additional preprocessing steps to exclude non-brain voxels
prior to spatial normalization and subsequent segmentation. It also provides options for computing GM volumes by scaling the voxel concentration by the amount of deformation estimated during spatial normalization, a process known as modulation. Modulation compensates for the non-linear effect of spatial normalization and preserves the total amount of GM signal in the normalized data. An extension of the optimized approach includes GM/WM templates generation for spatial normalization, by iterative averaging all subjects data using the DARTEL tool (diffeomorphic anatomical registration using exponentiated Lie).

In SPM, image segmentation is based on a modified mixture of Gaussians where tissue classes (GM, WM or CSF) are modeled by Gaussian distributions of signal intensities combined with a priori knowledge of the spatial distribution of different tissues in normal subjects, derived from probability maps. With this approach, each voxel is assigned a particular tissue class based on the maximum likelihood of belonging to that class. Essentially, the segmentation step generates images where each voxel has a posteriori probability ranging from 0 to 1. The GM/WM spatial priors are either the default priors derived from a standard template (ICBM-152 template, Montreal Neurological Institute) or study-specific, generated from averaged data of all subjects in the study using DARTEL. The default method for spatial normalization in SPM registers T1 images to a standard template by minimizing the residual sum of squared differences between the images and the template using a 12-parameter affine transformation. Normalization via DARTEL is highly recommended as this increases the accuracy of inter-subject alignment, especially for older brains where atrophy can bias matching of T1 images to standard template. In general, SPM provides a complete
and efficient package for measuring macroscopic differences in GM volume across brain regions, including generation of individual GM volumes, inter-subject alignment to a common space and voxel-by-voxel statistical analysis using general linear models. The optimized VBM approach as implemented in SPM8 was used in this thesis for measurement of GM volume differences. This approach is described in detail in section A1 of the appendix.

1.7.2 Measuring cerebrovascular hemodynamics

The standard tool for assessments of CBF and CVR routinely in clinical practice is transcranial Doppler ultrasonography (TCD). However, TCD measures CBF velocity in large vessels, usually the middle cerebral artery, and equates blood velocity to CBF since the diameter of the vessel is thought to remain constant during vasoactive stimulation\textsuperscript{261}. Recently, Coverdale et al\textsuperscript{70} demonstrated that during hypercapnia, the diameter of the middle cerebral artery can dilate by up to 16\%, essentially underestimating CBF changes by 25\%\textsuperscript{261}. In addition, TCD can only provide a global index of changes in CBF velocity, rather than an assessment of regional CBF changes. In contrast to TCD, ASL measures CBF directly and can provide images across the whole brain\textsuperscript{262}. Subsequent assessment of regional CVR can also be made, generally measured as the ratio of the change in CBF to the corresponding change in ETCO\textsubscript{2}\textsuperscript{67}. Figure 1.6 illustrates typical images of CVR and CBF obtained from ASL imaging from a subject. Hypercapnia was induced by inhaling 6\% CO\textsubscript{2} mixed in air for 5 min. However, ASL has inherent spatial resolution limitations that can affect regional mapping of hemodynamic changes at a voxel level. An alternative is BOLD functional MRI, which not only has
higher spatial resolution than ASL but also greater signal-to-noise ratio (SNR)\textsuperscript{263}. However, the BOLD signal depends on multiple physiological parameters, CBF, CMRO\textsubscript{2} and CBV, which can be a confounder\textsuperscript{263}. In addition, BOLD does not follow a linear relationship with ETCO\textsubscript{2}\textsuperscript{262}, unlike ASL (CBF), which only becomes nonlinear when ETCO\textsubscript{2} falls within a hypocapnic range\textsuperscript{262,264}.

Figure 1.6: Axial images of CBF and CVR in a single subject.

Axial images of CBF at normocapnia (top row), hypercapnia (middle row) and CVR (bottom row) across 5 slices in the brain in a single subject. CBF and CVR measures were derived from ASL imaging\textsuperscript{265}.

1.7.2.1 An overview of ASL

Arterial spin labelling (ASL) is an MRI technique that provides the ability to measure CBF by manipulating the longitudinal magnetization of endogenous arterial blood water\textsuperscript{266}. ASL is akin to PET-H\textsubscript{2}\textsuperscript{15}O\textsuperscript{267}, the gold standard for CBF measurement, since both techniques use water as a flow tracer. For ASL, the flow signal is generated using RF pulses to invert ('label') the longitudinal magnetization of inflowing blood water protons in arteries. The labelled blood water flows into brain tissue, where it alters the local magnetization, resulting in a signal change that is proportional to CBF. A flow-
weighted (ΔM) image is generated by subtracting the labelled image from a control image, which removes signal contributions from ‘static’ brain magnetization (figure 1.7).

Figure 1.7: Continuous ASL label and control conditions.

Perfusion-weighted (ΔM) ASL image is the difference between label images, in which the longitudinal magnetization of arterial blood is inverted, and control images in which the magnetization of arterial blood is fully relaxed.

Because of the direct relationship between CBF and signal change, higher flow values will result in greater signal changes in the labelled image compared to the control image. However, due to the rapid loss of T1 label – blood T1 is approximately 1650 ms at 3T – the ASL signal decays rapidly. On the one hand, this enables serial imaging of CBF with temporal resolution of 5-10s, which is sufficient for capturing dynamic changes in CBF. On the other hand, the in-flow of labelled arterial blood only reduces the brain signal by approximately 1% and, therefore, multiple repetitions of label and control
images are acquired to improve SNR. Accurate CBF measurements are dependent on a number of factors including the time for labeled water to reach brain tissue, which is known as the arterial transit time, and labelling efficiency, which refers to the fraction of water magnetization that is inverted during the labelling procedure. These factors are discussed in the following section.

There are two main types of ASL labeling approaches, pulsed\textsuperscript{268} and continuous\textsuperscript{269}. Pulsed ASL (PASL) inverts the magnetization of a large volume of inflowing arterial blood using a single short (5-10 ms) RF pulse, as such PASL has better temporal resolution and labeling efficiency, but poor SNR. Continuous ASL (CASL) employs long (1-3 s) RF pulses to invert inflowing spins at a single labeling plane. This increases SNR, but due to continuous RF pulse generation, CASL can deposit relatively high RF power into brain tissues. To combine the SNR of CASL and labeling efficiency of PASL with standard transmission RF body-coils available on all commercial MR scanners, a pseudo-continuous ASL (pCASL)\textsuperscript{270} approach was introduced. With pCASL, the long continuous RF pulse of CASL is replaced by a train of short RF pulses that mimic the adiabatic flow condition of traditional CASL, while minimizing magnetization transfer effects that cause large subtraction errors between the label and control conditions. The advantage of pCASL is it provides whole-brain coverage without the need for an additional labelling coil for CASL or the loss of labeling efficiencies typical of older labeling approach such as amplitude-modulated CASL\textsuperscript{271}. A recent white paper on the implementation of ASL for clinical applications recommended the quantification of CBF using a simplified one-compartment flow model with pCASL imaging\textsuperscript{266}. Tancredi et al\textsuperscript{272} demonstrated that the use of pCASL can provide more robust depiction
of the brain's response to hypercapnia, compared to PASL. A pCASL sequence was used to measure CBF in the studies described in chapters 3 and 4.

### 1.7.2.2 Quantification of CBF using ASL

CBF in each voxel of pCASL images can be calculated using the standard single compartment tracer kinetic model as outlined in equation (2). \(^{(273)}\)

\[
CBF(\text{ml}/100\text{g/min}) = \frac{6000 \cdot \lambda \cdot \Delta M \cdot e^{\left(\frac{\alpha}{T1_b}\right)}}{2\alpha \cdot M_0 \cdot T1_b \cdot \left(1-e^{-\left(\frac{\tau+\omega}{T1_b}\right)}\right)},
\]

where \(\Delta M\) is the perfusion-weighted signal, \(M_0\) is the equilibrium magnetization, which can be obtained from the control images or by acquiring a separate image with no longitudinal relaxation effects and label condition. \(\lambda\) is the blood/tissue water partition coefficient \((0.9 \text{ g/mL})^{(266)}\), \(\alpha\) is the labelling efficiency \((85\%\) for pCASL \(^{(274)}\) multiplied by \(94\%\) for background suppression), \(\tau\) is the label duration, and \(T1_b\) is the T1 of blood \((\sim 1650 \text{ ms at 3T})^{(266)}\). The final parameter, \(\omega\), is the post labelling delay (PLD), which refers to the time between the end of labeling period and image acquisition. It is included to enable all of the labeled water to reach the imaging volume, which minimizes the effects of arterial transit times \(^{(275)}\). This simplified model is based on the assumption that at the time of imaging the labelled water spins remains predominately in the microvasculature and decays with the T1 of blood \(^{(266)}\).

Although, the simplified one compartment model provides CBF values that correlate well with PET-H\(^{15}\)O measurements \(^{(267)}\), the model assumptions are not likely to be strictly true \(^{(266)}\). For instance, water exchange between blood and tissue in the capillary
bed is not quite instantaneous, rather water permeability is limited by the tight junctions of the blood-brain barrier \(^{276}\). However, because the T1 values of blood and grey matter are similar at 3T, water exchange effects have minimal effects on the ASL signal \(^{273,276}\), and the general view of the one-compartment model is that inherent errors from model assumptions are relatively small \(^{266}\).

1.7.2.3 Minimizing pCASL-CBF quantification errors

The accuracy of ASL CBF measurements can be degraded by a number of factors, of which the most important is the arterial transit time. For brain, transit times are usually between 1 to 2s \(^{266}\) and vary across brain regions. For instance, the longest transit times are found in WM, the occipital lobe and vascular border zones \(^{277}\). Transit times are affected by blood velocity, age, sex, and cerebrovascular disease, and prolonged times are associated with aging \(^{266}\) and disease \(^{278}\). Individual transit times can be measured by continuous sampling of inflowing blood using multiple inversions times \(^{278}\) or application of flow-sensitive spoiler gradients to separate vascular and tissue signal components, as implemented in the FEAST (flow encoding arterial spin tagging) technique \(^{273}\). However, these efforts are time consuming and in most cases require separate CBF and transit time imaging. Alternatively, a PLD long enough to allow the entire tracer to reach the imaging plane negates the need for transit time mapping. The chosen value is set empirically based on the expected range of transit times within a population and SNR considerations. In older adults and individuals with prolonged transit times, such as in cerebrovascular disease, a PLD of 2s is recommended \(^{266}\).
Measurable errors in CBF quantification can also be attributed to inaccurate label efficiency and blood T1 values. Label efficiency can be affected by flow velocity, RF field inhomogeneity, transit time\textsuperscript{279}, and vessel geometry\textsuperscript{280}. Blood T1 is dependent on hematocrit, temperature and blood oxygenation level, and varies with age and sex\textsuperscript{281}. Label efficiency and blood T1 can be measured on each individual subject to minimize their effects\textsuperscript{275}. Phase-contrast MRI can be used to measure global CBF and compared to whole-brain CBF values from ASL to estimate label efficiency\textsuperscript{279}. Efficiency can be optimized if the labeling plane is kept at a distance of \textasciitilde{}9 cm\textsuperscript{279} distal to the anterior commissural-posterior commissural line and slightly angled for high flow velocities (>55 cm/s)\textsuperscript{280,282}. Subject-specific blood T1 values can be obtained using a inversion-recovery steady-state free precession MR imaging sequence to measure the T1 of venous blood\textsuperscript{281}.

The SNR can be improved using techniques such as background suppression and retrospective correction of head motion to reduce physiological noise contributions. Background suppression involves the use of inversion pulses during PLD to suppress static brain tissue and intravascular signals. Background suppression has been shown to increase temporal SNR by up to 75\%\textsuperscript{283} but induces a slight attenuation of ASL signal. Better SNR can also be acquired using newer fast readout approaches. ASL imaging is typically performed with multislice 2D echo-planar imaging (EPI) imaging; however, 3-dimensional gradient-and-spin-echo (3D GRASE) imaging\textsuperscript{284} has been shown to improve the SNR by nearly 3 times\textsuperscript{283}. As such, 3D GRASE pCASL images can be acquired with less averaging, \textit{ergo} shorter acquisition times. However, 3D GRASE sequences are prone
to spatial blurring in the slice-encoding/ z-direction and have lower GM-to-WM CBF contrast ratio compared to 2D EPI readouts\textsuperscript{283}.

Finally, physiological modulators of CBF such as hematocrit, caffeine, time of day, exercise, posture, age, sex, wakefulness and vasoactive pharmacological agents (indomethacin, acetazolamide) can confound hemodynamic measurements\textsuperscript{67}. The influence of these factors are minimized by imaging all subjects in the same examination state (supine, eyes-open, caffeine- and pharmacological-free) or during post-processing using covariate analysis methods.

1.8 Do local alterations in hemodynamics signify impairment in neuronal activity?

A long standing notion in functional imaging of neuronal activity is the close spatial correlation of CBF to glucose metabolism and oxygen consumption\textsuperscript{285}, prompting the use of CBF as surrogate markers of function. Changes in CBF are also spatially and temporally correlated to neural activity\textsuperscript{286}. Visual stimulation for instance results in an increase in CBF to the visual cortex, while motor stimulation produces localized hyperaemia in the motor cortex. Given the wide availability of MRI systems and relatively lower cost of performing MRI scans compared to PET, the clinical relevance of adopting ASL-CBF measurements as suitable makers of brain health is appealing. Cerebral glucose metabolism (CMRglc) measured using \textsuperscript{18}F-labeled fluorodeoxyglucose (FDG) and PET is considered the gold standard measure of brain function. Good agreement between regional CMRglc and regional CBF measured by PET and ASL respectively have been reported in healthy adults during rest\textsuperscript{287}, and visual stimulation\textsuperscript{286}. 

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In Alzheimer's disease patients, similar regional pattern of hypoperfusion and hypometabolism were observed when PET-FDG was compared to ASL-CBF\textsuperscript{288}.

However, the extent of CBF and CMRglc coupling is unclear and recent evidence using improved ASL techniques outlined above, suggest that regional variations in correlation of CBF and CMRglc may exist at rest\textsuperscript{287}. It is equally unclear if age and pathological disease disrupt the coupling of CBF with CMRglc. Goyal et al\textsuperscript{85} recently demonstrated that changes in CMRglc and CBF are closely matched during normal development, diverging over time during adulthood. In adults, changes in CBF correlated more with CMRO\textsubscript{2} than CMRglc\textsuperscript{85}. A clear pattern emerging from recent correlation studies, is that regions of the brain associated with higher order cognitive processing and perception such as the default mode network including the medial prefrontal, lateral temporal, posterior cingulate cortex and precueus, show the largest mismatch between flow and glucose metabolism\textsuperscript{147,287,289}. Whether the flow-glucose mismatch in these regions are a normal variant of resting brain function is unknown. It is quite possible that given the significance of these brain regions in fundamental functional organization of the brain, these regions might retain a relatively high CBF-to-CMRglc ratio compared to other brain regions at rest\textsuperscript{287,289}. It is interesting that these brain regions also have higher vulnerability to aging and vascular disease effects (see section on normal and pathological brain aging).

A potential source of variance in correlating regional CBF and CMRglc might be image registration errors. All previous comparison studies were carried out using separate PET and MRI scanners, requiring the need to accurately align images obtained from the two modalities, which were often acquired on different days. Since limitations in spatial
resolution occur in both modalities, errors in image alignment can increase the mismatch between CMRglc and CBF. Novel hybrid PET-MR imaging systems introduced in the last 5 years can offer insights into regional association of blood flow to brain metabolism, and affirm the use of ASL-CBF measures as suitable surrogate for PET-FDG in measurements of brain function.

1.9 Thesis Outline
This thesis work represents a concerted effort at investigating cardiovascular disease contributions to pathological brain aging within a cohort of coronary artery disease patients, and the potential benefits of physical activity. The significance of ASL perfusion imaging as surrogate marker of brain function was also investigated.

1.9.1 An investigation of changes in regional gray matter volume in cardiovascular disease patients, pre and post cardiovascular rehabilitation (Chapter 2).

Regional changes in GM volume associated with cardiovascular disease were assessed in coronary artery disease patients compared to age-matched controls. Evidence of improvements in brain structure with exercise was investigated after 6-month aerobic exercise training. Regional GM volume was measured using voxel-based morphometry. This work was published in *NeuroImage Clinical*, 2013; (3) 388-395 by: U. C. Anazodo, J.K. Shoemaker, N. Suskin, and K. S. St. Lawrence.
1.9.2 Impaired cerebrovascular function in coronary artery disease patients, and recovery following cardiac rehabilitation (Chapter 3).

Cardiovascular disease can impair the brain's hemodynamic function. To investigate regional changes in cerebrovascular hemodynamics, independent of underlying changes in brain structure. pCASL images were acquired at rest and during inhalation of moderate concentrations of CO\textsubscript{2} in the same cohort of coronary artery disease patients and age-matched controls. Regional comparisons in CBF and CVR were performed at baseline between patients and control, and within a subset of patients after 6 months of exercise training. Gray matter volume images from VBM were used as confounding variables to separate changes in structure from function. This work is under review in *Journal of Cerebral Blood Flow and Metabolism* [Manuscript ID: JCBFM-0365-15], submitted by Udunna C Anazodo, J Kevin Shoemaker, Neville Suskin, Tracy Ssali, Danny JJ Wang, and Keith S St. Lawrence.

1.9.3 Simultaneous whole-brain imaging of cerebral perfusion and glucose metabolism on a hybrid PET-MRI scanner (Chapter 4)

In this chapter, regional association of resting CBF and CMRglc were performed in healthy brains of older adults, using the novel integrated PET-MRI scanner. This hybrid scanner allowed simultaneous imaging of ASL-CBF and PET-FDG, minimizing potential misalignment errors. To improve the accuracy of the PET signals measured, a novel method for correcting PET signal loss (attenuation) due to absorption in the body or scatter by MR hardware is also presented. This chapter is based on the paper entitled "Feasibility of simultaneous whole-brain imaging on an integrated PET-MRI system"

### 1.9.4 Conclusion and Future Work (Chapter 5)

A summary of significant findings of the thesis are presented in this chapter. Clinical relevance and areas for further exploration are described.

### 1.10 References


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2 Chapter 2

An investigation of changes in regional gray matter volume in cardiovascular disease patients, pre and post cardiovascular rehabilitation.

2.1 Introduction

According to the Institute of Medicine, the age standardized mortality rate from cardiovascular disease has declined steadily over the past 50 years in industrialized nations\(^1\). Much of the decline can be attributed to effective management of risk factors associated with the disease. However, in the developed world, cardiovascular disease still remains the most prevalent chronic disease in individuals over the age of 50, and the debilitating effects of the disease are evident by the high rate of hospitalization amongst this patient group\(^2\). Therefore, there is heightened urgency to understanding the impact of cardiovascular disease on 'successful aging', particularly given that the number of adults over the age of 60 is steadily increasing.

One growing concern is the potential link between cardiovascular disease risk factors and neurological impairment in older adults. Hypertension, diabetes and hyperlipidemia have been independently linked to abnormal changes in morphology and function of the aging brain\(^3\). Older individuals with higher estimated risk of coronary artery disease (CAD) tend to have decreased brain volume, cerebral blood flow, and glucose metabolism in regions of the brain associated with cognitive function and, as such, are at a greater risk of dementia\(^3\). Even in older adults with no clinical diagnosis of
cardiovascular disease, decline in cardiac function is associated with deficits in cognitive function\(^4\), brain atrophy\(^5\) and white matter hyperintensity\(^6\).

Despite the above studies involving cardiovascular risk factors, there have been no similar studies on the impact of cardiovascular disease, more specifically CAD, on normal age-related changes in regional brain morphology. Coronary artery disease is the most common form of cardiovascular disease in adults over 50 years old with known pathophysiology\(^7\) and effective management strategies\(^8\). Physical activity is one of the most powerful and readily available interventions with proven efficacy in preventing secondary CAD. Physical activity, specifically aerobic fitness has been shown to improve coronary flow, lower the risk of myocardial reinfarction, lower mortality rates, and improve overall cardiac function\(^9\). Consequently, increased levels of physical activity are increasingly prescribed as part of the clinical management for CAD\(^10\). In older adults, physical activity has also been associated with improved cognitive function\(^11\), decreased risk for dementia\(^12\) and reversal of cortical decline\(^13\).

These observations highlight the need to investigate the association between CAD and brain structure and whether interventions, such as physical activity, can reverse any adverse disease-related effects. The objectives of this study were twofold: 1) to investigate potential differences in regional gray matter volume in patients recently diagnosed with CAD compared to controls, and 2) to determine if a standard cardiac rehabilitation regimen would reverse CAD-related structural changes.
2.2 Methods

2.2.1 Participants

This study was approved by the Western University Health Sciences Research Ethics Board, and written informed consent was obtained from all subjects. CAD patients were recruited from the London Health Sciences Centre for Cardiac Rehabilitation and Secondary Prevention program following recent diagnosis of one of the following: acute coronary syndrome (ST elevation or non-ST elevation myocardial infarct), angina, percutaneous coronary intervention, or coronary artery bypass surgery. Patients were excluded if they had congenital coronary abnormality, cardiomyopathy, severe congestive heart failure, second or third-degree atrioventricular block, more than two myocardial infarcts, sick sinus syndrome, or major arrhythmias. Patients with uncontrolled hypertension or a history of diabetes for more than 5 years were also excluded. Age-matched control subjects included in this study had no clinical diagnosis of cardiovascular disease, were non-smokers, and did not have hypertension or diabetes. Both patients and controls were free of any neurological condition or disease.

2.2.2 Clinical assessments of health

The relevant clinical markers of CAD measured in all subjects are described below. A standard three-lead electrocardiogram was conducted after 20 minutes of supine rest. Blood pressure was continuously measured during electrocardiogram using a Finometer, which was calibrated against periodic sphygmomanometric measurements (Dinamp, GE Healthcare, Finland). Blood-borne markers of vascular disease namely; plasma lipids, cholesterol, high-sensitivity C-reactive protein (hs-CRP) and glucose, were
collected under fasting conditions. A Doppler echocardiography (GE/Vingmed System FiVe Doppler) was completed prior to cardio-respiratory exercise stress testing to assess left ventricular ejection fraction, left ventricular mass and left ventricular contractility. A test of global or overall cognitive function was performed with the Montreal Cognitive Assessment, MoCA (http://www.mocatest.org/). Cardiorespiratory fitness was measured by a graded exercise test in which subjects were tested to volitional exhaustion under standard clinical observation (ACSM 1995). Breath-by-breath measurements of oxygen consumption (VO₂), heart rate and blood pressure were recorded throughout the test. Maximal oxygen consumption (VO₂max) is an established marker of cardiorespiratory fitness and a clinically accepted surrogate maker for left ventricular function. Each subject's VO₂max was estimated from the graded exercise test.

2.2.3 Clinical assessments of cardiac rehabilitation

The aerobic exercise component of the cardiac rehabilitation (CR) program was performed according to current guidelines at an intensity of 40-70% of heart rate reserve (i.e., the difference between the age-predicted maximum and resting heart rates), or at a rate corresponding to an exertion score of 11-14 on the Borg scale. Aerobic exercise was performed a minimum of 3 days per week at a duration of 20-to-30 minutes per session.

2.2.4 MRI data acquisition

Whole-brain MRI images were acquired on two Siemens 3T MAGNETOM Verio systems (Siemens Medical Systems, Erlangen, Germany) equipped with 32-
channel head array coils. Sagittal T1-weighted images were acquired on each subject for gray matter volumetric analysis using a three-dimensional (3D) magnetization-prepared rapid gradient-echo imaging sequence (isotropic voxel resolution=1.0mm$^3$; repetition time, echo time and inversion time = 2000, 2.98 and 900ms, respectively; acceleration factor = 3; and flip angle=9 degrees).

2.2.5 Voxel-based morphometry analysis

2.2.5.1 Effects of disease

T1-weighted images were segmented into gray matter (GM), white matter (WM) and cerebrospinal fluid (CSF) using the segmentation option in SPM8 (http://www.fil.ion.ucl.ac.uk). The tissue segments were then affine-registered to their respective Montreal Neurological Institute (MNI) tissue probability maps, averaged and smoothed with a 12-mm filter using DARTEL to create a study-specific template$^{19}$. The individual GM images were spatially normalized to this template using a high-dimensional DARTEL registration and then multiplied by the Jacobian determinant (i.e., modulation) to correct for nonlinear differences in individual brain size. Finally, the GM images were smoothed with an 8-mm Gaussian filter. Local differences in GM volume (GMV) between groups were investigated with a gender-by-group two-factor analysis of variance, performed on the smoothed GMV images. An absolute threshold mask set at 0.1 was used to remove non-GM and extra-cranial effects. A significant positive effect of disease was identified at voxel levels that differed between groups at p<0.05 after correction for multiple comparisons using the false discovery rate (FDR)$^{20}$. 
2.2.5.2 Effects of Rehabilitation:

Pre and post CR T1-weighted images of the CAD patients were analyzed with the default options of the longitudinal module found in the VBM8 toolbox of SPM8. Briefly, each subject's data were analyzed in a series of steps that included; 1) intra-subject registration, 2) intra-subject bias correction, 3) segmentation, 4) non-linear DARTEL registration to study-specific template, 5) modulation of GM segments, and 6) spatial smoothing with an 8mm Gaussian filter. A repeated measures analysis of variance of the change in GM volume over time was performed on the smoothed GM image with a within-subject factor of time and between-subject factor of scanner. Gender-by-time analysis of variance was also explored. A positive significant change was identified at voxel levels that showed positive change in volume for p<0.05 after correction for multiple comparisons using the more stringent family wise error (FWE). An absolute threshold mask was also set at 0.1.

To investigate evidence of GMV recovery with CR, binary masks of regions of interests (ROIs) were created using the MarsBaR ROI toolbox (http://marsbar.sourceforge.net) from clusters of voxels that showed statistically significant decline in GMV in the CAD group compared to controls (see table 2.2) with minimum voxel clusters of 50 contiguous voxels. Small volume correction was performed in SPM8 on contrast images from the repeated analysis of variance with the ROIs to restrict multiple comparisons to a smaller number of voxels, which reduced type II errors. Areas of GM recovery are reported as significant if clusters survive FWE correction at p<0.05.
2.2.6 Statistical analysis

Statistical analyses were conducted with SPSS 20.0 statistical software (IBM Corp. Armonk, NY, and USA). Clinical assessments from the control group were compared to baseline data from the CAD patient group using a one-way multivariate analysis of variance to test for differences in cardiovascular health and cognitive function. Clinical parameters entered into the multivariate analysis for cardiovascular health include all variables listed in Table 2.1 except for age, gender, MoCA and VO$_2$max. Gender differences between groups was assessed by gender-by-group interaction and simple main effects of gender. Significant interaction and main effects are reported at $p < 0.05$. Spearman rank correlation analysis was performed between baseline total gray matter/ROI volumes and baseline MoCA scores, VO$_2$max and other clinical measures collected to investigate the association between overall cognition, fitness level, cardiovascular health, and brain atrophy. Total gray matter volume in mm$^3$ for each subject was obtained from the VBM8 segmentation output, while mean values of regional gray matter volume were extracted from voxel clusters that showed significant difference between groups for each subject using MarsBaR, as described earlier.

A paired t-test was conducted on the clinical variables collected on the CAD patients pre and post CR to test for a difference between the means ($p < 0.05$). To test for association between fitness level and GMV post CR, a Spearman rank correlation analysis was performed between VO$_2$max and total gray matter/ROI volumes obtained from post CR data, and between change in GMV and change in VO$_2$max post CR.
2.3 Results

2.3.1 Cardiovascular disease effects

A total of 41 CAD patients and 21 controls participated in the study; their characteristics are provided in Table 2.1. Data from three controls and two CAD patients data were removed because of neurological incidental findings in the MRI data. Data from 36 patients and all controls were collected on one scanner, while data from the last 5 patients were acquired on a separate, but identical, scanner during the upgrade of the first one. All control subjects and 18 CAD patients completed the graded exercise testing with cardiorespiratory measures to maximum volitional exhaustion to establish maximal oxygen uptake (VO$_2$max).

The CAD group comprised of patients with clinical diagnosis at referral of angina (12.1%), myocardial infarct (12.1%) and coronary artery disease (69.7%). All patients were on a combination of drug therapy and 36.8% had percutaneous coronary intervention while 7.9% received coronary artery bypass grafting. Drug therapy included cholesterol lowering/statins (87.2%), beta-blockers (76.9%), ACE-inhibitors/Angiotensin II receptor blockers (56.4%) and anti-platelets including aspirin (84.6%). There were no significant interactions between gender and group for any of the clinical measures, including markers of fitness. No significant differences between patients and controls were found for measures of blood pressure, cardiac output, left ventricular ejection fraction, resting heart rate and blood glucose. However, compared to control, CAD patients had lower MOCA scores ($F = 4.3$ (1,53), $p < 0.05$), lower VO$_2$max ($F = 17.04$ (1,53), $p < 0.001$), lower total cholesterol levels ($F = 16.22$ (1,56), $p < 0.001$), elevated body mass index, BMI ($F = 17.86$ (1,56), $p < 0.001$), and increased hs-CRP levels ($F =
There was also significant difference in level of education ($p = 0.031$), as defined by the number of years of formal education, between patients ($15.5 \pm 2.7$ years) and controls ($18.3 \pm 4.3$ years).

**Table 2.1: Study participant characteristics. The mean and standard deviation are presented for clinical variables measured at baseline in CAD patients and controls.**

<table>
<thead>
<tr>
<th>Variable</th>
<th>Controls (n=21)</th>
<th>Pre-CR CAD patients (n=39)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td>59 ± 8</td>
<td>59 ± 7</td>
</tr>
<tr>
<td>Gender (men/women)</td>
<td>11/10</td>
<td>28/11</td>
</tr>
<tr>
<td>BMI</td>
<td>24.8 ± 3.3</td>
<td>29.8 ± 4.7*</td>
</tr>
<tr>
<td>Fasting blood glucose (mmol/L)</td>
<td>4.75 ± 0.88</td>
<td>5.23 ± 1.32</td>
</tr>
<tr>
<td>Total cholesterol (mmol/L)</td>
<td>4.17 ± 0.94</td>
<td>3.16 ± 0.79*</td>
</tr>
<tr>
<td>hs-CRP (mg/l)</td>
<td>0.95 ± 0.89</td>
<td>2.25 ± 3.10*</td>
</tr>
<tr>
<td>Rest supine systolic blood pressure (mmHg)</td>
<td>121 ± 16</td>
<td>127 ± 22</td>
</tr>
<tr>
<td>Rest supine diastolic blood pressure (mmHg)</td>
<td>69 ± 8</td>
<td>71 ± 12</td>
</tr>
<tr>
<td>Left ventricular ejection fraction (%)</td>
<td>68 ± 9</td>
<td>64 ± 8</td>
</tr>
<tr>
<td>Resting heart rate (beats per minute)</td>
<td>59 ± 10</td>
<td>59 ± 7</td>
</tr>
<tr>
<td>MoCA</td>
<td>28.16 ± 1.7</td>
<td>26.86 ± 2.1*</td>
</tr>
<tr>
<td>$\text{VO}_2\text{max} \text{ (mL/min/kg)}$</td>
<td>37±2</td>
<td>26±2*</td>
</tr>
</tbody>
</table>

* = Statistical difference between groups at $p<0.05$
VBM results of regional GMV differences between patients and controls are shown in Figure 2.1, and the corresponding MNI coordinates and Talairach anatomical labels are listed in Table 2.2. In general, the CAD patient group exhibited significantly lower GMV in the frontal lobe, parietal lobe, temporal lobe, and cerebellum. There was a significant difference in total GMV ($F = 5.5$ (1, 53), $p < 0.05$) between groups but no statistical difference in total intracranial volume. There were moderate positive associations between VO$_2$max and total GMV ($\rho = 0.420$ (38), $p < 0.001$), and GMV in the left posterior cerebellum ($\rho = 0.616$ (38), $p < 0.001$) and right post central gyrus ($\rho = 0.403$ (38), $p < 0.01$). There was no significant gender-by-group interaction, nor was there any correlation between total/regional GMV and MoCA scores or any other clinical parameter measured. Repeating the VBM analysis after removing the data from the 5 patients acquired on the second system had no effect on these results, except to reduce the effect size of the observed regional changes by $5 \pm 13\%$. 
Figure 2.1: Differences in GMV between CAD patients and age-matched controls measured at baseline.

$\tau$-statistics displayed on a rendered model of a single subject brain. The red blobs on coronal, sagittal and transverse planes indicate areas of decreased GMV in the CAD patient group.
Table 2.2: Local maxima of clusters of significant change in GM volume in the pre-CR CAD patient group compared to controls.

<table>
<thead>
<tr>
<th>Cluster Number</th>
<th>Volume (mm)</th>
<th>Anatomical label</th>
<th>Brodmann Area</th>
<th>MNI Coordinate</th>
<th>t-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2688</td>
<td>L superior frontal</td>
<td>10</td>
<td>-22 57 -10</td>
<td>5.03</td>
</tr>
<tr>
<td></td>
<td></td>
<td>L superior frontal</td>
<td>10</td>
<td>-18 55 -23</td>
<td>3.00</td>
</tr>
<tr>
<td></td>
<td></td>
<td>L medial frontal</td>
<td>11</td>
<td>-27 45 -6</td>
<td>4.66</td>
</tr>
<tr>
<td></td>
<td></td>
<td>R superior frontal</td>
<td>10</td>
<td>18 58 -10</td>
<td>4.96</td>
</tr>
<tr>
<td>2</td>
<td>1831</td>
<td>L frontal lobe (rectal gyrus)</td>
<td>11</td>
<td>0 48 -23</td>
<td>4.76</td>
</tr>
<tr>
<td></td>
<td></td>
<td>R superior frontal</td>
<td>10</td>
<td>14 54 -15</td>
<td>3.81</td>
</tr>
<tr>
<td>3</td>
<td>1515</td>
<td>L posterior cerebellum</td>
<td>NA</td>
<td>-40 -68 -12</td>
<td>4.90</td>
</tr>
<tr>
<td>4</td>
<td>510</td>
<td>R posterior cerebellum</td>
<td>NA</td>
<td>3 -73 -3</td>
<td>4.73</td>
</tr>
<tr>
<td>5</td>
<td>799</td>
<td>R medial frontal</td>
<td>9</td>
<td>9 47 29</td>
<td>4.64</td>
</tr>
<tr>
<td>6</td>
<td>674</td>
<td>R inferior frontal</td>
<td>47</td>
<td>42 15 -3</td>
<td>4.52</td>
</tr>
<tr>
<td>7</td>
<td>977</td>
<td>L parietal lobe (post central gyrus)</td>
<td>40</td>
<td>-56 -20 19</td>
<td>4.42</td>
</tr>
<tr>
<td></td>
<td></td>
<td>L Frontal (precentral gyrus)</td>
<td>4</td>
<td>-51 -13 42</td>
<td>3.74</td>
</tr>
<tr>
<td>8</td>
<td>202</td>
<td>L inferior frontal</td>
<td>44</td>
<td>-53 4 16</td>
<td>4.41</td>
</tr>
<tr>
<td></td>
<td></td>
<td>L parietal (precentral)</td>
<td>4</td>
<td>52 -5 29</td>
<td>3.74</td>
</tr>
<tr>
<td>9</td>
<td>774</td>
<td>R orbitofrontal</td>
<td>11</td>
<td>22 38 -22</td>
<td>4.33</td>
</tr>
<tr>
<td>10</td>
<td>1601</td>
<td>L Inferior frontal</td>
<td>47</td>
<td>-42 28 -1</td>
<td>4.31</td>
</tr>
<tr>
<td></td>
<td></td>
<td>L Insula</td>
<td>-33 17 0</td>
<td>4.01</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>134</td>
<td>L inferior parietal</td>
<td>40</td>
<td>-42 -36 41</td>
<td>4.13</td>
</tr>
<tr>
<td>12</td>
<td>518</td>
<td>R inferior parietal</td>
<td>40</td>
<td>51 -33 40</td>
<td>4.07</td>
</tr>
<tr>
<td>13</td>
<td>83</td>
<td>L middle temporal</td>
<td>37</td>
<td>-51 -55 0</td>
<td>4.02</td>
</tr>
<tr>
<td>14</td>
<td>127</td>
<td>R superior parietal</td>
<td>7</td>
<td>24 -58 61</td>
<td>3.96</td>
</tr>
<tr>
<td>15</td>
<td>141</td>
<td>L temporal lobe (Fusiform)</td>
<td>37</td>
<td>-50 -42 -10</td>
<td>3.71</td>
</tr>
<tr>
<td>16</td>
<td>270</td>
<td>L superior temporal</td>
<td>38</td>
<td>-33 5 -17</td>
<td>3.63</td>
</tr>
<tr>
<td>17</td>
<td>50</td>
<td>R superior temporal</td>
<td>38</td>
<td>44 3 -19</td>
<td>3.50</td>
</tr>
<tr>
<td>18</td>
<td>69</td>
<td>R inferior temporal</td>
<td>20</td>
<td>39 -22 -31</td>
<td>3.45</td>
</tr>
<tr>
<td>19</td>
<td>74</td>
<td>R anterior cingulate</td>
<td>32</td>
<td>6 25 30</td>
<td>3.38</td>
</tr>
</tbody>
</table>

Coordinates are given in anatomical MNI space and maxima are shown at least 8.0 mm apart. The center of mass of each ROI is shown in bold. L = left; R = right.
2.3.2 Effects of cardiac rehabilitation

Twenty-four CAD patients (18 men and 6 women) completed the 6-month CR program and post-CR testing. The MRI data, pre and post rehabilitation, from the last five patients were acquired on the second scanner. Fifteen patients completed graded exercise testing to maximum volitional exhaustion on entry and exit from the CR program. No statistically significant differences were found in any of the clinical parameters between the pre and post CR tests. In addition, there was no change in MoCA scores ($p = 0.3$) after 6 months of CR. There was a trend (5%) towards increased VO$_2$max ($p=0.06$) in the 15 patients tested after 6 months of CR.

Regions of positive change in GMV from VBM analysis of CAD patients, pre and post CR, are listed in Table 2.3 and displayed on Figure 2.2. The main increases in GMV after 6 months of CR were observed bilaterally in the frontal lobe, middle temporal gyrus and supplementary motor area (Table 2.3). Small clusters of increase in GMV were observed in some of the regions affected by CAD (see Table 2.4), signifying a recovery of volume following CR. There was no change in total GMV or total intracranial volume after CR. No significant correlation was found between VO$_2$max post CR and regional GMV and between change in VO$_2$max and regional increase in GMV. There was no significant scanner-by-time interaction or gender-by-time interaction ($p<0.05$, FWE) and no difference in GMV or clinical measures between patients that successfully completed exercise testing and patients that were unable to.
Figure 2.2: GMV changes over time in CAD patient pre and post CR.

$t$-static displayed on a rendered model of a single subject brain. Red blobs on coronal, sagittal and transverse planes indicate areas of increased GMV in the CAD group after 6 months of CR.
Table 2.3: Local maxima of clusters of significant change in GM volume in the CAD post CR (Post > Pre).

<table>
<thead>
<tr>
<th>Cluster Number</th>
<th>Volume (mm)</th>
<th>Anatomical label</th>
<th>Brodmann Area</th>
<th>MNI Coordinate x</th>
<th>y</th>
<th>z</th>
<th>t-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1095</td>
<td>Right frontal(paracentral) lobe</td>
<td>6</td>
<td>8 -33 66</td>
<td>13.67</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>304</td>
<td>Left middle temporal gyrus</td>
<td>21</td>
<td>-62 -60 9</td>
<td>11.63</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>504</td>
<td>Left frontal (paracentral) lobe</td>
<td>6</td>
<td>-8 -28 66</td>
<td>10.70</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>782</td>
<td>Left posterior cerebellum</td>
<td>NA</td>
<td>0 -73 -8</td>
<td>8.92</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Left cerebellum</td>
<td>NA</td>
<td>-8 -81 -15</td>
<td>8.44</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>191</td>
<td>Right superior frontal gyrus</td>
<td>10</td>
<td>22 63 -8</td>
<td>10.26</td>
<td></td>
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</tr>
<tr>
<td>6</td>
<td>122</td>
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<td>7.84</td>
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<td></td>
</tr>
<tr>
<td>9</td>
<td>246</td>
<td>Right frontal (precentral) gyrus</td>
<td>6</td>
<td>56 0 31</td>
<td>7.79</td>
<td></td>
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<tr>
<td>10</td>
<td>28</td>
<td>Left superior temporal gyrus</td>
<td>22</td>
<td>-56 11 -2</td>
<td>7.33</td>
<td></td>
<td></td>
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<tr>
<td>11</td>
<td>54</td>
<td>Left medial frontal gyrus</td>
<td>10</td>
<td>-3 66 6</td>
<td>7.27</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13</td>
<td>93</td>
<td>Right medial frontal gyrus</td>
<td>6</td>
<td>4 -19 54</td>
<td>7.13</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Coordinates are given in anatomical MNI space, and maxima shown are at least 8.0 mm apart. The center of mass of each ROI is shown in bold. Corrected for multiple comparisons (FWE, p < 0.05).
Table 2.4: Cluster of GMV recovery after 6 months of CR (N=24). Small volume correction (p<0.05, FWE).

<table>
<thead>
<tr>
<th>Cluster Number</th>
<th>Volume (mm)</th>
<th>Anatomical label</th>
<th>Brodmann Area</th>
<th>MNI Coordinate x</th>
<th>y</th>
<th>z</th>
<th>t-value</th>
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<tbody>
<tr>
<td>1</td>
<td>128</td>
<td>Right superior frontal gyrus</td>
<td>11</td>
<td>22</td>
<td>61</td>
<td>-10</td>
<td>10.26</td>
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<td>2</td>
<td>23</td>
<td>Right middle temporal gyrus</td>
<td>22</td>
<td>67</td>
<td>-37</td>
<td>13</td>
<td>7.20</td>
</tr>
<tr>
<td>3</td>
<td>99</td>
<td>Left posterior cerebellum (declive of vermis)</td>
<td>NA</td>
<td>0</td>
<td>-72</td>
<td>-3</td>
<td>8.92</td>
</tr>
</tbody>
</table>

2.4 Discussion

The key findings of the current study were lower GMV in the prefrontal cortex, parietal and temporal lobes of CAD patients compared to age-matched controls (Figure 2.1 and Table 2.2) and increased GMV in the superior frontal gyrus, medial frontal gyrus and superior temporal gyrus after 6 months of cardiovascular rehabilitation (Figure 2.2 and Table 2.3). This study is the first to show evidence of regional cortical brain atrophy associated with cardiovascular disease and also the ability of cardiovascular rehabilitation to reverse disease-related cortical atrophy.

2.4.1 Cardiovascular disease effects

Our CAD group were patients diagnosed with either angina or myocardial infarction who were all receiving some form of treatment prior to the start of the study. Most patients were on a combination of drug therapy to lower lipid levels, maintain blood pressure, and to prevent reinfarction. The therapeutic impact of these treatments might
have contributed to the lack of any statistical differences in fasting blood glucose, resting supine blood pressure, cardiac output or heart rate between patients and controls. The CAD patients had lower lipid levels compared to controls, which can be attributed to the efficacy of statins in reducing serum levels of cholesterol\textsuperscript{21}. However, mean hs-CRP, a marker of inflammation associated with increased risk of myocardial infarct or reinfarction, was higher for CAD patients than controls.

### 2.4.2 CAD-related effects: Brain atrophy

The findings of decreased GMV in the frontal, temporal and parietal lobes of CAD patients are similar to the patterns of brain atrophy previously observed in patients with either hypertension or diabetes, in which marked declines in GMV were found in the prefrontal cortex, superior temporal and middle temporal lobes, thalamus, and hippocampus\textsuperscript{3}. The regions common to all of these studies are associated with varying cognitive functions – executive function, attention, and memory, visuospatial and psychomotor speed – and are believed to be susceptible to vascular disease\textsuperscript{22}. A study of 400 men over the age of 40 found that cardiovascular disease was associated with poor performance in memory tests and a trend towards lower scores in mini-mental state examination\textsuperscript{23}. Similarly, Okonkwo et al.\textsuperscript{24} reported an accelerated decline in the aforementioned cognitive domains in a large group of cardiovascular disease patients over a three year period that was not attributed to aging. In addition, numerous studies have linked markers of cardiovascular disease including impaired vascular hemodynamics (pulse wave variability, arterial stiffness, and ventricular function) to alterations in cognitive function\textsuperscript{25,26}. In general, the observation of decreased GMV in the
frontal, temporal and parietal lobes indicates that CAD accelerates age-related neocortical degeneration, possibility leading to declining cognitive function.

Our findings of bilateral atrophy in the posterior cerebellum and in the inferior parietal lobule of the brain in the CAD group is novel given that these regions are usually preserved with age and atrophy has only been reported in a few dementia studies\textsuperscript{27,28}. These studies linked poor performance on short-term memory and visuospatial tasks to GMV decline in either the cerebellum\textsuperscript{27} or the inferior parietal lobule\textsuperscript{28}, highlighting the known importance of both regions in neurocognitive integration. Taken together, these observations suggest that in older adults, cardiovascular disease can precipitate changes in normally stable regions of the brain.

A significant difference in mean baseline MoCA scores between the CAD group and controls was found in the current study (Table 2.1). 24% of CAD patients had a score of 25 or less compared to 5% of controls. A MoCA score of less than 26 is typically considered to reflect mild cognitive impairment (MCI). However, there was no correlation between MoCA scores and regional brain atrophy. Considering the MoCA is a brief assessment tool of MCI\textsuperscript{29}, it may not be ideal for probing subtle cognitive decline in middle-aged adults since evidence of cognitive decline typically occurs after the age of 60\textsuperscript{30}. The apparent difference in MoCA scores between groups could possibly be a mere reflection of the difference in levels of education observed between groups. Education was associated with performance on MoCA ($r=0.518$, $p<0.01$), but not total or regional GMV. Similarly, Christensen et al\textsuperscript{31} found no link between level of education and global or regional brain atrophy in adults with no history of cognitive impairments. The use of tests more specific to the cognitive functions associated with the observed areas of brain
atrophy might reveal greater impairment. Nonetheless, the current data support the overall concern that CAD accelerates cortical atrophy with detrimental outcomes to cognitive ability.

The direct mechanism(s) that drive the greater brain atrophy associated with cardiovascular disease are unclear. One possible mechanism could involve cerebral hypoperfusion. Animal studies have shown that cerebral hypoperfusion from ischemic vessels can initiate pathophysiological changes in the brain structure and function of aging rats, resulting in decreased synaptic activity and impaired memory and visuospatial skills. Human studies of diabetic and hypertensive patients have reported regional cerebral hypoperfusion in similar regions of the brain where atrophy has been reported. Investigating cerebral blood flow or cerebrovascular dynamics with morphometric imaging would help elucidate potential neurovascular changes in CAD patients.

A common cause underlying both CAD and brain atrophy could be physical inactivity. Physical inactivity is an important modifiable risk factor for heart disease given that inactive individuals are twice as likely to suffer a coronary event in their lifetime compared to physically active individuals. In this study, the CAD patients were 40% less fit than controls and the less fit individuals were more likely to have lower global and regional GMV. In general, physical inactivity is associated with regional brain atrophy and cognitive decline in healthy older adults.
2.4.3  Effect of rehabilitation: neuroplasticity

The propensity for age and CAD to adversely affect the function and morphology of certain regions of the brain, namely the prefrontal, superior parietal, inferior temporal and middle temporal, suggests that these regions are highly malleable and perhaps more adaptive to change. Recovery of volume within these brain regions with exercise in both healthy aging subjects and dementia patients signifies that these regions are highly plastic\(^{11,38,39}\). These observations are in good agreement with our finding of increased GMV in the prefrontal, left middle temporal, left inferior temporal lobes in addition to the cerebellum, supplementary motor areas and right superior temporal gyrus of CAD patients after 6 months of CR.

The significance of aerobic fitness in improving cardiovascular and cerebral functions is well established and discussed in great detail in reviews by Erickson et al\(^{39}\) and Lavie et al\(^{40}\). Briefly, with CAD, aerobic fitness is associated with a significant decrease in relative risk of mortality, improved ventricular function, and improved vascular dynamics\(^{41}\). In older adults and dementia patients, exercise training was associated with increases in regional brain volume, improved cognitive function, and increased neuronal activity\(^{40}\). Increases in regional GMV\(^{13,42}\) and cognitive function\(^{43}\) have been reported after 6 months of moderate level of exercise training (40-70% of heart rate reserve). The prescribed 6 months of aerobic exercise, as part of the CR program, was sufficient to cause detectable changes in brain structures of CAD patients including changes in non-motor areas, despite the observed modest impact on aerobic fitness.

Findings of recovery of GMV in areas of the superior frontal lobe, superior temporal lobe and posterior cerebellum (Table 2.4) after a short period of exercise are
promising and indicate a unique positive outcome of CR. It could be postulated that recovery of brain volume in these regions could potentially be maintained over longer periods. Erickson et al.\textsuperscript{43} found retention of regional brain volume in older adults that performed low intensity exercise nine years after cessation of the activity.

2.4.4 Study consideration

The impact of cardiac artery bypass grafting surgery (CABG) on neuroanatomy and cognitive function cannot be ignored. CABG is known to exert transient decrease in brain volume and cognitive function\textsuperscript{44}. The interaction between cardiovascular disease and CABG on regional GMV was not explored given that only 8\% of CAD patients in this study underwent CABG and this occurred a few months prior to the start of the study.

It is possible that the GMV changes observed post CR in CAD patients might not reflect the full potential neurorehabilitatory benefits of CR giving the moderate improvement in GMV post exercise training. Considerable changes could be achieved with either high-intensity aerobic exercises or activities such as basket ball, hockey or squash that incorporate motor skills along with cognitive and perceptual skills (areas which showed significant GMV decline with CAD). The lack of association between VO\textsubscript{2}max and GMV could be a result of the large number of patients who were unable to complete the graded exercise test for various reasons including the inability to reach maximal volitional exhaustion, which is common among CAD patients. Submaximal graded exercise tests or a 6 minute walk test could be used to evaluate cardiorespiratory capacity in CAD patients who cannot achieve maximal myocardial oxygen uptake.
However, submaximal VO$_2$ tests often lack diagnostic accuracy, particularly tests that exclude heart rate measures with electrocardiography.

The innate methodological limitations of VBM are also drawbacks to the interpretation of our findings. The registration, segmentation and normalization steps in VBM can introduce bias and distortion errors particularly in the border of small subcortical GM structures where some proximal voxels can be misclassified$^{45,46}$. This error is exaggerated in older brains prone to enlarged ventricles. We minimized these distortion errors with the use of a study-specific template and DARTEL registration method$^{19,46}$. However, this inherent segmentation and registration bias can pose a limitation to the investigation of CAD-related regional brain volume changes in vulnerable subcortical regions such as the hippocampus where decline in volume have been previous reported in CAD$^{47}$. For longitudinal studies, available intra-subject bias correction methods attempt to minimize the influence of baseline differences on images from subsequent time points. However, issues of registration asymmetry can be largely improved with symmetric diffeomorphoic approaches proposed for future versions of SPM, particularly for non-quantitative T1-imaging data$^{48}$.

A potential limitation with this study was the use of two scanners in data acquisition. However, performing the analysis of the effects of CAD without the five patients imaged on the second scanner revealed no difference in the pattern of regional GMV differences reported in Table 2.2 and shown in Figure 2.1. Furthermore no significant effect was found by including scanner as a between-subject factor in the analysis of the rehabilitation data.
2.5 Conclusion

This study demonstrates that in stable CAD patients, cardiovascular disease is associated with brain atrophy in several brain regions, including those related to cognitive ability. This disease-related effect appears to be reversible as this study demonstrated that a modest aerobic program reversed some of the structural abnormalities.

2.6 References

1. IOM. in (Fuster, V. & Kelly, B.) (The National Academies Press, 2010). at <www.iom.edu>


Chapter 3

Impaired Cerebrovascular Function in Coronary Artery Disease Patients, and Recovery Following Cardiac Rehabilitation.

3.1 Introduction:

Cardiovascular disease increases the rate of age-related cortical atrophy\(^1\), the risk of dementia\(^2\), and the risk of stroke. These relationships likely are due to progression of vascular disease into the cerebral circulation. Cerebrovascular disease and alterations to the structure and function of the brain are increasingly associated with cardiovascular disease risk factors such as hypertension, atherosclerosis and diabetes\(^3\). This comorbidity of cardiovascular disease and neurological impairments in older adults is a growing area of concern, since aberrant brain changes related to vascular disease appear to affect regions involved in cognitive function\(^2\).

A number of studies have demonstrated that vascular disease processes accelerate brain decay and alter the natural age-related decline in cerebrovascular hemodynamics\(^4\). In asymptomatic older adults, decline in cardiac output is associated with global brain atrophy\(^5\), regional cerebral hypoperfusion\(^6\) and impairments in cognitive abilities\(^7\). Recently, we observed significant reductions in regional brain volume in the brains of coronary artery disease patients\(^1\) that are consistent with observations of cerebral atrophy, hypoperfusion, and white matter disease in brains of older adults with increased risk for vascular disease\(^3\). Although these observations suggest a possible link between
cardiovascular disease and neurological dysfunction, the interaction of age, vascular disease and cortical degeneration is complex and not well understood\textsuperscript{4,6}. In addition, the effect of cardiovascular disease on cerebrovascular hemodynamics and function has not been fully characterized. Vascular diseases may accelerate cerebrovascular aging and in doing so, significantly alter normal cerebral blood flow distribution, inducing brain atrophy and cognitive decline\textsuperscript{6}. However, no study to date has described regional cerebrovascular hemodynamics in individuals with ischemic vascular disease.

Building on our previous work, this study assessed cerebrovascular hemodynamics and relationships between hemodynamic changes and brain atrophy in the same cohort of coronary artery disease (CAD) patients and age matched controls. Furthermore the therapeutic effects of cardiac rehabilitation on cerebral blood flow (CBF) in CAD patients were assessed in a subsample. We explored regional changes in CBF and cerebrovascular reactivity to CO\textsubscript{2} (CVR) in cognitive areas of the brains of CAD patients, since increased vascular resistance, common in CAD can diminish CVR\textsuperscript{8}. Measurements of CBF and CVR were performed using arterial spin labelling (ASL).

3.2 Materials and Methods:

3.2.1 Participants

Participants were part of a larger prospective study examining the effects of cardiovascular disease on brain health. The CAD patients were recruited from the London Health Sciences Center for Cardiac Rehabilitation and Secondary Prevention program, and controls matched in age, were recruited from the local community. The present sample are subjects from a previous study\textsuperscript{1} and consists of 34 CAD patients (58 ±
7 years, 10 females) and 21 healthy controls (59 ± 8 years, 10 females) whom had MRI
scans that included ASL acquisitions. Of the 34 patients, 17 (59 ± 6 years, 5 females)
completed a 6-month CR program and participated in post-CR testing. CAD was defined
by a history of one of the following: acute coronary syndrome (ST elevation or non-ST
elevation myocardial infarct), angina, percutaneous coronary intervention, or coronary
artery bypass surgery. Exclusion criteria included patients with congenital coronary
abnormality, cardiomyopathy, severe congestive heart failure, second or third-degree
atrioventricular block, more than two myocardial infarcts, sick sinus syndrome, major
arrhythmias, uncontrolled hypertension or a history of diabetes for more than 5 years.
Controls were excluded if they had clinical diagnosis of cardiovascular disease,
hypertension and diabetes. All participants were free of neurological diseases,
pharmaceutical or nutraceutical psychostimulants, nootropics and non-smokers. This
study was approved by the Western University Health Sciences Research Ethics Board
and written informed consent was obtained from all participants.

3.2.2 Experimental design

Experimental data were collected over three testing sessions: 1) a laboratory
session for assessment of clinical markers of vascular health, 2) graded exercise testing
and 3) brain MRI. Subjects refrained from caffeine, alcohol and physical activity at least
12 h before participating in each session. To capture global cognitive function, the
Montreal Cognitive Assessment, (MoCA, http://www.mocatest.org) was administered
during the laboratory testing. MoCA scores were corrected for level of education -
defined by the total number of years of formal education, as described on
http://www.mocatest.org. The entire experimental protocol was repeated in the 17 CAD patients who performed 6 months of cardiac rehabilitation, which consisted of moderate-intensity aerobic and strength fitness training. The aerobic training protocol was performed as previously described\(^1\) and as prescribed by the London Health Sciences Center Cardiac Rehabilitation and Secondary Prevention Program.

Cerebrovascular reactivity measurements were achieved by manipulations of arterial concentrations of CO\(_2\). Subjects breathed room air for 5 min, followed by 5 min inhalation of 6% CO\(_2\) mixed with 21% O\(_2\) and balanced nitrogen, while end-tidal partial pressure of CO\(_2\) (P\(_{ET}\)CO\(_2\)) was recorded continuously (Invivo 3150m, Invivo Corp., Orlando, Florida). Air and mixed CO\(_2\) were delivered via a non-rebreathing facemask (Hans Rudolph Inc., Kansas City, Missouri) attached to a large non-diffusible gas reservoir bag. The breathing rate was paced at 15 breaths/minute guided by a metronome to minimize hyperventilation and maintain steady-state sampling of P\(_{ET}\)CO\(_2\). The hypercapnia challenge was performed first in the laboratory in supine position to acclimatize participants prior to repeating the challenge during brain imaging. Participants unable to perform hypercapnia testing or who had a change in P\(_{ET}\)CO\(_2\) (\(\Delta P_{ET}\)CO\(_2\)) of 5mmHg or less in the laboratory examinations did not perform the challenge during the MRI session. Instead only resting CBF images were acquired.

3.2.3 Clinical measurements

To assess levels of plasma lipids, cholesterol, blood glucose, glycated hemoglobin (HbA1c) and high-sensitivity C-reactive protein (hs-CRP), blood samples were collected under fasting conditions and analyzed using standard assays and glucometer. Three-lead
electrocardiogram and finger blood pressure monitoring (Finometer; Finapres Medical Systems, Amsterdam, the Netherlands; calibrated to sphymomanometric values) were performed concurrently over a 20 min period. Mean values for heart rate, systolic blood pressure, diastolic blood pressure and mean arterial pressure were reported from measures averaged over 5 min. Vascular hemodynamic measurements were performed on the right common carotid artery using Doppler ultrasound imaging (Vivid 7, GE Healthcare). Carotid vessel diameter, wall thickness and intima media thickness were measured from 2-dimensional (2D) B-mode images acquired in the long-axis plane by a single observer blinded to subject identity or group assignment. Arterial compliance and distensibility were calculated from measures of vessel diameter and pressure at end systole and end diastole. A 2D transthoracic Doppler echocardiogram (Vingmed System FiVe, GE Healthcare, Chalfont St Giles, UK) was performed to assess left ventricular ejection fraction (EF) - a clinical index of cardiac function. Graded exercise testing was performed to volitional exhaustion to measure cardiorespiratory fitness level or capacity (maximal oxygen consumption, VO₂ max), in accordance with the American College of Sports Medicine guidelines for exercise testing.

3.2.4 MRI data acquisition

All MRI brain imaging was performed on a Siemens 3T Verio system (Siemens Medical Systems, Erlangen, Germany) using a 32-channel head coil. The head was immobilized with foam padding to minimize motion artefacts. Sagittal T1-weighted images were acquired using a three-dimensional magnetization-prepared rapid gradient-echo (MPRAGE) sequence (repetition time (TR), echo time (TE) and inversion time (TI)
= 2000, 2.98 and 900 ms, flip angle = 9°, field of view (FOV) = 256 x 256 mm², 176 slices, isotropic voxel size = 1.0 mm³, acceleration factor = 3) to measure cortical thickness. ASL images were acquired during hypercapnia testing using a transverse 2D gradient-echo echo planar imaging (EPI) sequence (TR/TE = 3500/12 ms, FOV = 240 x 240 mm², 12 contiguous slices, 6 mm thickness, voxel size = 3.8 x 3.8 x 6 mm³, bandwidth = 2298 Hz/Px, acceleration factor of 2) and a pseudo-continuous label method¹⁰ applied 9 cm below the center of the imaging volume for a duration of 1.5 s. One-hundred and ten label and control pairs were acquired after a 1.0 s post-label delay. A non-selective inversion pulse was applied for background suppression during the post-label delay. To improve signal-to-noise (SNR) and sensitivity of ASL for CVR measurements, ASL imaging and hypercapnia testing were repeated after a 3 min recovery period. Additional imaging for CBF quantification included; a) proton density calibration scan (M₀) acquired with the ASL sequence using a TR of 7 s and no label or background suppression pulses, and b) axial single-shot inversion-recovery prepared balanced steady-state free precession imaging (IR-TrueFISP) acquired with 10 variable TI (175 to 8000 ms) on a single slice at the mid-sagittal section of the brain (TE = 1.21 ms, 1.7 x 1.7 x 4 mm, acceleration factor of 2). These images were used to measure the longitudinal relaxation time of blood (T₁ᵢ) in the sagittal sinus¹¹.

3.2.5 Perfusion-weighted analysis

Preprocessing and analysis of perfusion data were performed with SPM8 (http://www.fil.ion.ucl.ac.uk) and scripts written in MATLAB (2012a, The MathWorks, Natick, MA). All ASL and M₀ scans were aligned to the first time point of the first scan
to correct for head motion within sessions (two trials) and between sessions (pre and post-CR). Intra-subject alignment was performed on MPRAGE images using the VBM8 longitudinal toolbox (http://dbm.neuro.uni-jena.de/vbm) in SPM8 to minimize intra-subject registration errors. Using a rigid-body transformation, respective MPRAGE image volumes were aligned to corresponding ASL scans (i.e. baseline MPRAGE to baseline ASL and post-CR MPRAGE to post-CR ASL), and segmented into gray matter (GM), white matter (WM) and cerebrospinal fluid (CSF) probability images using the new segment tool in SPM8. The GM segments were transformed into binary masks thresholded for voxels with 80% or more GM content and applied to the ASL time series. This was done to minimize ASL signal contributions from WM and CSF. Forty label and control images were extracted from each 5 min block at steady-state, surround subtracted and time-averaged over the two trials to generate perfusion-weighted (ΔM) images. CBF was calculated using a standard single-compartment flow model\textsuperscript{10}.

\[
CBF(\text{ml}/100\text{g}/\text{min}) = \frac{6000 \cdot \lambda \cdot \Delta M \cdot e^{\left(\frac{\omega}{T1_b}\right)}}{2\alpha \cdot M_0 \cdot T1_b \cdot \left(1 - e^{\left(\frac{(\tau + \omega)}{T1_b}\right)}\right)}
\]

where \(\lambda\) = blood/tissue water partition coefficient, 0.9 g/mL; \(\alpha\) = labelling efficiency assumed to be 85%\textsuperscript{11} multiplied by 94% for background suppression; \(\omega\) = post/labelling delay of 1.0 s incremental per slice; \(\tau\) = label duration of 1.5 s and \(T1_b\) = individual blood T1 value. The final individual normocapnia and hypercapnia CBF images were smoothed using a Gaussian filter with a FWHM of 6 mm and transformed to standard stereotactic space (MNI) using transformation parameters from segmentation of MPRAGE images. CVR was calculated pixel-by-pixel as the increase in CBF per mm Hg increase in
PETCO$_2$. All further reference to CBF refers to CBF measured at room air, unless otherwise stated.

To assess the sensitivity of the ASL sequence used in this study, the temporal signal-to-noise ratio (tSNR) of the perfusion-weighted time series was calculated for each subject. Temporal SNR was defined as the mean GM pixel signal relative to the mean GM pixel standard deviation. The reproducibility of repeated ASL measurements was determined from a subset of patients and controls (N=19) for baseline CBF and CVR using within-sessions coefficient of variation and intraclass correlation coefficient (ICC). ICC was calculated using SPSS and two-way random model with measures of consistency, where a value close to 1 represents a high reliability. For completeness, the test-retest reliability at baseline was also compared voxel-by-voxel using repeated measures analysis of variance. This was done to ensure that averaging the perfusion-weighted signal from the 2 trials did not bias group comparisons.

3.2.6 Assessment of structure-independent CBF effects

Our goal was to relate cerebral hemodynamic changes to underlying structural changes in brain volume on a voxel-by-voxel basis to see if hemodynamic abnormalities (i.e. hypoperfusion or reduced CVR) and brain atrophy were mutually dependent. Thus, to delineate perfusion changes from underlying structural changes, a multimodal voxel-by-voxel multivariate analysis was performed as a two-step process as outlined in figure 3.1. First, an exploratory analysis was performed on the CBF images across all voxels with greater than or equal to 80% gray matter, to identify regions with significantly different gray matter CBF between CAD patients and controls. This was achieved using
two-tailed Student's t-test performed within SPM8. Next, these clusters were passed to the biological parametric mapping (BPM)\(^{12}\) tool, where a voxel-by-voxel analysis of covariance (ANCOVA) was performed on CBF images with differences in regional gray matter volume (GMV) removed. The results reflected differences in regional gray matter perfusion independent of gray matter volume changes.

For the BPM analysis, individual GMV images were generated from MPRAGE volumes using voxel-based morphometry (VBM, http://dbm.neuro.uni-jena.de/vbm/) in SPM8 as described in a prior study\(^{1}\). The GM segments were spatially normalized to a template averaged from all subjects, corrected for differences in brain size and smoothed with an 8-mm Gaussian filter. To describe changes in GMV within the clusters of interest, a voxel-by-voxel between-group comparison was performed on the GMV images and restricted to the clusters of interest using SPM8. An absolute threshold mask set at 0.1 was used to remove non-GM voxels. For all statistical analysis, type I errors from multiple comparison was minimized using False Discovery Rate (FDR) at \(p < 0.05\) and cluster size greater than 10 voxels.
Figure 3.1: Illustration of the pipeline for multimodal voxel-wise ANCOVA performed to determine the singular effect of CAD on regional CBF.

Step 1 involved SPM voxel-wise between-group comparisons of CBF images across the brain. Significant clusters from this step were converted to binary masks and used in Step 2 to improve voxel-wise multimodal analysis and limit type II errors. In step 2, between-group differences in CBF were examined voxel-wise using BPM with GMV images from VBM analysis serving as covariates. Corrections for multiple comparisons using FDR (p<0.05) was performed at each step. Clusters that remained signified regions where CBF changes drive the observed differences as in figure 3.2B and as listed in table 3.2. Illustration was overlaid on glass brains from SPM8 (http://www.fil.ion.ucl.ac.uk/spm/).

3.2.7 Statistical analysis

Statistical analyses were conducted with SPSS 20.0 statistical software (IBM Corp. Armonk, NY, and USA). Baseline clinical assessments and regional CVR measures from CAD patients were compared to data from the control group using two-tailed Student's t-test since age and gender were matched. Since, lower CVR was expected in the CAD group compared to controls, a one-tailed t-test was performed on regional mean CVR values extracted from each of the clusters from results of ANCOVA BPM analysis. Voxel-by-voxel comparisons were not performed on CVR images because of power constraints.

To test for effects of aerobic fitness, a paired t-test was performed on clinical data and regional CBF measures acquired on a subgroup of CAD patients before and 6 months
after cardiac rehabilitation. Regional CBF values were extracted from each of the clusters from the baseline ANCOVA BPM analysis. To demonstrate recovery of CBF with cardiac rehabilitation within regions, percent relative differences were computed for CAD patients at baseline and after CR. Baseline differences were relative to each regional mean CBF across all control subjects, while post-CR differences were relative to pre-CR regional CBF values. For completeness, relative differences were also computed for regional GMV. CVR analysis were not performed post CR due to a lack of statistical power.

3.3 Results

3.3.1 Study demographics

Perfusion data from one control subject and one CAD patient at baseline were excluded because of motion artefacts. Thirteen control subjects and 22 CAD patients participated in CVR measurements. The CVR data of one patient were excluded due to accelerated breathing (>25 breathes per minute) during hypercapnia, which can confound CVR results. The CAD group consisted of patients with clinical diagnosis at referral of angina (11.7%), myocardial infract (17.6%) and coronary artery disease (67.6%). All patients were on a combination of drug therapy to lower lipid levels (statins = 83.3%), maintain blood pressure (beta-blockers = 72.2%; ACE-inhibitors/angiotensin II receptor blockers = 50%) and prevent reinfarction (anti-platelets/aspirin = 77.8%). Forty-one percent had percutaneous coronary intervention and 8.8% received coronary artery bypass grafting prior to participation in the study.
3.3.2 Baseline clinical measures

Results of clinical assessments compared between groups at baseline including global means of gray matter CBF and CVR are presented in Table 3.1. There were no significant differences between patients and controls in terms blood pressure, left ventricular function, resting heart rate and blood glucose. CAD patients had lower total cholesterol ($F = 15.34$ (1, 53), $p<0.0001$) likely reflecting the therapeutic effect of the combined drug therapy received by patients. However, CAD patients had lower MoCA scores ($F = 4.63$ (1, 51), $p<0.01$) after adjustment for level of education, lower VO$_2$max ($F = 15.02$ (1, 37), $p<0.0001$), elevated BMI ($F = 18.46$ (1, 53), $p<0.0001$) and higher carotid artery intima media thickness ($F = 8.05$ (1, 43), $p<0.001$). There was also a trend of reduced carotid compliance in the carotid ($p = 0.24$) and elevated hs-CRP ($p = 0.10$), a marker of inflammation and measure of myocardial infarction risk.
### Table 3.1: Study demographics

<table>
<thead>
<tr>
<th>Variables</th>
<th>Controls</th>
<th>Patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>Body mass Index (kg/m²)</td>
<td>24.54 ± 3.11</td>
<td>29.84 ± 4.73*</td>
</tr>
<tr>
<td>Glucose (mmol/L)</td>
<td>4.72 ± 0.89</td>
<td>5.21 ± 1.38</td>
</tr>
<tr>
<td>Total cholesterol (mmol/L)</td>
<td>4.15 ± 0.96</td>
<td>3.09 ± 0.79*</td>
</tr>
<tr>
<td>hs-CRP (mg/L)</td>
<td>0.97 ± 0.91</td>
<td>2.10 ± 3.10</td>
</tr>
<tr>
<td>Systolic blood pressure (mm Hg)</td>
<td>120.28 ± 15.97</td>
<td>126.70 ± 21.20</td>
</tr>
<tr>
<td>Diastolic blood pressure (mm Hg)</td>
<td>68.18 ± 7.8</td>
<td>71.5 ± 12.41</td>
</tr>
<tr>
<td>Heart rate (beats per min)</td>
<td>58.34 ± 9.54</td>
<td>59.11 ± 6.85</td>
</tr>
<tr>
<td>Left ventricular ejection fraction (%)</td>
<td>67.41 ± 9.82</td>
<td>64.35 ± 7.24</td>
</tr>
<tr>
<td>Intima media thickness, carotid (mm)</td>
<td>0.53 ± 0.12</td>
<td>0.64 ± 0.12*</td>
</tr>
<tr>
<td>Compliance, carotid (mm/mm Hg)</td>
<td>0.0088 ± 0.003</td>
<td>0.0077 ± 0.003</td>
</tr>
<tr>
<td>MoCA</td>
<td>28.32 ± 1.67</td>
<td>26.82 ± 2.1*</td>
</tr>
<tr>
<td>VO₂max (mL/kg/min)</td>
<td>37.27 ± 9.94</td>
<td>26.9 ± 7.24*</td>
</tr>
<tr>
<td>Resting P₇CO₂ (mm Hg)</td>
<td>43 ± 4</td>
<td>40 ± 6</td>
</tr>
<tr>
<td>ΔP₇CO₂ (mm Hg)</td>
<td>11 ± 3</td>
<td>13 ± 4</td>
</tr>
<tr>
<td>Global mean CBF (mL/100g/min)</td>
<td>50.83 ± 11.68</td>
<td>46.49 ± 13.88</td>
</tr>
<tr>
<td>Global mean CVR (mL/100g/min/mm Hg)</td>
<td>2.57 ± 0.62</td>
<td>2.26 ± 0.63</td>
</tr>
</tbody>
</table>

Means and standard deviations of clinical assessments compared between CAD patients and controls. Statistical differences between groups at p<0.05 are indicated by *. 
3.3.3 Baseline brain imaging: Cardiac disease effects

The mean T1\textsubscript{b} across all subjects was 1562.6 ± 69.8ms. There was no difference between T1\textsubscript{b} for patients and controls. Mean GM tSNR for the ASL-EPI sequence was 4.0 ± 1.4. Test-retest reliability of the CBF and CVR measurements performed voxel-wise, showed no differences between trials (p <0.001, uncorrected). Because of the reproducibility of GM CBF and CVR, CV = 6.4% for CBF and 14.9% for CVR with corresponding ICC (2, 1) values of 0.93 and 0.82 for CBF and CVR respectively, ΔM signals were averaged over the 2 trials. No differences were found in global mean CBF or CVR between CAD patients and controls. Results from the multimodal voxel-wise multivariate analysis are listed in table 3.2 and are represented graphically on a single slice through the brain in figure 3.2. The results were as follows:

1) Eleven clusters were identified as having significantly lower CBF in the CAD patients from the SPM exploratory step. The corresponding anatomical labels are listed in table 3.2.

2) All eleven clusters remained significant after controlling for differences in GMV between the two groups. However, the magnitude of the effect of disease on CBF observed after atrophy correction was muted in nearly all regions, except in the right anterior cingulate cortex and bilateral middle temporal gyri (table 3.2).

3) The cluster size of nearly all regions was also preserved after BPM ANCOVA analysis except in the left precentral gyrus where the cluster size was reduced by 2 voxels.

4) Within the 11 regions, a significant decrease in GMV was observed in the CAD group in the right and left superior frontal and in the left precentral gyri. These 3
regions also had the greatest reductions in CBF changes following BPM analysis, as indicated by reduced t-value (table 3.2).

In general, the brains of CAD patients had significant reductions in regional GM CBF independent of GMV in the bilateral prefrontal, insula, middle temporal, superior temporal, postcentral gyri, and in the right anterior cingulate cortex. Concomitant decrease in GMV and atrophy-independent CBF were observed in the right and left superior frontal, and in the left precentral gyri.

Between-group comparisons in regional CVR are shown in figure 3.3. Group means from each cluster and the standard error of the means are displayed. Significant decreases in CVR were observed in the CAD patients in the right anterior cingulate, bilateral superior frontal gyri, right insular, right precentral gyrus, left superior temporal/insula and left postcentral gyrus (all, p<0.05).
Figure 3.2: Regions of interest analysis from voxelwise-comparisons.

Results of the region of interest analysis from voxel-wise comparisons between patients and controls; in gray matter CBF from SPM and from BPM analysis, and comparisons in gray matter volume derived from VBM. Clusters of significant difference between groups are depicted in yellow (p<0.05, FDR, cluster threshold = 10 contiguous voxels) and overlaid on the axial, coronal and sagittal slices of a single subject. The corresponding anatomical coordinates [x, y, z] are included.

Figure 3.3: Regions of differences in regional CVR compared between CAD patients and controls at baseline.

Statistical differences between groups at p<0.05 are signified by * and error bars indicate standard errors of the mean. R = right; L = left; SFG = superior frontal gyrus; ST = superior temporal gyrus; Ins = insula; PostC = postcentral gyrus; MT = middle temporal gyrus; PreC = precentral gyrus; AC = anterior cingulate.
### Table 3.2: Results of multimodal voxel-by-voxel multivariate analysis.

<table>
<thead>
<tr>
<th>Brain region (Brodmann Area)</th>
<th>Talairach coordinate (X Y Z)</th>
<th># of voxels</th>
<th>SPM t</th>
<th>SPM p</th>
<th>BPM t</th>
<th>BPM p</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Regional gray matter CBF, controls &gt; patients</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 L Superior temporal (41)</td>
<td>-34 -30 14</td>
<td>119</td>
<td>5.64</td>
<td>0.01</td>
<td>5.20</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>L Insula (13)</td>
<td>-38 -23 3</td>
<td>5.03</td>
<td>0.04</td>
<td>4.97</td>
<td>&lt;0.001</td>
<td></td>
</tr>
<tr>
<td>2 L Superior frontal (10)</td>
<td>-22 50 -1</td>
<td>63</td>
<td>5.11</td>
<td>0.01</td>
<td>4.57</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>3 R Anterior cingulate (24)</td>
<td>6 31 0</td>
<td>18</td>
<td>4.91</td>
<td>0.01</td>
<td>4.98</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>4 L Postcentral gyrus (3)</td>
<td>-38 -20 42</td>
<td>36</td>
<td>4.79</td>
<td>0.01</td>
<td>3.55</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>5 R Postcentral gyrus (6)</td>
<td>53 -6 28</td>
<td>35</td>
<td>4.71</td>
<td>0.01</td>
<td>3.95</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>6 R Middle temporal (39)</td>
<td>46 -62 20</td>
<td>57</td>
<td>4.47</td>
<td>0.02</td>
<td>4.50</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>R Superior temporal (39)</td>
<td>55 -57 23</td>
<td>4.23</td>
<td>0.03</td>
<td>4.15</td>
<td>&lt;0.001</td>
<td></td>
</tr>
<tr>
<td>R Insula (13)</td>
<td>36 -28 18</td>
<td>33</td>
<td>4.25</td>
<td>0.03</td>
<td>3.36</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>8 R Superior frontal (10)</td>
<td>26 49 -1</td>
<td>21</td>
<td>4.21</td>
<td>0.03</td>
<td>3.23</td>
<td>0.002</td>
</tr>
<tr>
<td>9 †L Precentral gyrus (4)</td>
<td>-55 -8 28</td>
<td>14</td>
<td>4.18</td>
<td>0.03</td>
<td>2.49</td>
<td>0.008</td>
</tr>
<tr>
<td>10 R Precentral gyrus (6)</td>
<td>46 -10 28</td>
<td>10</td>
<td>4.11</td>
<td>0.03</td>
<td>3.01</td>
<td>0.003</td>
</tr>
<tr>
<td>11 L Middle temporal (39)</td>
<td>-46 -69 16</td>
<td>18</td>
<td>3.61</td>
<td>0.04</td>
<td>3.69</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td><strong>VBM: Regional GMV, controls &gt; patients</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 L Superior frontal (10)</td>
<td>-22 56 2</td>
<td>40</td>
<td>3.90</td>
<td>0.009</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 R Superior frontal (10)</td>
<td>26 54 2</td>
<td>21</td>
<td>3.88</td>
<td>0.009</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 L Precentral gyrus (4)</td>
<td>-56 -10 34</td>
<td>14</td>
<td>3.00</td>
<td>0.014</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Results of regional voxel-by-voxel comparisons in regional CBF and in regional GMV between CAD patients at baseline. Effect magnitude is represented by t-static from SPM analysis and from BPM analysis, where changes in gray matter volume were accounted for. Results were corrected for multiple comparisons (FDR, p<0.05). Coordinates of local maxima of clusters of significant difference are listed and given in anatomical Talairach space. R = right; L = left; †for the left precentral gyrus, the total number of voxels from BPM analysis was reduced to 12.

### 3.3.4 Cardiac rehabilitation effects

There were no differences within the CAD patient group in pre- and post-CR clinical tests. Mean VO_2 max at baseline and post-CR were 28.04 ± 10.47 mL/kg/min and 29.37 ± 8.74 mL/kg/min respectively. No difference in global mean gray matter perfusion was observed before (46.4 ± 11.4 mL/100g/min) and after (50.0 ± 18.5 mL/100g/min)
exercise training. Regional mean CBF at baseline and post-CR are shown in figure 3.4. A significant increase in CBF (~30%) was seen post exercise in the right anterior cingulate (p = 0.02), a region where a comparable decline in CBF compared to controls (~33%) was observed at baseline, and a region where no change in GMV was observed after exercise. An increase in GMV with exercise was found in the bilateral pre- and post-central gyri and in the right superior frontal gyrus, while a sustained decrease in GMV over time was seen in the left superior temporal and right insula regions.

**Figure 3.4: Regions of differences in regional CBF compared in CAD patients before and after CR.**

Statistical differences at p<0.05 are signified by * and error bars indicate standard errors of the mean. See figure 3.3 for full name of regions.

### 3.4 Discussion

There is a growing interest in the potential role of vascular disease in advancing the age-related decline in cerebrovascular health and cognitive function. In a recent study, we described marked brain atrophy spanning the frontal, temporal and parietal lobes of CAD patients, and subsequent increase in brain volume with exercise\(^1\). Here, in the same
cohort of CAD patients, accelerated cerebrovascular decline was observed in cognitive areas of the brain. Interestingly, these changes were greater than the corresponding declines in brain volume, suggesting that cerebral hypoperfusion associated with cardiovascular disease could mediate neuronal volume loss. In addition, recovery of perfusion in the right anterior cingulate was demonstrated after six months of exercise training. These findings indicate that cardiovascular disease is associated with regional cerebral hypoperfusion and diminished CVR, which indicate cerebrovascular dysfunction and that these changes may be reversible with exercise.

Vascular aging is associated with increased plaque burden, impaired vascular remodelling, arterial stiffness and endothelial dysfunction, inducing perfusion deficits that in turn decrease the amount of oxygen available for optimal tissue function. In the brain, for example by the age of 60, normal aging can reduce regional CBF by up to 15%, offsetting the normal coupling of CBF to glucose metabolism. This age-related compromise in CBF is exacerbated by the presence of vascular disease, as demonstrated by our findings and supported by previous observations. Compared to age-matched controls, we found decreased CBF in the brains of CAD patients in regions known to be affected by various forms of vascular disease (for review see de la Torre and Friedman et al). For instance, hypertension is associated with accelerated decline in regional perfusion in the orbitofrontal, anterior cingulate and insular cortices. In older adults with various forms of cardiovascular disease, reductions in frontal and temporal lobe CBF are associated with reduced regional cortical thickness and impairments in memory, attention and executive functions. The combined effect of vascular ageing and high blood cholesterol leads to slow progression of atherosclerosis, where the narrowing
of coronary, systemic or cerebral vessels can impede blood flow to the corresponding tissue. With CAD, it is possible that subtle changes in blood supply to the brain could pose an additional risk to the aging brain\textsuperscript{5}, particularly in individuals with suspected central arterial stenosis. We found significantly higher carotid intima media thickness, a marker of generalized atherosclerosis\textsuperscript{18}, in CAD patients compared to controls as well as decreased carotid artery compliance. Increased carotid intima media thickness in older adults, even in the absence of clinical vascular disease, is associated with regional changes in CBF\textsuperscript{19}, increased risk for AD\textsuperscript{18} and accelerated decline in performance on tests for memory, language (semantic association fluency) and executive function, over time\textsuperscript{20}. Arterial stiffness, even at the level of the aorta\textsuperscript{7}, and other vascular remodelling responses to vascular disease impair CVR\textsuperscript{21}, ultimately affecting higher-order cognitive processes.

CVR was significantly reduced in CAD patients in multiple regions including the anterior cingulate, superior frontal and postcentral gyrus. Typically, CBF reductions are likely either a result of reduced supply to tissue, signifying vascular dysfunction, or a result of reduced metabolic demand, signifying a decline in neuronal activity. The observed reduction in CVR in areas of reduced CBF in the CAD patients supports the former since it indicates that the brain's vascular response to a vasoactive stimulus was impaired, which suggests that the CBF response to increased functional activation may also be impaired\textsuperscript{22}.

The decline in cognitive abilities in domains of executive function, attention, language, memory and visuospatial skills seen in vascular disease patients, independent of normal aging effects\textsuperscript{23}, is consistent with the regional pattern of decreased resting CBF
and CVR observed in these CAD patients. Interestingly, these patients also had lower MoCA scores compared to controls, after adjusting for level of education. Impaired CVR is associated with a decline in global cognitive function in dementia patients and could reveal cognitive dysfunction sooner and with greater sensitivity than resting CBF. Lu et al demonstrated that CVR in the prefrontal cortex showed an accelerated decline with age compared to CBF. These observations underscore the significance of CVR as a marker of cerebrovascular health and potentially of cognitive function in cardiovascular disease patients.

One of the main findings of the current study was the observation of differences in the spatial patterns of hypoperfusion and brain atrophy in the CAD patients. Of the 11 clusters identified as having lower basal CBF, only 3 clusters- all within the prefrontal cortex- showed a concurrent decrease in GMV following BPM analysis. A spatial mismatch between regional hypoperfusion and atrophy has also been observed in normal aging. These observations suggest that certain areas of the brain respond differently to hemodynamic and structural changes associated with aging and vascular disease. It is also possible that the CBF changes observed in CAD patients could precede structural changes. It is important to consider that the poor spatial resolution of ASL compared to structural imaging could lead to partial volume errors. To minimize this, differences in CBF between patients and controls were only conducted in voxels that were classified as being comprised of at least 80% gray matter, followed by BPM analysis to control for changes in GMV. An alternative approach would be to use linear regression methods such as outlined by Asllani et al to extract a GM CBF image. However, this approach
can introduce substantial spatial blurring, which when applied to regions as small as those identified in the current study, could eliminate the observed effects.

Another notable finding of the current study was the recovery of CBF in the right anterior cingulate after mild-to-moderate aerobic fitness training. This finding agrees with a previous study that reported higher CBF in the anterior cingulate cortex in aerobically-trained older adults compared to sedentary controls\textsuperscript{28}. A positive correlation between CBF in the anterior cingulate and VO$_2$max has also been reported in CAD patients\textsuperscript{29}. Similar to Chapman et al\textsuperscript{28}, we observed no increase in global CBF after exercise training; however, the effects of exercise on global CBF are conflicting\textsuperscript{30}. Conversely, Colcombe et al associated the level of aerobic fitness with decreased activity in the anterior cingulate and increased activity in the middle frontal, superior frontal and parietal regions in older adults performing conflicting response tasks\textsuperscript{31}. Though they attributed their observation to improved adaptations in the attentional network following exercise training\textsuperscript{31}, it could also signify the effects of exercise in enhancing both cognitive and cardiovascular control\textsuperscript{32}. Decreased activity in the anterior cingulate (subgenual) and medial prefrontal cortex along with increased activity in the insular cortex, are strongly associated with cardiovascular adjustments to acute stressors during physical, cognitive and emotional demands\textsuperscript{32}. Although we did not find significant changes in CBF in the insular or prefrontal regions after exercise training, we previously reported increased brain volume in the medial prefrontal region in addition to other cognitive areas\textsuperscript{1}. Increased volume in the prefrontal, anterior cingulate and medial temporal lobes are consistently associated with improved higher cardiorespiratory fitness level\textsuperscript{33}. It is possible that one of the mechanisms by which aerobic fitness training
improves cardiovascular function is the augmentation of cortical control of cardiovascular homeostasis. We were unable to investigate regional CVR response to exercise training given the relatively small sample, a global increase in CVR has been reported in older adults following 12 weeks of mild-to-moderate aerobic exercise\textsuperscript{34} and in stroke patients\textsuperscript{35} after completing a 6-month exercise program. In general, our findings of recovery of regional CBF following cardiac rehabilitation continue to support the role of aerobic fitness in preservation of brain health following injury.

### 3.4.1 Study considerations

The findings presented in the current study although largely attributed to coronary artery disease, could also be associated with lifestyle factors\textsuperscript{3}. Compared to controls, CAD patients had significantly lower fitness levels and were generally overweight. The effect of these lifestyle factors on cerebral perfusion and brain structure in the presence of vascular disease are yet to be determined. A large majority of the CAD patients were on antihypertensive and hypolipidemic drug. These agents are known to affect cerebrovascular hemodynamics and could mask vascular disease effects or attenuate exercise effects. Stains for instance are hypolipidemic agents associated with increased CBF and vascular reactivity\textsuperscript{36}, while antihypertensive drugs such as beta-blockers can limit submaximal exercise capacity\textsuperscript{37}. Furthermore, we did not explore potential ongoing cerebrovascular disease in the study population, specifically, white matter lesions, which could further contribute to cerebral hypoperfusion and atrophy. Our analysis, however, focused on vascular disease effects within gray matter. Areas associated with white matter hyperintensities are typically deep within white matter regions.
Other study considerations include the inherent limitations with the ASL imaging and the hypercapnic challenge. First, the 2D EPI readout used in this study for ASL imaging, limited data acquisition to slices superior to the anterior commissure-posterior commissure line. As such, regions near tissue-air/bone interfaces prone to increased susceptibility artefacts were excluded. Cerebral hypoperfusion may well exist in the inferior regions of the frontal and parietal lobes, since brain atrophy has been observed in these regions in the same cohort of CAD patients. Second, our choice of post-labelling delay was relatively short. It was selected to mediate the effects of short arterial transit time at high flow velocities during hypercapnia and increase SNR. This resulted in a tSNR comparable to newer ASL methods using three-dimensional gradient-spin echo readouts, where whole brain coverage can be achieved at longer post-label delay and minimal susceptibility issues. A short post-labelling delay could result in an underestimation of CBF in patients with longer transit times, however no watershed artifacts, which are typical of transit time errors, were observed in the CBF images. Lastly, the CVR results were limited by a small sample size due to poor compliance with the hypercapnic manipulations, compounded by inter-subject variability in ventilation response during CO₂ inhalation. Reproducible changes in P_{ET}CO₂ were achieved by controlling the respiration rate using a metronome. However, commercial devices designed to control P_{ET}CO₂ breath by breath, such as the RespirAct (Thornhill Research, Toronto, Canada) would improve the sensitivity of CVR measurements.
3.4.2 Conclusion

This study represents a concerted attempt within a single cohort to investigate the association between cardiovascular disease and cerebral hemodynamics, as well as investigating the potential benefits of physical activity. The findings demonstrated a region-specific vulnerability to cardiovascular disease that appeared to accelerate the normal changes in CBF associated with aging. It is possible that reductions in regional CBF and CVR, related to vascular disease could drive cortical decline. However, the fundamental mechanisms underlining the association between vascular disease and cortical decline remain unclear and require further investigation. The ability of an aerobic fitness program designed for CAD patients to improve cerebral perfusion was demonstrated in a relatively small sample. This work sets the stage for future investigations in larger cohorts of vascular disease patients to understand how cardiopulmonary fitness training impacts cerebrovascular hemodynamics.

3.5 References


4 Chapter 4

Feasibility of Simultaneous Whole-brain Imaging of Cerebral Perfusion and Glucose Metabolism on a Whole-body Integrated PET-MRI Scanner.

The chapter is adapted from the paper entitled "Feasibility of simultaneous whole-brain imaging on an integrated PET-MRI system using an enhanced 2-point Dixon attenuation correction method", published in Frontiers in Neuroscience, 2015; (8) :434, by Udunna C Anazodo, Jonathan D Thiessen, Tracy Ssali, Jonathan Mandel, Matthias Günther, John Butler, William Pavlosky, Frank S Prato, R Terry Thompson, and Keith S St. Lawrence. It is reproduced here under a Creative Commons Attribution CC-BY license.

4.1 Introduction

Multimodal imaging approaches that simultaneously pull together structural, functional and molecular information have the potential to improve the characterization of normal and/or abnormal neurophysiology. The development of positron emission tomography (PET) and magnetic resonance imaging (MRI) hybrid systems provide the combined advantages of high molecular sensitivity of PET and high spatial resolution of MRI, among other benefits. The clinical potential of this hybrid approach extends beyond image fusion considering the ability of MRI to collect data associated with not only anatomy but also function, diffusion and metabolite concentrations\(^1\), thereby maximizing
diagnostic accuracy\(^2\) as image registration errors and total image acquisition times are minimized, and physiological and metabolic states are identical.

However, accurate correction of PET signal attenuation in PET-MRI hybrid systems using information derived from MRI is challenging. Inherent limitation(s) of various proposed attenuation correction methods can lead to PET quantification errors of up to 20\(^%\)\(^3\)–\(^6\), which limits adoption of PET-MRI in neuroimaging. Commercial PET-MRI hybrid systems rely on MRI-derived attenuation correction (MRAC) using a 2-point Dixon method\(^7\) based on segmentation of MR signals from water and fat into air, lung, fat and soft tissue\(^8\) but ignores bone, rendering this method less ideal for brain imaging. Various methods have been explored to produce optimal MRAC maps for neuroimaging that are as accurate as clinically accepted AC maps produced by computed tomography (CT). Ultra-short echo (UTE) MRI\(^9\) where bone is imaged and incorporated into AC maps, can suffer from low spatial resolution and inaccurate signal segmentation\(^4\). Methods that employ CT templates/atlas mapped to individual MRI\(^10,11\) or to guide MRI segmentation\(^12\)–\(^14\), require complex algorithms that have limited reproducibility and at best still retain some level of inter-modality misregistration\(^15\), especially where large signal intensity differences exist between MRI and CT (e.g. cortical bone).

In this study we explored the feasibility of hybrid PET-MRI systems in simultaneous measurements of brain function with PET and MRI using an enhanced Dixon-based MRAC for PET signal attenuation correction in an integrated PET-MRI system. Simultaneous functional imaging was achieved by combining 3-dimensional (3D) pseudo-continuous arterial spin labelling (pCASL) with \(^{18}\)F-fluoro-deoxyglucose (FDG) PET since FDG is considered a suitable surrogate marker of brain function\(^16\) and
pCASL is a reliable MR perfusion method for assessment of brain function under resting and task-induced conditions in normal and disease states\textsuperscript{17}. The goal was to investigate if regional correlations in relative cerebral blood flow (rCBF) to relative cerebral rate of glucose consumption (rCMRglc) measured with a hybrid PET-MRI scanner were comparable to previous studies using either PET alone\textsuperscript{18} or separate PET and MRI systems\textsuperscript{19}. If successful, the obvious advantage is that future studies in neuropsychiatry or neurodegeneration, for instance, could correlate perfusion MRI to disease-specific PET tracers for better characterization of disease expression\textsuperscript{20}. The proposed enhanced Dixon MRAC combines bone segmentation from high-resolution 3D T1-weighted anatomical images, routinely acquired in brain imaging, with the standard Dixon MRAC method implemented by the manufacturer. Comparison between the Dixon and enhanced Dixon method was made across a number of brain regions to assess regional differences and performance.

### 4.2 Materials and Methods

#### 4.2.1 Participants

This study was approved by the University Research Ethics Board, and written informed consent was obtained from all subjects. PET-MRI images were acquired from oncology patients recruited following the completion of a clinical PET-CT exam as part of their healthcare management. A total of 13 oncology patients were recruited 7 males and 6 females (58 ± 8 years old). All subjects had no history of neurological disorder and no radiation therapy or chemotherapy prior to imaging and were referred for whole-body
PET-CT exam for staging of oncology. To minimize errors in MRI image quality from potential image artifacts produced by metal implants such as MR signal loss, only patients free of metallic implants and fixtures including dental implants were included in this study.

4.2.2 PET-MRI image acquisition

Whole-brain PET and MRI data were acquired simultaneously on a Siemens Biograph® mMR system. The PET subsystem consisted of 8 rings of 56 detector blocks, each housing 8 x 8 LSO crystals (size = 4 x 4 x 20 mm³) coupled to 3 x 3 array of APDs producing a transaxial field of view (FOV) of 59.4 cm and an axial FOV of 25.8 cm. The 3T MRI subsystem is similar to a Siemens 3T Verio with a maximum gradient strength of 45 mT/m and slew rate of 200 T/m/s, but a reduced bore size of 60 cm. For detailed description of the Biograph® mMR specifications cf. Delso et al. 2011. All MR images were acquired using a PET-compatible 16-channel phased array head (12-channel) and neck (4-channel) radiofrequency (RF) coil.

PET-MRI data were acquired immediately following the completion of 20 minute whole-body PET-CT imaging and following a 60-minute uptake of a bolus FDG intravenous injection (5 MBq/Kg) administered in a dim lit room. All subjects fasted for a minimum of 6 hours and had blood glucose level between 4.8 to 5.8 mmol/L. Clinical whole-body PET-CT were acquired following standard oncology imaging protocol from the level of the eyes to the mid-thigh. A series of MR images were acquired during a 15
minute PET list-mode acquisition with subjects in supine position. The MRI data included:

a) Coronal T1-weighted dual-echo 3D VIBE-Dixon for MRI Dixon-based attenuation correction of PET data (repetition time (TR) = 3.60ms, echo times (TE) = 1.23 and 2.46ms, flip angle = 10°, FOV = 500 x 328mm², 128 slices, voxel size = 4.1 x 2.6 x 3.1mm³, bandwidth = 965 Hz/Px, acceleration factor of 2, and total acquisition (TA) = 0.19s)\(^{22}\);

b) Sagittal T1-weighted 3D magnetization-prepared rapid gradient-echo (MPRAGE) sequence (TR/TE: 2000/2.98ms, inversion time (TI) = 900ms, flip angle = 9°, FOV = 256 x 256mm², 176 slices, isotropic voxel size = 1.0 mm³, bandwidth = 240 Hz/Px, an acceleration factor of 2 and TA = 4.48min);

c) Transverse 3D single shot gradient-and-spin-echo (GRASE) pCASL sequence\(^{23}\) (TR/TE = 3500/22.76ms, FOV = 240 x 240 mm², 24 slices, voxel size = 3.8 x 3.8 x 5mm³, bandwidth = 2298 Hz/Px, and acceleration factor of 2). The pCASL label consisted of 1.5s train of RF pulses applied 9cm below the center of the imaging volume with a mean gradient of 0.6 mT/m. Sixty-four label and control pairs were acquired after a post-label delay of 1.2 s for TA = 7 min. Two nonselective inversion pulses were applied for background suppression during the post-labeling delay. Proton density images (M₀) were acquired with the pCASL sequences for CBF quantification using a TR of 5 s, with no labeling or background suppression pulses.
4.2.3 MR attenuation map (µ-map) generation and PET image reconstruction.

Two MRAC segmentation methods were used for attenuation correction of the PET data: the standard 2-point Dixon method\textsuperscript{22} and 2-point Dixon method plus bone segmentation (Dixon+bone), which is described below. The Dixon MRAC µ-maps were generated online from segmentation of fat and water signals in the in- and out-of-phase Dixon images into fat, soft tissue and air/background, as implemented by the manufacturer. Linear attenuation coefficients of 0 cm\textsuperscript{-1}, 0.086 cm\textsuperscript{-1} and 0.10 cm\textsuperscript{-1} were assigned to air, fat and soft tissue, respectively \textsuperscript{22}. Attenuation correction factors for hardware including the RF coil and scanning bed were included in the Dixon µ-map. The Dixon+bone MRAC µ-maps were generated offline using SPM8 (http://www.fil.ion.ucl.ac.uk) and in-house MATLAB (2012a, The MathWorks, Natick, MA) scripts. This method overlays a bone mask created from bone segmentation of the MPRAGE image to a Dixon µ-map and applies a linear attenuation coefficient (µ\textsubscript{a}) of 0.143 cm\textsuperscript{-1}\textsuperscript{19}, the µ\textsubscript{a} value of bone at 511kev, to the bone mask.

The bone mask for each subject was created as follows. 1) The T1-weighted MRPAGE dataset was coregistered to the Dixon in-phase image in SPM8 using rigid-body transformation with a normalized mutual information cost function to place the MPRAGE in the same voxel space as the Dixon µ-map. 2) The coregistered MPRAGE image set was segmented into gray matter, white matter, cerebrospinal fluid, bone, soft tissue and air/background probability tissue maps in native space using the new segment function in SPM8 and ICBM Tissue Probabilistic Atlases (http://www.loni.usc.edu/ICBM/). The new segment toolbox employs the unified
segmentation method 24 that combines affine registration to ICBM atlas, bias field correction and segmentation within one integrated model. 3) The bone probability map was down-sampled to the Dixon images matrix size, smoothed with a 4 mm Gaussian filter 25 and thresholded for probabilities above 80% to minimize inclusion of non-bone signals. 4) The resulting binary image was eroded with morphological filtering and connected component analysis using a 3x3x3 voxel size of ones before assigning \( \mu_a \) of 0.143 cm\(^{-1}\). Erosion was performed to minimize inclusion of non-bone voxels and the size of the structure elements were determined after empirical evaluation of bone voxels within a region known to have true bone content.

Each subject's PET list-mode data were reconstructed using the Siemens e7 tools to one image volume 344 x 344 x 127 matrix with an iterative algorithm (ordered-subsets expectation maximization: 3 iterations, 21 subsets; 3D Gaussian filter with a full width half maximum (FWHM) = 2.0 mm and 2.5 zoom factor) and corrected for decay, dead time, scatter and attenuation. The reconstruction was performed twice using either the Dixon (PET_{dx}) or the Dixon+bone (PET_{dxbone}) MRAC \( \mu \)-map.

### 4.2.4 Image Analysis

To allow for group comparison between PET_{dx} and PET_{dxbone}, the PET images were spatially normalized to the Montreal Neurological Institute (MNI) PET template in SPM8 (http://www.fil.ion.ucl.ac.uk) using an affine transformation and non-linear warps, and smoothed with a 6-mm FWHM Gaussian filter. The gray matter (GM) probability map for each subject was spatially normalized to the T1 MNI template using the unified
segment approach in SPM, also smoothed with a 6-mm Gaussian filter, transformed to a binary mask at a threshold of 80% and applied to the PET\textsubscript{dx} and PET\textsubscript{dxbone} images.

The difference between the Dixon+bone and the Dixon MRAC method across the brain was computed as the percent relative difference (\% RD) in mean activity concentration (Bq/ml) in thirteen a priori regions of interest (ROIs).

\[
\%RD = \left( \frac{PET\textsubscript{dxbone} - PET\textsubscript{dx}}{PET\textsubscript{dx}} \right) \times 100.
\]

ROI brain masks were created using the automated anatomical library \textsuperscript{26} incorporated within WFU PickAtlas toolbox version 3.0 \textsuperscript{27}. The ROIs were frontal lobe, cingulate gyrus, insula, parietal lobe, temporal lobe, hippocampus, amygdala, thalamus, caudate, globus pallidus, putamen, and cerebellum. The PET\textsubscript{dx} and PET\textsubscript{dxbone} images were scaled by their respective global gray matter mean value to allow for voxel-by-voxel comparison between glucose uptake and blood flow, and since absolute quantification of PET-FDG with tracer kinetic modeling was not feasible in this study and generally not feasible in clinical practice. Regional %RD in relative mean activity was also calculated in the scaled PET data (rPET). To investigate potential regional differences, the mean values extracted from individual ROIs were compared between the two PET data sets using paired t-tests with SPSS 20.0 statistical software (IBM Corp. Armonk, NY, USA). Statistical significant differences were set at threshold p-value <0.05.

pCASL preprocessing was performed with SPM8 and scripts written in MATLAB. The pCASL time series were motion corrected, pair-wise subtracted, and time-averaged. The mean signal was registered to the MPRAGE images using a rigid-body transformation and converted to CBF using a single-compartment flow model \textsuperscript{28}. 

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The CBF images were spatially normalized to the MNI template and smoothed using a Gaussian filter with a FWHM of 6 mm. A GM mask was applied to the CBF images that were normalized by the global mean. To determine the temporal signal-to-noise ratio (tSNR) of the perfusion-weighted time series when acquired with a PET-compatible head coil, tSNR was calculated for each subject as defined by the mean pixel signal in the whole brain relative to the mean pixel standard deviation.

Whole brain voxel-by-voxel independent samples t-tests restricted within a GM mask were performed on the individual relative images in SPM8 to investigate differences between rCBF and rPET images. Statistical significant differences were identified for clusters greater than 50 voxels at p <0.05 after correcting for multiple comparisons using the False Discovery Rate (FDR). Voxel-by-voxel Pearson correlation was conducted on relative images averaged across subjects within the thirteen ROIs to correlate rCBF to rPET\textsubscript{dx} and to rPET\textsubscript{dxbone}.

### 4.3 Results

#### 4.3.1 Study Participants

A full description of the study demographics is listed in Table 4.1, including age, gender and diagnosis. No neurological lesions or gross neuropathological abnormalities were observed on PET-MRI brain images. Images were reviewed by a Board Certified Radiologist and Nuclear Medicine physician. Mean and standard deviation of the time from FDG injection to PET-MR examinations were 103 ± 11.18 minutes.
Table 4.1: Study Demographics.

<table>
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<tr>
<th>Number</th>
<th>Age (years)</th>
<th>Gender</th>
<th>Primary oncology disease</th>
<th>Net Injected Dose (MBq)</th>
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<td>62</td>
<td>F</td>
<td>SPN</td>
<td>368</td>
</tr>
<tr>
<td>2</td>
<td>55</td>
<td>M</td>
<td>SPN</td>
<td>390</td>
</tr>
<tr>
<td>3</td>
<td>39</td>
<td>M</td>
<td>Germ Cell (Testicular)</td>
<td>500</td>
</tr>
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<td>4</td>
<td>64</td>
<td>M</td>
<td>SPN</td>
<td>422</td>
</tr>
<tr>
<td>5</td>
<td>62</td>
<td>F</td>
<td>SPN</td>
<td>288</td>
</tr>
<tr>
<td>6</td>
<td>62</td>
<td>F</td>
<td>SPN</td>
<td>229</td>
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<tr>
<td>7</td>
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<td>M</td>
<td>SPN</td>
<td>437</td>
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<tr>
<td>8</td>
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<td>F</td>
<td>NSCLC</td>
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</tr>
<tr>
<td>13</td>
<td>53</td>
<td>M</td>
<td>NSCLC</td>
<td>396</td>
</tr>
</tbody>
</table>

SPN = Solitary Pulmonary Nodule, NSCLC = non-Small cell lung cancer, M= Male, F=Female.

4.3.2 Evaluation of MRAC methods.

An example of Dixon+bone $\mu$-map created by adding bone to a Dixon $\mu$-map from a representative subject is displayed in Figure 4.1. A gradual radial increase in relative difference between PET images reconstructed with Dixon+bone $\mu$-map compared to Dixon $\mu$-map were observed in all subjects. Figure 4.2a, illustrates the typical line profile (Figure 4.2b) through the center slice of the brain of one subject when the PET$_{dx}$ and PET$_{dxbone}$ images are compared. An increase of 5% in the center to nearly 20% in the areas around the cortex was seen.
Figure 4.1: Illustration of a Dixon+bone µ-map generated in a representative subject.

Group mean values and standard deviation of absolute and relative activity in PET$_{dx}$ and PET$_{dxbone}$ for whole brain and regions of interest are listed in Table 4.2. Paired student t-test showed that the absolute mean activity in PET data reconstructed with Dixon+bone µ-map was statistically higher than PET reconstruction with Dixon µ-map in all thirteen ROIs. Conversely, lower relative activity was found in rPET$_{dxbone}$ when compared to rPET$_{dx}$ in all ROIs except, occipital and cerebellum. These regional differences are depicted in Figure 4.3a as the percent relative difference in mean activity.
between PET\textsubscript{dx} and PET\textsubscript{dxbone}, and in Figure 4.3b as the percent relative difference in rPET\textsubscript{dx} and rPET\textsubscript{dxbone} for all thirteen ROIs.

**Figure 4.2: Line profile**

![Line profile](image)

Line profile (A) across the center slice of a map (B) of relative difference (% RD) between PET images reconstructed with Dixon and Dixon+bone μ-maps. Images are presented from a representative subject.

**Figure 4.3: Regions of interest group means percent relative difference (% RD).**

![Regions of interest](image)

%RD in (A) mean activity concentration and (B) mean relative activity between PET signals reconstructed with Dixon and Dixon+bone μ-maps. Errors bars represent standard deviation on the means. Regions abbreviations: Fro = Frontal, Cng = Cingulate, Ins = Insula, Par = Parietal, Tem = Temporal, Hpp = Hippocampus, Amg = Amygdala, Cad = Caudate, Pal = Globus Pallidus, Put = Putamen, Occ = Occipital and Ceb = Cerebellum.
Table 4.2: Group mean and standard deviation (std) of absolute and relative PET\textsubscript{dx} and PET\textsubscript{dxbone} activity concentration (kBq/ml) in regions of interest across the brain.

<table>
<thead>
<tr>
<th>Region</th>
<th>Absolute mean activity</th>
<th>Relative mean activity</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>PET\textsubscript{dx}</td>
<td>PET\textsubscript{dxbone}</td>
</tr>
<tr>
<td></td>
<td>Mean</td>
<td>std</td>
</tr>
<tr>
<td>Frontal</td>
<td>12.70</td>
<td>2.60</td>
</tr>
<tr>
<td>Cingulate</td>
<td>13.90</td>
<td>2.88</td>
</tr>
<tr>
<td>Insula</td>
<td>13.47</td>
<td>2.35</td>
</tr>
<tr>
<td>Parietal</td>
<td>13.49</td>
<td>2.71</td>
</tr>
<tr>
<td>Temporal</td>
<td>11.63</td>
<td>2.26</td>
</tr>
<tr>
<td>Hippocampus</td>
<td>10.35</td>
<td>1.85</td>
</tr>
<tr>
<td>Amygdala</td>
<td>10.42</td>
<td>1.87</td>
</tr>
<tr>
<td>Thalamus</td>
<td>14.11</td>
<td>2.77</td>
</tr>
<tr>
<td>Caudate</td>
<td>11.33</td>
<td>3.10</td>
</tr>
<tr>
<td>Pallidum</td>
<td>14.23</td>
<td>2.66</td>
</tr>
<tr>
<td>Putamen</td>
<td>16.83</td>
<td>3.21</td>
</tr>
<tr>
<td>Occipital</td>
<td>13.18</td>
<td>2.63</td>
</tr>
<tr>
<td>Cerebellum</td>
<td>10.68</td>
<td>1.78</td>
</tr>
<tr>
<td>Whole brain</td>
<td>13.16</td>
<td>2.56</td>
</tr>
</tbody>
</table>

Results of paired differences between PET\textsubscript{dx} and PET\textsubscript{dxbone} are presented as value of the t-statistic (t), where all regional differences met the statistical threshold of p<0.05 except for * where p value >0.05.

4.3.3 Correlations of perfusion to glucose uptake

Images of relative CBF, PET\textsubscript{dx} and PET\textsubscript{dxbone} averaged across all subjects are displayed in Figure 4.4. In general, these group-wise images are similar in appearance. On visual inspection, the group-averaged PET data reconstructed with Dixon+bone µ-map had an apparent higher intensity compared to PET data reconstructed with Dixon µ-map, particularly around the cortex. An additional difference between the data sets was the aliasing artifact observed in the CBF images, which are most visible in distal images.
The mean group gray matter CBF was 43.82 ± 4.46 ml/100g/min. The mean tSNR of whole brain pCASL signal in gray matter across all subjects was 2.83 ± 1.18.

Figure 4.4: Whole-brain group maps of relative perfusion and relative glucose uptake.

Whole-brain group maps from; left to right: pCASL-CBF, FDG-PET reconstructed with Dixon+bone MRAC and FDG-PET reconstructed with Dixon MRAC. Spatial blurring seen in the bottom row images of the perfusion maps could be minimized with segmented multi-shot 3D GRASE acquisitions.

The average voxel-by-voxel correlation coefficient across whole-brain grey matter was $r= 0.63 \ (p<0.001)$ between rCBF and rPET$_{dx}$, and $r= 0.53 \ (p<0.001)$ between rCBF and rPET$_{dx\text{bone}}$. The correlation coefficient for each ROI, derived from voxel-wise comparison between rCBF and rPET$_{dx}$ and between rCBF and rPET$_{dx\text{bone}}$, are presented in Table 4.3 along with corresponding total number of voxels. For the comparison between rCBF and rPET$_{dx}$, moderate-to-high correlations were observed in all ROIs with the highest in the caudate and the lowest in the deep-lying structures of the limbic system such as the hippocampus and amygdala.
Figure 4.5: Whole brain voxel-by-voxel comparison between rCBF and rCMRglc

Comparison between relative cerebral blood flow and relative FDG-PET activity reconstructed with Dixon (A) and Dixon+bone (B) μ-maps. Areas with greater relative perfusion are shown in red while areas with greater relative glucose uptake are shown in blue. Statistical differences are set at threshold for cluster > 50 voxels, p <0.05 corrected for multiple comparisons with FDR.

Similar trends were observed between rCBF and rPET_{dxbone}. Results of voxel-by-voxel independent samples t-test listed on Table 4.4, showed areas of significant increase or decrease in relative perfusion compared to relative FDG-PET signals reconstructed with Dixon and with Dixon+bone μ-maps for clusters that met the set statistical threshold. Maps of statistical differences between rCBF and either rPET_{dx} or PET_{dxbone} - overlaid on axial slices of a single subject T1-weighted image are displayed in Figure 4.5. Regions with higher relative perfusion are marked in red while regions with higher relative glucose uptake are marked in blue.
Table 4.3: Results of voxel-by-voxel Pearson correlation within thirteen regions of interest.

<table>
<thead>
<tr>
<th>ROI</th>
<th>(k_e)</th>
<th>rCBF vs. rPET(_{dx})</th>
<th>rCBF vs. rPET(_{dxbone})</th>
<th>rCBF vs. PET-CT (Cha et al., 2013)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frontal</td>
<td>70169</td>
<td>0.61</td>
<td>0.52</td>
<td>0.41</td>
</tr>
<tr>
<td>Cingulate</td>
<td>7598</td>
<td>0.77</td>
<td>0.76</td>
<td>0.61</td>
</tr>
<tr>
<td>Insula</td>
<td>3541</td>
<td>0.54</td>
<td>0.53</td>
<td>0.63</td>
</tr>
<tr>
<td>Parietal</td>
<td>26845</td>
<td>0.63</td>
<td>0.58</td>
<td>0.5</td>
</tr>
<tr>
<td>Temporal</td>
<td>32962</td>
<td>0.72</td>
<td>0.63</td>
<td>0.67</td>
</tr>
<tr>
<td>Hippocampus</td>
<td>1797</td>
<td>0.43</td>
<td>0.41</td>
<td>-0.26</td>
</tr>
<tr>
<td>Amygdala</td>
<td>414</td>
<td>0.42</td>
<td>0.40</td>
<td>0.087</td>
</tr>
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<td>Thalamus</td>
<td>2149</td>
<td>0.68</td>
<td>0.68</td>
<td>0.45</td>
</tr>
<tr>
<td>Caudate</td>
<td>1895</td>
<td>0.85</td>
<td>0.85</td>
<td>0.78</td>
</tr>
<tr>
<td>Pallidum</td>
<td>573</td>
<td>0.41</td>
<td>0.41</td>
<td>Not measured</td>
</tr>
<tr>
<td>Putamen</td>
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<td>0.48</td>
<td>0.46</td>
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<tr>
<td>Occipital</td>
<td>21333</td>
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<td>0.73</td>
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<td>Cerebellum</td>
<td>21838</td>
<td>0.54</td>
<td>0.46</td>
<td>0.33</td>
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</tbody>
</table>

Correlation coefficients (r, \(p<0.001\)) are listed for comparisons between relative ASL-CBF and relative PET-FDG measurements corrected with Dixon and Dixon+bone \(\mu\)-maps, and comparisons from a previous study using 2D-pCASL sequence and PET-CT. Total number of voxels (\(k_e\)) within each ROI for the MRAC comparisons are included.
Table 4.4: Results of independent samples t-test in gray matter comparing rCBF to rPET.

<table>
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<tr>
<th>Cluster number</th>
<th>Cluster size</th>
<th>X</th>
<th>y</th>
<th>z</th>
<th>t-value</th>
<th>Anatomical label</th>
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<tr>
<td>1</td>
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<td>5.63</td>
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Results of independent samples t-test in gray matter comparing rCBF to rPET\textsubscript{dx} and comparing rCBF to rPET\textsubscript{dxbone} for clusters > 50 voxels (p<0.05, FDR). MNI coordinates (x, y and z) and corresponding anatomical location are included.
4.4 Discussion

The feasibility of an integrated whole-body PET-MRI system in simultaneous acquisition of perfusion MRI and metabolic PET neuroimaging with an enhanced attenuation correction method was investigated in this study. Following efforts by other groups to minimize errors in PET signal attenuation corrected with MRI\textsuperscript{9,13,14,29}, and given the lack of consensus on acceptable MRAC methods for bone attenuation\textsuperscript{30}, we explored a practical workaround for MRAC. Regional correlates of relative cerebral glucose consumption acquired with FDG-PET, to relative cerebral perfusion acquired with pCASL were also explored. In general, a good correlation between perfusion and glucose consumption was observed over the entire brain, but regional variability in coupling was observed, with the highest correlation in the occipital, and the lowest in the striatum structures.

Direct segmentation of high resolution T1-weighted MR data into various tissue classes for MR attenuation correction of PET have been proposed by Zaidi et al\textsuperscript{31} and Wagenknecht et al\textsuperscript{32}. Segmentation of voxel intensities were performed with sophisticated algorithms that use fuzzy clustering or \textit{a priori} knowledge of tissue location and shape to derive MRAC μ-maps with ~6\% maximum absolute relative difference compared to ground truth\textsuperscript{25,31}. However, these methods are time consuming, require post-processing algorithms that are not readily available, and in Zaidi et al's method\textsuperscript{31}; require user intervention to improve bone segmentation. In addition, these proposed approaches are sensitive to MR intensity inhomogeneity errors which, when left unaccounted for can exacerbate inherent MR signal issues such as partial volume effects and motion artifacts, potentially inflating bias in MRAC μ-maps. In this study, bone segmentation of T1-
weighted data was easily derived with SPM, an automated pipeline routinely used for brain segmentation in neuroimaging. For each voxel, the unified segmentation method in SPM determines intensity distributions of tissue types using a mixed model cluster analysis and spatial priors from a T1-weighted atlas derived from large number of subjects\textsuperscript{24}. Unlike aforementioned direct segmentation methods, the unified method accounts for non-uniformities in intensity distributions, improving segmentation accuracy\textsuperscript{33}.

In general, a ~10\% increase in whole brain mean FDG activity corrected with Dixon+bone compared to standard Dixon MRAC was observed. A gradual increase in mean FDG activity from ~5\% in the center to ~20\% in the cortex was observed in PET data corrected with Dixon+bone compared to the same PET data corrected with just Dixon alone, as illustrated in Figure 4.2 for one individual. This finding was consistent with a recent study comparing PET images corrected with the standard Dixon method to images corrected with Dixon plus bone information derived from individual CT\textsuperscript{3}. In this study, Andersen et al\textsuperscript{3} reported a 15\% difference in mean activity from the center to the edge of the brain in PET signal reconstructed with Dixon compared to Dixon plus CT bone. Errors caused by not including bone information are more pronounced at the edge than the center of the brain as photons from the edge of the brain travel longer average path lengths through skull than photons from the center\textsuperscript{3}.

Regional variability in mean activity between PET\textsubscript{dx} and PET\textsubscript{dxbone} was also observed in the ROI analysis. Cortical structures such as frontal and temporal lobe had significantly higher relative differences than central brain regions such as basal ganglia and limbic systems (Figure 4.3a). All thirteen brain regions investigated had statistically
significant lower mean activity when reconstructed with the standard Dixon compared to the reconstruction with the Dixon plus bone (Table 4.2). Similar regional variability in PET-corrected with standard Dixon MRAC compared to CT attenuation maps have recently been observed by other groups\textsuperscript{4,5}. Scaling the PET images by their global mean removed the overall underestimation but resulted in regional over- and under-estimations (Figure 4.3b). These regional trends matched results from a previous study\textsuperscript{4} and indicate that irrespective of the chosen reference region, cerebellum\textsuperscript{4} or global mean as used in the current study, relative PET measurements reconstructed with Dixon still possess MRAC-related bias, albeit slightly reduced. Although signal normalization to a reference value can reduce systemic errors in PET reconstruction, it can inflate regional values particularly in cases where group differences actually exist between the reference values\textsuperscript{34}. This might explain the apparent increase in relative PET activity with standard Dixon compared to Dixon+bone (table 4.2) or UTE\textsuperscript{4} in nearly all regions of the brain. Altogether, the results are in line with previous studies showing that the addition of bone information, either from MRI (UTE/MPRAGE) or CT, to the MRAC maps removes the radial bias\textsuperscript{3} and reduces differences in PET signals from \textasciitilde20\% to 10\% when compared to the gold standard, CTAC\textsuperscript{4,9,11,14}.

The clinical significance of incorporating bone attenuation in PET reconstruction was highlighted by correlating relative cerebral glucose uptake acquired with FDG-PET to relative cerebral perfusion acquired with pCASL across regions in the brain. Recent evidence suggest that a pattern of regional distribution of perfusion to glucose metabolism exist in healthy brains\textsuperscript{19,35,36} and disruptions to regional blood flow-glucose metabolism coupling are potential markers of brain dysfunction\textsuperscript{37}. In general, a good
correlation between perfusion and glucose uptake was observed in this study over the entire brain. Regional variability in relative correlations was found, with the highest correlations in the occipital and caudate, and the lowest in the limbic structures regardless of the MRAC method used (Table 4.3). However, associations between perfusion and PET signals reconstructed with Dixon+bone closely resemble patterns of regional relative perfusion to glucose metabolism reported elsewhere, with FDG-PET and PET perfusion tracers\textsuperscript{18,20,38}, and with FDG-PET and ASL using standalone PET and MRI scanners\textsuperscript{19,39,35}.

When compared to Cha et al\textsuperscript{19}, where similar regions were explored with a similar pCASL labeling scheme, higher regional correlations of rCBF to rPET\textsubscript{dxbone} were observed in the current study, except in the insula and putamen (Table 4.3). This apparent increase (~30\%) in regional coupling of perfusion to glucose metabolism is likely a result of the improved registration accuracy provided by simultaneous imaging and the improved tSNR provided by using a 3D pCASL method\textsuperscript{23,40}. The temporal SNR of 3D-GRASE pCASL was not compromised by the use of a PET-compatible head coil, and the mean tSNR reported here was in line with values reported elsewhere\textsuperscript{23,40}.

Results from voxel-by-voxel t-tests between rCBF and rPET demonstrated regions of hyper- or hypo-perfusion not matched to glucose metabolism (Figure 4.5 and Table 4.4). These findings, specifically from PET data corrected with Dixon+bone MRAC, are in line with previous findings of increased resting perfusion to resting metabolism in areas of the brain associated with the default mode network\textsuperscript{18,19}, suggesting that these brain regions require increased blood flow due to the sustained state of arousal\textsuperscript{36}. Apparent bias in bone attenuation from standard Dixon MRAC was evident
in the comparison between relative perfusion and glucose uptake as demonstrated in Figure 4.5 and in comparison to previous studies\textsuperscript{19,35,39}. In particular, unexpected increases in rCBF were observed in the left paracentral, left inferior parietal and right superior temporal gyrus when compared to PET\textsubscript{dx}. The spatial pattern of these differences, notably their occurrence closer to the edge of brain, is suggestive of attenuation errors. Indeed, these regions of elevated rCBF were either removed or significantly reduced when compared to PET\textsubscript{dx\textsubscript{bone}}.

It is possible that segmentation errors such as voxel misclassifications in Dixon+bone $\mu$-maps could account for some differences in correlations between the current study and observations reported elsewhere. Differences in $\mu_a$ for bone assigned to MR or CT derived $\mu$-maps, and differences in PET scanner geometry and reconstruction parameters including number of iterations, subsets and smoothing kernels could also influence final observations. In addition, limitations inherent to pCASL and to PET-FDG imaging such as partial voluming, differences in cellular localization of blood water and FDG tracers, and optimal selection of post-labelling delay in ASL with minimal compromise to SNR could affect accuracy of regional comparisons (cf. Cha et al\textsuperscript{19}). The evaluation of Dixon+bone $\mu$-maps would have benefited from comparison to ground truth using CT data, which was not feasible in this study. The PET-CT whole body survey acquired on all subjects prior to PET-MRI imaging, permitted coverage solely from the level of the eyes to the thighs as per clinical protocol, limiting whole brain comparisons. As such, absolute and regional performance of the enhanced Dixon $\mu$-maps was not evaluated. Over estimation of bone information was observed in the inferior regions of the brain and can be seen in the Dixon+bone $\mu$-maps (Figure 4.1) and in the comparisons
of PET<sub>dxbone</sub> to pCASL CBF (Figure 4.5). Regions close to the base of the skull where bone anatomy is thin can yield low MR bone signals with MPRAGE<sup>25</sup> or UTE<sup>4</sup> sequences, and these regions are prone to signal misclassification errors in soft tissue/bone/air interfaces in areas around the sinuses— an issue that affects most atlas-based MR-derived attenuation correction methods. Efforts were made to minimize bone misclassification by morphological filtering of non-bone voxels. A recent study demonstrated that SPM8-based method for generating MRAC is robust with a reported accuracy of under 4% when compared to CTAC<sup>29</sup>. The results presented here were in good agreement with previous studies, suggesting that these issues had little or no effect on the study outcome. This suggests that ASL-CBF imaging could be used to in future studies to investigate the relationships between altered brain function and disease-specific PET tracers. The regional correlation of pCASL CBF to FDG-PET demonstrated here for the first time with simultaneous imaging can be further improved with imaging of concurrent uptake of the pCASL and FDG tracer.

In general, the agreement between this study and previous studies using CT attenuation maps indicate that Dixon attenuation maps enhanced with bone information from high resolution T1-weighted images can provide a feasible method for correction of PET signal attenuation in PET-MRI neuroimaging. Errors in MRI-derived attenuation correction maps including tissue misclassification can be further minimized by methods that employ tissue classifiers from CT atlas to better guide segmentation of UTE or MPRAGE data<sup>13</sup>. 
4.5 References


5 Chapter 5

Conclusions and Future Work

5.1 Summary of Findings

This thesis explored the role of cardiovascular disease in advancing pathological brain aging using novel neuroimaging techniques. As well as investigated the potential neuroprotective benefit of aerobic exercise training performed as part of a cardiovascular rehabilitation (CR) program. Magnetic resonance imaging (MRI) methods were used to measure gray matter volume (GMV) changes and changes in cerebrovascular hemodynamics, specifically CBF and CVR, in a group of coronary artery disease patients (CAD). In this final chapter, major findings of the studies performed in this thesis are summarized. The clinical relevance of the findings is discussed and areas of possible future directions are outlined.

5.1.1 Changes in Brain Structure Associated with Cardiovascular Disease

Although prior evidence demonstrated decreased brain volume in older adults with increased risks for cardiovascular disease\(^1\), changes in regional brain volume have not been previously investigated in individuals diagnosed with cardiovascular disease. Chapter 2 describes an exploratory study where regional GMV was compared between CAD patients and age-matched controls using voxel-based morphometry, to examine the impact of cardiovascular disease on brain structure. CAD patients exhibited decreased GMV in the frontal, temporal and parietal lobes, and in the posterior cerebellum. These
findings are in line with patterns of brain atrophy previously reported in hypertensive and diabetic patients\(^1\), and support evidence of accelerated cognitive decline in cardiovascular disease patients\(^2\). In general, the results suggest that cardiovascular disease accelerates brain aging, affecting regions that are typically stable during normal aging.

### 5.1.2 Impact of Cardiovascular Disease on Brain Hemodynamics

Since CAD is a by-product of atherosclerosis and impaired cerebrovascular hemodynamics are associated with markers of atherosclerosis, such as arterial stiffness\(^3\), it follows that brains of CAD patients showing signs of structural decline (chapter 2) would also have disruptions in hemodynamics. In chapter 3, cerebrovascular hemodynamics (i.e., CBF and CVR) and relationships between hemodynamic changes and brain atrophy was studied in the same cohort of CAD patients and controls. Continuous measurements of cerebrovascular hemodynamics were performed during acquisition of ASL images while subjects breathed room air (baseline) or hypercapnic air (6\% CO\(_2\), 21\% O\(_2\) and balanced air) for 5 min each. Reduced resting CBF was observed in CAD patients in the superior frontal, anterior cingulate, pre-and post-central gyri, and superior temporal regions, along with decreased CVR in the anterior cingulate, post central and superior temporal regions. These changes were independent of changes in brain volume, suggesting that cerebrovascular dysfunction precedes brain atrophy.

### 5.1.3 Potential Neuroprotective Benefits of Cardiac Rehabilitation

Since established exercise-based preventative programs are readily available to cardiac patients and a myriad of evidence demonstrates positive effects of exercise\(^4\), the
potential neuroprotective benefits of physical activity on the brains of CAD patients were explored. Chapters 2 and 3 describe pre- and post-intervention results from CAD patients for whom declines in regional brain volume, CBF and CVR were observed pre-intervention. The exercise intervention consisted of a moderate intensity aerobic fitness training lasting 6 months. Findings of increased brain volume in several regions of the brain associated with cognitive control, as well as regions linked to motor coordination, showed for the first time, the significance of exercise-based CR programs on brains of CAD patients. Equally notable was the discovery of recovery in CBF in the anterior cingulate and brain volumes in the superior frontal, superior temporal and posterior cerebellum. These findings were in brain regions where a measurable decrease was observed before rehabilitation. For instance, a ~33% decrease in CBF was observed at baseline in the right anterior cingulate in CAD patients compared to control, while a ~30% increase in CBF was seen post exercise.

5.1.4 Can CBF Measured with ASL Serve as a Suitable Marker of Brain Function

Current studies suggest that CBF measured with ASL correlates with cerebral glucose consumption (CMRglc) measured with PET-FDG\textsuperscript{5-7}, a well established measure of brain function, and could serve as suitable alternative to PET-FDG\textsuperscript{8}. However these studies were performed using separate MRI and PET scanners and, therefore, voxel-wise comparison of CBF and CMRglc could be confounded by image registration errors and differences in brain physiological state between imaging sessions. Chapter 4 describes efforts to correlate ASL-CBF to PET-CMRglc acquired simultaneously on an integrated PET and MRI system. Marked regional variations in CBF to CMRglc were observed with
the highest associations in the caudate and cingulate and the lowest in the limbic structures. All findings were well matched to observations from previous studies, suggesting that perfusion and glucose metabolism are well matched, but variable distribution of perfusion to glucose metabolism coupling exist in healthy brains.

5.2 Relevance of Findings

In this thesis, neuroimaging in cardiovascular disease showed a pattern of brain decline that is more severe than changes observed in normal brain aging, but reversible with moderate aerobic exercise training. The findings from studies described in this thesis bear some clinical relevance. First, the findings challenge the monodisciplinary approach currently applied to management of cardiovascular disease in older adults. It is clear that the brain is affected from cardiovascular disease, specifically diseases of atherosclerotic origin. Individuals with a history of cardiovascular disease or at an increased risk for vascular disease are more likely to have stroke and related cerebrovascular disease. If the expected increase in population of older adults includes an equal increase in cardiovascular disease survivors, a better understanding of the complex interaction between cardiovascular dysfunction and brain health is imperative, and will require a multidisciplinary approach. The studies in this thesis provide a framework for further multidisciplinary investigations, as will be discussed below. Second and more importantly, the work presented here emphasizes the clinical significance of exercise-based CR programs in terms of benefit to both cardio- and cerebrovascular health. In Canada, although exercise-based CR programs have been established for over 40 years, only 1 in 3 eligible patients participate in CR. A major source for underutilization is the
lack of physician referral to CR\textsuperscript{11}. The work laid out in thesis demonstrates the need for further research in current CR delivery models to see if inclusion of cerebrovascular health assessment and monitoring can improve overall efficacy of rehabilitation programs.

5.3 Future work

5.3.1 Longitudinal and Epidemiology studies

Although the results presented in chapters 2 and 3 provide strong evidence of cardiovascular disease effects on cerebrovascular structure and function, the cross-sectional nature of study design, provides a snapshot at best of cardiac disease effects. Longitudinal studies of disease progression may reveal further information of cardiac disease contributions to brain dysfunction. For instance, in the Baltimore Longitudinal Study of Aging, regional CBF was measured in healthy older adults and treated hypertensive patients over a period of 6 years\textsuperscript{12}. The study found an accelerated decrease in regional CBF in the frontal, anterior cingulate and occipital regions over time, but the duration of hypertension only contributed to decreased CBF in the frontal and anterior cingulate regions\textsuperscript{12}. Another longitudinal study of older adults with cardiovascular disease measured diverse domains of cognitive performance using a battery of neuropsychological tests, at study entry, 1 year and 3 years later\textsuperscript{2}. The study reported worsening of cognitive performance across all measured domain, but varied trajectory of decline with faster decay for visuospatial skills and smaller relative rate of decline for executive function and psychomotor speed\textsuperscript{2}. Both studies demonstrate that certain brain regions have a greater vulnerability to vascular disease over time. It would be interesting
to observe changes in brains of CAD patients over time and examine the disease effects as the brain ages.

5.3.2 Exercise

The neurovascular benefits of low-moderate intensity exercise programs performed over a short period time (few weeks to 6 months) in healthy older adults are still being explored. Current knowledge of long-term effects is limited and has only been explored in one study, which found that walking at least 72 city blocks a week was associated with preserved GM volume 9 years later, but walking more than 72 blocks did not incur any added benefit\textsuperscript{13}. Lingering questions on exercise threshold effects, that is the minimum exercise duration and intensity required to detect structural and functional brain changes, are yet to be clearly addressed. For individuals with cardiovascular disease, exercise can be both beneficial or detrimental to cardiovascular health and overall well being\textsuperscript{14}, particularly when performed in unsupervised environments. In addition, standard pharmacological therapies for management of cardiovascular disease such as statins, beta-blockers and aspirin, are known to attenuate exercise effects\textsuperscript{15} and modulate CBF\textsuperscript{16}. An immediate question to address would be: does a single duration of exercise training, for instance a 6-month aerobic exercise regimen such as in CR, confer long-term neuronal protection for cardiovascular disease patients?
5.3.3 Characterizing white matter structure in coronary artery disease patients.

White matter (WM) lesions are prevalent in individuals with increased risk for vascular disease\(^{17}\). Cerebral hypoperfusion is thought to significantly impair WM connectivity affecting the speed of cognitive processing\(^{18}\). In healthy older adults, higher cardiorespiratory fitness is associated with preservation of age-related declined in WM microstructure\(^{19}\). Associations of vascular disease to disruptions in WM structural integrity and subsequent effects on cognitive function are unclear. It is quite possible that reports of accelerated cognitive decline in cardiovascular disease patients\(^{2}\) could be linked to disruptions in WM integrity that are related to vascular disease\(^{20}\). In the same token, improvements in cognitive performance ascribed to exercise training\(^{21}\) could be mediated by exercise-induced plasticity of WM structure\(^{22}\). Therefore, it would be interesting to elucidate WM regions vulnerable to cardiovascular disease, investigate relationships of WM abnormalities to cognitive impairments, and explore the role of CR in mediating disease effects on WM microstructure.

5.4 References


Appendices

Appendix A: An Overview of the Optimized VBM approach as implemented in SPM8

Figure A1: Flow chart of optimized VBM as implemented in SPM8.

In optimized VBM\textsuperscript{1} [1], the original T1 image volume in native space is segmented into GM and WM images, followed by the removal of unconnected non-brain voxels in skin, skull and dura from the segmented images using fully automated morphological operations (erosion and conditional dilation). [2] The resulting GM and WM images are spatially normalized to GM/WM templates further eliminating contributions of non-brain voxels and improving spatial normalization of GM/WM images. [3] The normalization parameters are then applied to the original T1 image
volume placing the images in stereotactic standard space. [4] Subsequent segmentation of
the normalized T1 images into GM, WM and CSF partitions is performed to generate a
GM concentration map. [5] Spatial smoothing is applied to the GM map by convolving
the images with an isotropic Gaussian kernel. This renders the data more normally
distributed and compensates for the inexact nature of the spatial normalization. [6]
Finally, a generalized linear model fit is applied to the smoothed GM images for group
comparisons or correlations with covariates of interest.

Alternatively, segmented GM images in spatial correspondence to the template
can be [4a] multiplied ("modulated") by the Jacobian determinant derived from the
spatial normalization step [2]. This compensates for the non-linear effect of spatial
normalization and preserves the total amount of GM in the normalized data\(^1\). Individual
variations in brain sizes can be accounted for by either including the total intracranial
volume, which is the sum of GM, WM, and CSF, as covariates of no interest during the
statistical model fit (i.e. global normalization), or by modulating only the non-linear
components of the Jacobian determinant, which is recommended (dbm.neuro.uni-
jen.a.de/vbm/). Hence, unmodulated smoothed normalized GM images represent relative
concentration of GM while modulated smoothed normalized GM images can represent
absolute volume of GM or relative volume corrected for differences in brain sizes. In
chapter 2 and 3, absolute GM volumes corrected for differences in brain sizes were used
to investigate regional differences in brain structure.

To improve signal-to-noise ratio and the sensitivity of detecting local changes in
gray matter, the T1 images are bias corrected for image intensity non-uniformity prior to
segmentation and the resultant GM volume or concentration maps are smoothed by
convolving the images with an isotropic Gaussian kernel. Bias correction accounts for the smooth intensity variations due to spatially-dependent response of the head receiver coils. While spatial smoothing renders the data more normally distributed and compensates for the inexact nature of the spatial normalization. However, since spatial smoothing can blur an image minimizing the ability to detect local changes, the size of the Gaussian kernel should match the size of the expected effect.

References

Appendix B: Copyright Agreement information

Title: An investigation of changes in regional gray matter volume in cardiovascular disease patients, pre and post cardiovascular rehabilitation

Author: U.C. Anazodo, J.K. Shoemaker, N. Suskin, K.S. St. Lawrence

Publication: NeuroImage: Clinical

Publisher: Elsevier

Date: 2013

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Dear Uduina Anazodo,

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Best regards,

[Redacted]

Editorial Office Manager, Frontiers

On Wed, Jul 8, 2015 at 11:33 PM, Uduina Anazodo wrote:

Hello,

Title: Feasibility of simultaneous whole-brain imaging on an integrated PET-MRI system using an enhanced 2-point Dixon attenuation correction method.

Authors: Anazodo UC, Thiesse JD, Soll T, Mandel J, Günther M, Butler J, Pavlović W, Prato FS, Thompson RT, St. Lawrence KS.


I am kindly requesting permission to reproduce the above article for inclusion in a forthcoming doctoral thesis publication called “An investigation of cardiovascular disease contributions to...”. This thesis will be published by the University of Western Ontario tentatively in September 2015 as both a book and as an e-book. I have provided my email below for transmission of a signed copy of the permission request. Thank you.
Appendix C: Ethics Approval

Principal Investigator: Dr. Kevin Shoemaker
File Number: 100115
Review Level: Delegated
Approved Local Adult Participants: 200
Approved Local Minor Participants: 0
Protocol Title: Architecture of Cortical Somatosensory and Autonomic Neural Networks Supporting Muscular Movement: Impacts of Vascular Disease and Exercise Interventions. (REB 17910)
Department & Institution: Health Sciences/Kinesiology, Western University
Sponsor: Canadian Institutes of Health Research

Ethics Approval Date: November 08, 2012 Expiry Date: December 31, 2016

Documents Reviewed & Approved & Documents Received for Information:

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<td>Carly Barron has been added to the study team. M. Frances and T. Hartley have been removed.</td>
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This is to notify you that The University of Western Ontario Research Ethics Board for Health Sciences Research Involving Human Subjects (HSREB) which is organized and operates according to the Tri-Council Policy Statement: Ethical Conduct of Research Involving Humans and the Health Canada/ICH Good Clinical Practice Practices: Consolidated Guidelines, and the applicable laws and regulations of Ontario has reviewed and granted approval to the above referenced revision(s) or amendment(s) on the approval date noted above. The membership of this REB also complies with the membership requirements for REB’s as defined in Division 5 of the Food and Drug Regulations.

The ethics approval for this study shall remain valid until the expiry date noted above assuming timely and acceptable responses to the HSREB’s periodic requests for surveillance and monitoring information. If you require an updated approval notice prior to that time you must request it using the University of Western Ontario Updated Approval Request Form.

Members of the HSREB who are named as investigators in research studies, or declare a conflict of interest, do not participate in discussion related to, nor vote on, such studies when they are presented to the HSREB.

The Chair of the HSREB is Dr. Joseph Gilbert. The HSREB is registered with the U.S. Department of Health & Human Services under the IRB registration number IRB 00000940.
Principal Investigator: Dr. William Pavlosky  
File Number: 102886  
Review Level: Delegated  
Approved Local Adult Participants: 30  
Approved Local Minor Participants: 0  
Protocol Title: A pilot study comparing PET/MRI scans to PET/CT scans of the same oncology patients  
Department & Institution: Schulich School of Medicine and Dentistry/Nuclear Medicine, St. Joseph's Health Care London  
Sponsor:  
Ethics Approval Date: June 05, 2013  
Expiry Date: July 31, 2015  
Documents Reviewed & Approved & Documents Received for Information:  

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<td>Dr. William Pavlosky has replaced Dr. Jonathan Mandel as the Principal investigator.</td>
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This is to notify you that the University of Western Ontario Research Ethics Board for Health Sciences Research Involving Human Subjects (HSREB) which is organized and operates according to the Tri-Council Policy Statement: Ethical Conduct of Research Involving Humans and the Health Canada/ICH Good Clinical Practice Practices: Consolidated Guidelines, and the applicable laws and regulations of Ontario has reviewed and granted approval to the above referenced revision(s) or amendment(s) on the approval date noted above. The membership of this REB also complies with the membership requirements for REB’s as defined in Division 5 of the Food and Drug Regulations.

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The Chair of the HSREB is Dr. Joseph Gilbert. The HSREB is registered with the U.S. Department of Health & Human Services under the IRB registration number IRB 00000040.
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Bachelor of Science (Honors), Psychology with Minor in Biology, August 2002.

HONORS and AWARDS
Canadian Institute of Health Research (CIHR), IA Age+Prize award. 2014
CIHR Strategic Training Program in Vascular Research 2011 – 2013
CIHR Institute of Aging Age Travel award. 2011
Schulich Graduate Scholarship, University of Western Ontario 2009 – 2014

PUBLICATIONS


Conference Proceedings

2015


Udunna Anazodo, Kevin Shoemaker, Neville Suskin, Danny JJ Wang, Keith St Lawrence. (June 2015) Correlation of brain atrophy to decreased CBF and CVR in coronary artery disease patients. Proceedings of the 21st International society of Magnetic Resonance in Medicine, Salt Lake City, Utah. (Talk)


Tracy Ssali, Udunna C Anazodo, Mahsa Shokouhi, Bradley J MacIntosh, and Keith St Lawrence (June 2015). Intra and Inter-subject Reproducibility of Arterial Transit Time. Proceedings of the 21st International society of Magnetic Resonance in Medicine, Salt Lake City, Utah. (Talk)


2014


2011-2013


Invited Talks

Udunna Anazodo. Feasibility of enhanced 2-point Dixon attenuation correction method for whole-brain imaging on an integrated PET-MRI system. October 6th -7th 2014. Siemens Experts’ mMR brain attenuation correction workshop, Copenhagen, Denmark.


RELATED WORK EXPERIENCE

*Research Assistant*
Department of Biology, York University 2000 - 2001

*Registered Nuclear Medicine Technologist*
Woodstock General Hospital, Woodstock, Ontario 2010 – 2015
Brampton Nuclear Services, Brampton, Ontario 2008 – 2009